

Title: Quantum discreteness and spacetime causality: what's in the mix?

Speakers: Sumati Surya

Series: Quantum Foundations, Quantum Information

Date: September 19, 2024 - 9:40 AM

URL: <https://pirsa.org/24090094>

Abstract: The notion of causality is intimately tied to both, a transitive ordering on events, and the possibility of unrelated events. Thus, any causality structure is a partially ordered set or poset. This is the case in Lorentzian spacetime, which possesses a single time direction. In causal set quantum gravity, this spacetime causality structure is "first quantised" by discretising it. However, as with any dynamical quantum theory of spacetime, background notions of causality are insufficient. I will discuss how ordering and discreteness, as manifested in the sequential growth paradigm, provide a broad framework for quantum dynamical notions of causality.

# Quantum discreteness and spacetime causality: what's in the mix?

Sumati Surya,  
Raman Research Institute



Causal Worlds Conference, September 16-20th, 2024,  
Perimeter Institute

## Outline

- Causality and Posets
- Spacetime Causal Structure as the “essence of Lorentzian geometry”
- Causality in Quantum Gravity: the causal set approach
- Histories and the Decoherence Functional
- Sequential growth dynamics
- Interference, causality and covariance

# Causality and Posets

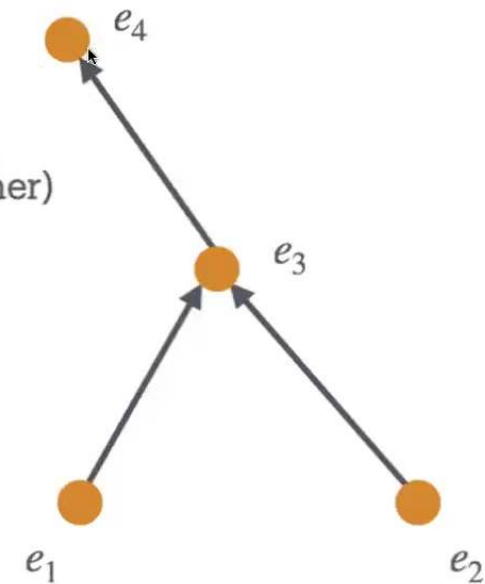
The notion of causality requires:

- transitive ordering on events:  $e_1 < e_3$ ,  $e_3 < e_4 \Rightarrow e_1 < e_4$
- possibility of “unrelations” :  $e_1 || e_2$  ( $e_1$  and  $e_2$  cannot influence each other)

A **Kinematical Causal Structure** on the set of events is a pre-requisite for drawing possible causal inferences:

A possible cause at the kinematic event  $e_1$  could:

- Give rise a potential effect at the kinematic event  $e_3$  and/or  $e_4$
- It can never give rise to an effect at a kinematic event  $e_2$
- It can never give rise to an effect that will influence the cause.



➔ **Poset Structure**

## Causal Inference (from Wikipedia):

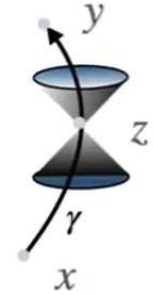
- "...reason[ing] to the conclusion that something is, or is likely to be, the cause of something else".
- "Identification of the cause or causes of a phenomenon, by establishing covariation of cause and effect, a time-order relationship with the cause preceding the effect, and the elimination of plausible alternative causes."

... defined over a **Kinematic Causal Structure Poset**

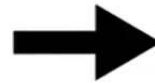
# Posets and Lorentzian Geometry

—Robb, 1914, "A theory of time and space"

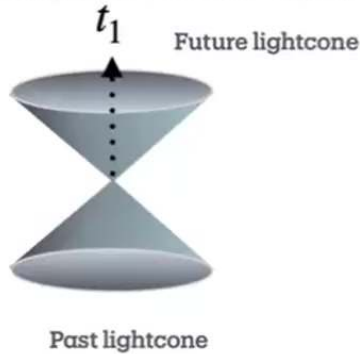
- Causality on  $(M, g)$  defines an order relation on space of events  $M : x < y$
- Principle of Causality:  $(M, g)$  is a causal spacetime  $\Rightarrow <$  is acyclic :  $x < y \Rightarrow y \not< x$



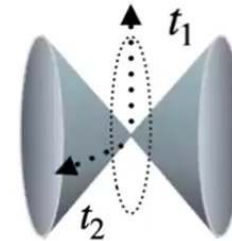
Acyclic:  $x < y \Rightarrow y \not< x$   
 Transitive:  $x < y, y < z \Rightarrow x < z$



