

Title: Quantum Field Theory for Cosmology - Lecture 20240206

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QFT for Cosmology, Achim Kempf, Lecture 9

Mathematical preparations for QFT in curved space:

Plan today:

- Functional derivatives  $\frac{\delta F[g]}{\delta g(x)} = ?$
- Example use 1: to make the QFT Schrödinger equation well defined.
- Example use 2: to define the Functional Legendre transform.
- Use both to obtain the Lagrangian formulation of QFT - which will be starting point for QFT on curved space.

Functional differentiation

Recall:

a.) Differentiation of functions of one variable,  $F(u)$ :

$$\frac{dF(u)}{du} := \lim_{\epsilon \rightarrow 0} \frac{F(u+\epsilon) - F(u)}{\epsilon}$$

b.) Differentiation of functions of countably many variables,  $F(\{u_i\}_{i=1,2,3,\dots})$ :

$$\frac{\partial F(\{u_i\}_{i=1,2,3,\dots})}{\partial u_i} := \lim_{\epsilon \rightarrow 0} \frac{F(u_1, \dots, u_i + \epsilon, \dots) - F(u_1, \dots, u_i, \dots)}{\epsilon}$$

$$= \lim_{\epsilon \rightarrow 0} \frac{F(\{u_i + \epsilon \delta_{ij}\}_{j=1,2,3,\dots}) - F(\{u_i\}_{j=1,2,3,\dots})}{\epsilon}$$

Definition:

c.) Differentiation of functions of uncountably many variables,  $F(\{u(x)\}_{x \in \mathbb{R}^n})$ :

*Note: Since the Dirac delta is not a function but a distribution, which is only defined relative to an integral, the full definition is more technical.*

$$\frac{\delta F(\{u(x)\}_{x \in \mathbb{R}^n})}{\delta u(y)} := \lim_{\epsilon \rightarrow 0} \frac{F(\{u(x) + \epsilon \delta(x-y)\}_{x \in \mathbb{R}^n}) - F(\{u(x)\}_{x \in \mathbb{R}^n})}{\epsilon}$$

→ Since  $F$  is a "functional" in a common language

Example:

$$F[u] := \int_{\mathbb{R}} \cos(x) u(x)^2 dx$$

Then:

$$\frac{\delta F}{\delta u(y)} = \lim_{\epsilon \rightarrow 0} \frac{1}{\epsilon} \left[ \int_{\mathbb{R}} \cos(x) (u(x) + \epsilon \delta(x-y))^2 dx - \int_{\mathbb{R}} \cos(x) u(x)^2 dx \right]$$

*Distribution theory would be needed. But it drops out anyway*

$$= \lim_{\epsilon \rightarrow 0} \frac{1}{\epsilon} \left( \cos(y) (u(y) + \epsilon)^2 + \int_{\mathbb{R}} \cos(x) u(x)^2 dx + \epsilon^2 \delta(x-y)^2 \right) = \cos(y) u(y)^2 + 2\epsilon \cos(y) u(y) + \dots$$

Functional derivatives

$\delta g(x)$

- Example use 1: to make the QFT Schrödinger equation well defined.
- Example use 2: to define the Functional Legendre transform.
- Use both to obtain the Lagrangian formulation of QFT - which will be starting point for QFT on curved space.

Definition:

- c.) Differentiation of functions of uncountably many variables,  $F(\{u(x)\}_{x \in \mathbb{R}^n})$ :

Note: Since the Dirac delta is not a function but a distribution, which is only defined relative to an integral, the full definition is more technical.

$$\frac{\delta F(\{u(x)\}_{x \in \mathbb{R}^n})}{\delta u(y)} := \lim_{\epsilon \rightarrow 0} \frac{F(\{u(x) + \epsilon \delta(x-y)\}_{x \in \mathbb{R}^n}) - F(\{u(x)\}_{x \in \mathbb{R}^n})}{\epsilon}$$

→ Since  $F$  is a "functional", i.e., is mapping functions to numbers

$$F: u \rightarrow F[u] \in \mathbb{C}$$

↑ function
↑ short for  $\{u(x)\}_{x \in \mathbb{R}^n}$

we call  $\frac{\delta F}{\delta u(x)}$  a functional derivative.

