

**Title:** Quantum Dynamics of Causal Sets: Results and Challenges

**Speakers:** Sumati Surya

**Collection/Series:** Emmy Noether Workshop: Quantum Space Time

**Subject:** Quantum Gravity

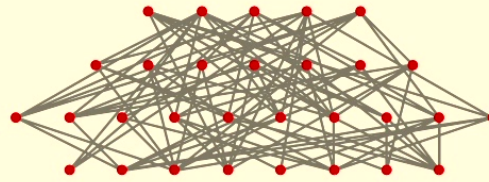
**Date:** March 10, 2025 - 1:30 PM

**URL:** <https://pirsa.org/25030058>

**Abstract:**

I will discuss aspects of the path sum of causal set theory, in which the continuum is replaced by a sample space of locally finite partially ordered sets. This sample space not only contains continuumlike causals of different dimensions, but an entire zoo of non-continuumlike ones. An open question is whether this path sum can be treated as a UV regularisation of the continuum path integral, as the number of elements increases. I will discuss some results as well as challenges in answering this question.

# Quantum Dynamics of Causal Sets: Results and Challenges

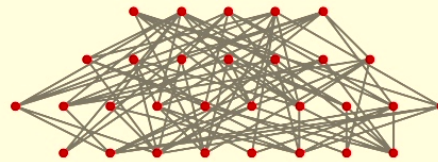
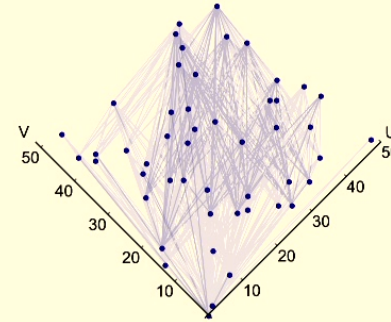


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# Outline

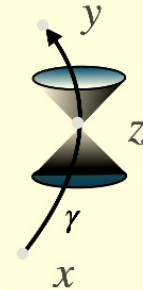
- Lorentzian geometry, Posets and Causal Sets
- Understanding the Lorentzian Path Sum
- Open Questions



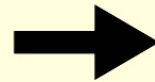
# Posets and Lorentzian Geometry

—Robb, 1914, "A theory of time and space"

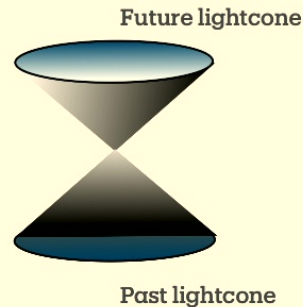
- Causality on  $(M, g)$  defines an order relation on space of events  $M : x < y$
- Principle of Causality:  $(M, g)$  is a causal spacetime  $\Rightarrow <$  is acyclic :  $x < y \Rightarrow y \not< x$



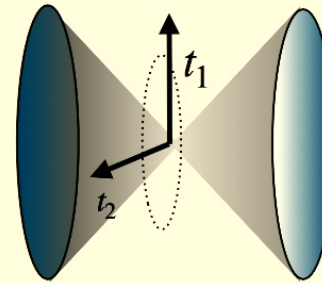
Acyclic:  $x < y \Rightarrow y \not< x$   
 Transitive:  $x < y, y < z \Rightarrow x < z$



$(M, <)$  is a partially ordered set



Poset associated with  $(-, +, +, +, \dots)$



$(-, -, +, +)$  has no associated poset

# Causal Structure as the “Essence” of Lorentzian Geometry

How much information does  $(M, < )$  contain?

- Causal Bijection:  $f : (M_1, <_1 ) \rightarrow (M_2, <_2 )$ ,  $f(x) <_2 f(y) \Leftrightarrow x <_1 y, \forall x, y \in M_1$
- Conformal Isometry :  $F : (M_1, g_1) \rightarrow (M_2, g_2)$ ,  $g_2 = \Omega^2 g_1$

**Theorem: The group of causal automorphisms is isomorphic to the group of conformal transformations on  $\mathbb{M}^d$ .**

— Alexandrov and Ovchinnikova, 1953, Zeeman, 1964

**Theorem: If a chronological bijection exists between two future and past distinguishing spacetimes then they are conformally isometric.**

— Kronheimer and Penrose, 1967

— Hawking, King, McCarthy, 1976, Malament, 1977

**HKMMKP theorem:  $(M, g) \approx (M, < ) + \epsilon$**

Distinguishing spacetimes:  
 $I^\pm(x) \neq I^\pm(y)$

**Suggests a non-Riemannian order-theoretic route to quantising spacetime**

# Discretising the Causal Structure

$$(M, g) = (M, <) + \epsilon$$

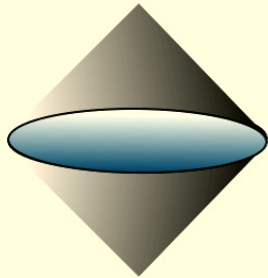
Acyclic:  $x < y \Rightarrow y \not< x$

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+

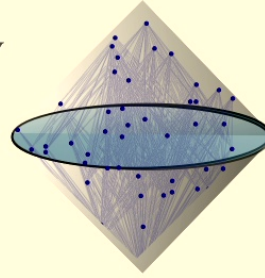
Local Finiteness:  $|\text{Fut}(x) \cap \text{Past}(y)| < \infty$

