

Title: Open Quantum Dynamics with Nonlinearly Realized Symmetries.

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Abstract:

In the framework of Non-Equilibrium Field Theory, I will construct the effective influence functional — generator of non-equilibrium correlation functions — for a mechanical system with degrees of freedom living on a group (e.g. rigid body) interacting with a thermal bath at high temperature. I will derive the constraints on the influence functional following from the group symmetry structure and the DKMS symmetry — generalization of the fluctuation-dissipation theorem. At the linear response level, group symmetry turns out to impose more constraints compared to DKMS. I will illustrate the general formalism with the diffusion in a Fermi gas and exhibit the large- N suppression of the non-linear response. Finally, I will introduce the Universal Bath — the generalization of the Caldeira-Leggett model. It is a dual field theory defined in one extra dimension that reproduces the classical non-equilibrium dynamics of the mechanical system. I will show that in the limit of Ohmic dissipation, when the temperature becomes the only relevant scale at play, the Universal Bath also reproduces the quantum corrections.

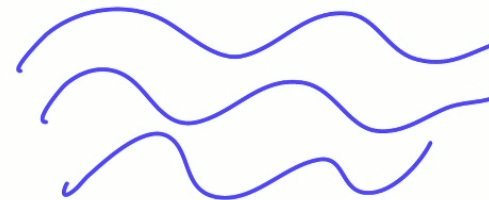
Open quantum dynamics with nonlinearly realized symmetries

(A. Besharat, JR, S. Sibiryakov 2308.08695, 2412.XXX, 2412.YYY)

Jury Radkovski

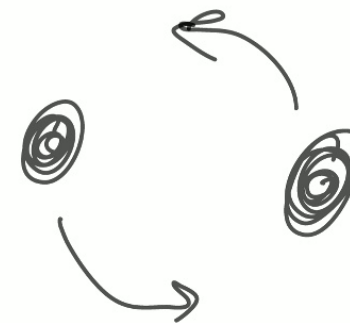
Particle Physics Seminar

Context



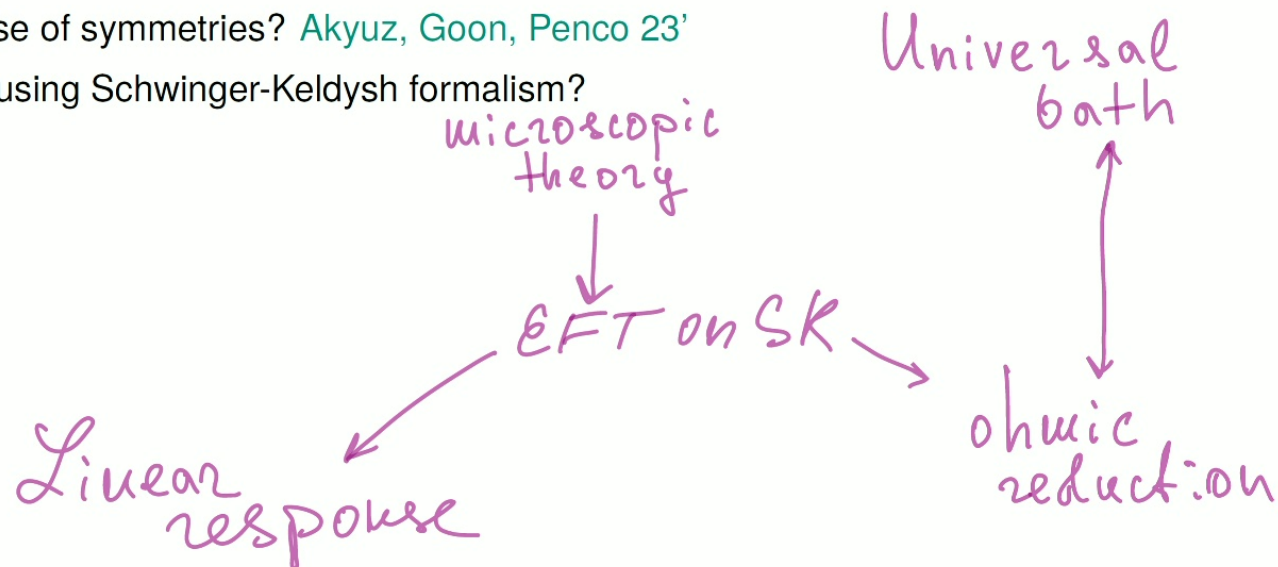
A few areas where effective description of dissipation is used

