

Title: Universal aspects of decohered and dissipative quantum many-body systems

Speakers: Tarun Grover

Series: Colloquium

Date: May 01, 2024 - 2:00 PM

URL: <https://pirsa.org/24050057>

Abstract: Ground states as well as Gibbs states of many-body quantum Hamiltonians have been studied extensively since the inception of quantum mechanics. In contrast, the landscape of many-body quantum states that are not in thermal equilibrium is relatively less explored. In this talk I will discuss some of the recent progress in understanding decohered or dissipative quantum many-body states. One of the key ideas I will employ is that of "separability", i.e., whether a mixed state can be expressed as an ensemble of short-range entangled pure states. I will discuss several quantum phase transitions in topological phases of matter subjected to Markovian environmental noise from a separability viewpoint, and argue that such a framework also subsumes our understanding of pure quantum states as well as Gibbs states. Time permitting, I will also provide a brief overview of quantum spin-systems subjected to non-Markovian noise originating from an electronic bath, and discuss a new critical phase of matter where quantum coherence coexists with dissipation.

References: 2307.13889, 2309.11879, 2310.07286

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Zoom link

# Universal aspects of decohered and dissipative quantum many-body systems

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Kai-Hsin Wu  
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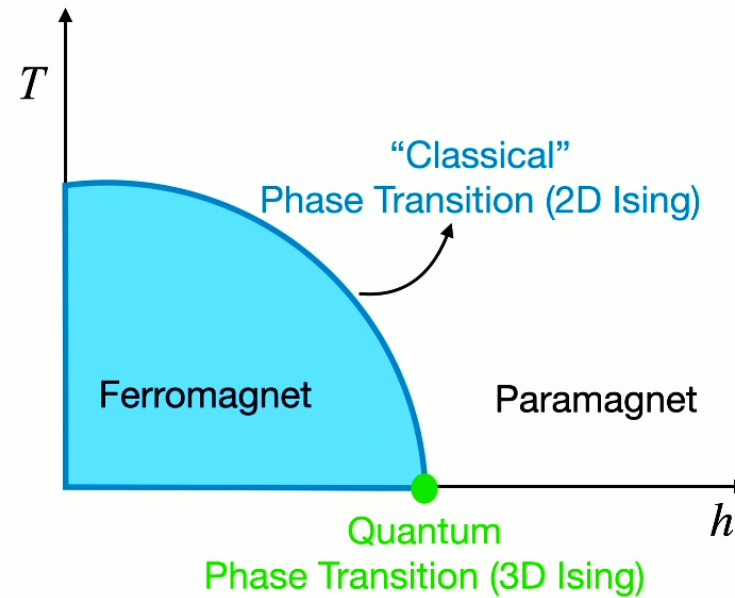
Ying-Jer Kao  
(National Taiwan U.)

**Does quantum mechanics matter in an  
open quantum system?**

## Motivation #1:

### Quantum Vs Classical Phase Transitions

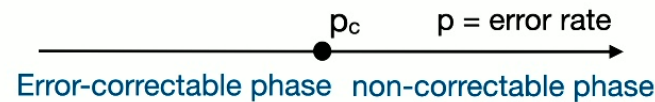
$$H = \sum_{\langle i,j \rangle} -Z_i Z_j - h \sum_i X_i \text{ on a square lattice:}$$



Can there exist quantum phase transition at non-zero temperature?

