

**Title:** Symmetry and Causality Constraints on Fermi Liquids

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# Symmetry and causality constraints on Fermi liquids

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in collaboration with



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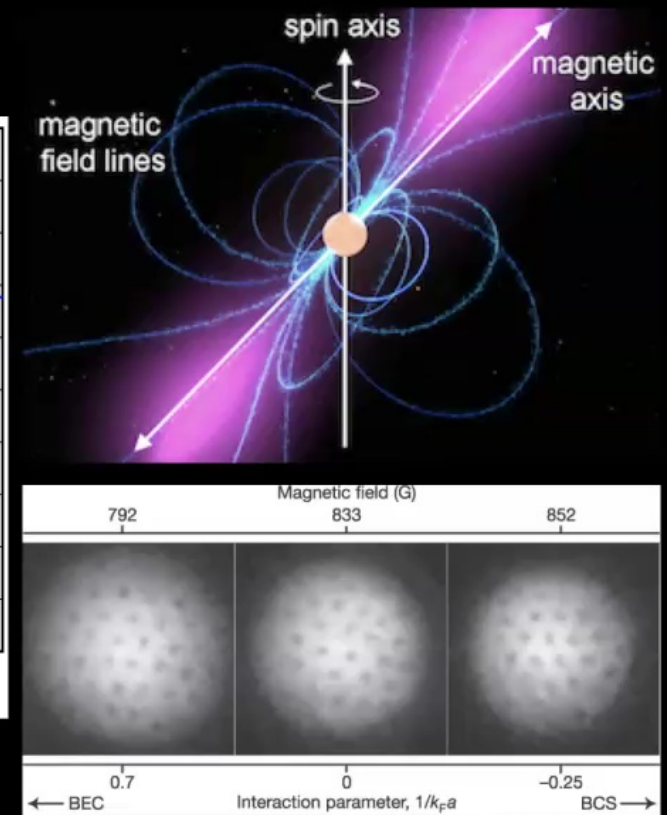
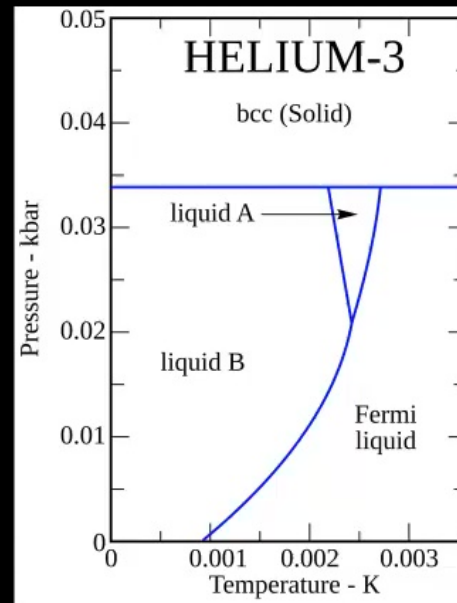


Luca Delacretaz

Chowdhury, Delacretaz, *UM*, arXiv:2501.02073

# Space-time symmetries in Fermi liquid phases

- He-3 (Galilean)
- Neutron stars, dense QCD (Lorentz)
- Unitary Fermi gas, non-relativistic anyon gas (Schroedinger)
- Interacting fermionic CFTs at finite density? (conformal)



