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**Speakers:** Laura Donnay

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# $\mathcal{W}_{1+\infty}$ symmetries in Carrollian holography

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w/ Laurent Freidel, Yannick Herfray

Laura DONNAY

Quantum Fields & Strings Seminar  
Perimeter Institute  
Nov 5 2024

**SISSA**



# Motivation

The holographic principle

Quantum gravity is *encoded* in a different theory that lives in a *lower-dimensional* spacetime.

[’t Hooft ’93; Susskind ’94; Maldacena ’97]



**How general is it?**

## Flat space holography: a structure X ?

THE REAL OBSTACLE <sup>(13)</sup>  
TO AN ANALOGOUS  
SUCCESS WHEN  $\Lambda=0$   
SEEMS TO BE THAT  
THE NATURAL BOUNDARY  
OF MINKOWSKI SPACE IS  
NOT AT SPATIAL  
INFINITY BUT AT PAST  
AND FUTURE NULL INFINITY

A HOLOGRAPHIC DESCRIPTION <sup>(24)</sup>  
FOR  $\Lambda=0$ , IF THERE  
REALLY IS SUCH A THING,  
MUST INVOLVE NOT C.F.T.  
BUT SOMETHING ELSE -  
CALL IT "STRUCTURE X"  
AS WE DON'T KNOW WHAT  
IT IS.

E. Witten's talk - *Strings* 1998

# Flat space holography

Holographic description of quantum gravity in 4d **asymptotically flat** spacetimes?

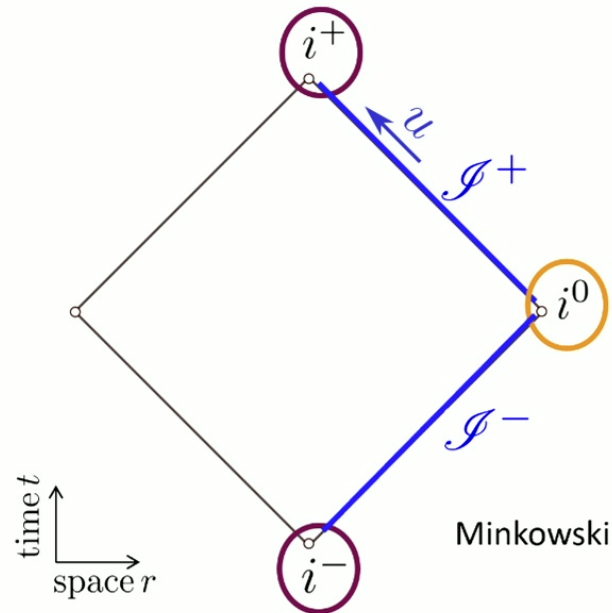
Main obstructions/difficulties:

① The conformal **boundary** includes

future/past **timelike** infinity

future/past **null** infinity

**spatial** infinity



# Flat space holography

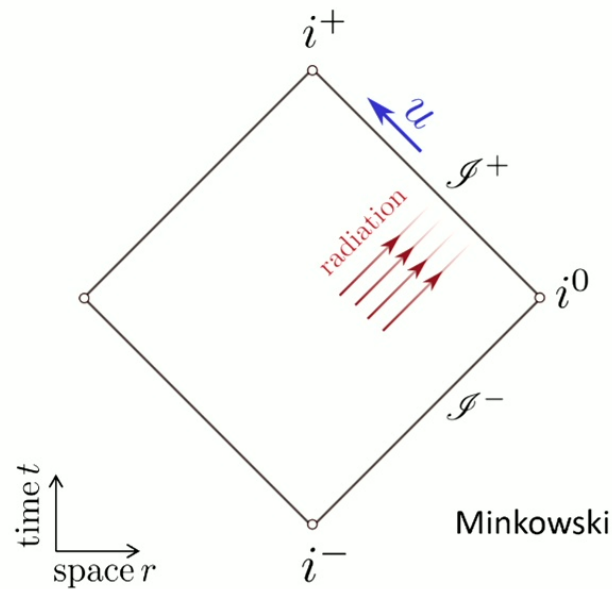
Holographic description of quantum gravity in 4d **asymptotically flat** spacetimes?

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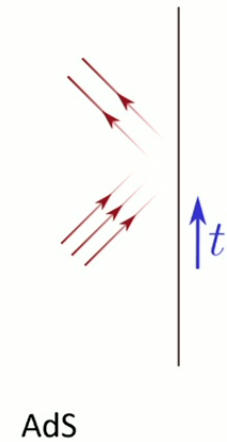
- 1 The conformal **boundary** includes

future/past **timelike** infinity  
 future/past **null** infinity  
**spatial** infinity