## Motivation #2:

# Stability of “Quantum hard-drive” against Decoherence

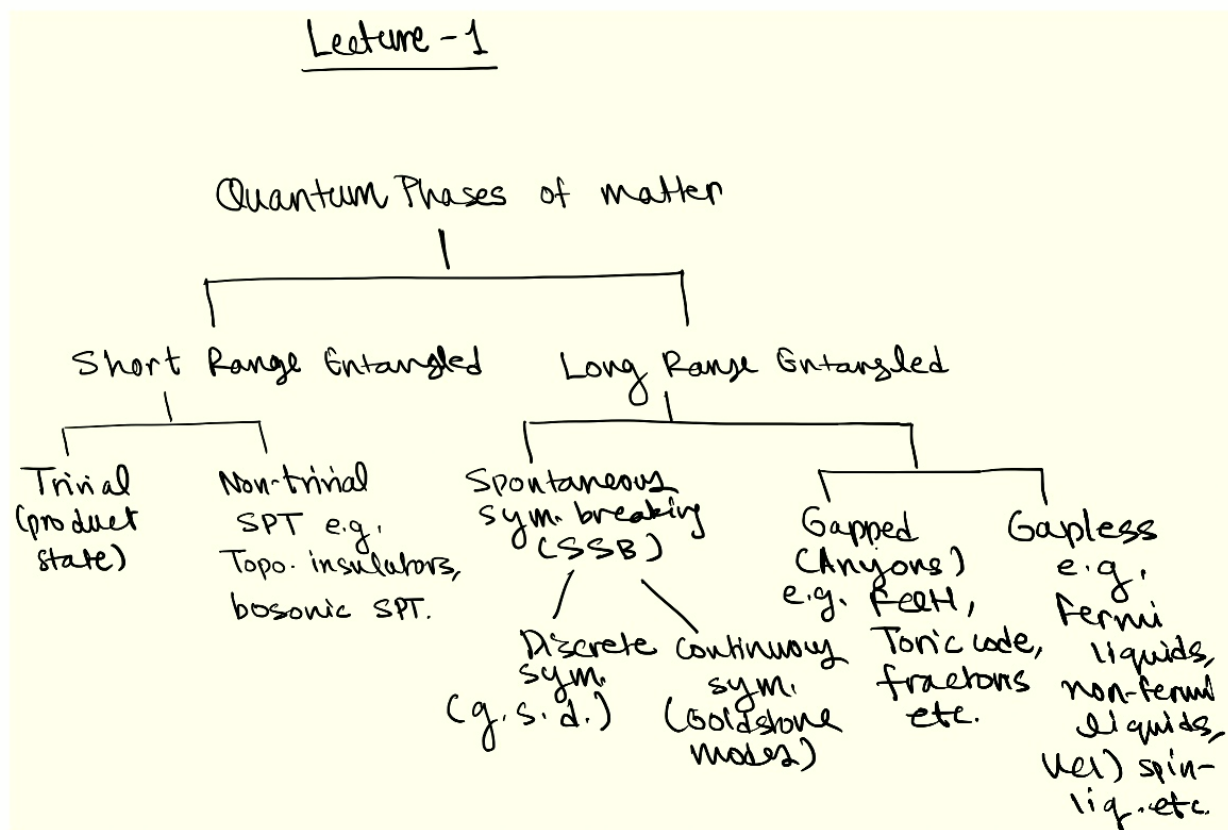


[Dennis, Kitaev, Landahl,  
Preskill 2001]

What is the nature of phase transition between the quantum coherent and incoherent regimes in a quantum computer?

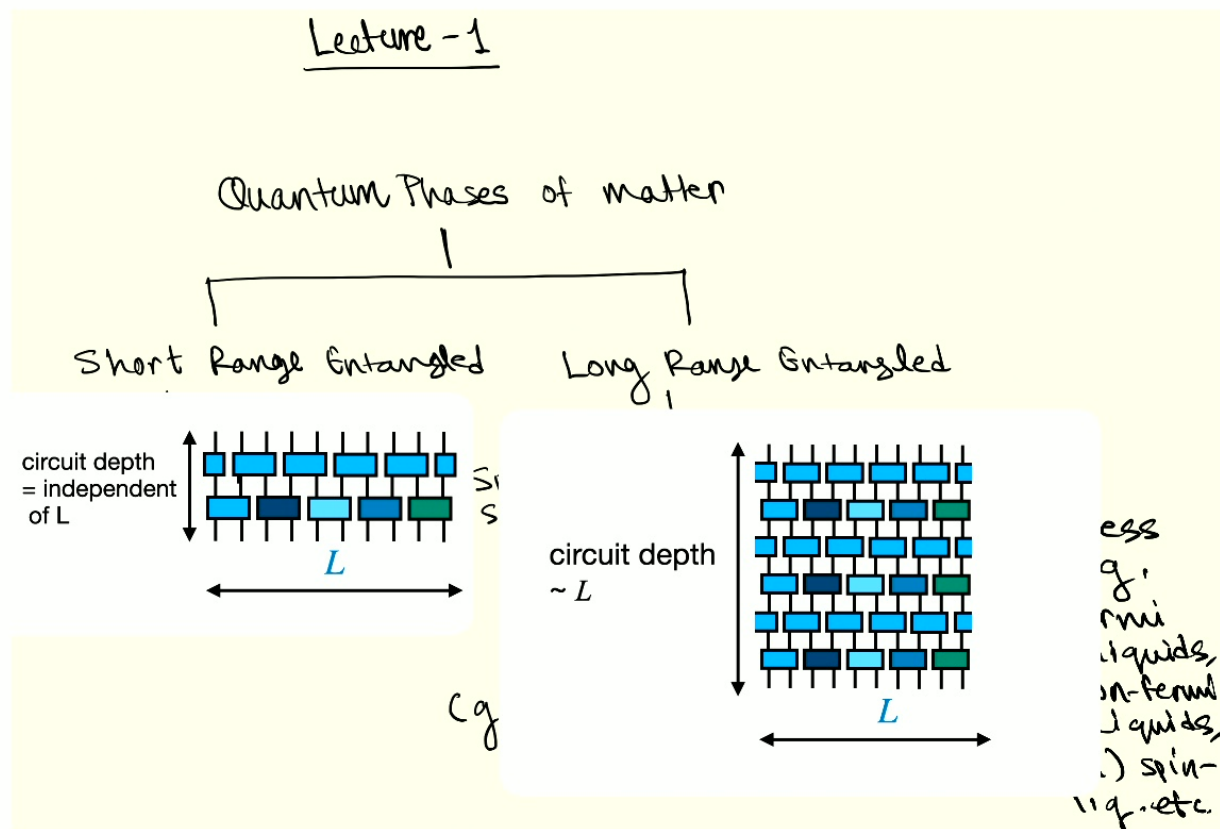
## Motivation #3:

### Quantum Phases of Matter beyond pure states



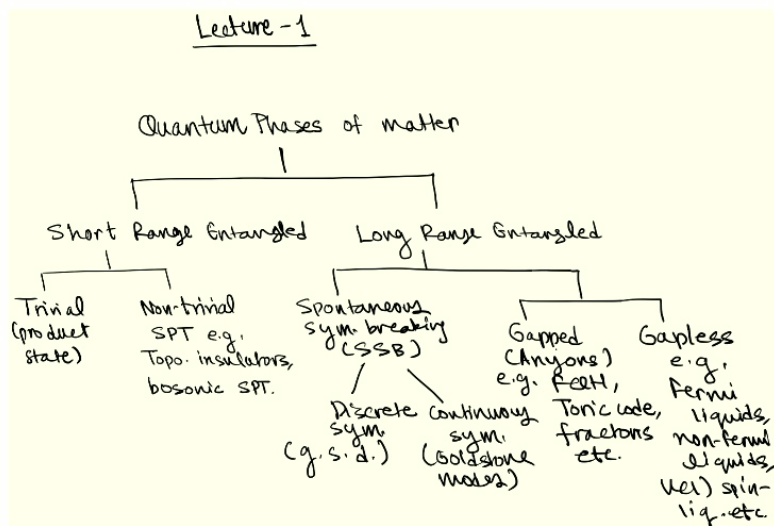
# Motivation #3:

## Quantum Phases of Matter beyond pure states



# Motivation #3:

## Quantum Phases of Matter beyond pure states



### Mixed States



Interesting, non-fine tuned, mixed states?