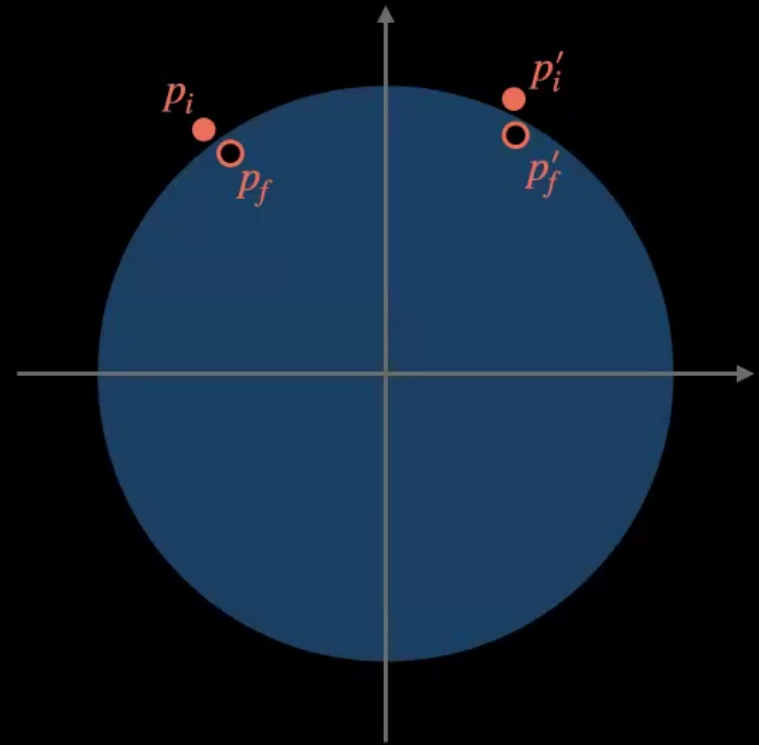
Zwierlein et al, Nature 05

# History: Boosts constrain forward-scattering

- Effective mass relation:

$$m_* \equiv \frac{p_F}{v_F} = \begin{cases} (1 + F_1)m & \text{Galilei} \\ (1 + F_1)\mu & \text{Lorentz} \end{cases}$$

- $F_1$  measures the strength of current-current interactions



Landau, Baym+Chin

# Constraints from thermodynamics

- Boost constraints from momentum susceptibility

$$\chi_{\pi\pi} = \begin{cases} m\rho \\ \varepsilon + p = \mu\rho \end{cases} \quad \begin{array}{l} \text{Galilei} \\ \text{Lorentz} \end{array}$$

- Scale constraints from charge susceptibility

$$\rho \propto \mu^{d/z}, \quad \chi_{\rho\rho} = \frac{\partial \rho}{\partial \mu}, \quad \Longrightarrow \quad 1 + F_0 = z \frac{\mu}{p_F v_F}$$

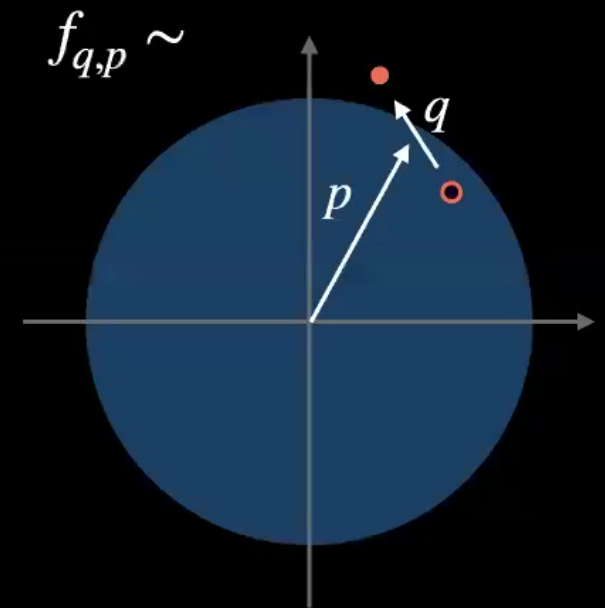
# Are thermodynamic constraints sufficient?

- Need for a field theoretic approach (will use the coadjoint orbit action)
  - How do symmetries act on the space of states?
  - What constraints are obtained from requiring the action to be invariant under such transformations?

# Beyond forward scattering?

$$\begin{aligned}
 H = & \int_{xp} \epsilon_p f_{x,p} \\
 & + \int_{xpp'} F_{pp'}^{(2,0)} \delta f_{x,p} \delta f_{x,p'} + F_{pp'}^{(2,1)} \left( \nabla_x \delta f_{x,p} \right) \delta f_{x,p'} + \dots \\
 & + \int_{xpp'p''} F_{pp'p''}^{(3,0)} \delta f_{x,p} \delta f_{x,p'} \delta f_{x,p''} + \dots \\
 & + \dots
 \end{aligned}$$

Constraints on higher Landau parameters?