- Cosmology [Salcedo, Colas, Pajer 24'](#), [Burgess et al. 24'](#)
- Black Holes [Goldberger, Rothstein 06'](#), [Ivanov, Zhou 22'](#), [Jakobsen et al 22'](#)
- Hydrodynamics [Liu, Glorioso 18'](#)
- Driven-dissipative systems [Kamenev 24'](#), [Sieberer 18'](#)



Questions

- Full EFT on the Schwinger-Keldysh contour is very general. What are the possible reductions?
- How to make use of symmetries? [Akyuz, Goon, Penco 23'](#)
- Can one avoid using Schwinger-Keldysh formalism?



Outline

1. Introduction and Motivation
2. EFT on the Schwinger-Keldysh contour
3. Universal Bath
4. Conclusions and Outlook

Geometric setup

- Symmetry of choosing the coordinates on the (compact) group G

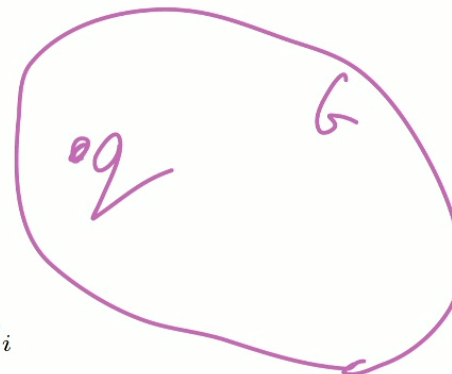
$$[G_i, G_j] = C_{ij}^k G_k$$

- The element of the group and the *Cartan form*

$$g(q) = e^{q^i G_i}, \quad \Omega = g^{-1} dg = \Omega_j^i(q) dq^j G_i$$

- Invariant dynamics of the system with *covariant derivatives*

$$g(q) \mapsto g(\tilde{q}) = g_L g(q) \implies S_{\text{sys}} = S_{\text{sys}}[D_t q^i] \equiv S_{\text{sys}}[\Omega_j^i(q) \dot{q}^j]$$



Bringing in the bath

- Total action for the body+bath

$$S_{\text{tot}}(q, \chi) = S_{\text{sys}}(q) + S_{\text{bath}}(\chi) + V(q, \chi)$$

- Interaction breaks the symmetry

$$G \times G \rightarrow G \implies V(q, \chi) = U(q) V_{\chi} U^{\dagger}(q)$$

- Peter–Weyl theorem

$$V(q, \chi) = \sum_{raa'} U_{aa'}^r(q) \mathcal{O}_{a'a}^{\dagger r}(\chi)$$

(basically a Fourier transformation)

Case study

- Group $ISO(2)$ with two translation generators P_X, P_Y and a rotation generator J :

$$[P_X, P_Y] = 0, \quad [P_X, J] = -P_Y, \quad [P_Y, J] = P_X$$

- Parametrization of the form

$$g(X, Y, \Theta) = e^{XP_X + YP_Y} e^{\Theta J}, \quad g(q) \neq e^{q^i G_i}$$

- Covariant derivatives

$$D_t \bar{X} = \dot{X} \cos \Theta + \dot{Y} \sin \Theta, \quad D_t \bar{Y} = -\dot{X} \sin \Theta + \dot{Y} \cos \Theta, \quad D_t \Theta = \dot{\Theta}$$



- Dynamics of the particle

$$S_{\text{particle}} = \int_t \frac{M}{2} (\dot{X}^2 + \dot{Y}^2) + \frac{\mathcal{I}}{2} \dot{\Theta}^2 = \int_t \frac{M}{2} [(D_t X)^2 + (D_t Y)^2] + \frac{\mathcal{I}}{2} (D_t \Theta)^2$$

Case study

- Bath of free (spinless) fermions

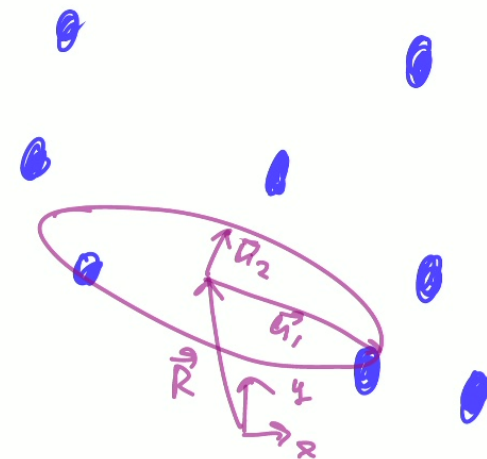
$$S_{\text{bath}} = \int_{t,r} \psi^\dagger \left(i\partial_t - \frac{\Delta}{2m} \right) \psi$$