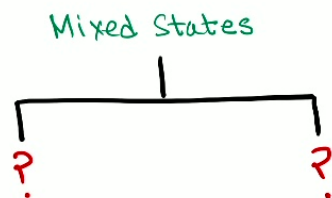
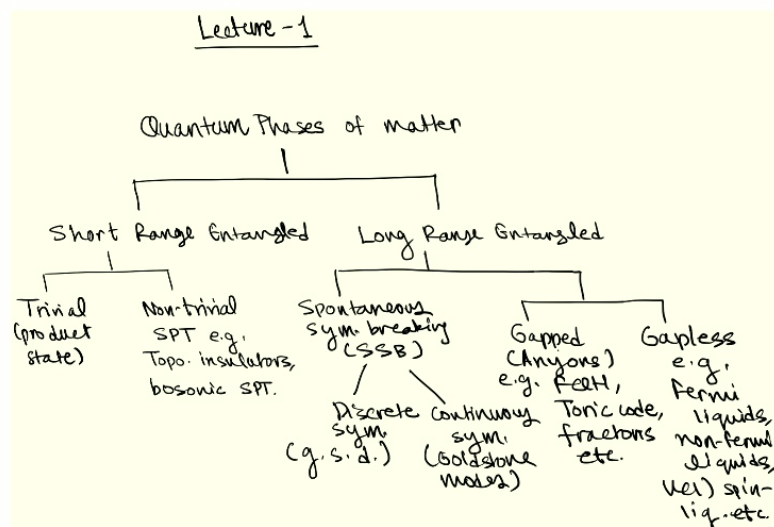
Gibbs states of  $k$ -local Hamiltonians, long-range entangled states + local decoherence, non-Markovian noise, engineered dissipation,...

More ideas needed...



# Motivation #3:

## Quantum Phases of Matter beyond pure states



Interesting, non-fine tuned, mixed states?

Gibbs states of k-local Hamiltonians, long-range entangled states + local decoherence, non-Markovian noise, engineered dissipation,...

More ideas needed...

Zeroth Order question:

When is a mixed state unentangled (“separable”)?

# Separable (= Unentangled) Mixed States

[Werner 1989] If  $\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$ , with  $p_i > 0$

where each  $|\psi_i\rangle$  is unentangled between parties A and B i.e.  $|\psi_i\rangle = |\phi_{i,A}\rangle \otimes |\phi_{i,B}\rangle$ , then  $\rho$  is bipartite separable (i.e. unentangled).

Consider  $\rho = p |\psi_{Bell}\rangle\langle\psi_{Bell}| + (1-p) \frac{\mathbb{1}}{4}$

where  $\frac{1}{\sqrt{2}} (|\uparrow\rangle|\downarrow\rangle - |\downarrow\rangle|\uparrow\rangle)$

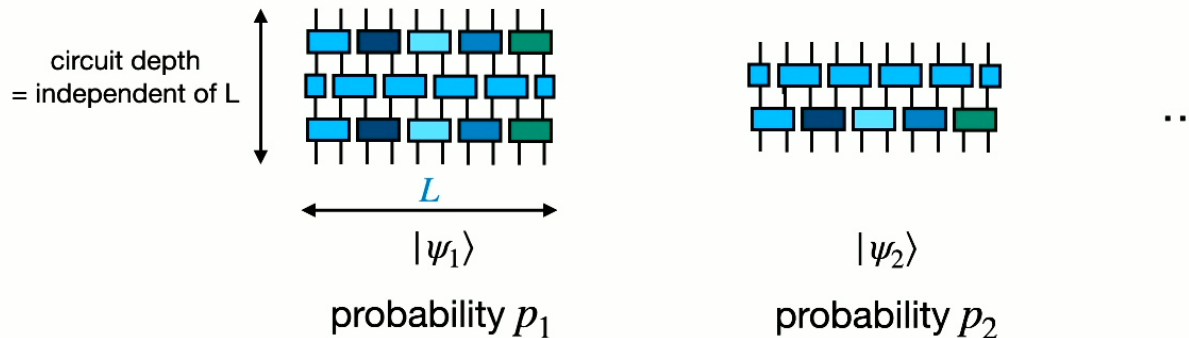


**Many-body analogs of such transitions?**

## Short-ranged entangled (SRE) mixed states = generalization of separability to many-body setup

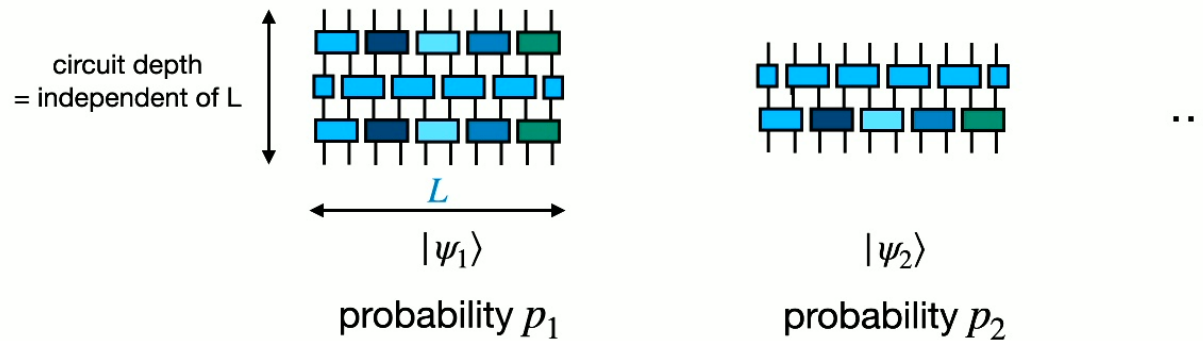
If a density matrix admits a decomposition  $\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$  where each  $|\psi_i\rangle$  is short-ranged entangled (i.e. can be prepared via a finite-depth, local, unitary circuit), then we will call  $\rho$  a “short-ranged entangled (SRE) mixed-state”.