Delacretaz, Du, **UM**, Son, PRR 23  
**UM**, arXiv: 2307.02536



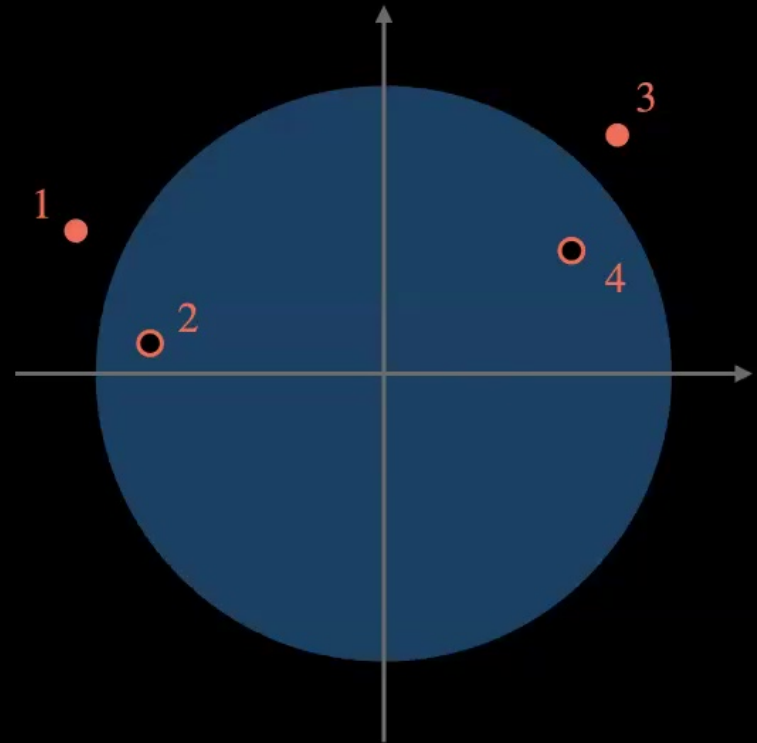
# Outline

- Higher Landau parameters and irrelevant interactions
- Parameterizing the space of states using canonical transformations
- Symmetries as canonical transformations
- Constraints for boosts and dilatations
- (Micro)causality bounds on Landau parameters and consequences for conformal Fermi liquids