$\frac{du}{\epsilon \rightarrow 0} \quad \epsilon$

- b.) Differentiation of functions of countably many variables,  $F(\{u_i\}_{i=1,2,3,\dots})$ :

$$\frac{\partial F(\{u_i\}_{i=1,2,\dots})}{\partial u_i} := \lim_{\epsilon \rightarrow 0} \frac{F(u_1, \dots, u_i + \epsilon, \dots) - F(u_1, \dots, u_i, \dots)}{\epsilon}$$

$$= \lim_{\epsilon \rightarrow 0} \frac{F(\{u_i + \epsilon \delta_{ij}\}_{j=1,\dots}) - F(\{u_i\}_{j=1,\dots})}{\epsilon}$$

Example:

$$F[u] := \int_{\mathbb{R}} \cos(x) u(x)^2 dx$$

Then:

$$\frac{\delta F}{\delta u(y)} = \lim_{\epsilon \rightarrow 0} \frac{1}{\epsilon} \left[ \int_{\mathbb{R}} \cos(x) (u(x) + \epsilon \delta(x-y))^2 dx - \int_{\mathbb{R}} \cos(x) u(x)^2 dx \right]$$

Distribution theory would be needed. But it drops out anyway

$$= \lim_{\epsilon \rightarrow 0} \frac{1}{\epsilon} \int_{\mathbb{R}} \cos(x) \left( u(x)^2 + \epsilon 2u(x)\delta(x-y) + \epsilon^2 \delta^2(x-y) - u(x)^2 \right) dx$$

$$= \lim_{\epsilon \rightarrow 0} \frac{\epsilon}{\epsilon} \int_{\mathbb{R}} 2u(x)\delta(x-y)\cos(x) dx$$

$$= 2 \cos(y) u(y)$$

$\frac{\delta F}{\delta u(y)} := \lim_{\epsilon \rightarrow 0} \frac{F(u + \epsilon \delta(x-y)) - F(u)}{\epsilon}$

→ Since  $F$  is a "functional", i.e., is mapping functions to numbers

$F: u \rightarrow F[u] \in \mathbb{C}$

↑ function      ↑ short for  $\{u(x)\}_{x \in \mathbb{R}^n}$

we call  $\frac{\delta F}{\delta u(x)}$  a functional derivative.

$L^2(\mathbb{R})$

Distribution theory would be needed. But it drops out anyway

$$= \lim_{\epsilon \rightarrow 0} \frac{1}{\epsilon} \int_{\mathbb{R}} \cos(x) \left( u(x)^2 + \epsilon 2u(x)\delta(x-y) + \epsilon^2 \delta^2(x-y) - u(x)^2 \right) dx$$

$$= \lim_{\epsilon \rightarrow 0} \frac{\epsilon}{\epsilon} \int_{\mathbb{R}} 2u(x)\delta(x-y)\cos(x) dx$$

$$= 2\cos(y)u(y)$$

Similarly, one obtains:  $\frac{\delta}{\delta u(y)} \int_{\mathbb{R}} f(x)u(x)^n dx = f(y)u(y)^{n-1}$

⇒ Functional derivatives act on polynomials (and suitable power series) in  $u$  by removing the integral and reducing the power in  $u$  by one, as expected from ordinary derivatives.

- Remark:
- \* Worked with  $u(x)$ .
  - \* Would obtain same result if we used any other continuous or discrete basis of  $L^2$ .
  - \* E.g. other basis (continuous):  $e^{ip}$ , i.e. use  $\tilde{u}(p)$
  - \* E.g. other basis (countable):  $H_n(x)e^{-x^2}$ , i.e. use  $\tilde{u}_n$   
↑ Hermite polynomials
- ⇒ Functional differentiation is, up to basis change, usual differentiation
- Note: How can  $L^2(\mathbb{R})$  have countable basis? Re call:  $L^2(\mathbb{R})$  consists not of functions, but of equivalence classes of functions.

