

**Title:** Abelian Instantons

**Speakers:** John Terning

**Collection/Series:** Particle Physics

**Subject:** Particle Physics

**Date:** February 28, 2025 - 1:00 PM

**URL:** <https://pirsa.org/25020050>

**Abstract:**

It is usually assumed that 4D instantons can only arise in non-Abelian theories. One can, however, explicitly construct instantons for QED in the background of a Dirac monopole. This is the low-energy effective field theory for fermions interacting with a 't Hooft-Polyakov monopole. This theory possesses both a topological instanton number and 't Hooft zero modes. I will show how such instantons provide the underlying mechanism for the Callan-Rubakov process: monopole-catalyzed baryon decay with a cross section that saturates the unitarity bound.

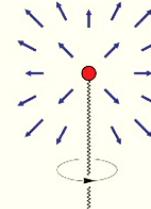
# Abelian Instantons

John Terning  
UC Davis

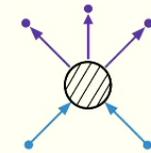
Csaba Csáki, Rotem Ovadia, Ofri Telem,  
JT, Shimon Yankielowicz, [hep-th/2406.13738](https://arxiv.org/abs/hep-th/2406.13738)

# Outline

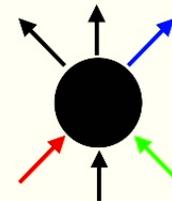
Monopole Review



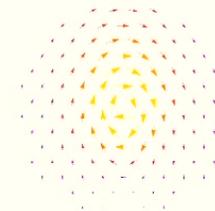
Wigner's Monopole Friend



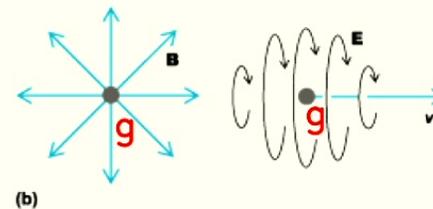
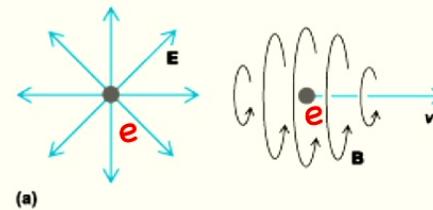
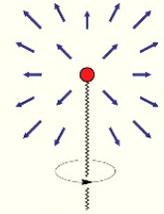
Rubakov Callan



Instantons



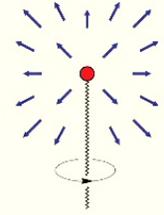
# J.J. Thomson



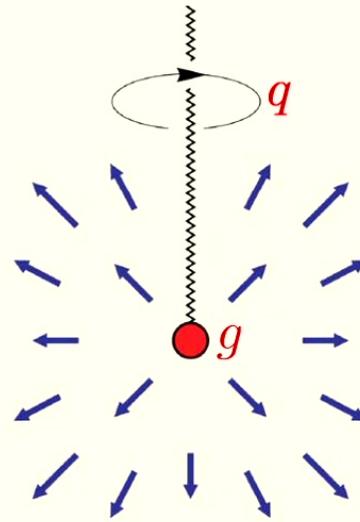
$$J = e g \quad \begin{array}{c} J \\ \bullet \xrightarrow{\quad} \bullet \\ e \quad \quad \quad g \\ R \end{array}$$

Philos. Mag. 8 (1904) 331

# Dirac Charge Quantization



$$\vec{A}(\vec{r}) = \frac{g}{r} \frac{\vec{r} \times \vec{n}}{r - \vec{r} \cdot \vec{n}}$$



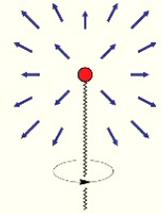
charge quantization

$$qg = \frac{n}{2}$$

otherwise the string is physical  
and the monopole is confined

Proc. Roy. Soc. Lond. A133 (1931) 60

# Monopole QM



$\vec{L} = \vec{r} \times \vec{p}$  does not satisfy  $[L_i, L_j] = i\epsilon_{ijk}L_k$