# Interpreting the higher Landau functions

$$\int V_{1234} c_1^\dagger c_2 c_3^\dagger c_4$$

$\sim$

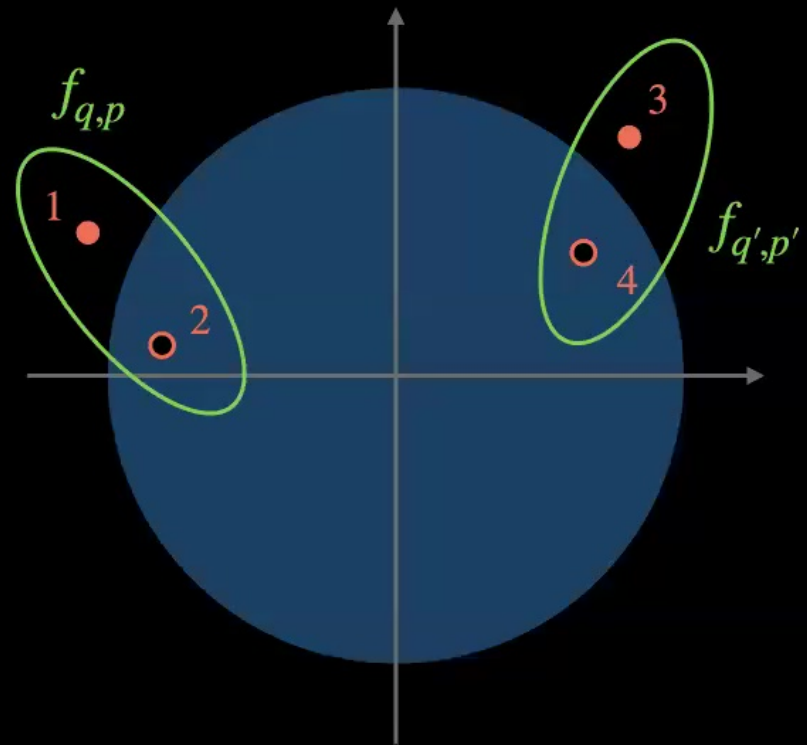


# Interpreting the higher Landau functions

$$\int V_{xp,x'p'} f_{x,p} f_{x',p'}$$

↓  
derivative  
expansion

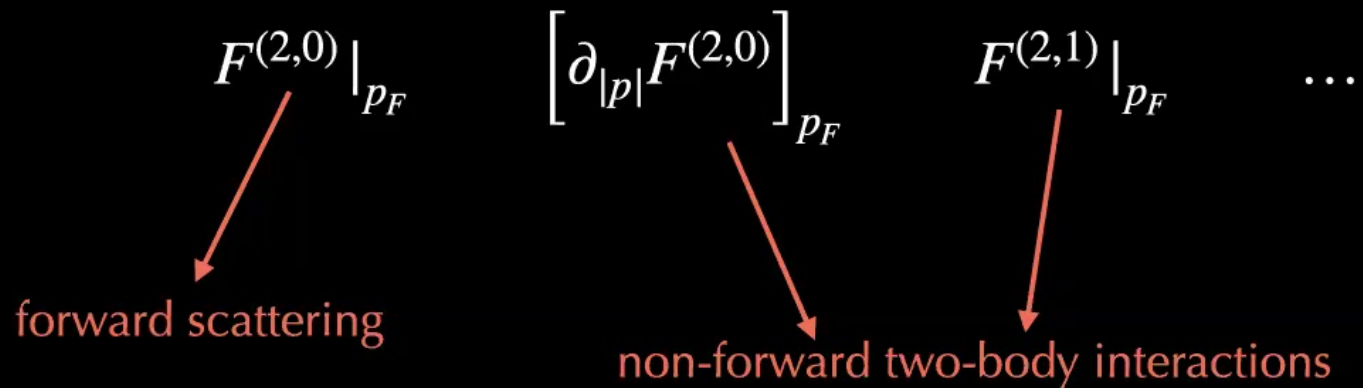
$$\int F_{pp'}^{(2,0)} \delta f_{x,p} \delta f_{x,p'} + \dots$$



# Interpreting the higher Landau functions

$$\delta f_{x,p} \sim \delta_{p_F}(p) + \delta'_{p_F}(p) + \dots$$

localizes on the Fermi surface  
under an expansion in fluctuations



# Higher Landau parameters (2D)

$$F^{(2,0)}|_{p_F} \sim \sum_l F_l e^{il(\theta-\theta')} \quad \text{Landau parameters}$$

$$\left[ \partial_{|p|} F^{(2,0)} \right]_{p_F} \sim \sum_l \tilde{F}_l e^{il(\theta-\theta')} \quad \text{higher Landau parameters}$$

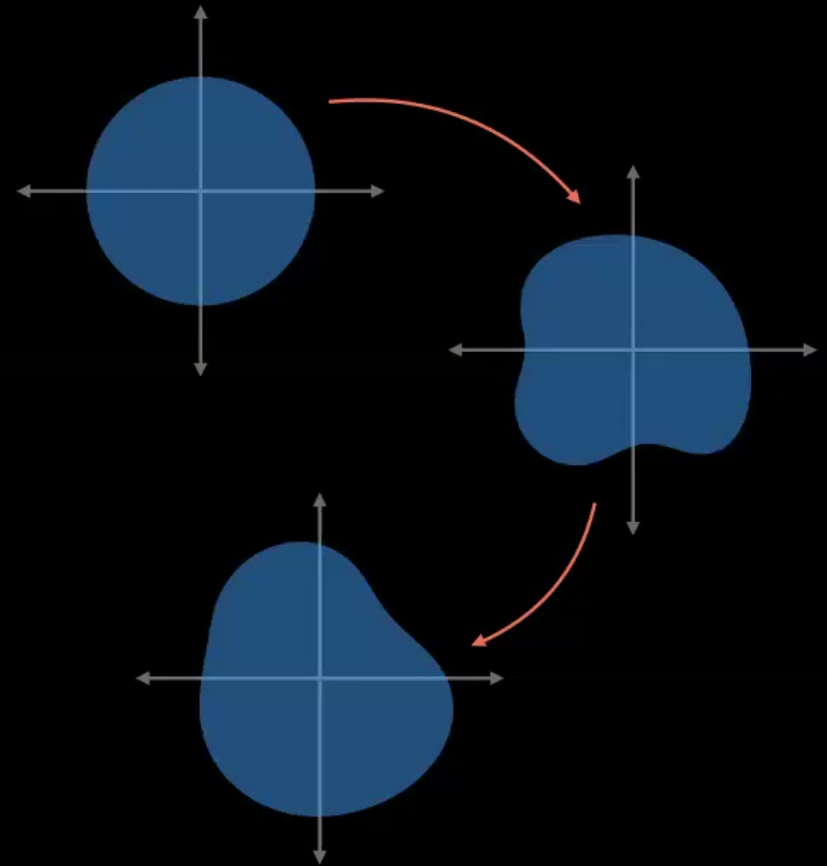
$$F^{(3,0)}|_{p_F} \sim \sum_{l,l'} G_{l,l'} e^{il(\theta-\theta')+il'(\theta-\theta')}$$