Example application 1:

Schrödinger equation of QFT now well defined:

QM:	$\hat{q}_i$	$\hat{p}_i$	$x$	$t$
QFT:	$\hat{\phi}(x)$	$\hat{\pi}(x)$	$x$	$t$

QM:  $\hat{H}(t) = \sum_{i=1}^n \frac{\hat{p}_i^2}{2} + V(\hat{q}, t)$   
↑ all  $\hat{q}_i$

QFT:  $\hat{H}(t) = \int_{\mathbb{R}^3} \frac{\hat{\pi}(x)^2}{2} + \frac{1}{2} \hat{\phi}(x)(m^2 - \Delta)\hat{\phi}(x) + W(\hat{\phi}, t) d^3x$

Plays role of  $V(\hat{q}, t)$  although the first term is usually not considered to be part of the QFT's potential.

↑ Example:  $W(\hat{\phi}) = \frac{1}{4!} \hat{\phi}^4(x, t)$   
↑ In general:  $W(\hat{\phi})$  also contains other fields.

- QM: Example of complete set of commuting s.adj. operators:  $\{\hat{q}_i\}_{i=1}^n$
- QFT: Example of complete set of commuting s.adj. operators:  $\{\hat{\phi}(x)\}_{x \in \mathbb{R}^3}$



one, as expected from ordinary derivatives.

- Remark:**
- \* Worked with  $u(x)$ .
  - \* Would obtain same result if we used any other continuous or discrete basis of  $L^2$ .
  - \* E.g. other basis (continuous):  $e^{ip}$ , i.e. use  $\tilde{u}(p)$
  - \* E.g. other basis (countable):  $H_n(x)e^{-x^2}$ , i.e. use  $\tilde{u}_n$   
[ Hermite polynomials ]

⇒ Functional differentiation is, up to basis change, usual differentiation

Note: How can  $L^2(\mathbb{R}^3)$  have countable basis? Recall:  $L^2(\mathbb{R}^3)$  consists not of functions, but of equivalence classes of functions.

Plays role of  $V(\hat{q}, t)$  although the first term is usually not considered to be part of the QFT's potential.

QM: 
$$\hat{H}(t) = \sum_{i=1}^n \frac{\hat{p}_i^2}{2} + V(\hat{q}, t)$$

QFT: 
$$\hat{H}(t) = \int_{\mathbb{R}^3} \frac{\hat{\pi}(x)^2}{2} + \frac{1}{2} \hat{\phi}(x)(m^2 - \Delta)\hat{\phi}(x) + W(\hat{\phi}, t) d^3x$$

[ Example:  $W(\hat{\phi}) = \frac{1}{4!} \hat{\phi}^4(x, t)$   
In general:  $W(\hat{\phi})$  also contains other fields.

QM: Example of complete set of commuting s.adj. operators:  $\{\hat{q}_i, \hat{p}_i\}_{i=1}^n$

QFT: Example of complete set of commuting s.adj. operators:  $\{\hat{\phi}(x)\}_{x \in \mathbb{R}^3}$

QM: The joint eigensbasis  $\{|\{q_i, \{p_i\}\}\rangle\}$  of the  $\{\hat{q}_i, \hat{p}_i\}_{i=1}^n$  obeys:

$$\hat{q}_i |\{q_i, \{p_i\}\}\rangle = q_i |\{q_i, \{p_i\}\}\rangle$$

QFT: The joint eigensbasis  $\{|\{\phi(x)\}_{x \in \mathbb{R}^3}\rangle\}$  of the  $\{\hat{\phi}(x)\}_{x \in \mathbb{R}^3}$  obeys:

$$\hat{\phi}(y) |\{\phi(x)\}_{x \in \mathbb{R}^3}\rangle = \phi(y) |\{\phi(x)\}_{x \in \mathbb{R}^3}\rangle$$