$\epsilon \rightarrow V$



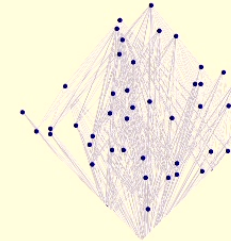
Continuum

$n \propto V$



Continuum-Discreteness

$n$



Discrete

Causal Set: A locally finite poset

# Causal Structure as the ‘‘Essence’’ of Lorentzian Geometry

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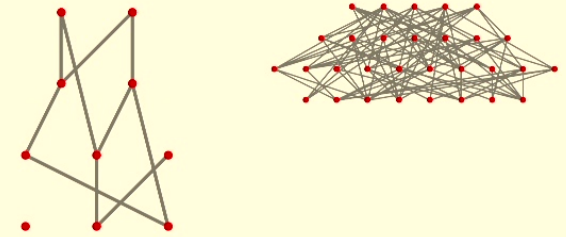
**Suggests a non-Riemannian order-theoretic route to quantising spacetime**

# The Causal Set Hypothesis

-- Myrheim, 1978

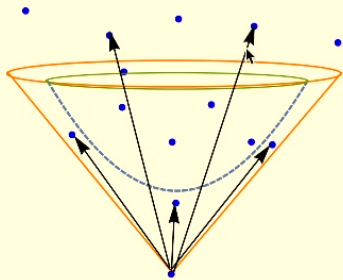
-- Bombelli, Lee, Meyer and Sorkin, 1987

1. Causal Sets are the fine grained structure of spacetime
2. Continuum Spacetime is an approximation of underlying causal sets

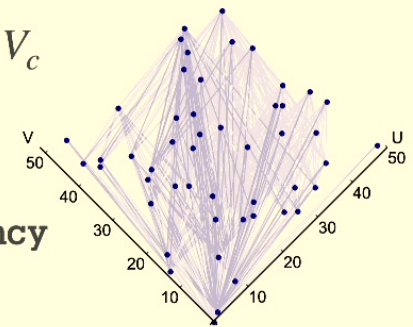


Order  $\leftrightarrow$  Causal Order  
Number  $\leftrightarrow$  Spacetime Volume

$$\langle n \rangle \propto V, \text{ via a Poisson point process: } P_V(n) = \frac{(\rho V)^n}{n!} e^{-\rho V}, \quad \rho^{-1} = V_c$$



- Lorentz Invariance is preserved for  $C \approx \mathbb{M}^d$
- Non-locality: resulting graph does not have a fixed/finite valency



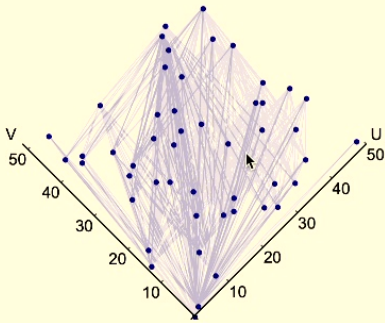
Continuumlike causal set ~ "random lattice"



# Geometric Reconstruction: spacetime from causal sets

## Order + Number ~ Spacetime

The ensemble of continuum like causal sets contains a lot of topological and geometric information about spacetime

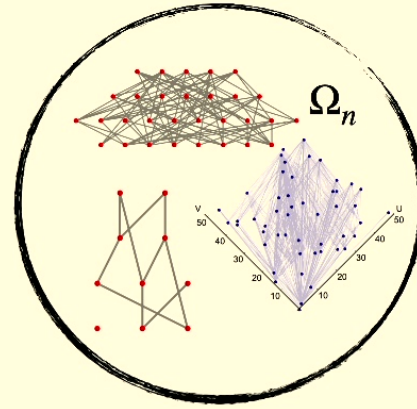


- Timelike distance — *Brightwell & Gregory, Roy, Sinha & Surya*
- Dimension estimators — *Myrheim, Myer, Sorkin, Glaser & Surya, ..*
- Spatial homology — *Major, Rideout & Surya*
- D'Alembertian — *Sorkin, Henson, Benincasa & Dowker & Glaser*
- Scalar curvature — *Benincasa, Dowker, Glaser, Roy, Sinha & Surya*
- Einstein-Hilbert Action — *Benincasa, Dowker, Glaser*
- GHY boundary terms — *Buck, Dowker, Jubb & Surya*
- Spatial and Spacelike Distance — *Rideout & Wallden, Eichhorn, Mizera & Surya, Eichhorn, Surya, Versteegen*
- Horizon area/entropy — *Dou & Sorkin, Barton, Counsell, Dowker, Gould & Jubb, ..*
- Null Geodesics — *Bhattacharya, Mathur, Surya*
- Scalar Field theory — *Johnston, Sorkin, Yazdi, Surya, Nomaan X,*  
— *Jubb, Rejzner, Dable-Heath, Fewster, Wood, Dowker, Albertini, Nasiri, Zalel*
- Entanglement Entropy — *Sorkin, Yazdi, Surya, Nomaan X*
- .....