# The space of states

- Particle-hole coherent states are generated by canonical transformations

$$|\phi_{xp}\rangle = e^{i\int \phi_{xp}[\psi^\dagger\psi]_{xp}} |FS\rangle$$

- The space of states forms a coset  $G/H$  where  $G$  is the group of canonical transformations and  $H$  consists of transformations that leave the ground state invariant



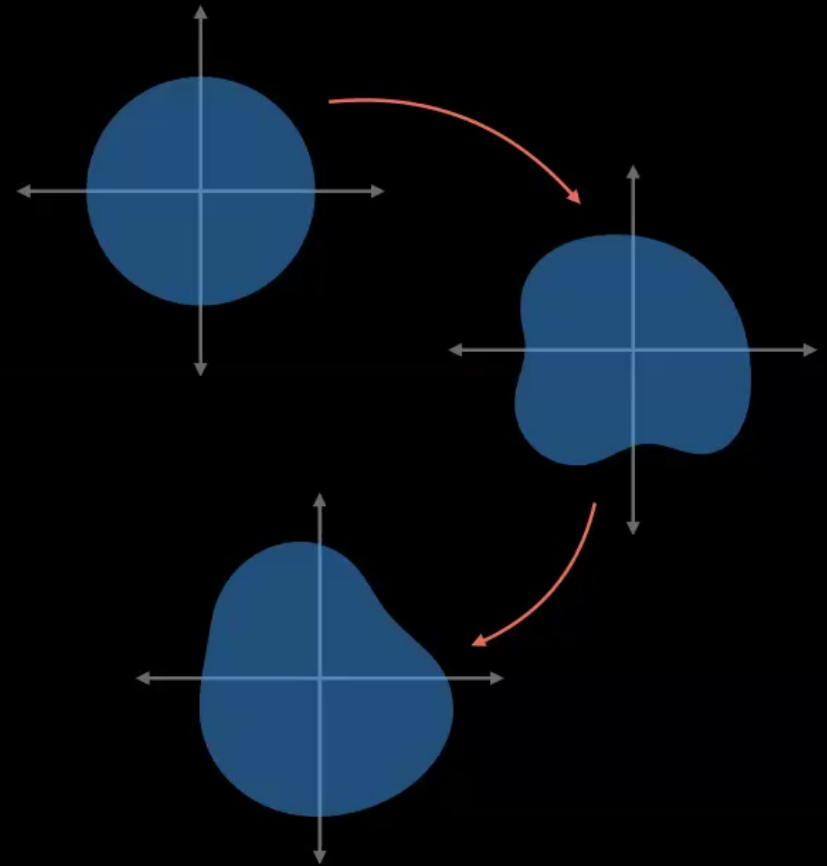
Delacretaz, Du, *UM*, Son, PRR 23  
*UM*, arXiv: 2307.02536

# The space of states

- All states are related by canonical transformations, whose action on the distribution function is given by

$$f_{xp} \xrightarrow{F_{xp}} f + \{F, f\} + \frac{1}{2}\{F, \{F, f\}\} + \dots$$

- Space-time symmetries may be embedded into canonical transformations to deduce their action on the distribution function



# Symmetries as canonical transformations

Symmetries that don't act on time:

Translations	$\{p_i, \cdot\}$
Rotations	$\{\epsilon_{i_1 \dots i_{d-2} j k} x_j p_k, \cdot\}$
Galilean boosts	$\{m x_i - t p_i, \cdot\}$



# Symmetries as canonical transformations

Symmetries that don't mix time and space:

Dilatations	$\{ -x_i p_i , \cdot \} + z t \partial_t$
Non-relativistic special conformal transformations	$\left\{ \frac{m x^2}{2} - t x_i p_i , \cdot \right\} + t^2 \partial_t$

# Deriving the constraints

- Use transformation of  $f_{xp}$  to impose invariance of bosonized action  $S[f_{xp}]$
- Expand  $f_{xp}$  in fluctuations  $\phi_{x\theta}$  or  $\delta p_F(x, \theta)$  (any any other parametrization)
- Set variation to zero order by order to obtain linear and non-linear constraints
- Check: Galilean effective mass constraint and scale invariance constraint can be derived in this way at leading order in fluctuations