QM: Wave function of a state  $|\Psi(t)\rangle \in \mathcal{H}$  in position eigensbasis:

$$\Psi(\{q_i, \{p_i\}, t) = \langle \{q_i, \{p_i\} | \Psi(t) \rangle \quad (\text{like } \Psi(q) = \langle q | \Psi \rangle)$$

QFT: Wave functional of a state  $|\Psi(t)\rangle \in \mathcal{H}$  in field eigensbasis:

$$\Psi[\{\phi(x)\}_{x \in \mathbb{R}^3}, t] = \langle \{\phi(x)\}_{x \in \mathbb{R}^3} | \Psi(t) \rangle$$

↑ Probability amplitude for finding function  $\phi(x)$  when measuring  $\hat{\phi}(x)$  at  $t$ .

↑ Hilbert space of QFT, of course

Simplified notation:

QM:  $\Psi(q, t) = \langle q | \Psi(t) \rangle$

QFT:  $\Psi[\phi, t] = \langle \phi | \Psi(t) \rangle$

QM: Representation of  $\hat{q}_i, \hat{p}_i$  obeying  $[\hat{q}_i, \hat{p}_i] = i\delta_{ij}$  in  $\hat{q}$  eigensbasis:

$$\hat{q}_i : \Psi(q, t) \rightarrow q_i \Psi(q, t)$$

$$\hat{p}_i : \Psi(q, t) \rightarrow -i\frac{\partial}{\partial q_i} \Psi(q, t)$$

QFT: Representation of  $\hat{\phi}(x), \hat{\pi}(y)$  obeying  $[\hat{\phi}(x), \hat{\pi}(y)] = i\delta(x-y)$  in  $\hat{\phi}$  eigensbasis:

$$\hat{\phi}(x) : \Psi[\phi, t] \rightarrow \phi(x) \Psi[\phi, t]$$

$$\hat{\pi}(x) : \Psi[\phi, t] \rightarrow -i\frac{\delta}{\delta \phi(x)} \Psi[\phi, t]$$

Exercise:  
 Verify that  $\hat{\phi}(x), \hat{\pi}(x)$  obey the CCRs.

$$\langle \phi(x) | \delta \phi(x)_{x \in \mathbb{R}^1} \rangle = \langle \phi(x) | \delta \phi(x)_{x \in \mathbb{R}^1} \rangle$$

QM: Wave function of a state  $|\psi(t)\rangle \in \mathcal{H}$  in position eigenbasis:

$$\psi(\{q_i, \dot{q}_i, \dots, t\}) = \langle \{q_i, \dot{q}_i, \dots\} | \psi(t) \rangle \quad (\text{like } \psi(q) = \langle q | \psi \rangle)$$

QFT: Wave functional of a state  $|\Psi(t)\rangle \in \mathcal{K}$  in field eigenbasis:

$$\Psi[\{\phi(x), \dot{\phi}(x), \dots, t\}] = \langle \{\phi(x), \dot{\phi}(x), \dots\} | \Psi(t) \rangle$$

↑ Probability amplitude for finding function  $\phi(x)$  when measuring  $\hat{\phi}(x)$  at  $t$ .

↑ Hilbert space of QFT, of course

QM: Schrödinger equation:

$$i \frac{d}{dt} \psi(q, t) = \sum_{i=1}^n -\frac{1}{2} \frac{\partial^2}{\partial q_i^2} \psi(q, t) + V(q, t) \psi(q, t)$$

Recall: It is to be solved for all  $q$

QFT: Schrödinger equation:

$$i \frac{d}{dt} \Psi[\phi, t] = \int_{\mathbb{R}^1} \left( -\frac{1}{2} \frac{\delta^2}{\delta \phi(x)^2} + \frac{1}{2} \phi(x)(m^2 - \Delta)\phi(x) + W(\phi(x), t) \right) \Psi[\phi, t]$$

Recall: It is to be solved for all  $\phi$

Remark: With  $W$  it can be solved only perturbatively.