$(M, <)$  is a partially ordered set



Poset only for  $(-, +, +, +, \dots)$



No lightcones and hence no causality

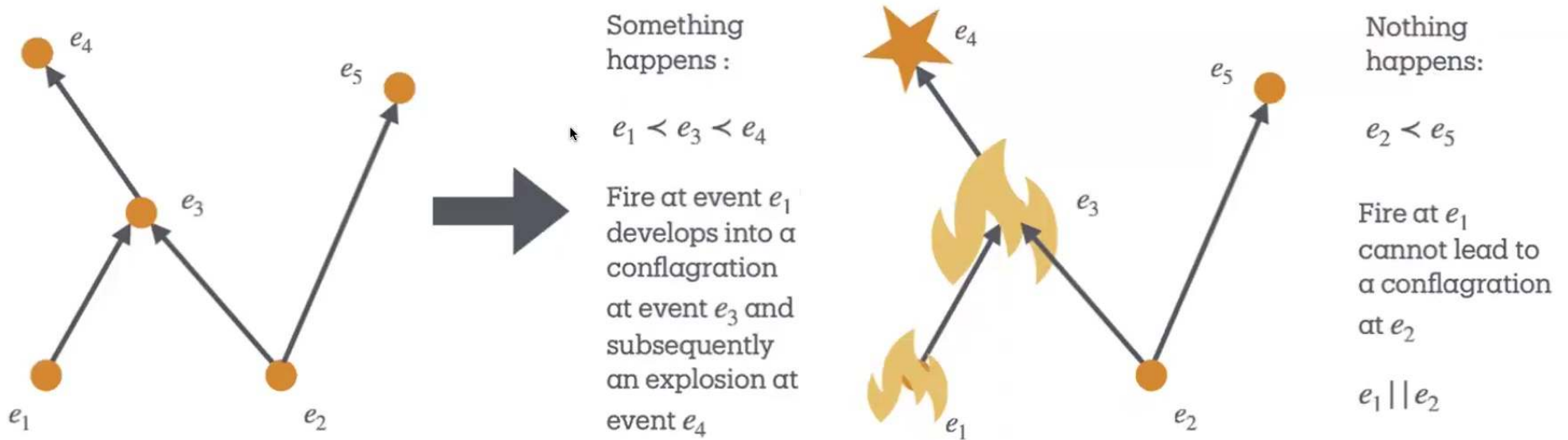
$(-, -, +, +)$  has no poset

# Spacetime provides a Physical, Kinematic Causal Structure

The stage  $(M, <)$ :

- $M$  is a set of spacetime points or “events”
- $<$  is its intrinsic causality relation based on the existence of causal curves between spacetime points

General Relativist: Events are points in a spacetime  
 Others: Events are that things that happen.



# Spacetime Geometry and Causality

*“Extract from  $(M, g)$  its causal essence”*

*Kronheimer and Penrose 1967*

For every causal Lorentzian spacetime  $(M, g)$ , there is a causal structure poset  $(M, <)$

## Theorem:

*If a chronological bijection exists between two  $d$ -dimensional spacetimes with  $d > 2$ , then they are conformally isometric .*

*—Kronheimer, Penrose , 1967*

*—Hawking, King, McCarthy, 1976*

*—Malament, 1977*

**“HKMMKP theorem”:**  $(M, g) = (M, <) + \epsilon$

**“Spacetime is its causal structure  $(M, <)$  plus a local volume element  $\epsilon$ ”**

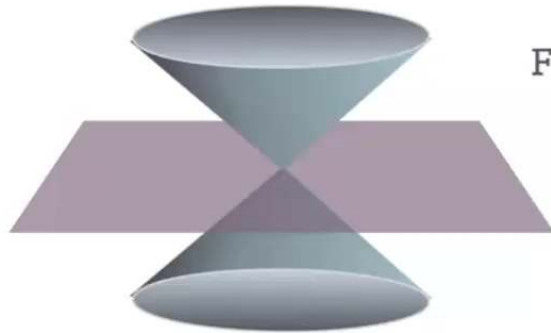
# Causal Structure is Global/Teleological

Penrose, 1972

Hawking and Ellis, 1973

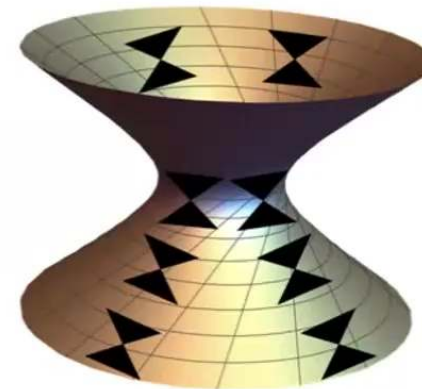
- A single spacetime is a **HISTORY**
- A given spacetime has **ONE** causal structure

Special Relativity ( $\mathbb{R}^d, \eta$ )



Flat light cones

General Relativity ( $M, g$ )