$\vec{L} = \vec{r} \times \vec{p} + eg\hat{r}$  does

Dirac quantization

$$e_1 g_2 - e_2 g_1 = \frac{n}{2}$$

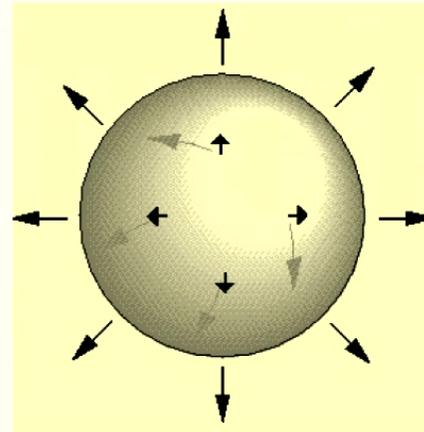
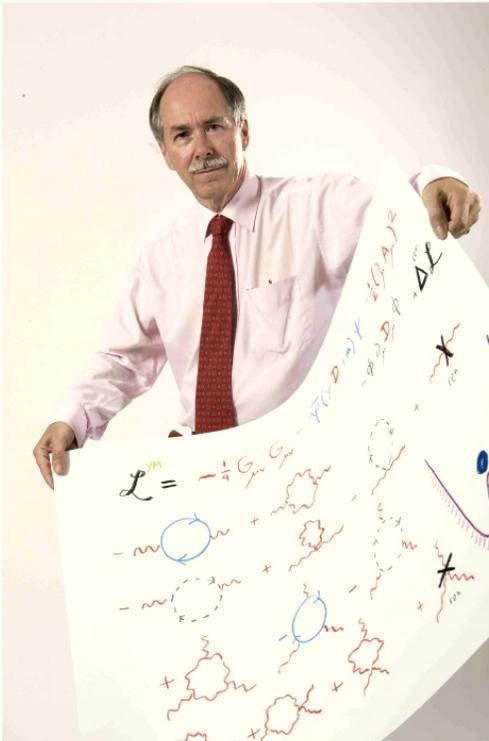
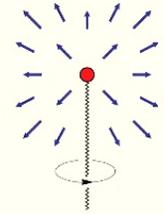
is angular momentum quantization

Lipkin, Weisberger, Peshkin *Annals Phys.* 53 (1969) 203

Schwinger *Science* 165 (1969) 757

Zwanziger *Phys. Rev.* 176 (1968) 1489

# 't Hooft-Polyakov

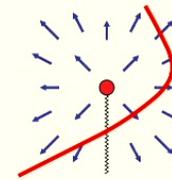


topological monopoles  
every GUT predicts monopoles

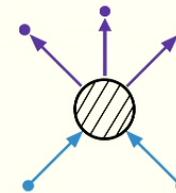
Nucl. Phys., B79 1974, 276  
JETP Lett., 20 1974, 194

# Three Problems with Monopoles

Weinberg Paradox: Lorentz violating poles



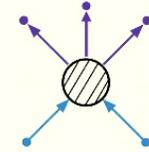
Multiparticle States are not tensor products of Wigner's 1-particle states



Unitarity Puzzle: Callan's "half-particles" or gauge charge violation



# Wigner's Little Group



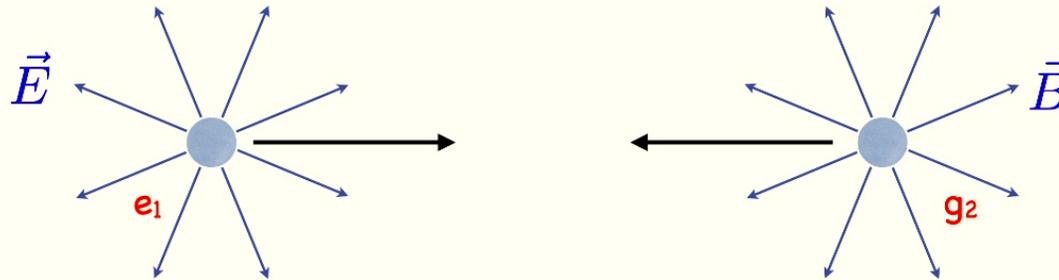
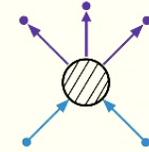
massive: labeled by spin  
rest frame invariant  
under 3D rotations

massless: labeled by helicity  
momentum invariant  
under rotation around  
momentum axis