- 2 There are **fluxes** leaking out the boundary



**vs**



# Flat space holography

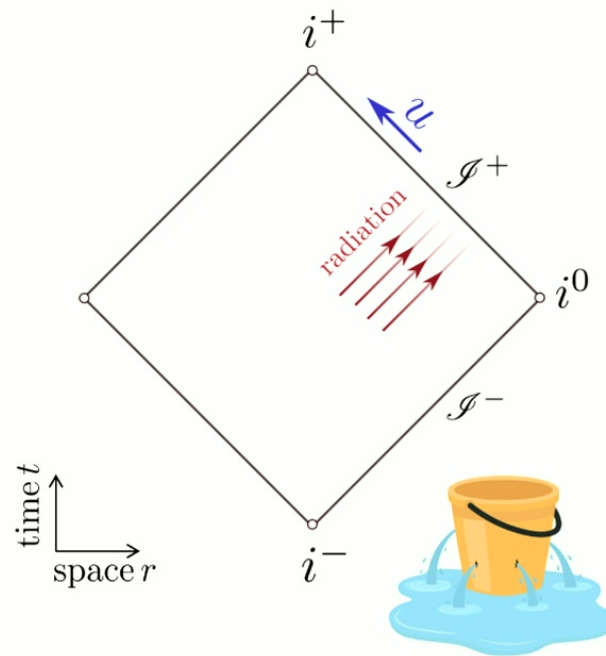
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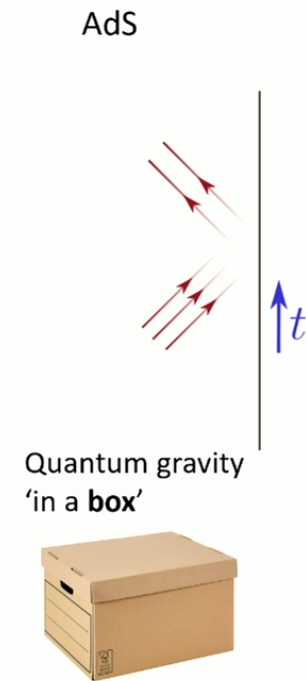
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**vs**



Laura Donnay ©

# Flat space holography

--> Road map: symmetries

- The phenomenon of **symmetry enhancement** is a key feature of **asymptotically flat** spacetimes, due to the presence of **gravitational radiation**

other example:  $\text{AdS}_3 / \text{CFT}_2$

asymptotic symmetry group of  $\text{AdS}_3$



the conformal group in  $d = 2$

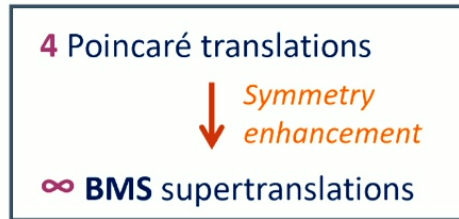
gets enhanced to **Virasoro**



# Flat space holography

--> Road map: symmetries

- The phenomenon of **symmetry enhancement** is a key feature of **asymptotically flat** spacetimes, due to the presence of **gravitational radiation**

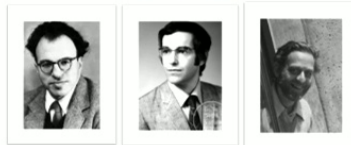


what was expected



Poincaré

what was found



Bondi-Metzner-Sachs (BMS) ('62)

+ van der Burg

# Flat space holography

--> Road map: symmetries

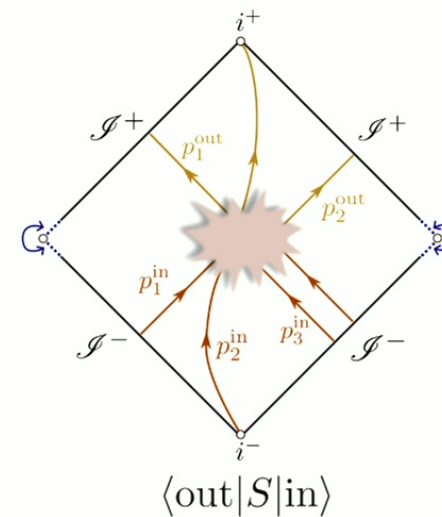
- The phenomenon of **symmetry enhancement** is a key feature of **asymptotically flat** spacetimes, due to the presence of **gravitational radiation**

While **BMS symmetries** were originally disregarded, it was realized (50 years later, [Strominger '13]) that they

- constrain the gravitational **S-matrix**
- have associated low-energy **observables** (memory effects)
- allow further extensions, including the local **conformal** group




revival of flat holography



## Which boundary?

### null infinity

lighlike 3d hypersurface

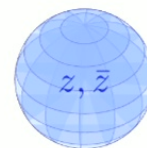

$$\mathcal{I} = \mathbb{R} \times S^2$$

Looking for a  
3d 'BMS field theory'

Carroll  
Holography

### celestial sphere

Euclidean 2d-sphere



Looking for a  
2d 'celestial CFT'

Celestial  
Holography

Salvador Dali, illustrations for *Alice's Adventures in Wonderland*, 1969:



## Outline

Carrollian holography

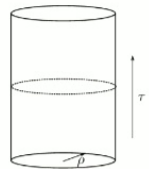
$\mathcal{L}w_{1+\infty}$  symmetries

Final remarks

# Carroll symmetries

## Holographic duality: step 0

- AdS<sub>d+1</sub> / CFT<sub>d</sub>



SO(d, 2)  
conformal group

= isometry group of AdS = conformal symmetries of ∂AdS

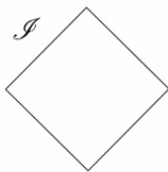


Λ → 0

c → 0  
Carrollian limit



- Mink<sub>d+1</sub> / ?<sub>d</sub>



CCarr(d)  
conformal  
Carroll group

= isometry group of Minkowski = conformal symmetries of ℐ

gets enhanced in presence  
of radiation

"Alice's Adventures in  
Wonderland"  
Lewis Carroll (1865)



## BMS = conformal Carroll

- Null infinity is endowed with a 'Carrollian structure'

[Geroch][Penrose][Henneaux][Duval, Gibbons, Horvathy][Hartong][Ciambelli, Leigh, Marteau, Petropoulos][Bekaert, Morand][Herfray]...