Exercise: Set  $W=0$ . Fourier transform to  $k$  variables in box regularization. Verify that the wave functional  $\Psi_0$  of the vacuum state obtained before does obey the Schv. eqn.

$$\hat{q}_i: \psi(q, t) \rightarrow q_i \psi(q, t)$$

$$\hat{p}_i: \psi(q, t) \rightarrow -i \frac{\partial}{\partial q_i} \psi(q, t)$$

QFT: Representation of  $\hat{\phi}(x), \hat{\pi}(x)$  obeying  $[\hat{\phi}(x), \hat{\pi}(y)] = i \delta(x-y)$  in  $\hat{\phi}$  eigenbasis:

$$\hat{\phi}(x): \Psi[\phi, t] \rightarrow \phi(x) \Psi[\phi, t]$$

$$\hat{\pi}(x): \Psi[\phi, t] \rightarrow -i \frac{\delta}{\delta \phi(x)} \Psi[\phi, t]$$

Exercise: Verify that  $\hat{\phi}(x), \hat{\pi}(y)$  obey the CCRs.

Example application 2: The functional Legendre transform!

□ Motivation? We will need to determine in curved space:

What becomes of:  $\hat{\pi}(x, t) = \frac{d}{dt} \hat{\phi}(x, t)$ ?

□ Problem? Time is preferred coordinate in Hamiltonian formalism.

\* But the formalism must be coordinate system independent to fit general relativity (GR).

\* Now, for example,  $\hat{\pi}(x, t) = \frac{d}{dt} \hat{\phi}(x, t)$  is not the same as  $\hat{\pi}(x, \tau) = \frac{d}{d\tau} \hat{\phi}(x, \tau)$  for arbitrary  $\tau(t)$ :

$$\hat{\pi}(x, \tau) = \frac{d}{d\tau} \hat{\phi}(x, \tau(t)) = \frac{d}{d\tau} \hat{\phi}(x, \tau(t)) \left( \frac{dt}{d\tau} \right) \neq \frac{d}{d\tau} \hat{\phi}(x, \tau)$$

$$i \frac{\partial}{\partial t} \Psi[\phi, t] = \int_{\mathbb{R}^3} \left( -\frac{1}{2} \frac{\partial^2}{\partial \phi^2} + \frac{1}{2} \phi^2 (\omega^2 - \Delta) \phi + W(\phi(x), t) \right) \Psi[\phi, t]$$

Recall: It is to be solved for all  $\phi$

Remark: With  $W$  it can be solved only perturbatively.

Exercise: Set  $W=0$ . Fourier transform to  $k$  variables in box regularization. Verify that the wave functional  $\Psi_0$  of the vacuum state obtained before does obey the Schr. eqn.

\* But the formalism must be coordinate system independent to fit general relativity (GR).

\* Now, for example,  $\hat{\pi}(x, t) = \frac{\delta}{\delta \dot{\phi}} \hat{\phi}(x, t)$  is not the same as  $\hat{\pi}(x, \tau) = \frac{\delta}{\delta \dot{\phi}} \hat{\phi}(x, \tau)$  for arbitrary  $\tau(t)$ :

$$\hat{\pi}(x, \tau) = \frac{\delta}{\delta \dot{\phi}} \hat{\phi}(x, \tau(t)) = \frac{\delta}{\delta \dot{\phi}} \hat{\phi}(x, \tau(t)) \left( \frac{d\tau}{dt} \right) \neq \frac{\delta}{\delta \dot{\phi}} \hat{\phi}(x, \tau)$$

Strategy:

1. Transform to coordinate-independent Lagrange formalism.
2. Move from special to general relativity.
3. Transform GR result back to Hamilton formalism.
4. Apply 2nd quantization.