boosted states can transform by a  
rotation that leaves the momentum fixed

Annals Math **40** (1939) 149

# Wigner's Monopole Friend



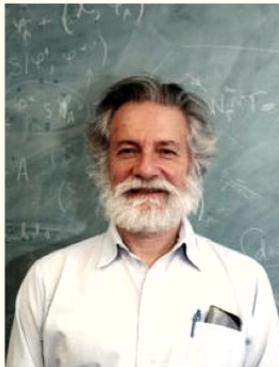
rotations about COM axis leave system invariant

$$\text{when } h_{12} = e_1 g_2 - e_2 g_1 \neq 0$$

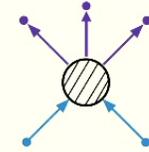
there is an extra phase from  $J$  in field

Zwanziger Phys. Rev. D6 (1972) 458

Pairwise Little Group phase  
hep-th/2010.13794



# b Momenta



for each pairwise helicity:

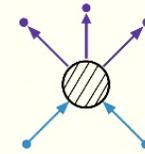
$$\left(k_{ij}^{b\pm}\right)_\mu = p_c (1, 0, 0, \pm 1) \quad p_c = \sqrt{\frac{(p_1 \cdot p_2)^2 - m_1^2 m_2^2}{s}}$$
$$p_{ij}^{b\pm} = L_p k_{ij}^{b\pm}$$

$$p_i = \frac{1}{2p_c} \left[ (E_i^c + p_c) p_{ij}^{b+} + (E_i^c - p_c) p_{ij}^{b-} \right]$$

$$p_j = \frac{1}{2p_c} \left[ (E_j^c + p_c) p_{ij}^{b-} + (E_j^c - p_c) p_{ij}^{b+} \right]$$

**Kosower** hep-th/0406175

# Pairwise Spinors



$$\left| k_{ij}^{b+} \right\rangle_{\alpha} = \sqrt{2p_c} \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \left| k_{ij}^{b-} \right\rangle_{\alpha} = \sqrt{2p_c} \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

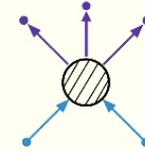
$$\left| p_{ij}^{b\pm} \right\rangle_{\alpha} = (L_p)_{\alpha}^{\beta} \left| k_{ij}^{b\pm} \right\rangle_{\beta}, \quad \left[ p_{ij}^{b\pm} \right]_{\dot{\alpha}} = \left[ k_{ij}^{b\pm} \right]_{\dot{\beta}} (\tilde{L}_p)^{\dot{\beta}}_{\dot{\alpha}}$$

$$p_{ij}^{b\pm} \cdot \sigma_{\alpha\dot{\alpha}} = \left| p_{ij}^{b\pm} \right\rangle_{\alpha} \left[ p_{ij}^{b\pm} \right]_{\dot{\alpha}}$$

$$\Lambda_{\alpha}^{\beta} \left| p_{ij}^{b\pm} \right\rangle_{\beta} = e^{\pm \frac{i}{2} \phi(p_i, p_j, \Lambda)} \left| \Lambda p_{ij}^{b\pm} \right\rangle_{\alpha}, \quad \left[ p_{ij}^{b\pm} \right]_{\dot{\beta}} \tilde{\Lambda}^{\dot{\beta}}_{\dot{\alpha}} = e^{\mp \frac{i}{2} \phi(p_i, p_j, \Lambda)} \left[ \Lambda p_{ij}^{b\pm} \right]_{\dot{\alpha}}$$

spinors transforming covariantly under  
pairwise LG, with opposite weights

# Massless Limit



$$m_i \rightarrow 0$$

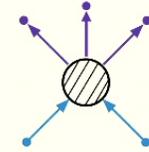
$$\begin{aligned} \left| p_{ij}^{b+} \right\rangle_{\alpha} &\rightarrow |i\rangle_{\alpha} & , & & \left[ p_{ij}^{b+} \right]_{\dot{\alpha}} &\rightarrow [i]_{\dot{\alpha}} \\ \left| p_{ij}^{b-} \right\rangle_{\alpha} &\rightarrow \sqrt{2p_c} |\hat{\eta}_i\rangle_{\alpha} & , & & \left[ p_{ij}^{b-} \right]_{\dot{\alpha}} &\rightarrow \sqrt{2p_c} [\hat{\eta}_i]_{\dot{\alpha}} \end{aligned}$$