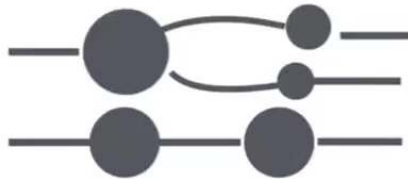
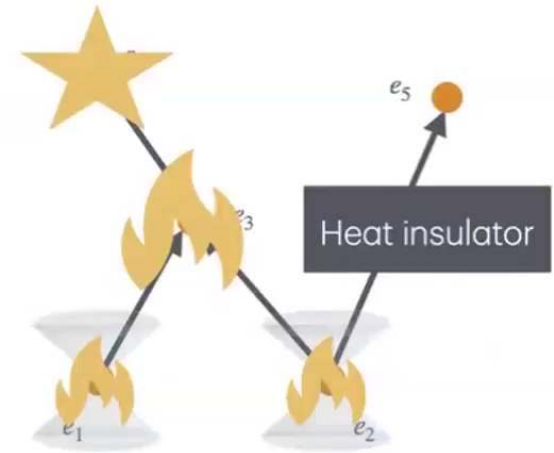
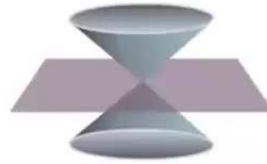
Curved light cones

- Not an “indefinite” causality structure.
- Spacetime Solutions are “dynamically generated” but each solution has a single global causality structure.

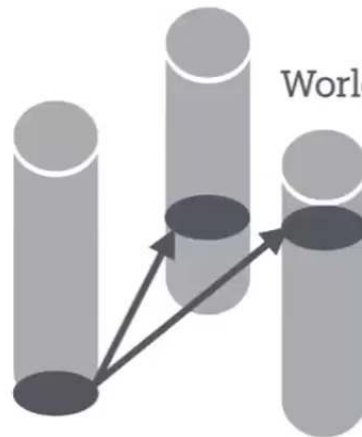
# Can you Generate Dynamical Causality in the Lab?

Salzger and V. Vilasini, 2024

- Background IS Minkowski spacetime
- Blocking Causation doesn't "modify" causal structure
- Circuits are histories
- Modify Potential → Realisation



Quantum Circuit



World "tubes" of a Quantum Circuit

We only measure distances through causal processes. Space is reconstructed through causal processes.

# Kinematic Causality Structure

- Fixed: General Relativity, Special Relativity

- Stochastic : Stochastic Geometry

- Quantum: Quantum Gravity

Combining  
causal structures

- Indefinite causal structures requires combining spacetimes in some way
- Only physically meaningful theory for this is a **quantum theory of gravity**

# Causality in Quantum Theories of Gravity

$$Z = \int \mathcal{D}g e^{\frac{i}{\hbar} S(g)}$$

Should the ontology of quantum gravity include causality at a fundamental level?

- Euclidean approaches:  $Z_{Euc} = \int \mathcal{D}g e^{\frac{1}{\hbar} S_E(g)}$ ,  $g$  is Euclidean  $(+, +, +, +)$
- Lorentzian Approaches:  $g$  is a Lorentzian spacetime,  $(-, +, +, +)$

Euclidean calculations may be relatively easier, but physics needs causality!

Causal Sets,

Causal Dynamical Triangulations,

Causal Spin Foams, ..



Causality is built into the fundamental ontology of geometry

# Causal Set Theory: Quantising the Causal Structure

“HKMMKP theorem”:  $(M, g) = (M, <) + \epsilon$

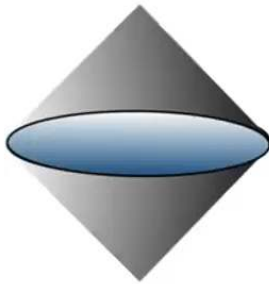
Acyclic:  $x < y \Rightarrow y \not< x$   
 Transitive:  $x < y, y < z \Rightarrow x < z$

+

Discretise  $(M, <)$ :

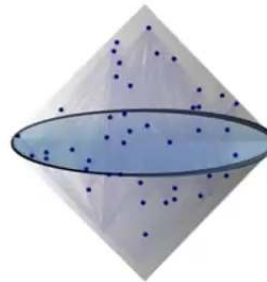
Local Finiteness:  $|\text{Fut}(x) \cap \text{Past}(y)| < \infty$

$\epsilon \sim V$



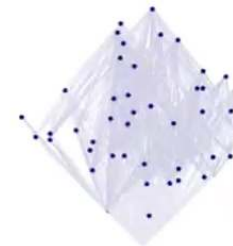
Continuum

$n \propto V$



Continuum-Discreteness

$n$



Discrete

# The Causal Set Hypothesis

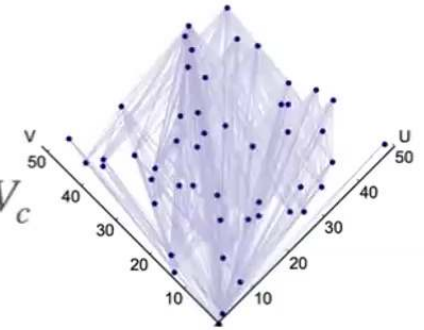
-- Myrheim, 1978

-- Bombelli, Lee, Meyer and Sorkin, 1987

1. Causal Sets are the fine grained structure of spacetime
2. Continuum Spacetime is an approximation of underlying causal sets