**Covariant Observables → Order Invariants**

# Quantum Dynamics for Causal Sets

$$Z_{cont} = \int \mathcal{D}[g] e^{\frac{i}{\hbar} S_{EH}(g)} \rightarrow Z_n = \sum_{c \in \Omega_n} e^{\frac{i}{\hbar} S_{BDG}^d(c)}$$

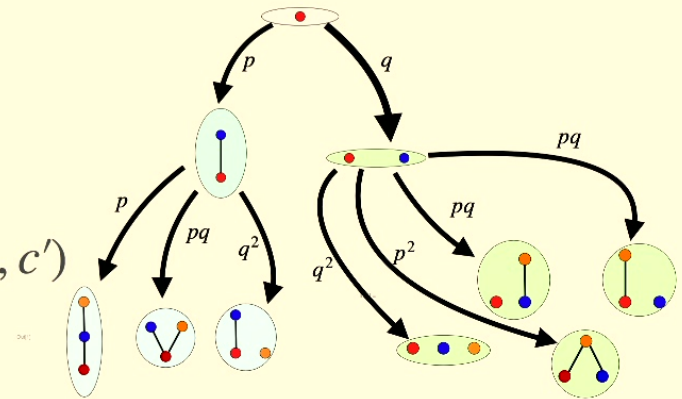


Sample Space of all  $n$  element causal sets

- Lorentzian Path Sum:  $Z_n = \sum_{c \in \Omega_n} e^{\frac{i}{\hbar} S_{BDG}^d(c)}$

- Lorentzian State Sum:  $Z_{n,\beta} = \sum_{c \in \Omega_n} e^{-\beta S_{BDG}^d(c)}$

- Sequential Growth Dynamics :  $D(\alpha, \alpha') = \sum_{c \in \alpha} \sum_{c' \in \alpha'} D(c, c')$



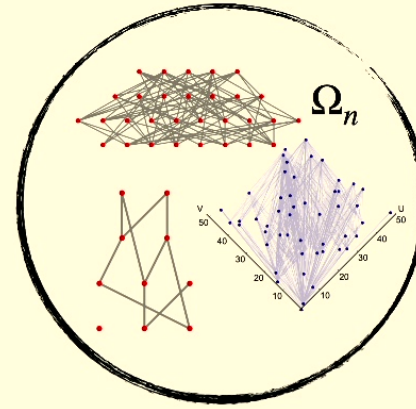
## The Lorentzian Path Sum

$$Z_n = \sum_{c \in \Omega_n} e^{\frac{i}{\hbar} S_{BDG}^d(c)}$$

Can  $Z_n$  be thought of as a UV regulated continuum path integral in the large  $n$  limit?

# Quantum Dynamics for Causal Sets

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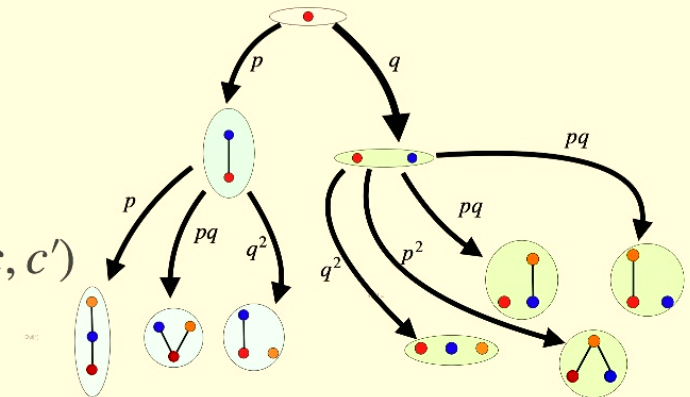


Sample Space of all  $n$  element causal sets

- Lorentzian Path Sum:  $Z_n = \sum_{c \in \Omega_n} e^{\frac{i}{\hbar} S_{BDG}^d(c)}$

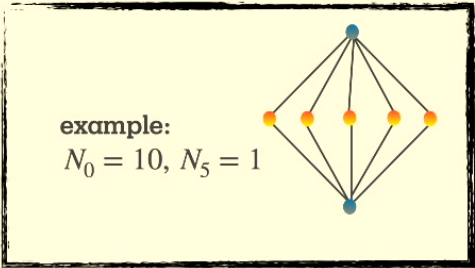
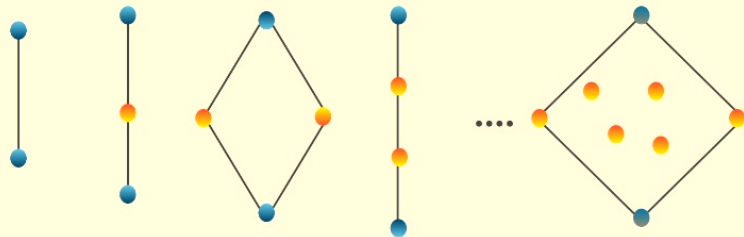
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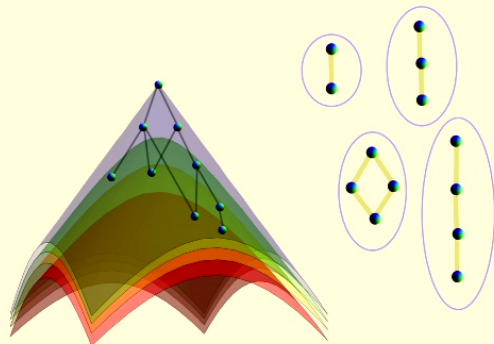
# Discrete Einstein-Hilbert Action: The Benincasa-Dowker-Glaser Action(s)

- Benincasa & Dowker, 2010,
- Dowker & Glaser, 2012,
- Glaser, 2014
- Yeats, 2024