Parity flipped

$$\begin{aligned} \left[ p_{ij}^{b+} i \right] &= \langle i p_{ij}^{b+} \rangle = \left[ \hat{\eta}_i p_{ij}^{b-} \right] = \langle p_{ij}^{b-} \hat{\eta}_i \rangle = 0 \\ \left[ p_{ij}^{b-} i \right] &= \langle i p_{ij}^{b-} \rangle = \left[ \hat{\eta}_i p_{ij}^{b+} \right] = \langle p_{ij}^{b+} \hat{\eta}_i \rangle = 2p_c \end{aligned}$$

origin of mandatory helicity-flip in the  
lowest partial wave for charge-monopole scattering

# All 3-pt EM Amplitudes

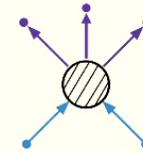


write most general Lorentz invariant expressions  
consistent with Little Group and Pairwise Little Group

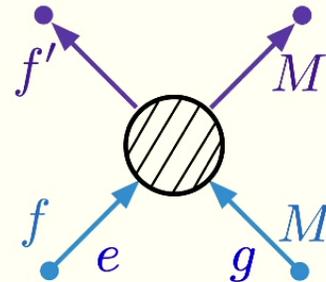
exponents  $\geq 0 \implies$  **selection rule:**

**the selection rules are more restrictive than the  
 $h_{ij}=0$  case in Arkani-Hamed et al.**

# Check: Lowest Partial Wave



selection rule:  $J \geq |h_{12}| - \frac{1}{2} m \rightarrow 0$



$$J = 0 \Rightarrow |\uparrow_{\text{elec.}} \rangle |\downarrow_{\text{field}} \rangle \quad \text{or} \quad |\downarrow_{\text{elec.}} \rangle |\uparrow_{\text{field}} \rangle$$

$h_{12} < 0$       only RH fermion to LH fermion  
 $h_{12} > 0$       only LH fermion to RH fermion

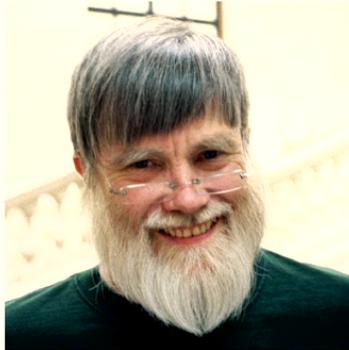
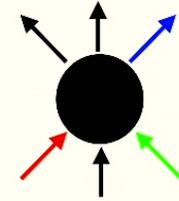
helicity flip vanishes for higher partial waves

Pairwise Spinor Helicity [hep-th/2009.14213](https://arxiv.org/abs/hep-th/2009.14213)

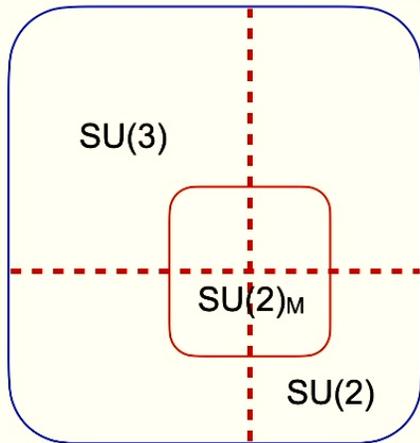
exactly reproduces

Kazama, Yang, Goldhaber Phys Rev D15 (1976) 2287

# Georgi-Glashow: SU(5) GUT

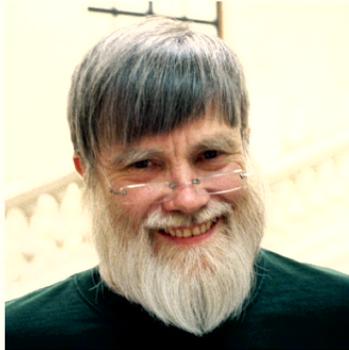
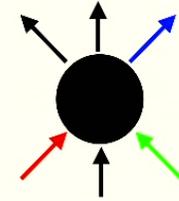