- Interaction of the density $\rho \equiv \psi^\dagger \psi$ with a "fluffy" particle

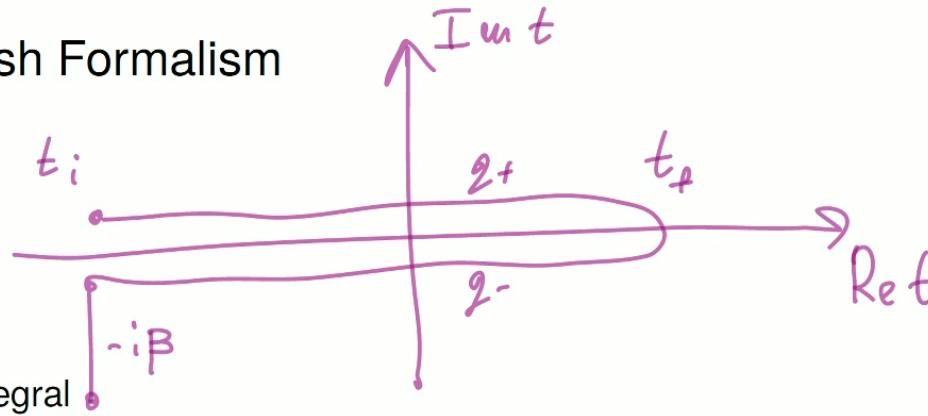
$$V = \int_{t,r} \rho \mathcal{V}, \quad \mathcal{V} = \frac{\lambda}{l_1 l_2} \int_{-\infty}^{\infty} d\xi_1 d\xi_2 e^{-\frac{\xi_1^2}{2l_1^2} - \frac{\xi_2^2}{2l_2^2}} \delta(\mathbf{r} - \mathbf{R} - \xi_i \mathbf{n}_i)$$

- Peter-Weyl theorem:

$$V = \sum_{\mathbf{k}} V_{\mathbf{k}}(q(t)) \rho_{-\mathbf{k}}(t)$$



Schwinger-Keldysh Formalism



- Ordinary path integral

$$Z[J] = \int Dq e^{iS[q] + iJq}, \quad \langle Tq(t_1)q(t_2) \dots \rangle = \frac{\delta}{i\delta J(t_1)} \frac{\delta}{i\delta J(t_2)} \dots Z[J]$$

- Double-time Schwinger 60',61', Keldysh 64'

$$Z[J_{\pm}] = \int_{\rho} Dq_+ Dq_- e^{iS[q_+] - iS[q_-] + iJ_+ q_+ - iJ_- q_-}, \quad \langle q(t_1)q(t_2) \dots \rangle = \frac{\delta}{i\delta J_{\pm}(t_1)} \frac{\delta}{i\delta J_{\pm}(t_2)} \dots Z[J_{\pm}]$$

- Keldysh rotation $q_+, q_- \rightarrow \bar{q}, \hat{q}$

$$\bar{q} \sim "q_+ + q_-"$$

$$\hat{q} \sim "q_+ - q_-"$$

Localization

- High temperature makes excitations gapped:

$$\beta \rightarrow 0 \implies \langle \mathcal{O}(t)\mathcal{O}(0) \rangle_{\text{ret}} < e^{-\Lambda t} \quad \Lambda^{-1} - \text{relaxation time}$$

- For Fermi gas exponential decay only after integrating with the potential

$$\int_{\mathbf{k}} e^{-k^2 l^2} \Im \langle \rho_{\mathbf{k}}(t)\rho_{\mathbf{k}}(0) \rangle_{\text{ret}} \sim e^{-l^2 v_F^2 / l^2} \implies \Lambda \sim \frac{v_F}{l}$$

- Derivative expansion [Nicolis '11](#)

$$\Im (\langle \mathcal{O}(\omega)\mathcal{O}(0) \rangle_{\text{ret}})_{ab}^r \sim \omega \left(\varrho_{0,ab}^r + \frac{\omega^2}{\Lambda^2} \varrho_{2,ab}^r + O((\omega/\Lambda)^4) \right)$$