$N_J = \#$  of  $J$ -element intervals

$J^-(p)$  is “foliated”  
by the the  $J$ -  
-element intervals  
to the past of  
 $p \in \mathbb{M}^d$



$$\bullet \frac{1}{\hbar} S_{BDG}^{(d)}(C) = -\alpha_d \left( \frac{\ell}{\ell_p} \right)^{d-2} \left( n + \frac{\beta_d}{\alpha_d} \sum_{J=0}^{J_{max}} C_J^d N_J \right)$$

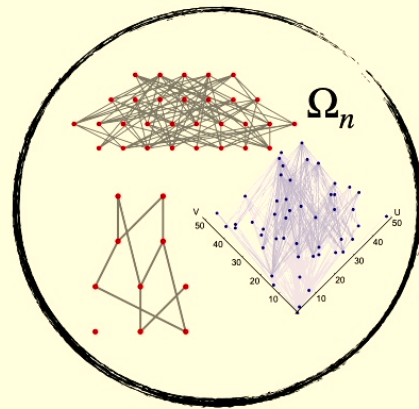
•  $\ell_p$ : Planck Length,  $\ell$ : discreteness length,

•  $\alpha_d, \beta_d, C_J^d$ : known consts.

$$\bullet \frac{1}{\hbar} S_{BDG}^{(4)} = -\frac{4}{\sqrt{6}} \left( \frac{\ell}{\ell_p} \right)^2 \left( n - N_0 + 9N_1 - 16N_2 + 8N_3 \right)$$

$$\lim_{\rho \rightarrow \infty} \langle S_{BDG} \rangle = S_{EH} + \text{bdry terms}$$

# The Sample Space $\Omega_n$



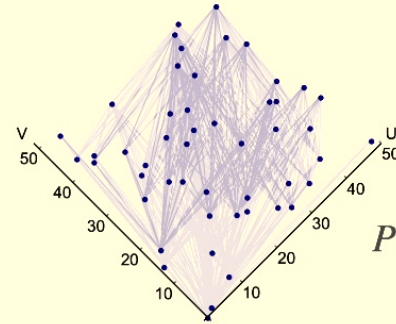
- Causal Spacetimes of *all* dimensions
- Topology changing spacetimes (without causality violation)
- Most are non-continuumlike causal sets

$$|\Omega_n| \sim 2^{\frac{n^2}{4} + \frac{3n}{2} + o(n)}$$

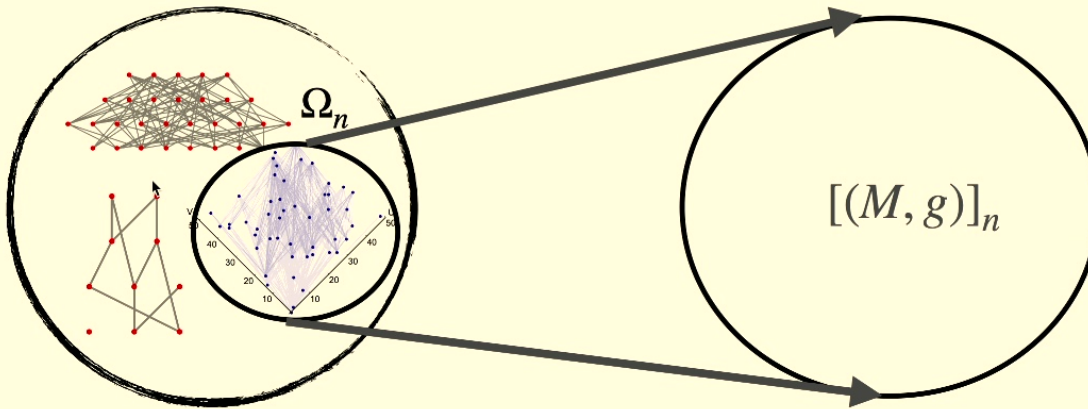
# Continuumlike causal sets

- $(M, g) \sim_n (M', g')$  if  $\mathcal{C}_n(M, g) = \mathcal{C}_n(M', g')$

- Only equivalence classes of spacetimes  $[(M, g)]_n$  are relevant to causal sets

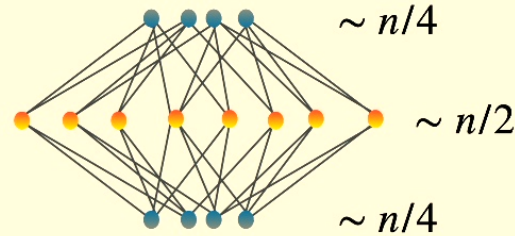


$$P_V(n) = \frac{(\rho V)^n}{n!} e^{-\rho V}, \quad \rho^{-1} = V_c$$



- Causal Spacetimes of *all* dimensions
- Topology changing spacetimes (without causality violation)

# Entropic Contributions



Almost surely, a causal set is a KR poset

• Kleitmann-Rothschild (KR):  $|\Omega_{KR}| \sim 2^{\frac{n^2}{4} + \frac{3n}{2} + o(n)}$