$$\bar{5} = (\bar{d}^1, \bar{d}^2, \bar{d}^3, e^-, \nu_e)$$

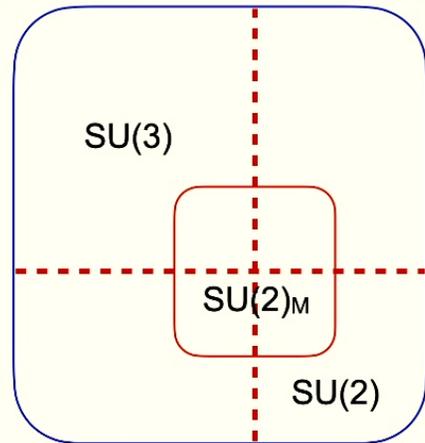


$$10 = \begin{pmatrix} 0 & \bar{u}^3 & -\bar{u}^2 & u^1 & d^1 \\ -\bar{u}^3 & 0 & \bar{u}^1 & u^2 & d^2 \\ \bar{u}^2 & -\bar{u}^1 & 0 & u^3 & d^3 \\ -u^1 & -u^2 & -u^3 & 0 & \bar{e} \\ -d^1 & -d^2 & -d^3 & -\bar{e} & 0 \end{pmatrix}$$

# Georgi-Glashow: SU(5) GUT



$$\bar{5} = (\bar{d}^1, \bar{d}^2, \bar{d}^3, e^-, \nu_e)$$



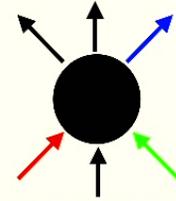
$$10 = \begin{pmatrix} 0 & \bar{u}^3 & -\bar{u}^2 & u^1 & d^1 \\ -\bar{u}^3 & 0 & \bar{u}^1 & u^2 & d^2 \\ \bar{u}^2 & -\bar{u}^1 & 0 & u^3 & d^3 \\ -u^1 & -u^2 & -u^3 & 0 & \bar{e} \\ -d^1 & -d^2 & -d^3 & -\bar{e} & 0 \end{pmatrix}$$

monopole in SU(2)<sub>M</sub>  
four doublets

outgoing  
incoming

$$\begin{pmatrix} e \\ -\bar{d}^3 \end{pmatrix}, \begin{pmatrix} \bar{u}^1 \\ u^2 \end{pmatrix}, \begin{pmatrix} -\bar{u}^2 \\ u^1 \end{pmatrix}, \begin{pmatrix} d^3 \\ \bar{e} \end{pmatrix}$$

# Rubakov-Callan



s-wave  $u^1 + u^2 + M \rightarrow ?$

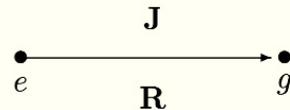
initial state

$$|\uparrow_{u^1}\rangle |\downarrow_{\text{field}}\rangle \times |\uparrow_{u^2}\rangle |\downarrow_{\text{field}}\rangle$$

$$\rightarrow \left[ u^1 p_{u^1, M}^{b-} \right] \left[ u^2 p_{u^2, M}^{b-} \right]$$



forward scattering not allowed since  $|\downarrow_{\text{field}}\rangle \rightarrow |\uparrow_{\text{field}}\rangle$



only possibility:  $|\downarrow_{e^+}\rangle |\uparrow_{\text{field}}\rangle \times |\downarrow_{d^{3+}}\rangle |\uparrow_{\text{field}}\rangle$

$$\rightarrow \left[ \bar{e}^+ p_{\bar{e}^+, M}^{b-} \right] \left[ \bar{d}^{3+} p_{\bar{d}^{3+}, M}^{b-} \right]$$

# But Why Does it Work?

Rubakov and friends showed

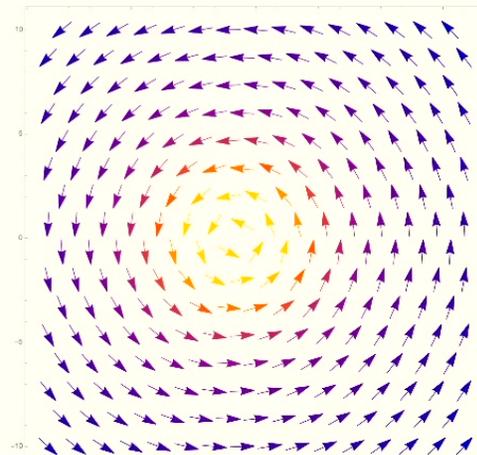
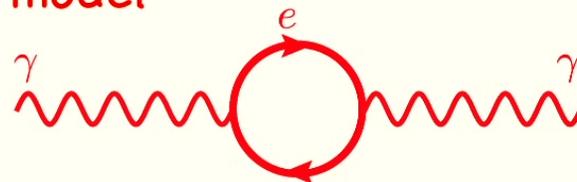
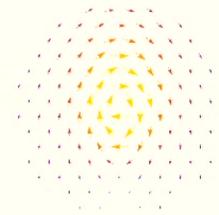
$$\int d^4x \vec{E} \cdot \vec{B} \text{ is quantized}$$