Integrating out the bath

- In the partition function integrate over the bath degrees of freedom ($V \sim \sum U(q)\mathcal{O}(\chi)$)

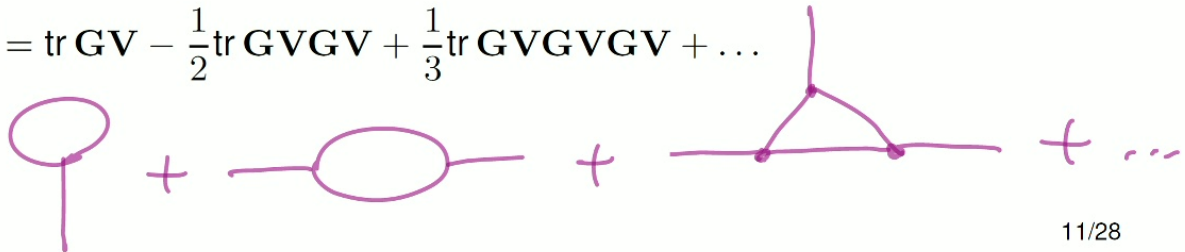
$$e^{i\mathcal{I}[q_+, q_-]} \sim \int_{\rho_\chi} D\chi_+ D\chi_- e^{iS[\chi_+] + iV[q_+, \chi_+] - (+ \rightarrow -)} \sim 1 + \frac{1}{2} \int_{t_+, t_-} \sum_{\pm} U_{\pm} U_{\pm} \langle \mathcal{O}_{\pm} \mathcal{O}_{\pm} \rangle_{\rho_\chi} + \dots$$

- For the particle in Fermi gas (Kamenev '24)

$$e^{i\mathcal{I}[q_+, q_-]} = \int_{\rho_\beta} D\psi D\bar{\psi} e^{iS[\psi_+] + iV[q_+, \psi_+] - (+ \rightarrow -)} \propto \det(\mathbf{G}^{-1} - \mathbf{V})$$

- Perturbative expansion

$$i\mathcal{I} = \text{tr} \mathbf{G} \mathbf{V} - \frac{1}{2} \text{tr} \mathbf{G} \mathbf{V} \mathbf{G} \mathbf{V} + \frac{1}{3} \text{tr} \mathbf{G} \mathbf{V} \mathbf{G} \mathbf{V} \mathbf{G} \mathbf{V} + \dots$$



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Influence functional

- Derivative expansion in ω translates into ultralocal expansion in time. Schematically

$$\langle \mathcal{O}_\pm \mathcal{O}_\pm \rangle_{\rho_X}(t) \sim \frac{\#}{\beta} \varrho_0 \delta(t) + \# \varrho_0 \delta'(t) + \# \varrho_0 \theta(t) \delta'(t) + \dots$$

- Influence functional

$$\mathcal{I}[q_+, q_-] \sim \frac{1}{2} \int_{t_+, t_-} \sum_{\pm} U(q_\pm) U(q_\pm) \langle \mathcal{O}_\pm \mathcal{O}_\pm \rangle_{\rho_X}$$

- Covariant classical-quantum split

$$e^{q_\pm G} = e^{\bar{q}G} e^{\pm \hat{q}G} \implies \mathcal{I} = \mathcal{I}(\hat{q}, \dot{\hat{q}}, D_t \bar{q})$$

Gaussian Order

- Influence functional at Gaussian order

$$\mathcal{I}[\bar{q}, \hat{q}] \Big|_{\text{Gauss}} = \int dt \left(\frac{4i}{\beta} \gamma_{ij} \hat{q}^i \hat{q}^j - 2\gamma_{ij} \hat{q}^i D_t \bar{q}^j \right)$$

- Hubbard-Stratonovich transformation

$$e^{-4 \int_t \frac{\gamma}{\beta} \hat{q}^2} = \int D\xi e^{-\int_t \frac{\beta}{4\gamma} \xi^2 - 2i\xi \hat{q}}$$

- Langevin equation (for simplicity, for one d.o.f.)