Carrollian geometry

$q_{ab}$  : a *degenerate* metric

a vector field satisfying  $q_{ab}n^b = 0$

$$\mathcal{I}^+ \quad x^a = (u, z, \bar{z})$$

$$q_{ab}dx^a dx^b = 0 \times du^2 + 2dzd\bar{z}$$

$$n = \partial_u$$

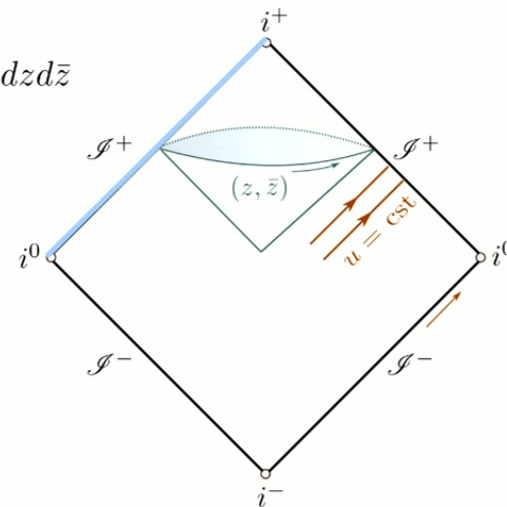
Conformal symmetries of this Carroll structure

$$\mathcal{L}_\xi q_{ab} = 2\alpha q_{ab} \quad \mathcal{L}_\xi n^a = -\alpha n^a$$

$$\rightarrow \xi = \left[ \mathcal{T} + \frac{u}{2}(\partial\mathcal{Y} + \bar{\partial}\bar{\mathcal{Y}}) \right] \partial_u + \mathcal{Y}\partial + \bar{\mathcal{Y}}\bar{\partial}$$

span the algebra

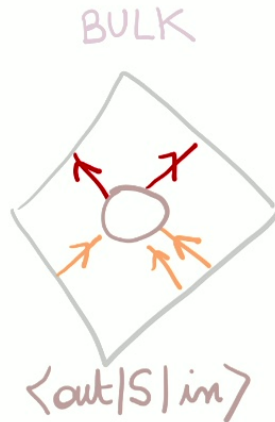
$$\mathcal{CCarr}_d = \mathfrak{bms}_{d+1}$$



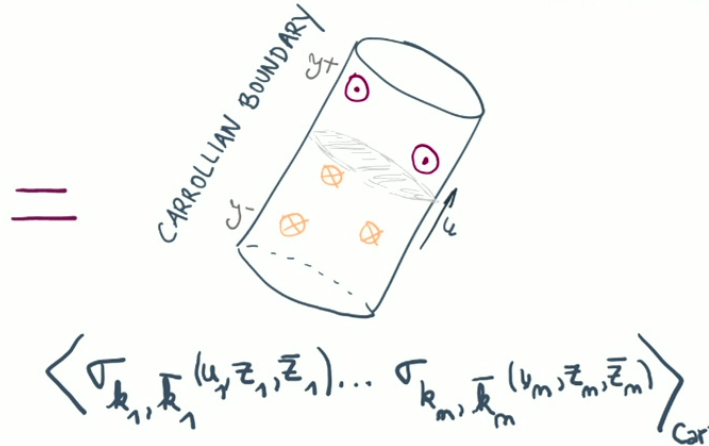
# 'Carrollian holography'?

Observables: S-matrix elements as correlators of a 'Carrollian' field theory

[LD, Fiorucci, Herfray, Ruzziconi '22]



Field-operator map  
(for outgoing massless spin  $s$  field)



$$\Phi^{(s)}(X) \stackrel{\mathcal{I}^+}{\sim} r^{s-1} \sigma_{k, \bar{k}}^{\text{out}}(u, z, \bar{z})$$

transform as a 'conformal Carrollian primary' of weights  $(k, \bar{k})$

$$\delta_{\bar{\xi}} \sigma_{k, \bar{k}} = \left[ \left( \mathcal{T} + \frac{u}{2} (\partial \mathcal{Y} + \bar{\partial} \bar{\mathcal{Y}}) \right) \partial_u + \mathcal{Y} \partial + \bar{\mathcal{Y}} \bar{\partial} + k \partial \mathcal{Y} + \bar{k} \bar{\partial} \bar{\mathcal{Y}} \right] \sigma_{k, \bar{k}}$$

## From bulk to boundary operators (and back)

From **bulk** to **boundary**:

$$\begin{aligned}\Phi(X) &= \int \frac{d^3p}{(2\pi)^3 2p^0} [a(p)e^{ip \cdot X} + a(p)^\dagger e^{-ip \cdot X}] \\ &= \int \frac{d^2\vec{w}}{2(2\pi)^3} \omega d\omega [a(\omega, \vec{w})e^{i\omega q \cdot X} + a(\omega, \vec{w})^\dagger e^{-i\omega q \cdot X}]\end{aligned}$$

$$p^\mu = \omega q^\mu(\vec{w})$$

momentum of a massless particle heading towards the celestial sphere

Go to Bondi coordinates  $X^\mu = (u, r, z, \bar{z})$  and make a large  $r$  expansion

$$\text{Scalar field: } \Phi \sim \frac{1}{r} \int_0^{+\infty} d\omega [a(\omega, z, \bar{z})e^{-i\omega u} - a(\omega, z, \bar{z})^\dagger e^{+i\omega u}]$$