cf Abelian Instanton in Schwinger model

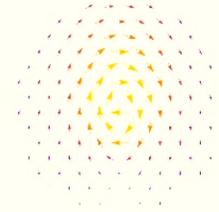
$$m^2 = \frac{2N_f e_{2D}^2}{\pi}$$

$$A_\alpha \rightarrow \frac{n}{e_{2D}} \frac{\epsilon_{\alpha\beta} x^\beta}{x^2}$$

$$Ch_1 = \frac{e_{2D}}{2\pi} \int_{S_1, |x| \rightarrow \infty} A_\alpha dx^\alpha = n$$



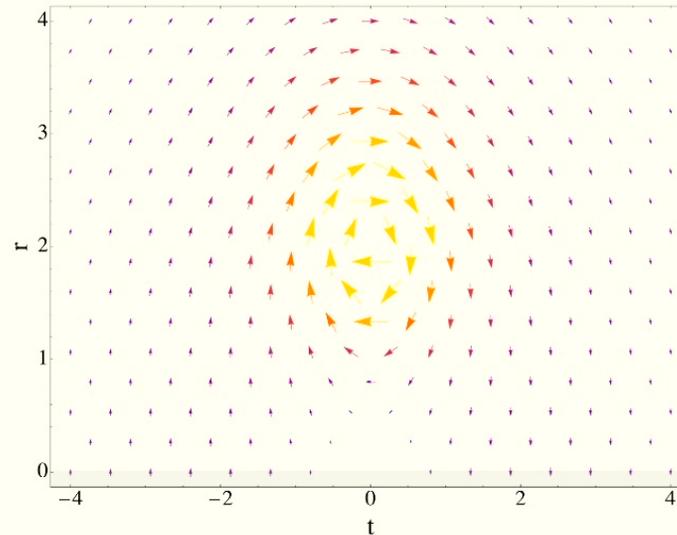
# 4D Abelian Instanton



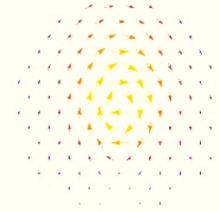
in monopole background

$$\begin{aligned} Ch_2 &= \frac{e^2}{16\pi^2} \int d^4x F_{\mu\nu} \tilde{F}^{\mu\nu} = \left( \frac{e}{2\pi} \int d\Omega r^2 \vec{B} \right) \cdot \left( \frac{e}{2\pi} \int dr dt \vec{E}^{\text{vor}} \right) \\ &= (2h_{12})(n/2) \end{aligned}$$

3D spherical symmetry



# Matching



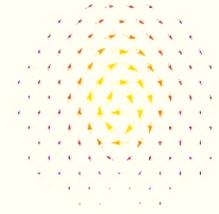
for  $N_f$  doublets of  $SU(2)$ , flavor symmetry  $SU(N_f)$

U(1) EFT:  $N_f$   $\chi_i^+$  and  $\chi_i^-$   
flavor symmetry  $SU(N_f) \times SU(N_f)$   
broken to  $SU(N_f)$  by

$$\begin{aligned} \text{at } r = 0 : \mathcal{L}_{\text{bound}} &= \bar{\psi}_i \tau^+ e^{i\phi} \psi_i + h.c. \\ &= \bar{\chi}_i^+ e^{i\phi} \chi_i^- + \bar{\chi}_i^- e^{-i\phi} \chi_i^+ \end{aligned}$$

Lam & Yan Phys. Rev. D**31** (1985) 322

# Matching



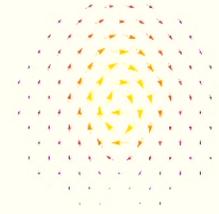
for  $N_f$  doublets of  $SU(2)$ , flavor symmetry  $SU(N_f)$