$$\langle O(\bar{q}) \rangle = \int D\xi e^{-\frac{\beta}{4\gamma} \xi^2} \int D\bar{q} D\hat{q} O(\bar{q}) e^{-2i \int_t \hat{q} (\ddot{\bar{q}} + \gamma \dot{\bar{q}} - \xi)} = \int D\xi e^{-\frac{\beta}{4\gamma} \xi^2} \int D\bar{q} D\hat{q} O(\bar{q}) \delta(\ddot{\bar{q}} + \gamma \dot{\bar{q}} - \xi)$$

$$\ddot{\bar{q}} + \gamma \dot{\bar{q}} = \xi(t), \quad \langle \xi(t) \xi(t') \rangle = 2\gamma T \delta(t - t')$$

Gaussian order

- Influence functional at Gaussian order (once again)

$$\mathcal{I}[\bar{q}, \hat{q}] \Big|_{\text{Gauss}} = \int dt \left(\frac{4i}{\beta} \gamma_{ij} \hat{q}^i \hat{q}^j - 2\gamma_{ij} \hat{q}^i D_t \bar{q}^j \right)$$

- Dissipative coefficients in terms of a function on the group

$$\gamma_{ij} = -\pi \partial_i \partial_j \sigma_0(0), \quad \sigma_0(q) \equiv \sum_{rab} U_{ab}^r(q) \varrho_{0,ab}^r$$

- Power counting for \hat{q}

$$\frac{T}{\hbar^2} \frac{\gamma \hat{q}^2}{\omega} \sim 1 \quad \Longrightarrow \quad \hat{q} \sim \hbar \sqrt{\frac{\omega}{\gamma T}}$$

- Power counting for \bar{q} (in the overdamped regime)

$$\frac{\gamma \hat{q} \bar{q}}{\hbar} \sim 1 \quad \Longrightarrow \quad \bar{q} \sim \sqrt{\frac{T}{\gamma \omega}} \Longrightarrow \bar{q} \sim \sqrt{t}$$

Brownian motion

NNLO corrections

- Next to next to leading order

$$\mathcal{I}[\bar{q}, \hat{q}] \Big|_{\text{NNLO}} = \int_t \left[i \frac{4}{3\beta} \mu_{ijkl} \hat{q}^i \hat{q}^j \hat{q}^k \hat{q}^l + \left(-\frac{4}{3} \mu_{ijkl} + \frac{1}{3} \gamma_{im} C_{jn}^m C_{kl}^n \right) \hat{q}^i \hat{q}^j \hat{q}^k D_t \bar{q}^l - \gamma_{im} C_{jk}^m \hat{q}^i \hat{q}^j \dot{\hat{q}}^k \right]$$

with new couplings

$$\mu_{ijkl} = -\pi \partial_i \partial_j \partial_k \partial_l \sigma_0(0)$$

- Power counting

$$\frac{1}{\hbar} \int dt \frac{\mu}{\beta} \hat{q}^4 \sim \frac{1}{\hbar} \int dt \mu \hat{q}^3 D_t \bar{q} \sim \frac{\mu}{\gamma} \cdot \frac{\hbar^2 \omega}{\gamma T}, \quad \frac{1}{\hbar} \int dt \gamma \hat{q}^2 \dot{\hat{q}} \sim \frac{\hbar^2 \omega^{3/2}}{\gamma^{1/2} T^{3/2}}$$

Higher derivative corrections to the noise

- Higher derivative corrections to the noise

$$\Delta \mathcal{I}_{\text{noise}} = i \frac{4}{\beta \Lambda^2} \int_t \left\{ \left[-\check{\mu}_{2,ijkl} + \text{"}\check{\gamma}CC\text{"} \right] \hat{q}^i \hat{q}^j D_t \bar{q}^k D_t \bar{q}^l + \left[\text{"}\check{\gamma}CC\text{"} \right] \hat{q}^i \hat{q}^j D_t \bar{q}^k + \check{\gamma}_{2,ij} \dot{\hat{q}}^i \dot{\hat{q}}^j \right\}$$

where

$$\check{\gamma}_{2,ij} = \gamma_{2,ij} + \frac{(\beta \Lambda)^2}{12} \gamma_{ij} ,$$

$$\gamma_{2,ij} = -\pi \partial_i \partial_j \sigma_2(0)$$

$$\check{\mu}_{2,ijkl} = \mu_{2,ijkl} + \frac{(\beta \Lambda)^2}{12} \mu_{ijkl} ,$$

$$\mu_{2,ijkl} = -\pi \partial_i \partial_j \partial_k \partial_l \sigma_2(0)$$

- As important as the non-Gaussian contributions whenever $\beta \Lambda \gg 1$, and typically larger whenever $\beta \Lambda \ll 1$