Order  $\leftrightarrow$  Causal Order  
Number  $\leftrightarrow$  Spacetime Volume

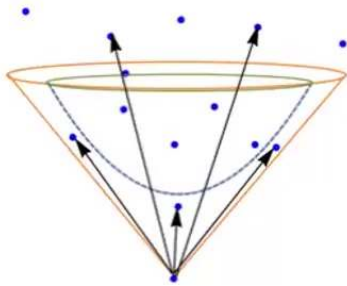
$$\langle n \rangle \propto V, \text{ via a Poisson point process: } P_V(n) = \frac{(\rho V)^n}{n!} e^{-\rho V}, \quad \rho^{-1} = V_c$$



Continuumlike causal set ~ "random lattice"

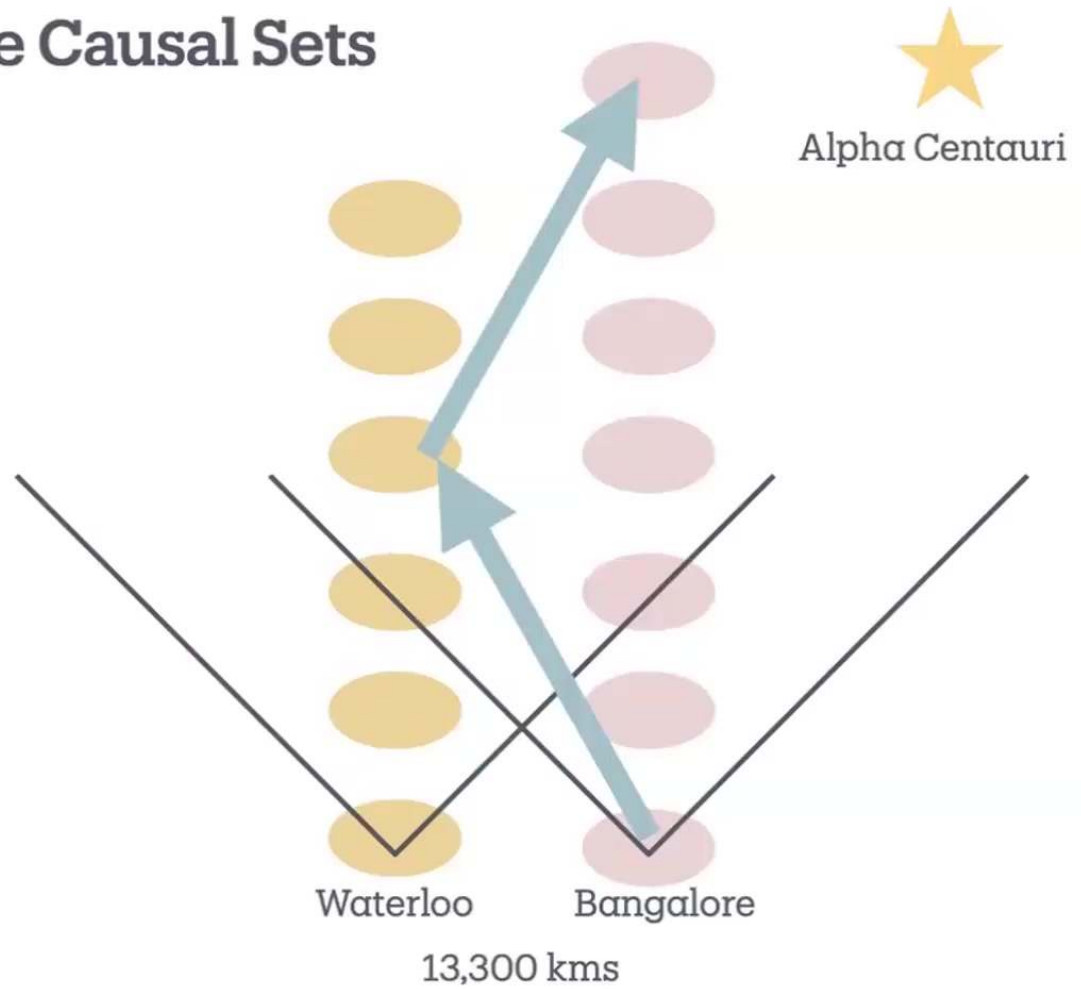
# Properties of Continuumlike Causal Sets

- Non-locality

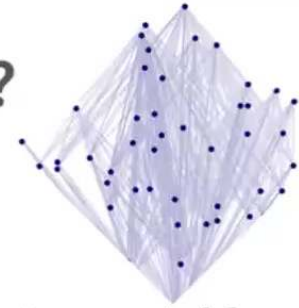


- Lorentz Invariance

*Bombelli, Henson and Sorkin,*



## Combining causal structures: which causality?



- Each causal set is a **history**
- Non-locality of causal sets means that Hamiltonian/state quantisation is not viable
- Sum over causal sets:

$$Z = \sum_{c \in \Omega} e^{\frac{i}{\hbar} S(c)}, \quad \langle O \rangle \equiv \frac{1}{Z} \sum_{c \in \Omega} O(c) e^{\frac{i}{\hbar} S(c)}$$

- What are the rules for dynamics/quantum interference?