(Motivated from [Hastings 1106.6026])



## Symmetric Short-ranged entangled (sym-SRE) mixed states

If a density matrix admits a decomposition  $\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$  where each  $|\psi_i\rangle$  is short-ranged entangled, and can be prepared via a finite-depth, local, unitary circuit composed of **symmetric** gates, then we will call  $\rho$  a “**sym-SRE mixed-state**”.



Each local gate  $\square$  satisfies,  $[\square, U] = 0$ , where  $U$  is the generator of the symmetry.

*Infinitely many decompositions of a density matrix into pure states (!)*

$$\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i| = \sum_i p'_i |\psi'_i\rangle\langle\psi'_i| = \sum_i p''_i |\psi''_i\rangle\langle\psi''_i| = \dots$$

**No general algorithm to determine if it is SRE/LRE.**



*“All possible decompositions”*

(Credit: Google Gemini)

Some useful tools to make progress:

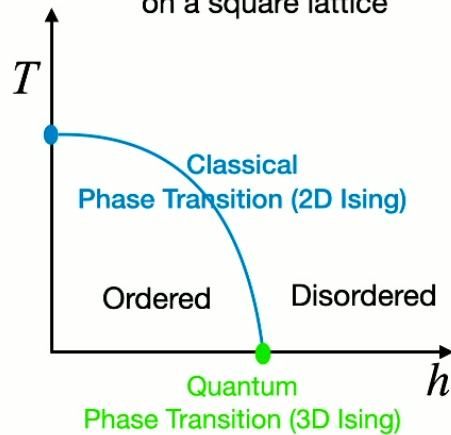
- Explicit demonstration of SRE decomposition.
- Symmetry arguments to show LRE.
- Lieb-Robinson bounds to show LRE.

# Spontaneous symmetry breaking as a separability transition

Claim:

$$H = - \sum_{\langle i,j \rangle} Z_i Z_j - h \sum_i X_i \quad \text{The Gibbs state } \rho \propto e^{-H/T} \text{ is sym-LRE for } T < T_c$$

on a square lattice



Proof by contradiction: Assume  $\rho$  is sym-SRE for  $T < T_c$ .

$$\rho = \rho_+ + \rho_-$$

$$\rho_{\pm} = \left(\frac{1 \pm U}{2}\right) \rho \quad U = \prod_i X_i$$

(generator of Ising symmetry)

$$U \rho_{\pm} = \pm \rho_{\pm}$$

$$\rho_{\pm} = \sum_{\alpha} p_{\alpha, \pm} |\psi_{\alpha, \pm}\rangle \langle \psi_{\alpha, \pm}|$$

$$\rho \text{ sym-SRE} \Rightarrow |\psi_{\alpha, \pm}\rangle \text{ SRE}$$

$$\Rightarrow \langle \psi_{\alpha, \pm} | Z_j Z_k | \psi_{\alpha, \pm} \rangle - \langle \psi_{\alpha, \pm} | Z_j | \psi_{\alpha, \pm} \rangle \langle \psi_{\alpha, \pm} | Z_k | \psi_{\alpha, \pm} \rangle \sim e^{-|i-j|/\xi}$$

$$\Rightarrow \text{tr}(\rho Z_j Z_k) = \sum_{\pm} \sum_{\alpha} p_{\alpha, \pm} \langle \psi_{\alpha, \pm} | Z_j Z_k | \psi_{\alpha, \pm} \rangle \sim e^{-|i-j|/\xi}$$

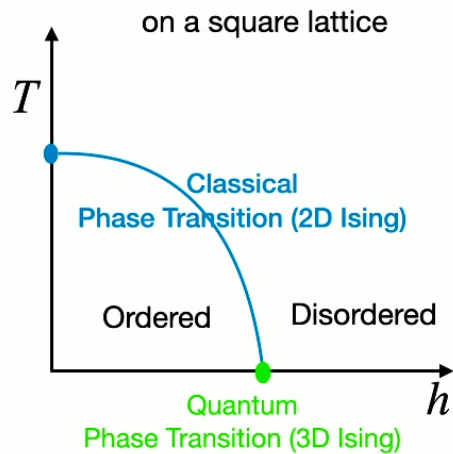
Contradiction because of spontaneous long-range order for  $T < T_c$

[Yu-Hsueh Chen, TG, 2310.07286; Argument inspired from Lu, Zhang, Hsieh, Vijay 2303.15507]

# Spontaneous symmetry breaking as a separability transition

$$H = - \sum_{\langle i,j \rangle} Z_i Z_j - h \sum_i X_i$$

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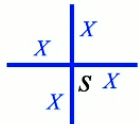
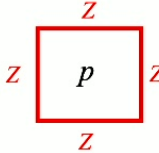
sym-SRE decomposition for  $T > T_c$ :