U(1) EFT:  $N_f$   $\chi_i^+$  and  $\chi_i^-$   
flavor symmetry  $SU(N_f) \times SU(N_f)$   
broken to  $SU(N_f)$  by

$$\begin{aligned} \text{at } r = 0 : \mathcal{L}_{\text{bound}} &= \bar{\psi}_i \tau^+ e^{i\phi} \psi_i + h.c. \\ &= \bar{\chi}_i^+ e^{i\phi} \chi_i^- + \bar{\chi}_i^- e^{-i\phi} \chi_i^+ \end{aligned}$$

$\phi$  has a discrete spectrum: splittings  $\propto e^2 M_{GUT}$   
low energy EFT: integrate out

# Zero Modes



given instanton solution solve Dirac eq.  
for zero modes

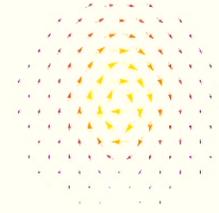
$$D\psi = 0$$

count using:  $\partial_\mu j_A^\mu(x) = \frac{e^2}{8\pi^2} F^{\mu\nu} \tilde{F}_{\mu\nu}$

$$\mathcal{L}_{\text{bound}} = \bar{\psi}_i \tau^+ e^{i\phi} \psi_i + h.c.$$

halves number of zero modes

# Matching II



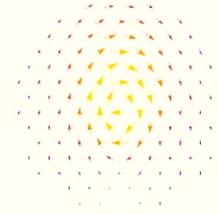
Witten found instantons with  
3D spherical symmetry in  $SU(2)$

fun conjecture: 4D Abelian instantons are  
EFT remnants of Witten's spherical instantons

evidence: same number of fermion legs

Witten Phys. Rev. Lett. **38** (1977) 121

# Path Integral for $N_f = 2$



helicity flip:  $\langle \bar{\psi}_+ \psi_- \rangle$

- only happens in lowest partial wave
- no perturbative contribution
- only instanton sector is relevant

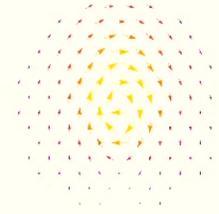
one loop:

$$\left[ \frac{\det'(i\mathcal{D})}{\det'(i\mathcal{D})} \right]^{N_f} = \exp\left\{ - \int dt dr \left[ \frac{m^2}{2} A_\alpha \left( \eta^{\alpha\beta} - \frac{\partial^\alpha \partial^\beta}{\partial^2} \right) A_\beta + \dots \right] \right\}$$

$$\alpha, \beta = r \text{ or } t \quad m^2 = \frac{2|h_{12}|e^2}{\pi}$$

“Schwinger mass”

# Path Integral for $N_f = 2$



4D exact:  $\psi \rightarrow \psi' = e^{-s_h e \gamma_5 \partial^{-2} E_r} \psi$

decouples lowest partial wave:

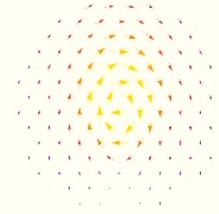
$$A_t = -\partial_r \partial^{-2} E_r, \quad A_r = \partial_t \partial^{-2} E_r$$

$$\begin{aligned} \bar{\psi}_{j_{\min}} \not{D} \psi_{j_{\min}} &= \bar{\psi}'_{j_{\min}} e^{s_h e \gamma_5 \partial^{-2} E_r} \not{D} e^{s_h e \gamma_5 \partial^{-2} E_r} \psi'_{j_{\min}} \\ &= \bar{\psi}'_{j_{\min}} \gamma^\mu (\partial_\mu - i e A_\mu^{\text{mon}}) \psi'_{j_{\min}} \end{aligned}$$

from axial anomaly:

$$\begin{aligned} \log \det'(i \not{D}) &= \int d^4 x \frac{e^2}{16\pi^2} s_h e \partial^{-2} E_r F_{\mu\nu} \tilde{F}^{\mu\nu} + \dots \\ &= \int d^4 x \frac{e^2}{4\pi^2} E_r \partial^{-2} E_r s_h e B_r + \dots \end{aligned}$$