Higher derivative corrections to the dissipation

- Correction to the dissipation

$$\Delta\mathcal{I}_{\text{diss}} = \frac{1}{\Lambda^2} \int_t \left\{ [2\mu_{2,ijkl} + \text{''}\gamma_2 CC\text{''}] \hat{q}^i D_t \bar{q}^j D_t \bar{q}^k D_t \bar{q}^l + [\text{''}\gamma_2 CC\text{''}] \hat{q}^i D_t \bar{q}^j \partial_t D_t \bar{q}^k + 2\gamma_{2,ij} \hat{q}^i \partial_t^2 D_t \bar{q}^j \right\}$$

- Suppressed only by Λ
- Modifies the classical equations of motion with terms of cubic order in velocities and terms with velocity derivatives in the friction force [Van Kampen 86'](#), [Plyukhin 03'](#)

Higher derivative corrections to the dissipation

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DKMS

- DKMS symmetry Kubo 57', Martin, Schwinger 59':

$$q_+^{\prime j}(t) = q_+^j(-t + i\beta/2), \quad q_-^{\prime j}(t) = q_-^j(-t - i\beta/2)$$

- At high temperature the relations localize

$$\bar{q}^{\prime j}(t) = \bar{q}^j(t') + \frac{i\beta}{2} \dot{\hat{q}}^j(t') + \dots \Big|_{t'=-t}, \quad \hat{q}^{\prime j}(t) = \hat{q}^j(t') + \frac{i\beta}{2} \dot{\bar{q}}^j(t') + \dots \Big|_{t'=-t}$$

- Take $\mathcal{I} = \int_t \beta^{-1} a_{ij} \hat{q}^i \hat{q}^j + b_{ij} \hat{q}^i D_t \bar{q}^j + \dots$
- At Gaussian order recover the *fluctuation-dissipation theorem*:

$$\mathcal{I} = \int_t (\beta^{-1} 4i\gamma_{ij} \hat{q}^i \hat{q}^j - 2\gamma_{ij} \hat{q}^i D_t \bar{q}^j)$$

Ohmic reduction

- At higher orders

$$\mathcal{I} = \int_t \left(\dots + \beta^{-1} A_{ijkl} \hat{q}^i \hat{q}^j \hat{q}^k \hat{q}^l + B_{ijkl} \hat{q}^i \hat{q}^j \hat{q}^k D_t \bar{q}^l \right. \\ \left. + \beta D_{ijkl} \hat{q}^i \hat{q}^j D_t \bar{q}^k D_t \bar{q}^l + \beta^2 E_{ijkl} \hat{q}^i D_t \bar{q}^j D_t \bar{q}^k D_t \bar{q}^l + \text{other terms linear in } \hat{q} \right),$$

- The symmetry relates coefficients of different terms, for example

$$B_{ijkl} = iA_{ijkl} + \text{"}\gamma CC\text{"}, \quad E_{ijkl} = \frac{i}{2} D_{i(jkl)} + \frac{i}{8} A_{ijkl} + \text{"}\gamma CC\text{"}$$

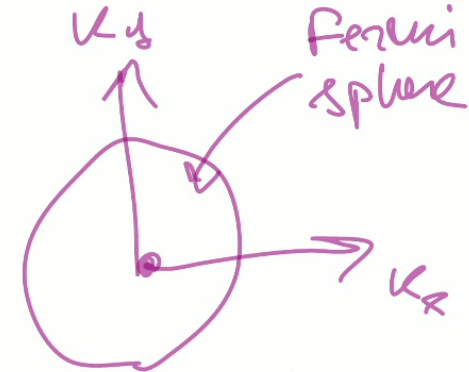
- Ohmic reduction: set to 0 higher order terms linear in $\hat{q} \implies A, B, D$ expressed in terms of one tensor ν !

$$\nu_{ijkl} = \nu_{(ij)(kl)} = \nu_{(kl)(ij)}$$

Spherical particle in the Fermi gas

- Gaussian noise

$$\mathcal{I} \Big|_{\text{Gauss, noise}} \propto Ng^2 \int_t \frac{4i}{\beta} \hat{\mathbf{R}}^2, \quad N \sim k_{FL}$$