Quantum theory as a Generalisation of Stochastic Theory

Decoherence functional cool..



# Measures on Spaces of Histories

- $\Omega$  – sample space of histories
- $\mathcal{S}$  – sigma algebra of “events”
- $\mu : \mathcal{S} \rightarrow [0,1]$  – Probability measure

General Relativist: Events are points in a spacetime

Others: Events are that things that happen.

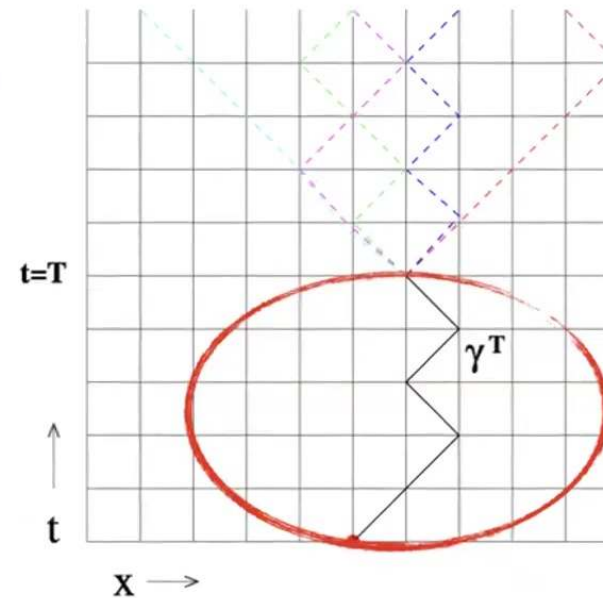
Measure Theorists: Events are measurable sets

## Example: The Random Walk

Finite time event algebra  $\mathcal{A}$  generated by:

$$\text{cyl}(\gamma^T) = \{\gamma \in \Omega \mid \gamma|_T = \gamma^T\}$$

Extension:  $(\Omega, \mathcal{A}, \mu) \rightarrow (\Omega, \mathcal{S}, \mu)$



# The Decoherence Functional

*Griffiths 1984;  
Omnès 1988,  
Gell-Mann and Hartle 1990  
Sorkin, 1994*

- Quantum Measure Theory  $(\Omega, \mathcal{S}, D)$ ,  $D : \mathcal{S} \times \mathcal{S} \rightarrow \mathbb{C}$ 
  - $D(\alpha, \beta) = D^*(\beta, \alpha)$  : Hermitian
  - $D(\alpha \sqcup \alpha', \beta) = D(\alpha, \beta) + D(\alpha', \beta)$  : Biadditive
  - $D(\Omega, \Omega) = 1$  : Normalisation
  - $\mu(\alpha) \equiv D(\alpha, \alpha) \geq 0$  : Positive but non-additive
  - $\psi^\dagger D \psi \geq 0$  on any finite set  $\{\alpha\}$  : Strong Positivity
- Vector Measure  $(\Omega, \mathcal{A}, |\cdot\rangle)$ ,  $|\alpha\rangle \in \mathcal{H}$ , **Histories Hilbert Space**
- State  $|\alpha\rangle$  is over a set of histories, not at a moment of time

Away from unitary:

Conservation of Probability does not imply unitarity

—Dowker, Johnston and Sorkin, 2010  
—Dowker, Johnston and Surya, 2010

# Quantum Interpretation

*Was the world wave function waiting to jump for thousands of millions of years .... for some ..highly qualified measurer ... with a PhD?*

– *J.S Bell, ‘Quantum Mechanics for Cosmologists’, 1981*

- Quantum Measure Theory  $(\Omega, \mathcal{S}, D)$
- Observables are Bell’s be-ables or the **events**  $\alpha \in \mathcal{S}$
- **Principle of Preclusion:**  $\langle \alpha | \alpha \rangle = 0 \Rightarrow \alpha$  doesn’t happen

## Coevent Interpretation

*Sorkin, 1994*

*Sorkin, 2007*

*Dowker, Ghazi-Tabatabai, 2008*

• Intrinsic Causality in Histories Based Quantum Theory: –*Dowker and Sorkin, 2023*

• Measuring a “Probability”  $\approx 1$  –*Chakraborty, Sinha and Sorkin, 2024*

## Quantum Interpretation

*Was the world wave function waiting to jump for thousands of millions of years .... for some ..highly qualified measurer ... with a PhD?*