# Non-linear (quadratic) constraints

Galilei:

$$mv_F \tilde{F}_1 + mp_F \epsilon_F'' - p_F = 0$$

$$3G_{1,l} + v_F(\tilde{F}_l + \tilde{F}_{l+1}) \\ + [lF_l - (l+1)F_{l+1}] = 0$$

Dilatations:

$$v_F \tilde{F}_0 + p_F \epsilon_F'' - (z-1)v_F = 0$$

$$3G_{l,0} + v_F(\tilde{F}_l + \tilde{F}_{-l}) \\ + (z-2)v_F F_l = 0$$

# An alternate derivation

- The constraints can also be derived from Ward identities by identifying conserved currents  $j^\mu[f_{xp}]$  and  $T^{\mu\nu}[f_{xp}]$
- Galilean invariance:  $T^{0i} = mj^i$
- Scale (+conformal) invariance:  $zT_0^0 + T_i^i = 0$
- Imposing only scale invariance at the level of the action (for both  $z = 1,2$ ) gives the same result as the Ward identity for scale+conformal invariance
  - Scale invariance  $\implies$  conformal invariance even for  $z = 2$ ?

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# Lorentz invariance

- Lorentz boosts mix time and space - can't be implemented as canonical transformations
- Can still impose Lorentz invariance as a Ward identity  $T^{0i} = T^{i0}$
- Linear constraint agrees with before + new quadratic constraint

$$\mu v_F \tilde{F}_1 + \mu p_F \epsilon_F'' + p_F v_F^2 - p_F = 0$$

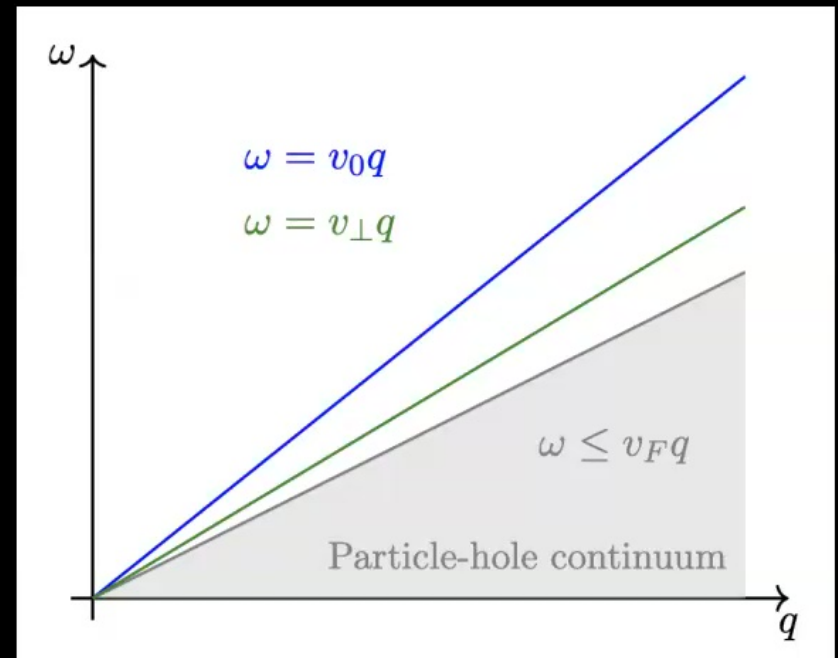
$$\begin{aligned} 3\pi^2 \mu G_{1,l} + \pi^2 \mu v_F (\tilde{F}_l + \tilde{F}_{l+1}) \\ + \pi^2 v_F (p_F v_F + (l+1)\mu) F_{l+1} \\ + \pi^2 v_F (p_F v_F + l\mu) F_l \\ + p_F v_F^2 F_l F_{l+1} = 0 \end{aligned}$$

# Infinite towers of constraints

- The variation of the action under a symmetry transformation mixes orders in  $\delta p_F$  or  $\phi$
- Constraints relate higher Landau parameters like  $G_{l,l'}$  and  $\tilde{F}_l$  to lower ones like  $F_l$  — mixing happens at all orders
- We obtain an infinite tower of constraints between various higher Landau parameters
  - In particular, if e.g.  $F_1 \neq 0$  in a boost invariant system, an infinite set of irrelevant interactions are forced to be nonzero. These will contribute to leading order in nonlinear response, e.g.  $F^{(3,0)}|_{p_F}$  and  $\left[\partial_{|p|} F^{(2,0)}\right]_{p_F}$  to  $\langle \rho\rho\rho \rangle$