$$\text{Graviton: } h_{zz} \sim r C_{zz}(u, z, \bar{z})$$

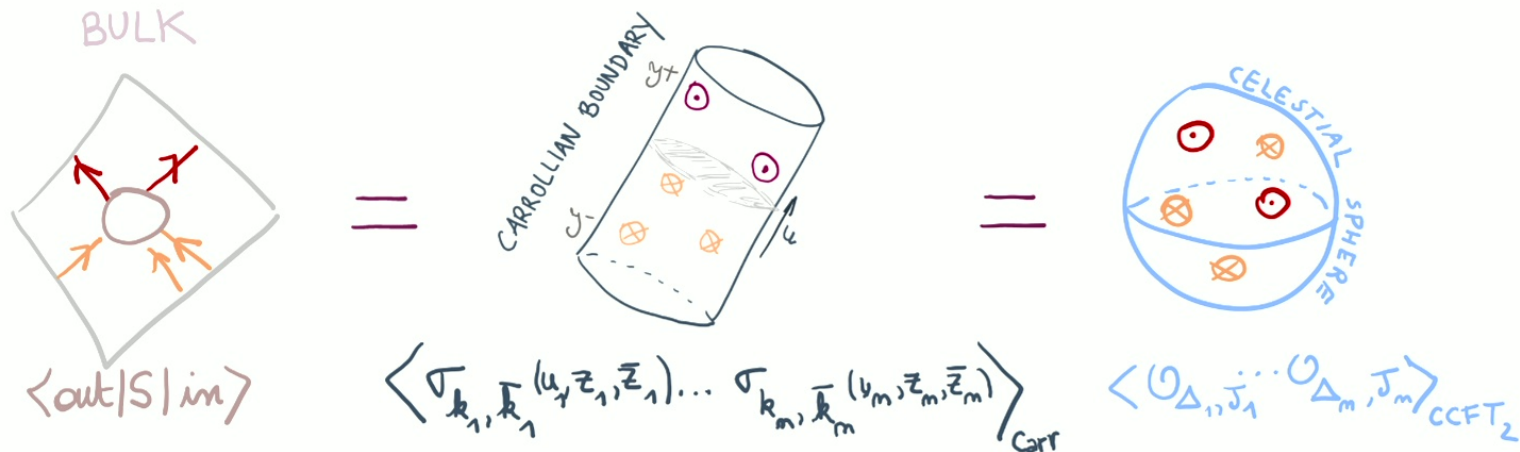
gravitational shear = 'Carrollian primary' of weights  $(\frac{3}{2}, -\frac{1}{2})$

$$C_{zz} = \int_0^{+\infty} d\omega [a_+(\omega, z, \bar{z})e^{-i\omega u} - a_-(\omega, z, \bar{z})^\dagger e^{+i\omega u}]$$



## From Carrollian to celestial 'dictionary'

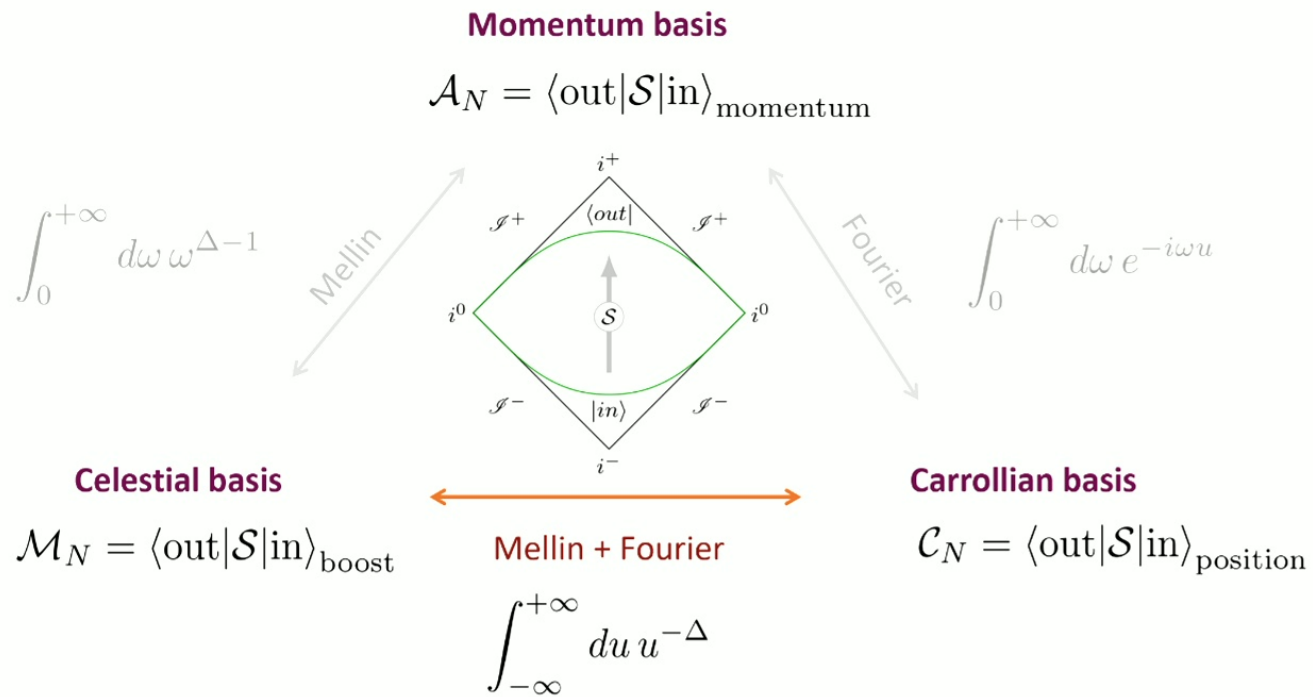
**Observables:** S-matrix elements as correlators of a 'Carrollian' field theory



$$\mathcal{O}_{(\Delta, J)}^{\text{out}}(z, \bar{z}) = \lim_{\epsilon \rightarrow 0^+} \int_{-\infty}^{+\infty} \frac{du}{(u + i\epsilon)^\Delta} \sigma_{(k, \bar{k})}^{\text{out}}(u, z, \bar{z})$$