- Kleitman and Rothschild, *Trans AMS*, 1975

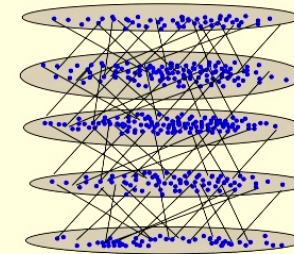
• 3 layers:  $\mathbb{L}_k$ ,  $k = 1, 2, 3$ ,  $|\mathbb{L}_{1,3}| \sim \frac{n}{4}$ ,  $|\mathbb{L}_2| \sim \frac{n}{2}$

•  $K$ -layered poset:  $C = \mathbb{L}_1 \sqcup \mathbb{L}_2 \dots \mathbb{L}_K : e < e', e \in \mathbb{L}_k, e' \in \mathbb{L}_{k'} \Rightarrow k < k'$

•  $|\Omega_n^{(K)}| \sim 2^{c(K)n^2 + o(n^2)}$ ,  $c(K) \leq 1/4$ ,

• Dominance hierarchy:  $|\Omega_n^{(3)}| > |\Omega_n^{(2)}| > |\Omega_n^{(4)}| > |\Omega_n^{(5)}| \dots$

• For  $K \ll n$  none of these are like continuumlike



-- D. Dhar, *JMP*, 1978

-- Promel, Steger, Taraz 2001

Onset of asymptotic regime  $n \sim 100$

—J. Henson, D. Rideout, R. Sorkin and S. Surya, *JEM*, 2015



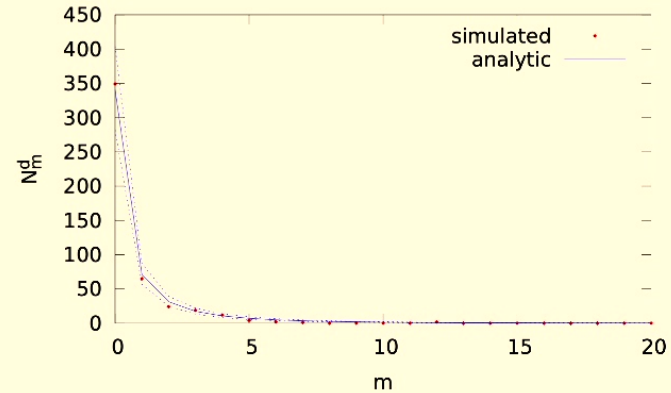
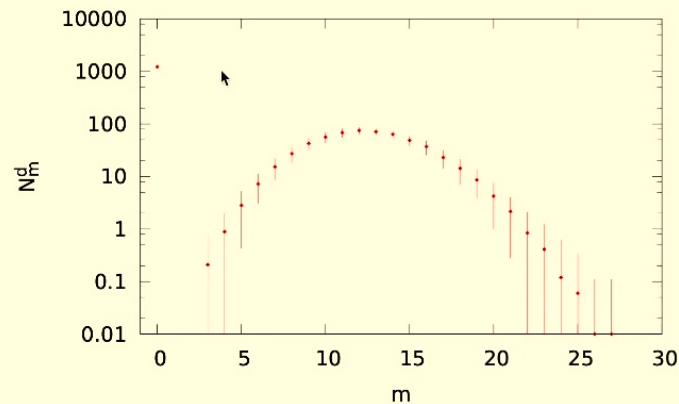
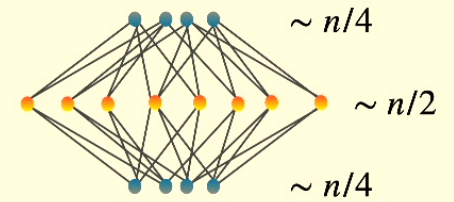
## A KR poset is not continuum-like

- Does not arise from Poisson Point process into any continuum  $(M, g)$

- Myrheim-Myer Continuum Dimension is fractional:

$$\frac{\langle R \rangle}{n^2} = \frac{\Gamma(d+1)\Gamma(d/2)}{4\Gamma(3d/2)} \Rightarrow \frac{\Gamma(d_{KR}+1)\Gamma(d_{KR}/2)}{4\Gamma(3d_{KR}/2)} = \frac{3}{16} \Rightarrow d_{KR} \sim 2.5$$

- Maximal time-like distance:  $H_{KR} = 3$
- Interval Abundances are not like the continuum:



# Contribution from $K$ -layer orders

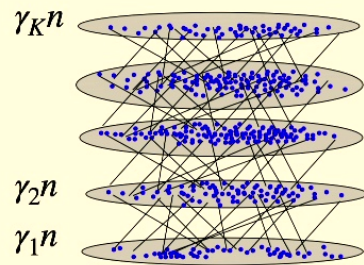
— Loomis and Carlip, 2017

-- A. Anand Singh, A. Mathur and Surya, 2021

-- P. Carlip, S. Carlip and S. Surya, 2023

-- P. Carlip, S. Carlip and S. Surya, 2024

$$Z_K = \sum_{c \in \mathcal{U}_K \Omega_K} e^{i\mu(n + \lambda_0 N_0)}$$



- The link action is enough..