*– J.S Bell, ‘Quantum Mechanics for Cosmologists’, 1981*

- Quantum Measure Theory  $(\Omega, \mathcal{S}, D)$
- Observables are Bell’s be-ables or the **events**  $\alpha \in \mathcal{S}$
- **Principle of Preclusion:**  $\langle \alpha | \alpha \rangle = 0 \Rightarrow \alpha$  doesn’t happen

### Coevent Interpretation

*Sorkin, 1994*

*Sorkin, 2007*

*Dowker, Ghazi-Tabatabai, 2008*

• Intrinsic Causality in Histories Based Quantum Theory: *–Dowker and Sorkin, 2023*

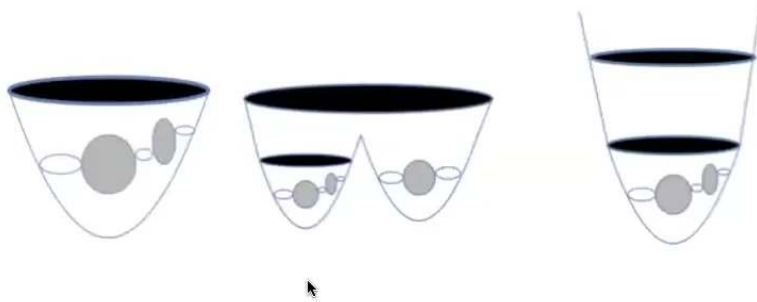
• Measuring a “Probability”  $> 1$  *–Chakraborty, Sinha and Sorkin, 2024*

# Covariance and Teleology in Quantum Gravity

Covariance or Observer independence: wait for the full spacetime to evolve!

Teleological events:

- The return event in the Random Walk
- Black Holes
- Features of Causal Structure



Is there a single connected universe?

Is the causal structure continuous or discontinuous?

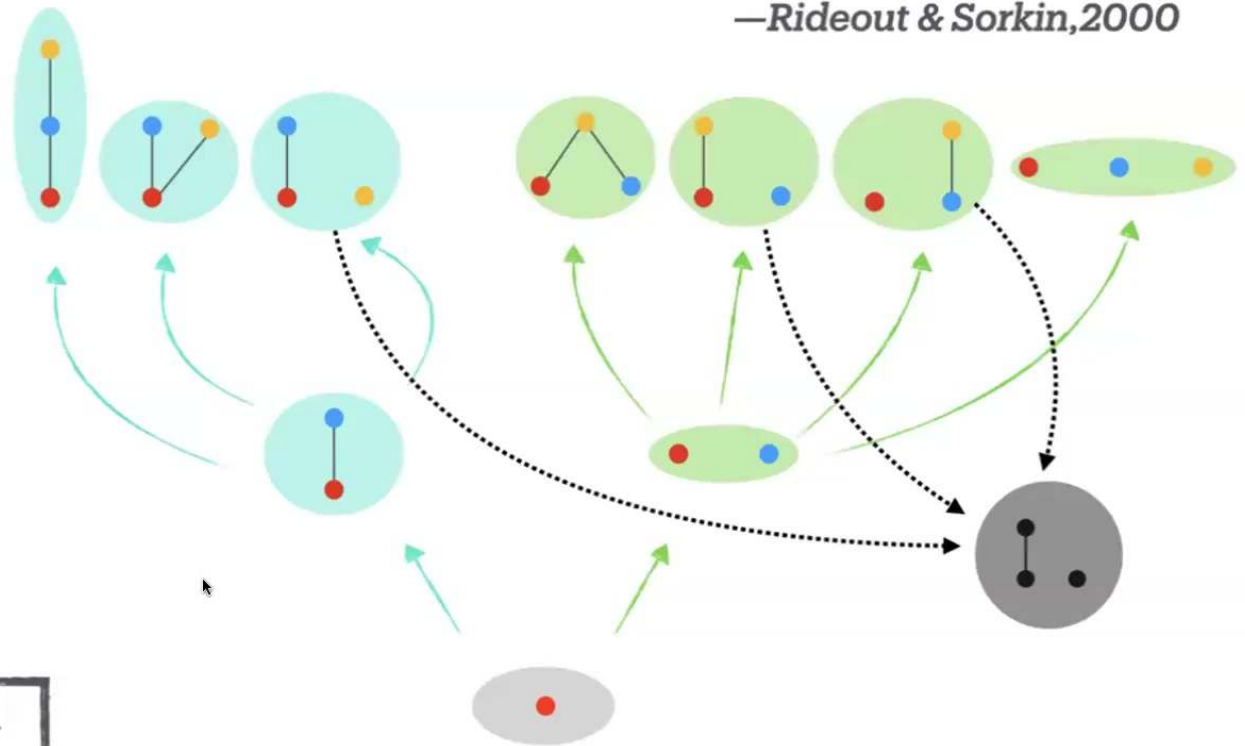
Is the spacetime globally hyperbolic?

Is the spacetime causally simple?