# Path Integral for $N_f = 2$



$$\langle \bar{\psi}_+(x_f) \psi_-(x_i) \rangle = \sum_n \int_{Ch_1=n/2} \mathcal{D}A_\alpha \mathcal{D}\bar{\psi} \mathcal{D}\psi \bar{\psi}_+(x_f) \psi_-(x_i) e^{-S}$$

$$= \int_{Ch_1=1/2} \mathcal{D}A_\alpha \mathcal{D}\bar{\psi} \mathcal{D}\psi \bar{\psi}'_+(x_f) \psi'_-(x_i) e^{-S - S_{\text{rot}}}$$

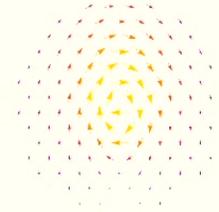
includes anomaly

$$= \bar{\psi}_+^{(0)}(x_f) \psi_-^{(0)}(x_i) \int_{Ch_1=1/2} \mathcal{D}A_\alpha e^{-S' - S_{\text{rot}}}$$

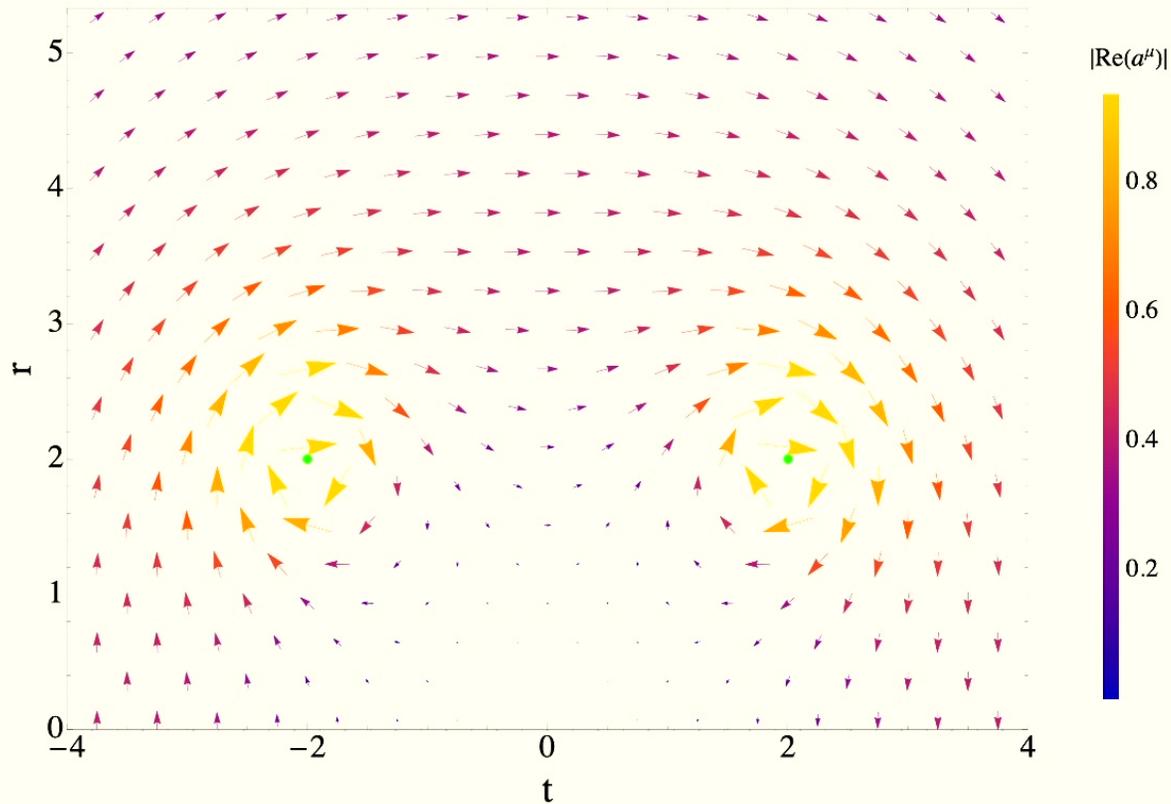
Gaussian

= pairwise spinor helicity result

# Path Integral for $N_f = 2$



helicity flip:  $\langle \bar{\psi}_+(x_f) \psi_-(x_i) \rangle$



# Conclusions

Pairwise Little Group determines monopole partial wave scattering amplitudes

Abelian Instantons are the underlying mechanism for surprising results in lowest-partial waves