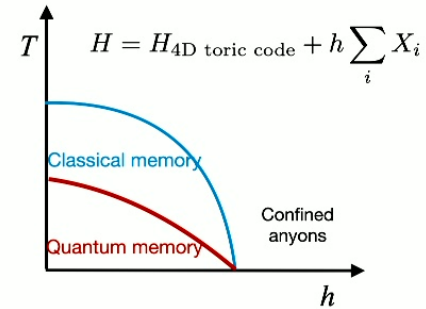
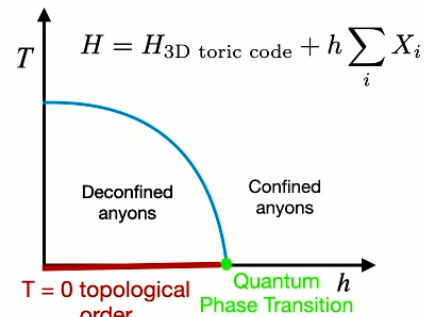
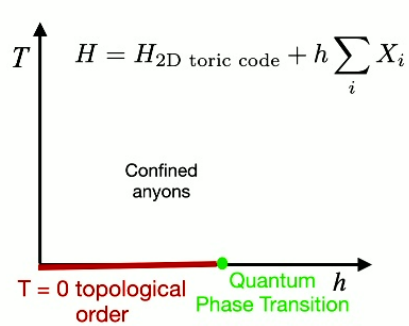
$$\rho = \sum_{x_v} \sqrt{\rho} |x_v\rangle \langle x_v| \sqrt{\rho}$$

Claim: Pure states  $\sqrt{\rho} |x_v\rangle$  are SRE for  $T > T_c$  and LRE for  $T < T_c$ .

Heuristic argument: Using field-theory arguments, correlations functions with respect to  $\sqrt{\rho} |x_v\rangle$  can be mapped to that in the 2d classical Ising model.

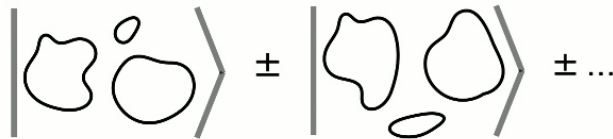
## Another example: Separability in Gibbs state of toric code

$$H = -\lambda_A \sum_s A_s - \lambda_B \sum_p B_p$$



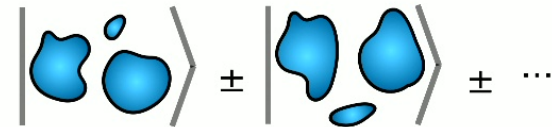


[Dennis, Kitaev, Landahl, Preskill 2001; Yoshida 2011; Hastings 2011]

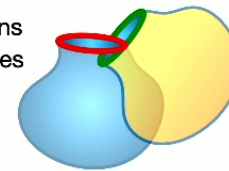
2D, 3D: eigenstates = superposition of closed loops



4D: eigenstates = superposition of closed membranes



anyonic excitations  
= open membranes





## Another example: Separability in Gibbs state of toric code

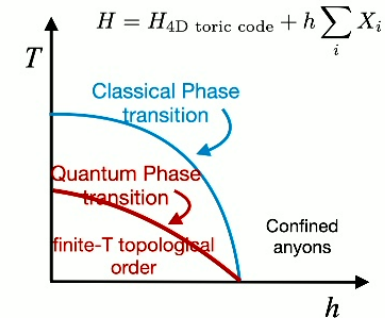
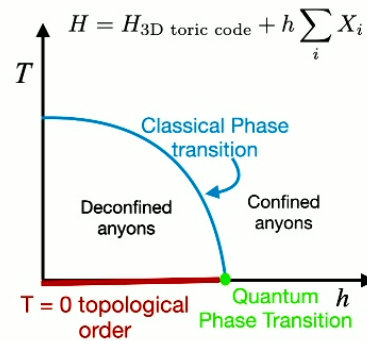
$$\rho = \frac{1}{Z} \sum_m \underbrace{e^{-\beta H/2} |m\rangle \langle m| e^{-\beta H/2}}_{= |\phi_m\rangle} = \frac{1}{Z} \sum_m |\phi_m\rangle \langle \phi_m|$$

where  $\{ |m\rangle \}$  = complete set of product states in the X or Z basis.

One can argue that all  $|\phi_m\rangle$  are SRE whenever  $T > \min(T_A, T_B)$  where  $T_A, T_B$  correspond to the critical temperatures of the classical Hamiltonians

$$-\lambda_A \sum_s A_s \begin{array}{c|c} X & X \\ \hline s & X \\ X & \end{array}, \quad -\lambda_B \sum_p B_p \begin{array}{c} Z \\ \hline p \\ \hline Z \end{array}$$

Dimension	$T_A$	$T_B$
2D	$\frac{O(\lambda_A)}{\log L}$	$\frac{O(\lambda_B)}{\log L}$
3D	$\frac{O(\lambda_A)}{\log L}$	$O(\lambda_B)$
4D	$O(\lambda_A)$	$O(\lambda_B)$

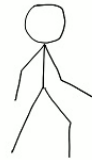
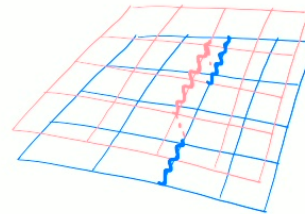
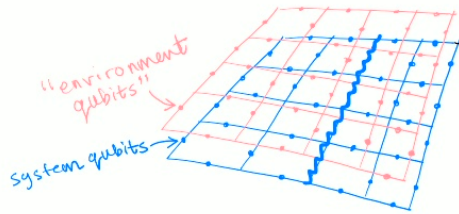
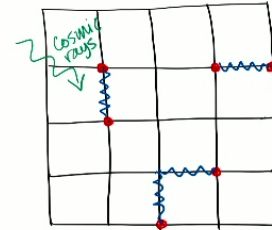
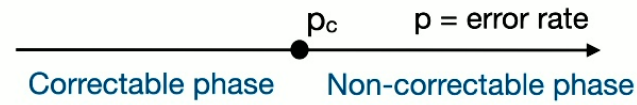