- Filling fraction:  $\vec{\gamma} = \{\gamma_1, \gamma_2, \dots, \gamma_K\}$

- $N_{max} = \alpha(\vec{\gamma})n^2$ ,  $|P_{\vec{\gamma}, p, n}| = \binom{\alpha(\vec{\gamma})n^2}{pn^2}$ ,  $\alpha(\vec{\gamma}) = \sum_{i=1}^{K-1} \gamma_i \gamma_{i+1}$

- $\alpha_{max}(\vec{\gamma}) = 1/4$ ,  $\gamma_x = (1/4 - x, 1/2, 1/4 + x)$ ,  $x \in [-1/4, 1/2, 1/4]$

- $|P_{\vec{\gamma}, p, n}| \leq |Q_{p, n}^K| \leq K^n |P_{\vec{\gamma}, p, n}|$

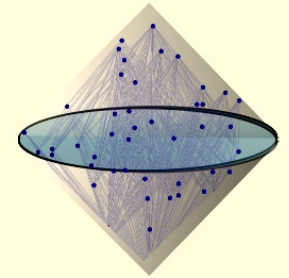
Suppression:  $\tan \left[ \frac{1}{2} \beta_d \left( \frac{\ell}{\ell_p} \right) \right] > \sqrt{\left( \frac{27}{4} e^{-1/2} - 1 \right)} \Rightarrow \ell > \ell_0$ ,  $1.136 \leq (\ell_0/\ell_p) < 2.33$ , for all  $d > 2$

# Contribution from Continuumlike Causal Sets

.. work in progress with Steve Carlip

- $\mathcal{C}_n(M, g)$ : Ensemble of causal sets generated from a Poisson point process into  $(M, g)$
- Contribution from  $[(M, g)]_n$  is:

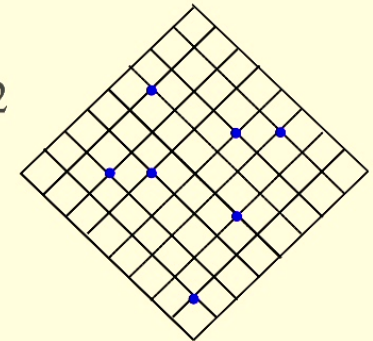
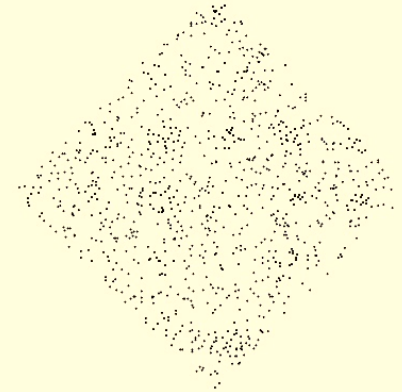
$$Z_n(M, g) = \sum_{C \in \mathcal{C}_n(M, g)} e^{\frac{i}{\hbar} S_{BDG}(C)}$$



- $\mathcal{C}_n(M, g)$  overlaps with  $\mathcal{C}_n(M', g')$ , but as  $n$  increases, the overlap decreases.
- How many causal sets in  $\mathcal{C}_n(M, g)$  are there?

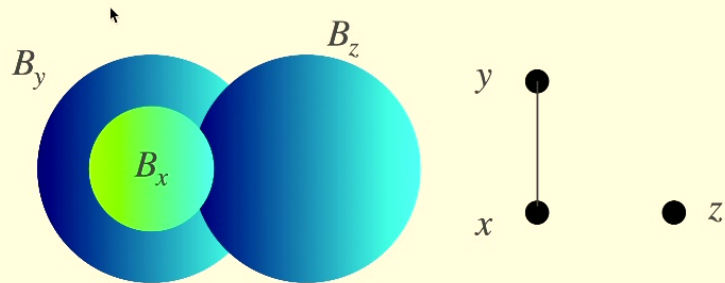
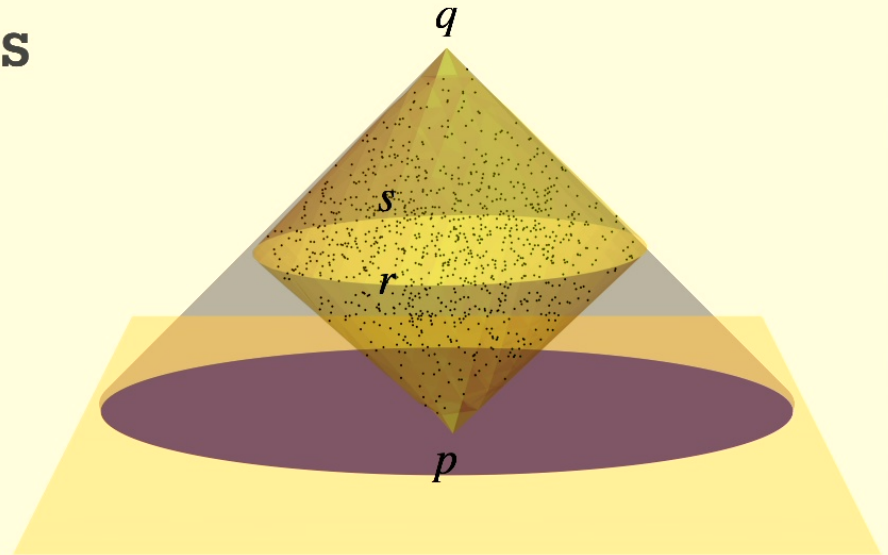
$$d = 2$$