**Carrollian – celestial operator map**

## In summary



Just a change of basis?

Is this really holography?

Is this useful?

Can we learn something we did not know already?



Laura Donnay 

Salvador Dali, illustrations for *Alice's Adventures in Wonderland*, 1969:



$LW_{1+\infty}$  symmetries

# $\mathcal{L}w_{1+\infty}$ symmetries in celestial CFT

- Celestial operators of integer conformal dimension give rise to 2d currents

$$H^k(z, \bar{z}) := \lim_{\varepsilon \rightarrow 0} \varepsilon \mathcal{O}_{k+\varepsilon, +2}$$

$$k = 2, 1, 0, -1, \dots$$

(Weinberg's) leading soft graviton theorem

subleading soft theorem

tower of sub<sup>n</sup>-leading soft theorem  
[Hamada, Shiu '18][Li, Lin, Zhang '18]

(Remind Beniamino & Shreyansh's talks)

soft theorem	Ward identity	2d current
leading $\omega^{-1}$	supertranslations $\delta C_{zz} = \partial_z^2 f$	$P(z, \bar{z}) \mathcal{O}_{h, \bar{h}}(w, \bar{w}) \sim \frac{1}{(z-w)} \mathcal{O}_{h+\frac{1}{2}, \bar{h}+\frac{1}{2}}(w, \bar{w})$
		$\downarrow$ $(\frac{3}{2}, \frac{1}{2})$ primary $\Delta = 1$
subleading $\omega^0$	superrotations $\delta C_{zz} = u \partial_z^3 Y^z$	$T(z) \mathcal{O}_{h, \bar{h}}(w, \bar{w}) \sim \frac{h}{(z-w)^2} \mathcal{O}_{h, \bar{h}}(w, \bar{w}) + \frac{\partial \mathcal{O}_{h, \bar{h}}(w, \bar{w})}{z-w}$
		$\downarrow$ $(2, 0)$ primary Shadow of $\Delta = 0$

## $\mathcal{L}w_{1+\infty}$ symmetries in celestial CFT

- Celestial operators of integer conformal dimension give rise to 2d currents

$$H^k(z, \bar{z}) := \lim_{\varepsilon \rightarrow 0} \varepsilon \mathcal{O}_{k+\varepsilon, +2} \quad k = 2, 1, 0, -1, \dots$$

Celestial graviton OPE (helicity +)

$$\mathcal{O}_{\Delta_1, +2}(z_1, \bar{z}_1) \mathcal{O}_{\Delta_2, +2}(z_2, \bar{z}_2) \sim -\frac{\kappa}{2} \frac{1}{z_{12}} \sum_{n=0}^{\infty} B(\Delta_1+n-1, \Delta_2-1) \frac{(\bar{z}_{12})^{n+1}}{n!} \bar{\partial}^n \mathcal{O}_{\Delta_1+\Delta_2, +2}(z_2, \bar{z}_2)$$

[Guevara, Himwich, Pate, Strominger '21]

$$H^k(z, \bar{z}) = \sum_{n=\frac{k-2}{2}}^{\frac{2-k}{2}} \frac{H_n^k(z)}{\bar{z}^{n+\frac{k-2}{2}}} \quad \text{the holomorphic modes close the algebra}$$

$$\left[ H_m^k, H_n^l \right] = -\frac{\kappa}{2} \left[ n(2-k) - m(2-l) \right] \frac{\left( \frac{2-k}{2} - m + \frac{2-l}{2} - n - 1 \right)! \left( \frac{2-k}{2} + m + \frac{2-l}{2} + n - 1 \right)!}{\left( \frac{2-k}{2} - m \right)! \left( \frac{2-l}{2} - n \right)! \left( \frac{2-k}{2} + m \right)! \left( \frac{2-l}{2} + n \right)!} H_{m+n}^{k+l},$$

## $\mathcal{L}w_{1+\infty}$ symmetries in celestial CFT

- Celestial operators of integer conformal dimension give rise to 2d currents

$$H^k(z, \bar{z}) := \lim_{\varepsilon \rightarrow 0} \varepsilon \mathcal{O}_{k+\varepsilon, +2} \quad k = 2, 1, 0, -1, \dots$$