# The Sequential Growth Paradigm: growing a universe causally

- **Causal Growth:** new element cannot be added to the past of an existing element
- **Covariance or Label Independence**
- **Markovian**
- **Bell Causality:** Spectator independence

—Rideout & Sorkin, 2000



Stochastic Dynamics is specified by a single transition probability per stage of the growth  $\{q_n\}$

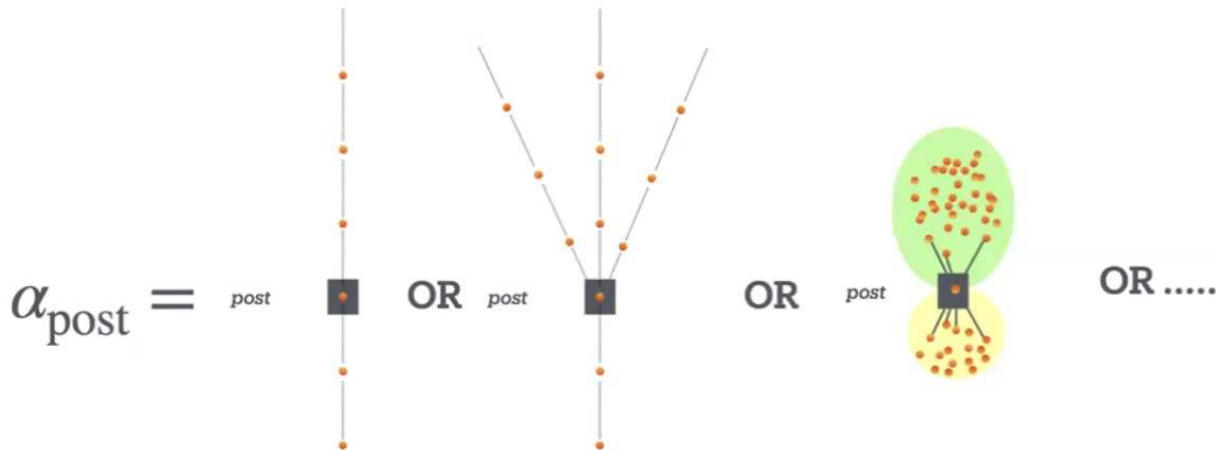
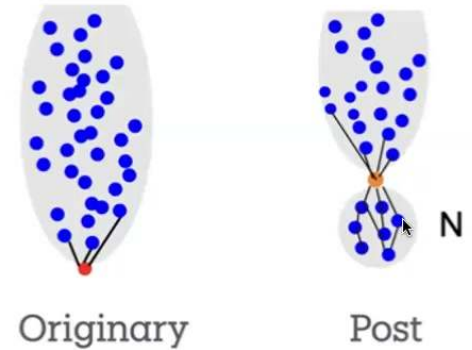
Stochastic Growth: Causality in an Unpinned Universe  
*Huw Price's talk*

# Covariant Events/Observables

- Examples:

- Ordinary event  $\alpha_{\text{orig}} \equiv \{c \in \Omega_{pf} \mid \exists e, \forall e' \neq e \Rightarrow e < e'\}$
- Post event  $\alpha_{\text{post}} \equiv \{c \in \Omega_{pf} \mid \exists e, \forall e' \neq e, e' < e \text{ or } e < e'\}$

- Each such event is a union of different causal sets each with a different causal structure:



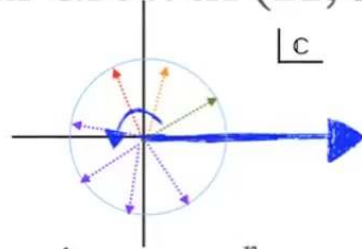
Whither causality?

- Dynamics
- Induced causal structure

**The post event is causally defined and needs no external time!**

# Quantum Sequential Growth $(\Omega, \mathcal{A}, | \cdot \rangle)$ : An example

CSG Dynamics:  $\mathcal{H} \simeq \mathbb{C}$



- Dowker, Johnston & Surya, 2010
- Surya & Zalel, 2020
- Surya, 2016 (unpublished)

Complex Percolation:  $t = x + iy, t_n = t^n$

Is the originary event precluded?

- $|\alpha_{\text{orig}}\rangle = \varphi(q) |\Omega\rangle, \varphi(q)$  is the Euler function
- $|\text{stem}(a_2)\rangle = |\Omega\rangle - |\alpha_{\text{orig}}\rangle = 1 - \varphi(q)$

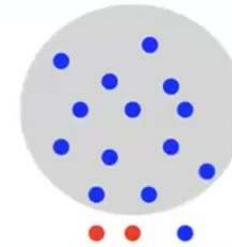
No zeros for  $|\alpha_{\text{orig}}\rangle$ : Originary event is never precluded

There are zeros for  $|\text{stem}(a_2)\rangle$ , i.e., this event is precluded.