- Consider the causal diamond  $\mathbb{D}^2 \subset \mathbb{M}^2$
- $d=2$  orders  $U \cap V$  on  $n$  elements
  - $u_i, v_j \in (1, \dots, n)$
  - $U = (u_1, u_2, \dots, u_n), V = (v_1, v_2, \dots, v_n),$
  - $C = U \cap V: e_i = (u_i, v_i) < e_j = (u_j, v_j) \Leftrightarrow u_i < u_j, v_i < v_j$
- Order theoretic dimension and continuum dimension are the same for  $d = 2$
- How many  $2d$  orders are there?
- $|\Omega_{2d}| \sim 2^{n \ln n} \ll |\Omega_{KR}|$ 
  - Winkler, 1985
  - El-Zahar & Sauer, 1988
- Most of them are random orders, or  $|\mathcal{C}_n(\mathbb{D}^2)| \sim |\Omega_{2d}|$

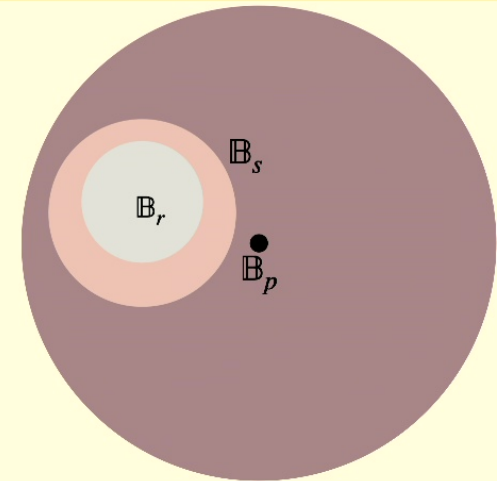


# Counting Continuumlike Causal Sets

- Causal diamond  $I[p, q] \subset (M, g)$
- Let  $p \in \Sigma_p \sim \mathbb{R}^{d-1}$ ,  $\mathbb{B}_q^d \equiv I^-(q) \cap \Sigma_p$
- For any  $r, s \in I[p, q]$ ,  $r < s \Leftrightarrow \mathbb{B}_r^d \subset \mathbb{B}_s^d$
- $I[p, q]$ -like causal sets are Sphere Orders



-Meyer, 1989  
-Brightwell and Winkler, 1989



- Example :  $\mathbb{M}^d$

- $P_{rs}(x, y, t) \equiv (x_r - x_s)^2 + (y_r - y_s)^2 - (t_r - t_s)^2 < 0, \quad t_r - t_s < 0$

- $|\Omega_{\mathcal{B}_n^{d-1}}| \sim |\mathcal{C}_n(I[p, q])|$

- $|\Omega_{\mathcal{B}_n^{d-1}}| \sim 2^{dn \ln n}$

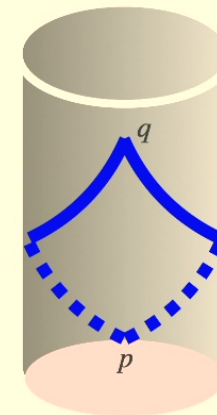
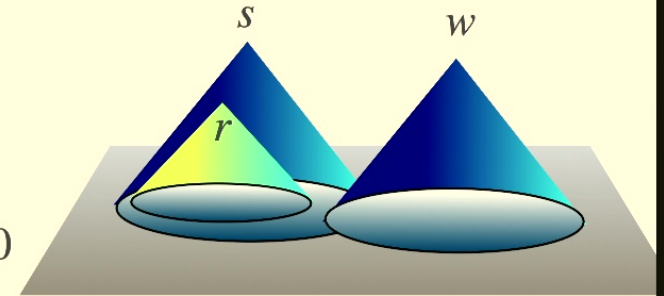
— Alon and Schienerman, 1988

— Saueremann, 2021

- For generic spacetimes:

- $P_{rs}(x, y, t) < 0$

- However, if  $\Sigma_p$  is compact, then the causal sets are not sphere orders.



## Contribution from Continuum-like Posets

- $Z_n(M, g) = \sum_{C \in \mathcal{C}_n(M, g)} e^{\frac{i}{\hbar} S_{BDG}^{(d)}(C)}$
- If  $d' = d$ , then  $S_{BDG}^{(d)}(C) = \langle S_{BDG}^{(d)} \rangle + \Delta S$ ,
- $\lim_{n \rightarrow \infty} \langle S_{BDG}^{(d)} \rangle = S_{EH}(M, g) + \text{boundary terms}$
- $Z_n(M, g) = \sum_{C \in \mathcal{C}_n(M, g)} e^{\frac{i}{\hbar} (\langle S_{BDG}^{(d)} \rangle + \Delta S)} \sim e^{\frac{i}{\hbar} \langle S_{BDG}^{(d)} \rangle} \sum_{\Delta S} F(\Delta S) e^{\frac{i}{\hbar} \Delta S}$
- $F(\Delta S) = |\mathcal{C}_n(M, g)| \times \mathcal{F}(\Delta S)$

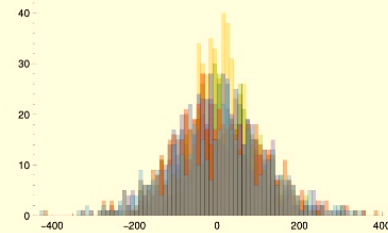
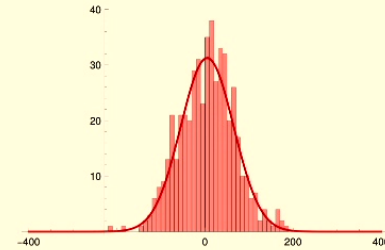
- A first guess :