[Tsung-Cheng Lu, Tim Hsieh, TG 2019]

# Decoding transition in toric code as an intrinsic mixed-state transition

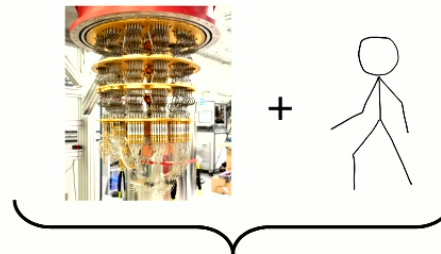
[Dennis, Kitaev, Landahl, Preskill 2001]

Active quantum error-correction (e.g. 2D toric code)



topologically ordered

Environment

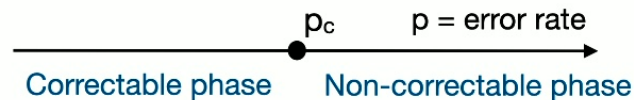


topologically ordered

# Decoding transition in toric code as an intrinsic mixed-state transition

Recent works, in particular, Fan, Bao, Altman, Vishwanath [2301.05689; 2301.05687], and Lee, Jian, Xu [2301.05238] have formulated decoding transition as an intrinsic transition for the decohered mixed-state.

- Coherent information jumps across the transition from  $2 \log(2)$  to zero at  $p = p_c$ .
- Renyi negativity also shows a phase transition from  $\log(2)$  to zero.

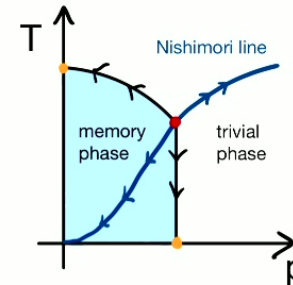


Is the density matrix SRE in the non-correctable phase?

# Decoding transition as a separability transition

Key idea: Write decohered  $\rho$  as

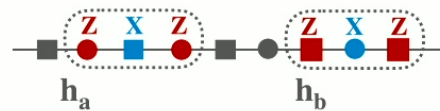
$$\rho = \sum_{z_e} \underbrace{\rho^{1/2} |z_e\rangle \langle z_e| \rho^{1/2}}_{= |\psi_m\rangle} \equiv \sum_m |\psi_m\rangle \langle \psi_m|$$



All  $|\psi_m\rangle$  undergo transition from topological to trivial precisely at  $p_c$  corresponding to the decoding transition.

[Yu-Hsueh Chen, TG, 2309.11879]

# Symmetry enforced separability transitions in cluster states



$$H = - \sum_{j=1}^N (Z_{b,j-1} X_{a,j} Z_{b,j} + Z_{a,j} X_{b,j} Z_{a,j+1})$$

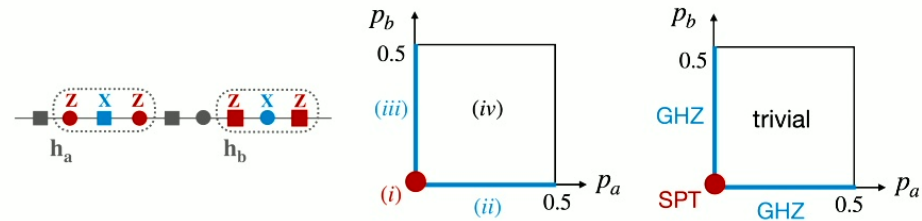
$$= \sum_{j=1}^N h_{a,j} + h_{b,j}$$

Ground state  $\rho_0 = \prod_j (1 - h_{a,j})(1 - h_{b,j})$  is a non-trivial SPT phase (i.e. sym-LRE)  
protected by  $Z_2 \times Z_2$  symmetry.

Let's subject  $\rho_0$  to the channel  $\mathcal{E}_{a/b,j}[\rho] = (1 - p_{a/b})\rho + p_{a/b} Z_{a/b,j} \rho Z_{a/b,j}$