Celestial graviton OPE (helicity +)

$$\mathcal{O}_{\Delta_1, +2}(z_1, \bar{z}_1) \mathcal{O}_{\Delta_2, +2}(z_2, \bar{z}_2) \sim -\frac{\kappa}{2} \frac{1}{z_{12}} \sum_{n=0}^{\infty} B(\Delta_1+n-1, \Delta_2-1) \frac{(\bar{z}_{12})^{n+1}}{n!} \bar{\partial}^n \mathcal{O}_{\Delta_1+\Delta_2, +2}(z_2, \bar{z}_2)$$

$$H^k(z, \bar{z}) = \sum_{n=\frac{k-2}{2}}^{\frac{2-k}{2}} \frac{H_n^k(z)}{\bar{z}^{n+\frac{k-2}{2}}} \quad \text{redefining} \quad w_n^p = \frac{1}{\kappa} (p-n-1)!(p+n-1)! H_n^{-2p+4}$$

$$\rightarrow [w_m^p, w_n^q] = [m(q-1) - n(p-1)] w_{m+n}^{p+q-2}$$

The infinite tower of celestial currents organizes into a single  $\mathcal{L}w_{1+\infty}$  algebra! [Strominger '21]

$$p = 1, \frac{3}{2}, 2, \frac{5}{2}, \dots$$

↑ Virasoro  
(super)translations

$$1-p \leq m \leq p-1$$

'wedge'

## $\mathcal{L}w_{1+\infty}$ symmetries seen from null infinity

- ... but how do these symmetries act on the Carrollian fields ?

→ Go to twistor space !

[LD, Herfray, Freidel '24]



- The  $\mathcal{L}w_{1+\infty}$  algebra has a very natural implementation in twistor space [Penrose '76] [Boyer, Plebanski '85][Adamo, Mason, Sharma '22]

$$\mathbb{PT} = \mathbb{C}P^3$$

coordinates  $\alpha = \{0, 1\}$   $\dot{\alpha} \in \{\dot{0}, \dot{1}\}$

$$[Z^A] = \begin{bmatrix} \mu^{\dot{\alpha}} \\ \lambda_{\alpha} \end{bmatrix} \quad [\lambda_{\alpha}] = \begin{bmatrix} 1 \\ z \end{bmatrix} \in S^2$$

The generators  $g$  of the  $\mathcal{L}w_{1+\infty}$  algebra are functions of homogeneity of degree 2 in  $Z^A$  of the form

$$g = g_0(z) + g_{\dot{\alpha}}(z)\mu^{\dot{\alpha}} + g_{\dot{\alpha}\dot{\beta}}(z)\mu^{\dot{\alpha}}\mu^{\dot{\beta}} + \dots$$

The algebra is given by the Poisson structure

$$\{g_1, g_2\} = \epsilon^{\dot{\alpha}\dot{\beta}} \frac{\partial g_1}{\partial \mu^{\dot{\alpha}}} \frac{\partial g_2}{\partial \mu^{\dot{\beta}}}$$

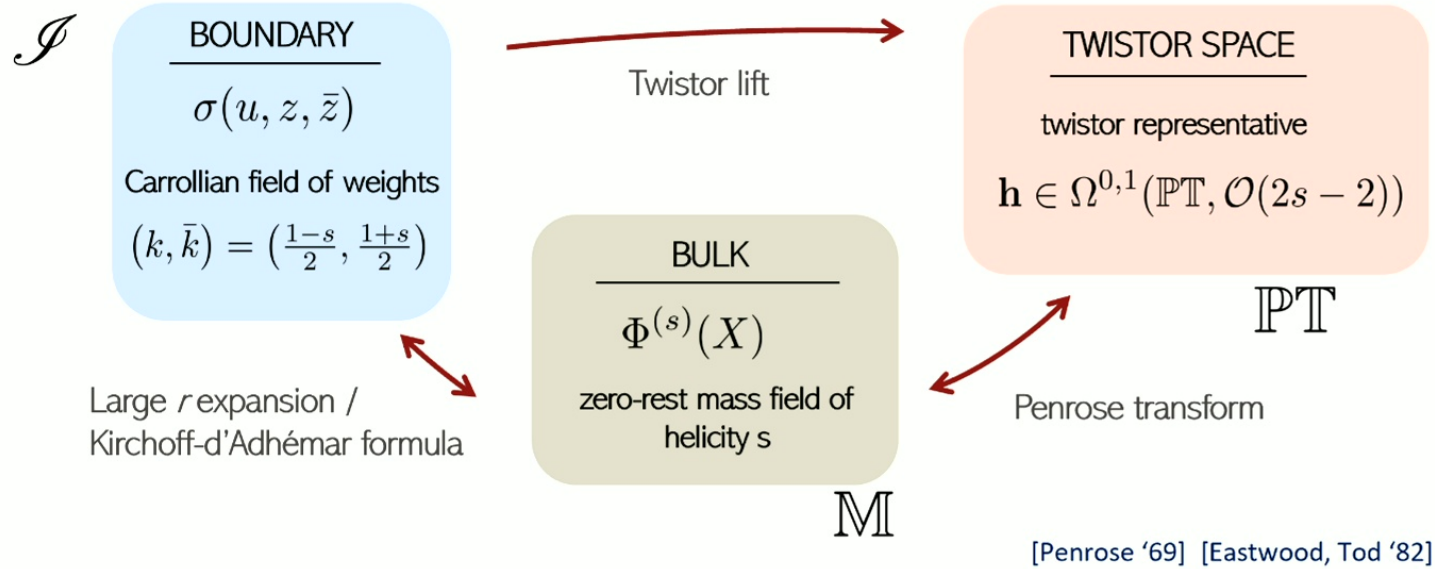
Using the modes  $w_m^p := (\mu^{\dot{0}})^{p+m-1}(\mu^{\dot{1}})^{p-m-1}$ ,  $|m| \leq p-1$ , we recover

$$\{w_m^p, w_n^q\} = (m(q-1) - n(p-1)) w_{m+n}^{p+q-2}$$



# $\mathcal{L}w_{1+\infty}$ symmetries seen from null infinity

- The journey we took in [LD, Herfray, Freidel '24]