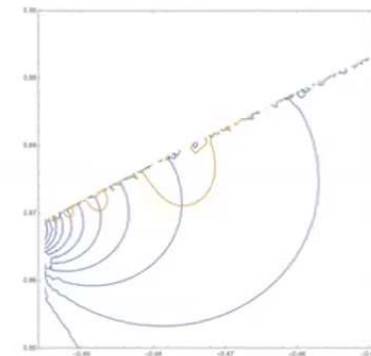
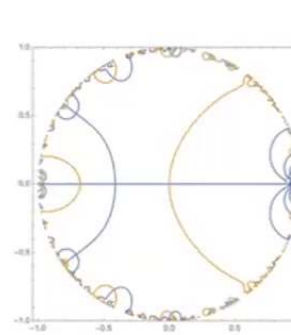
This is an example of a “quantum reality” for a covariant, teleological and causally defined event which is itself a superposition of different causal structures.



Originary

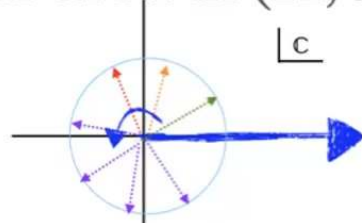


Stem( $a_2$ )



# Quantum Sequential Growth $(\Omega, \mathcal{A}, | \cdot \rangle)$ : An example

CSG Dynamics:  $\mathcal{H} \simeq \mathbb{C}$



- Dowker, Johnston & Surya, 2010
- Surya & Zalel, 2020
- Surya, 2016 (unpublished)

Complex Percolation:  $t = x + iy, t_n = t^n$

Is the originary event precluded?

- $|\alpha_{\text{orig}}\rangle = \varphi(q) |\Omega\rangle, \varphi(q)$  is the Euler function
- $|\text{stem}(a_2)\rangle = |\Omega\rangle - |\alpha_{\text{orig}}\rangle = 1 - \varphi(q)$

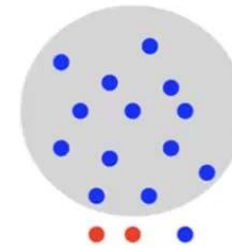
No zeros for  $|\alpha_{\text{orig}}\rangle$ : Originary event is never precluded

There are zeros for  $|\text{stem}(a_2)\rangle$ , i.e., this event is precluded.

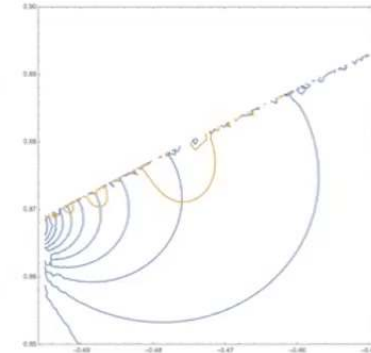
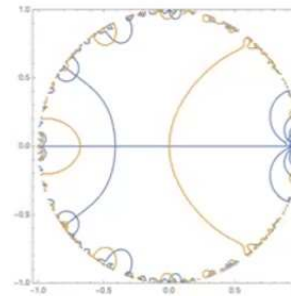
This is an example of a “quantum reality” for a covariant, teleological and causally defined event which is itself a superposition of different causal structures.



Originary



Stem( $a_2$ )

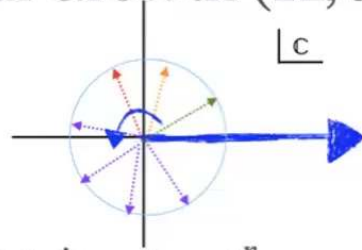


## Summary

- Spacetime provides a kinematic background causal structure
- Causal Structure is Globally/Teleologically defined
- Physical indefiniteness in the causal structure arises from a quantum theory of gravity
- Histories based approach for Observer/Measurement Independence
- Example of causal set theory: a covariant formulation of causally interesting questions

# Quantum Sequential Growth $(\Omega, \mathcal{A}, | \cdot \rangle)$ : An example

CSG Dynamics:  $\mathcal{H} \simeq \mathbb{C}$



- Dowker, Johnston & Surya, 2010
- Surya & Zalel, 2020
- Surya, 2016 (unpublished)

Complex Percolation:  $t = x + iy, t_n = t^n$

Is the originary event precluded?

- $|\alpha_{\text{orig}}\rangle = \varphi(q) |\Omega\rangle, \varphi(q)$  is the Euler function
- $|\text{stem}(a_2)\rangle = |\Omega\rangle - |\alpha_{\text{orig}}\rangle = 1 - \varphi(q)$

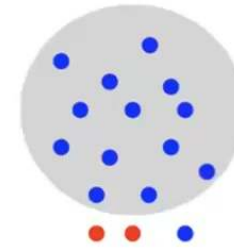
No zeros for  $|\alpha_{\text{orig}}\rangle$ : Originary event is never precluded

There are zeros for  $|\text{stem}(a_2)\rangle$ , i.e., this event is precluded.

This is an example of a “quantum reality” for a covariant, teleological and causally defined event which is itself a superposition of different causal structures.



Originary



Stem( $a_2$ )