**Is the resulting state sym-SRE at any non-zero  $p_a$  and/or  $p_b$ ?**

# Symmetry enforced separability transitions in cluster states

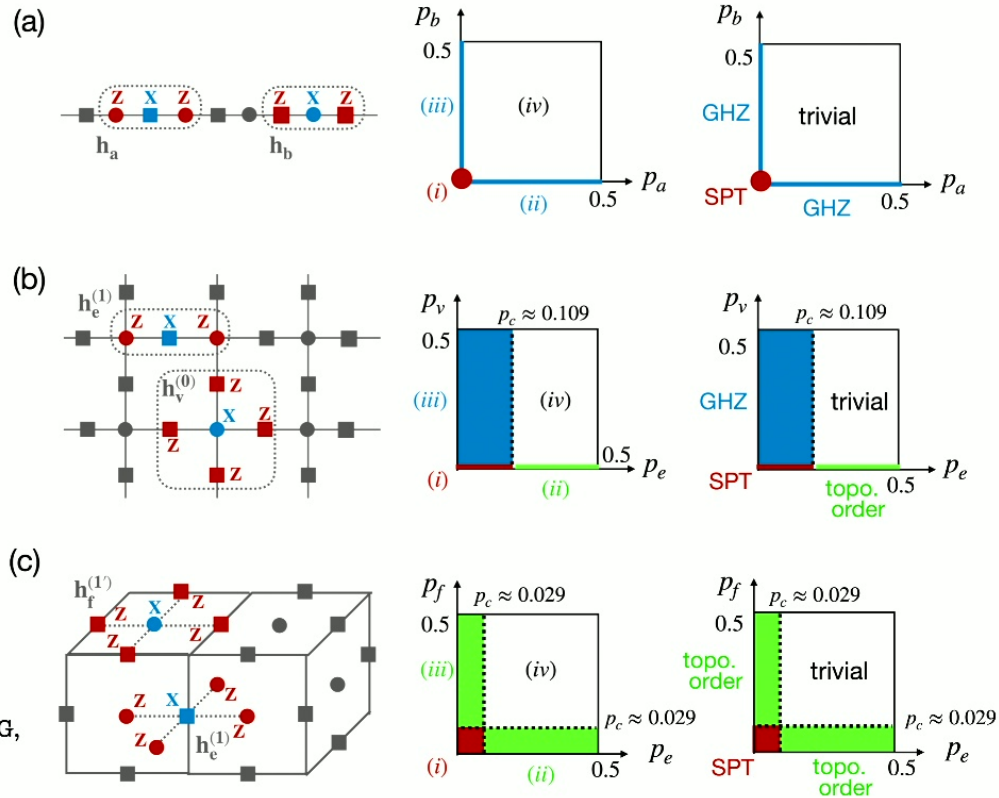


Result:  $\rho$  sym-LRE as long as  $p_a = 0$  or  $p_b = 0$  (regions i, ii, iii).  
sym-SRE if both  $p_a, p_b$  non-zero (region iv). Proof only uses  
Lieb-Robinson bounds and works in the whole SPT phase.

[Yu-Hsueh Chen, TG, 2310.07286]

Related results by Ma, Wang [2209.02723], and Ma et al [2305.16399]: in regions i, ii, iii,  $\rho$  cannot  
be purified to an SRE pure state using a symmetric, finite-depth channel.

# Symmetry enforced separability transitions in cluster states



[Yu-Hsueh Chen, TG,  
2310.07286]

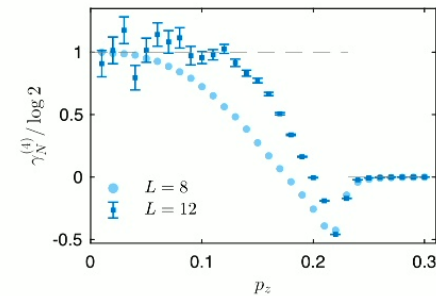
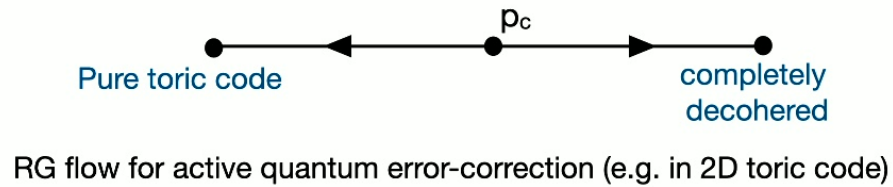
3d result related to finite-T SPT order (Roberts, Yoshida, Kubica, Bartlett, 1611.05450), however the universality for the separability transition is different (3d random plaquette gauge model).





## New mixed state phases due to non-Markovian bath?

Markovian (i.e. memoryless), local, baths tend to decrease entanglement.



non-Markovianity can generate entanglement

### Mixed-State Long-Range Order and Criticality from Measurement and Feedback

Tsung-Cheng Lu<sup>1,\*</sup>, Zhehao Zhang<sup>2,†</sup>, Sagar Vijay<sup>2,‡</sup> and Timothy H. Hsieh<sup>1,§</sup>

<sup>1</sup>Perimeter Institute for Theoretical Physics, Waterloo, Ontario N2L 2Y5, Canada

<sup>2</sup>Department of Physics, University of California, Santa Barbara, California 93106, USA

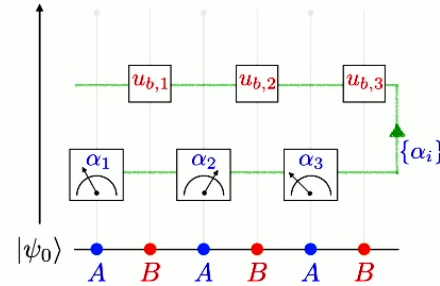


Figure from Lu, Zhang, Hsieh, Vijay 2303.15507.

## Non-Markovianity rather common in solid-state physics...

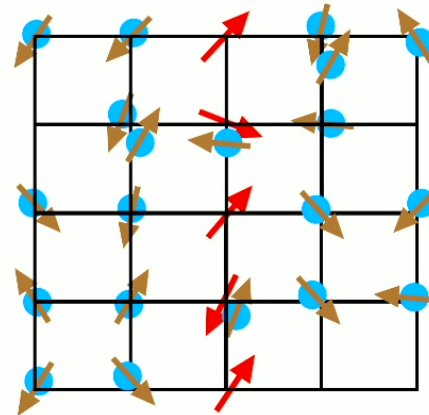
Well-known example: single impurity Kondo problem.  
Electrons act as a non-Markovian bath for the impurity spin.