# $\mathcal{L}w_{1+\infty}$ symmetries seen from null infinity

JOURNAL OF MATHEMATICAL PHYSICS VOLUME 10, NUMBER 1 JANUARY 1969

## Solutions of the Zero-Rest-Mass Equations

ROGER PENROSE  
Birkbeck College, London, England

- The Penrose transform [Penrose '69]

BULK

---

$\Phi^{(s)}(X)$

zero-rest mass field of  
helicity  $s$

↔  
Penrose transform

TWISTOR SPACE

---

twistor representative

$\mathbf{h} \in \Omega^{0,1}(\mathbb{P}T, \mathcal{O}(2s-2))$

$$x^{\alpha\dot{\alpha}} = u n^{\alpha\dot{\alpha}} + r \lambda^\alpha \bar{\lambda}^{\dot{\alpha}} \in \mathbb{M}$$

$$ds^2 = dx^{\alpha\dot{\alpha}} dx_{\alpha\dot{\alpha}} = 2dudr - 2r^2 dzd\bar{z}.$$

(0,1)-form  $\bar{d}\mathbf{h} = 0$   
homogeneous degree  $2s-2$

For positive helicity spin 2

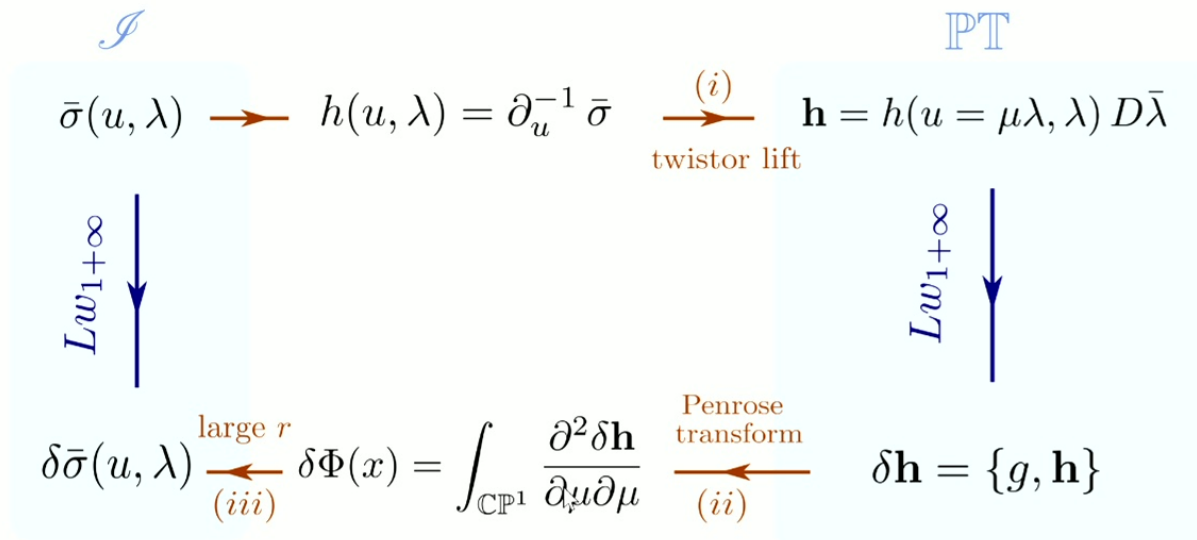
$$h_{\mu\nu}(x)dx^\mu dx^\nu = \left( \frac{1}{2\pi i} \int_{\mathbb{C}P^1} \langle \zeta d\zeta \rangle \wedge \frac{\iota_\alpha \iota_\beta}{\langle \iota \zeta \rangle^2} \frac{\partial^2 \mathbf{h}}{\partial \mu^{\dot{\alpha}} \partial \mu^{\dot{\beta}}} (\mu^{\dot{\alpha}} = x^{\alpha\dot{\alpha}} \zeta_\alpha, \zeta_\alpha) \right) dx^{\alpha\dot{\alpha}} dx^{\beta\dot{\beta}}$$



# $\mathcal{L}w_{1+\infty}$ symmetries seen from null infinity

- What is the **action** of  $\mathcal{L}w_{1+\infty}$  on the boundary **Carrollian fields**?

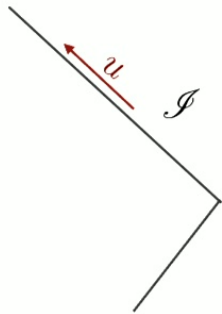
[LD, Herfray, Freidel '24]



# $\mathcal{L}w_{1+\infty}$ symmetries seen from null infinity

- What is the **action** of  $\mathcal{L}w_{1+\infty}$  on the boundary **Carrollian fields** ?