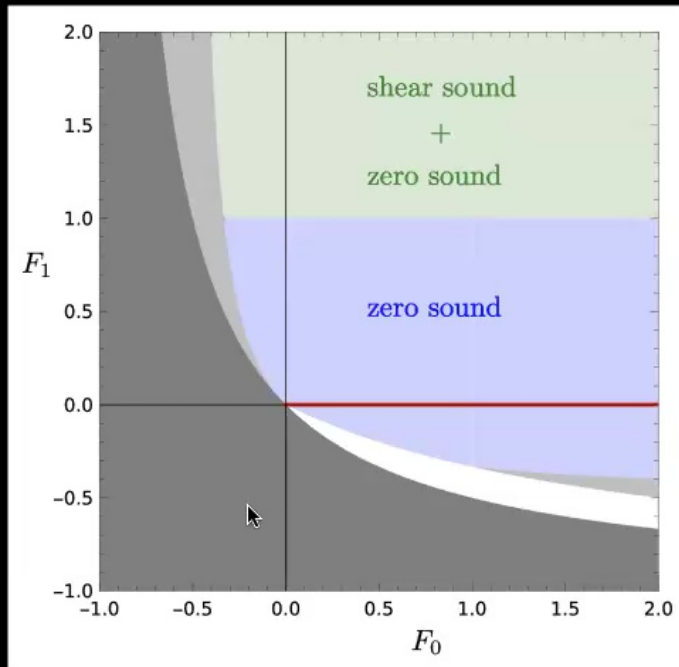
# Collective modes and causality

- Zero and shear sounds in Fermi liquids, corresponding to the presence of  $F_0$  and  $F_1$
- $v_0(F_0, F_1) \leq c$ ,  $v_{\perp}(F_1) \leq c$ ,  $v_F \leq c$  as a result of “microcausality”
- Relativistic conformal invariance fixes  $v_F$  in terms of  $F_0$  and  $F_1$ , forbidding certain values of  $(F_0, F_1)$

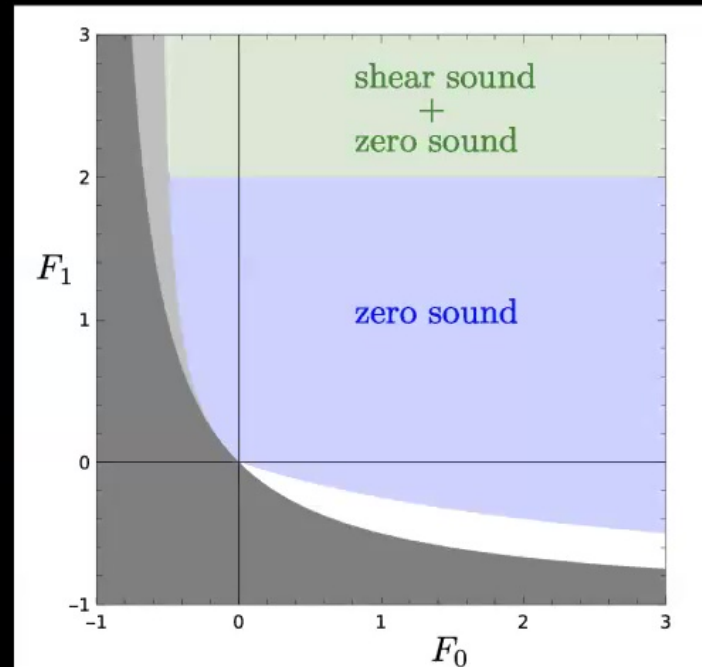




# Causality constraints



$d = 2$



$d = 3$

Dark grey:  
excluded by  $v_F \leq c$   
Light grey:  
excluded by bounds  
on sound

# Outlook

- A (mostly) systematic way to impose microscopic space-time symmetries on Fermi liquids
- Infinite towers of symmetry constraints on interactions, organized by (ir)relevance of interactions
- Marginal interactions necessitate the presence of higher Landau parameters, which contribute to leading order in non-linear response
- Constraining the parameter space for conformal Fermi liquids using causality - new causality bounds on conformal couplings?