To make the subsystem, i.e., localized spin a many-body system, let's instead consider a *spin-chain* coupled to fermions.

$$\hat{H} = -t \sum_{\langle i,j \rangle} (\hat{c}_i^\dagger \hat{c}_j + \text{H.c.}) + \frac{J_k}{2} \sum_{l=1}^L \hat{c}_l^\dagger \sigma \hat{c}_l \cdot \hat{S}_l$$

Kinetic energy of fermions with Fermi surface      Kondo interaction

$$+ J_h \sum_{l=1}^L \hat{S}_l \cdot \hat{S}_{l+\Delta l}. \quad \text{1d spin-system}$$



Integrating out fermions generates dissipation for the spins (“Landau damping”)

## Field theory for d=1 Spin Chain coupled to d > 1 Free fermions

Weak-coupling approach:  $SU(N)_k$  WZW CFT perturbed by dissipation

**Kinetic energy**  $S_{\text{Grad}} = \frac{1}{\lambda} \int d\tau dx \text{tr} \left( \frac{1}{c^2} \partial_\tau g \partial_\tau g^{-1} + \partial_x g \partial_x g^{-1} \right)$  (g = SU(N) matrix)

**Wess-Zumino-Witten term ("Berry phase")**  $S_{\text{WZ}} = -\frac{ik}{12\pi} \int d^3y \epsilon^{ijk} \text{Tr}[g^{-1} \partial_i g g^{-1} \partial_j g g^{-1} \partial_k g]$

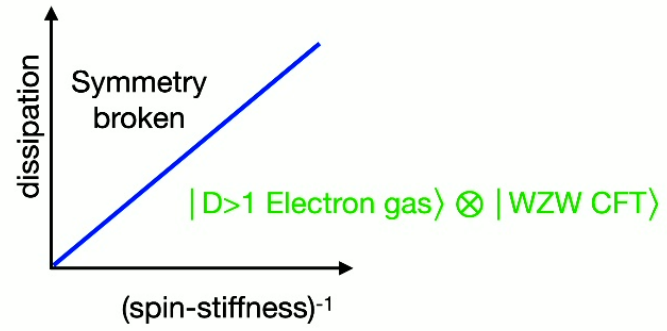
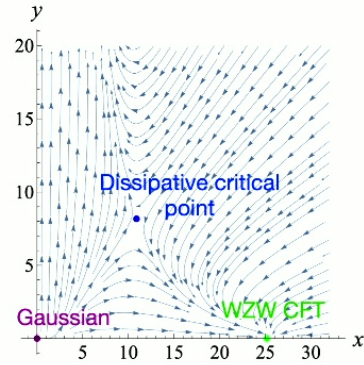
**Dissipation**  $S_{\text{Dis}} = k^2 \gamma \int d\tau d\tau' dx K(\tau - \tau') \text{tr}[\mathbb{1} - g(\tau, x) g^{-1}(\tau', x)]$

$$K(\tau - \tau') = \frac{A}{|\tau - \tau'|^{3-\delta}} \quad \delta = \tilde{\delta}/k, \quad \tilde{\delta} = O(1)$$

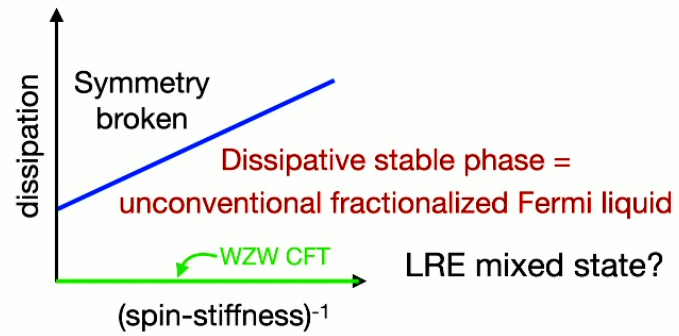
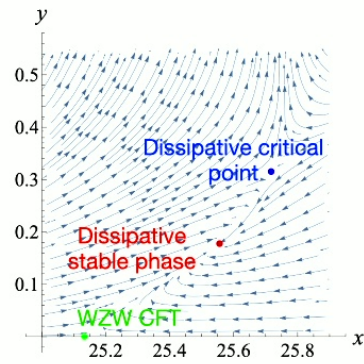
Controlled large-k limit, analogous to Ed Witten, Comm. Math. Phys. 92, 455 (1984).

[Simon Martin, TG, 2307.13889]

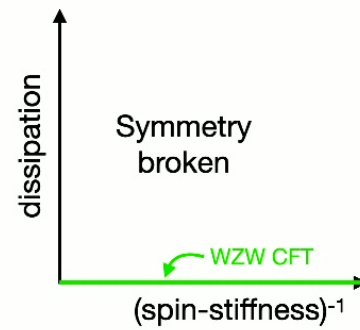
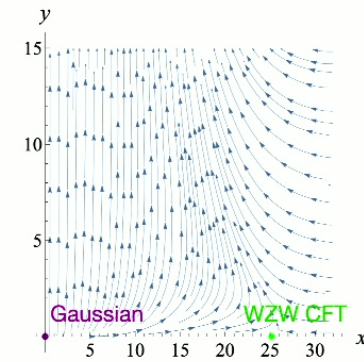
Weak dissipation



Intermediate dissipation



Strong dissipation



## Summary and some future directions

- Separability provides an organizing principle to characterize mixed states as long range or short range entangled, with or without imposing symmetry. Seems to subsume partition-function based definition of equilibrium phases while also giving insights into non-equilibrium settings.
- Finer classification of LRE mixed states? Renormalization group perspective [Sang, Zou, Hsieh, 2310.08639] an important step. Relation to separability?
- Tempting to define complexity of a mixed state as  $C(\rho) = \min \sum_i p_i C(\psi_i)$  where the minimum is taken over all possible decompositions  $\rho = \sum_i p_i |\psi_i\rangle\langle\psi_i|$  of the mixed state  $\rho$ , and  $C(\psi_i)$  is the complexity of the pure state  $|\psi_i\rangle$ .  
By definition,  $C(\rho)$  is constant for SRE mixed states and scales with system size for LRE mixed states. What is the scaling of  $C(\rho)$  at phase transitions from an LRE to an SRE mixed state?