[LD, Herfray, Freidel '24]



Main result:

$$\delta_n \bar{\sigma} = \sum_{\ell=0}^n \bar{\partial}^{n-\ell} \left( g_{\dot{\alpha}(n)} \bar{\lambda}^{\dot{\alpha}(n)} \right) \frac{\ell}{(n-\ell)!} \partial_u^3 \left( u^{n-\ell} \partial_u^{-1-\ell} \bar{\partial}^{\ell-1} \bar{\sigma} \right) \quad s = +2$$

$$\delta_n \sigma = \sum_{\ell=0}^n \bar{\partial}^{n-\ell} \left( g_{\dot{\alpha}(n)} \bar{\lambda}^{\dot{\alpha}(n)} \right) \frac{\ell}{(n-\ell)!} \partial_u^{-1} \left( u^{n-\ell} \partial_u^{3-\ell} \bar{\partial}^{\ell-1} \sigma \right) \quad s = -2$$

$\mathcal{L}w_{1+\infty}$  generators

$n = 0, 1, 2, \dots$

*non-local* action  
(vs local in twistor space)

✓ explicit match with the canonical analysis of [Freidel, Raclariu, Pranzetti '21]

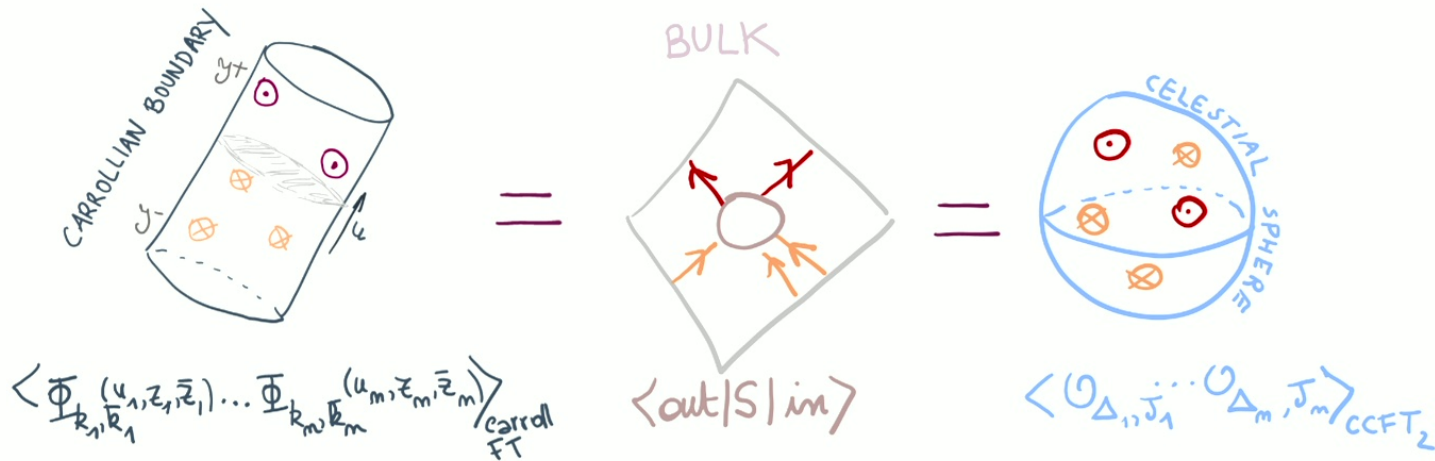
## In summary

Celestial CFT living on the celestial sphere



Conformal Carrollian field theory living at null infinity

↔ quantum gravity in flat spacetime



## In summary

- $\mathcal{L}w_{1+\infty}$  symmetries organize an **infinite tower** of celestial currents at tree level

[Guevara, Himwich, Pate, Strominger '21][Strominger '21][Adamo, Mason, Sharma '22]

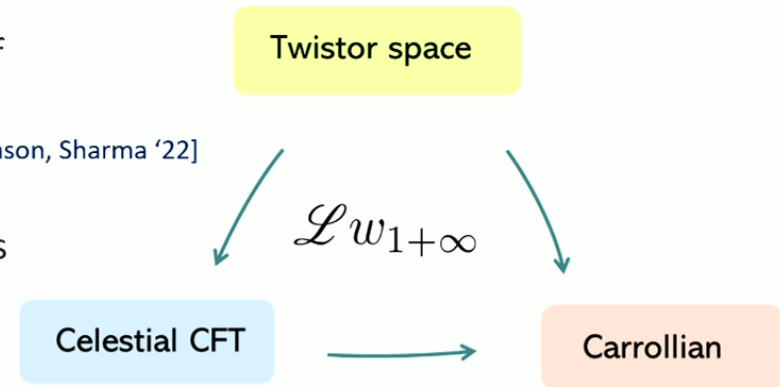
- There is an **explicit realization** of these symmetries for Carrollian fields at **null infinity**.

[Freidel, Raclariu, Pranzetti '21] [LD, Herfray, Freidel '24]

see also [Geiller '24][Kmec, Mason, Ruzziconi, Srikant '24] [Cresto, Freidel '24]

The representation of these symmetries is local in twistor space but **non-local** in spacetime.

$$\delta_n \bar{\sigma} = \sum_{\ell=0}^n \bar{\partial}^{n-\ell} \left( g_{\dot{\alpha}(n)} \bar{\lambda}^{\dot{\alpha}(n)} \right) \frac{\ell}{(n-\ell)!} \partial_u^3 \left( u^{n-\ell} \partial_u^{-1-\ell} \bar{\partial}^{\ell-1} \bar{\sigma} \right)$$



at the intersection of...



amplitudes

gravitational waves observation

conformal field theory

twistor theory

asymptotic symmetries

quantum field theory

hydrodynamics

string theory

mathematical GR

at the intersection of...



amplitudes

gravitational waves observation

conformal field theory

twistor theory

asymptotic symmetries

quantum field theory

hydrodynamics

string theory

mathematical GR



Thank you!