- Gaussian :  $F(\Delta S) = |\mathcal{C}_n(M, g)| \times \frac{1}{\pi} \sqrt{\frac{\alpha}{2}} e^{-\alpha(\Delta S)^2}$

- $\sum_{\Delta S} \rightarrow \int d(\Delta S)$

- $Z([M, g]) = e^{\frac{i}{\hbar} \langle S_{BDG}^{(d)}(C) \rangle} |\mathcal{C}_n(M, g)| \frac{1}{\sqrt{2\pi}} e^{-\frac{1}{4\alpha}}$

- A competition between  $|\mathcal{C}_n(M, g)|$  and  $e^{-\frac{1}{4\alpha}}$



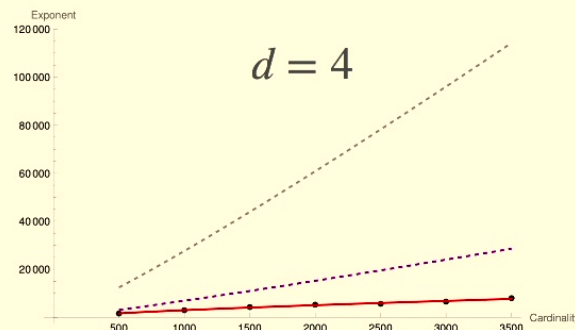
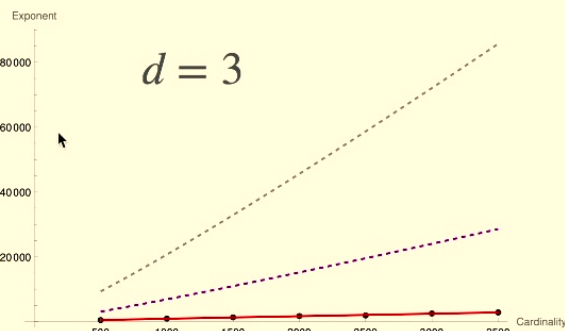


# Estimating $\alpha(n)$

- If the volume of the causal diamond is fixed:
  - Numerical Simulations in  $\mathbb{M}^d$ ,  $d = 3,4$

- $\frac{1}{\alpha(n)} < n \ln n$

- Fine balance of exponents:  $> dn \ln n - n \ln n = (d - 1)n \ln n !$

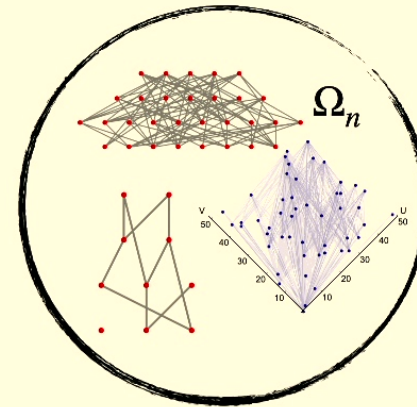


Continuum contributions  $Z_n(M, g) \sim 2^{(d-1)n \ln n} e^{\frac{i}{\hbar} \langle S_{BGG}^{(d)} \rangle}$  grow with  $n$

## Other Contributions..

- In  $\mathbb{M}^d, d \neq d'$ :

- $S_{BDG}^{(d')}(C) \neq S_{EH}(M, g) + \Delta S$
- $d > 2: \langle N_m \rangle = n^{2-2/d} \frac{\Gamma(d)\Gamma(2/d+m)}{m!g(d)} + \dots$
- $d = 2: \langle N_m \rangle = n \ln n + \dots$
- $d > 2: Z_n(M, g) \sim \sum_{C \in \mathcal{C}(M, g)} e^{\frac{i}{\hbar} \beta(d) n^{2-2/d}}$



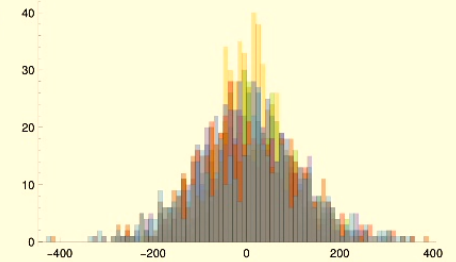
- Similar behaviour for RNNs:  $\beta(d) \rightarrow \beta(d, R)$  — do these fluctuations cancel out?
- What are other non-continuumlike contributions?

- $k$ -d orders also grow as  $2^{nk \ln n}$
- What else?

— Alon and Schienerman, 1988  
 — Saueremann, 2021

# Open Questions

- For  $\text{vol}(I[p, q]) \neq \text{const}$ 
  - Numerical simulations suggest:  $1/\alpha(n)$  grows faster than  $dn \ln n$
  - Is the Gaussian assumption for  $F(\Delta S)$  correct?
  - Is it possible to numerically sum  $\sum_{C \in \mathcal{C}_n(M, g)} e^{\frac{i}{\hbar} \Delta S(C)}$ ?



- Is  $S_{BDG}^{(d)}$  the only choice of action?

- Higher order curvature terms? — Brito, Eichhorn & Pfeiffer, 2023
- The chain action — smaller fluctuations? — Roy, Sinha & Surya, 2013  
— Bombelli and Pilgrim, 2020  
— Kambor and Nomaan, 2020

Can  $Z_n$  be thought of as a UV regulated continuum path integral in the large  $n$  limit?

**Thank you!**