- Higher derivative correction to the noise

$$\Delta \mathcal{I}_{\text{noise}} \propto \frac{i}{\beta \Lambda^2} Ng^2 \int dt \left\{ \frac{1}{2} \left(1 + \frac{\beta^2 \Lambda^2}{4} \right) \left(\hat{\mathbf{R}}^2 \dot{\hat{\mathbf{R}}}^2 + 2(\hat{\mathbf{R}} \dot{\hat{\mathbf{R}}})^2 \right) + \left(4 + \frac{\beta^2 \Lambda^2}{3} \right) \dot{\hat{\mathbf{R}}}^2 \right\}$$

- Symmetry of μ_{ijkl}

$$\hat{\mathbf{R}}^2 \dot{\hat{\mathbf{R}}}^2 + 2(\hat{\mathbf{R}} \dot{\hat{\mathbf{R}}})^2 = \left(\delta_{ij} \delta_{kl} + \delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk} \right) \hat{R}^i \hat{R}^j \dot{R}^k \dot{R}^l$$

- Beyond linear response

$$\Delta \mathcal{I}_{\text{hd, fluct}} \propto \frac{i}{\beta \Lambda^2} g^3 \int dt \left\{ \frac{5\beta^2 \Lambda^2}{18} \left(\hat{\mathbf{R}}^2 \dot{\hat{\mathbf{R}}}^2 + 2(\hat{\mathbf{R}} \dot{\hat{\mathbf{R}}})^2 \right) + \frac{3}{2} \left(7\hat{\mathbf{R}}^2 \dot{\hat{\mathbf{R}}}^2 - 2(\hat{\mathbf{R}} \dot{\hat{\mathbf{R}}})^2 \right) + \dots \right\}$$

Classical Model

- Classical model [Besharat, JR, Sibiryakov 23'](#), [Caldeira-Leggett 81'](#)

$$S[q, \chi] = S_{\text{sys}}[q] + S_{\sigma}[\chi; q] = \int dt L(D_t q) + \int_{z>0} dt dz \frac{1}{2} \gamma_{ij} \eta_{\mu\nu} D_{\mu} \chi^i D_{\nu} \chi^j,$$

with fields χ satisfying the boundary condition

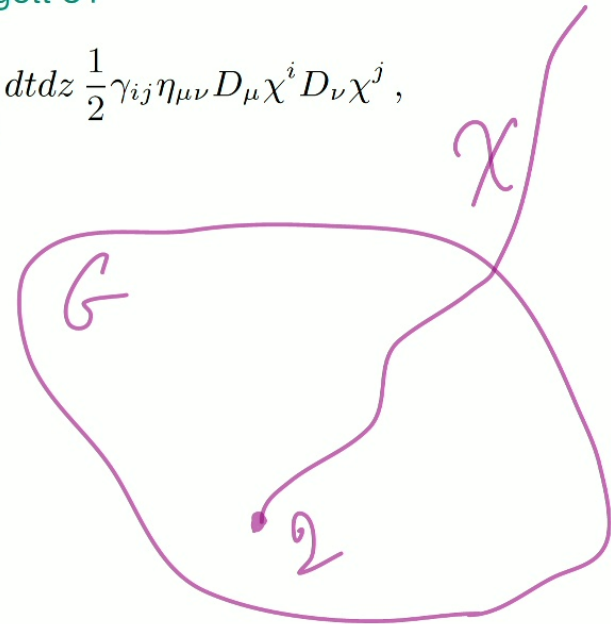
$$\chi^i \Big|_{z=0} = q^i$$

- Equations of motion in the bulk have a solution

$$\chi^i(t, z) = \chi^i(t - z)$$

- Equations of motion on the boundary

$$\frac{d}{dt} \frac{\partial L}{\partial \dot{q}^i} - \frac{\partial L}{\partial q^i} = \gamma_{kl} \Omega_i^k(\chi) \Omega_n^l(\chi) \partial_z \chi^n \Big|_{z=0} = -\gamma_{kl} \Omega_i^k(q) \Omega_n^l(q) \dot{q}^n$$



Conclusions and Outlook

Conclusions

- Effective description of the dissipative dynamics for a mechanical system with symmetry G
- Linear response + $G > \text{DKMS}$
- Large- N suppression of the beyond linear response
- Dual description for the ohmic reduction

Outlook

- Coset construction
- Zero temperature
- Field theory
- Computing observables via the bulk
- Applications (mechanics, hydrodynamics, dissipative CFTs....)