SR, 1<sup>st</sup> Q Hamiltonian formalism

"Legendre transform" equivalence

SR, 1<sup>st</sup> Q Lagrangian formalism

allow curvature

GR, 1<sup>st</sup> Q Hamiltonian formalism

Legendre transform equivalence

GR, 1<sup>st</sup> Q Lagrangian formalism


as outlined already

GR, 2<sup>nd</sup> Q Hamiltonian formalism

Dyson Schwinger eqns are same equivalence

GR, 2<sup>nd</sup> Q Lagrangian formalism (Path integral of QFT)

The Legendre transform (LT):

- Assume given a function,  $F(u)$ . 
- Define a new variable  $w(u)$ :

$$w(u) := \frac{dF}{du} \quad (I)$$

- Assume that (I) can be solved to obtain:  $u(w)$  (that's ok if  $F$  is convex, say  $F''(u) > 0$  for all  $u$ )

- The Legendre transform of  $F$  is a new function,  $G$ , of  $w$ :

$$F(u) \xrightarrow{LT} G(w)$$

- Namely:  $G(w) := w u(w) - F(u(w))$



SR, 1<sup>st</sup> Q  
Hamiltonian  
formalism

"Legendre transform"  
equivalence

SR, 1<sup>st</sup> Q  
Lagrangian  
formalism

allow  
curvature

GR, 1<sup>st</sup> Q  
Hamiltonian  
formalism

Legendre transform  
equivalence

GR, 1<sup>st</sup> Q  
Lagrangian  
formalism

as outlined  
already

GR, 2<sup>nd</sup> Q  
Hamiltonian  
formalism

Dyson Schwinger eqns are same  
equivalence

GR, 2<sup>nd</sup> Q  
Lagrangian formalism  
(Path integral of QFT)

Proposition:

$$(LT)^2 = id$$

Proof:

Define a new variable:  $v(w) := \frac{\partial G(w)}{\partial w}$

In fact:

$$\begin{aligned} v(w) &= \frac{\partial}{\partial w} (w u(w) - F(u(w))) \\ &= u(w) + w \frac{\partial u(w)}{\partial w} - \frac{\partial F(u(w))}{\partial u} \frac{\partial u(w)}{\partial w} \\ &= u! \end{aligned}$$

Therefore  $LT^2$  yields  $F(u) \xrightarrow{LT} G(w) \xrightarrow{LT} H(v)$  with:

$$H = v w - G = \underset{u \text{ from just above}}{v w} - (w u - F) = F \quad \checkmark$$

Assume that (I) can be solved to obtain:  
 $u(w)$   
(that's ok if  $F$  is convex, say  $F''(u) > 0$  for all  $u$ )

The Legendre transform of  $F$  is a new function,  $G$ , of  $w$ :

$$F(u) \xrightarrow{LT} G(w)$$

Namely:  $G(w) := w u(w) - F(u(w))$

Example:

\* Consider  $f(a, b, c) := a e^{bc}$

\* Find LT with respect to  $b$  (i.e. while treating  $a, c$  as "spectator variables"):

$$f(a, b, c) \xrightarrow[!]{LT} g(a, \beta, c)$$

\* Define  $\beta(a, b, c) := \frac{\partial f}{\partial b} = a c e^{bc}$

\* Invert:  $b(a, \beta, c) = \frac{1}{c} \ln \frac{\beta}{ac}$

\* Legendre transform:  $f(a, b, c) \xrightarrow{LT} g(a, \beta, c)$

$$g(a, \beta, c) := \beta b(a, \beta, c) - f(a, b(a, \beta, c), c)$$

$$g(a, \beta, c) = \frac{\beta}{c} \ln \frac{\beta}{ac} - a e^{\frac{\beta}{c} \ln \frac{\beta}{ac}} = \frac{\beta}{c} \ln \frac{\beta}{ac} - \frac{\beta}{c}$$



$$\begin{aligned}
 v(w) &= \frac{\partial}{\partial w} (w u(w) - F(u(w))) \\
 &= u(w) + w \frac{\partial u(w)}{\partial w} - \frac{\partial F(u(w))}{\partial u} \frac{\partial u(w)}{\partial w} \\
 &= u!
 \end{aligned}$$

Therefore  $LT^2$  yields  $F(u) \xrightarrow{LT} G(w) \xrightarrow{LT} H(v)$  with:

$$H = v w - G = v w - (w u - F) = F \quad \checkmark$$

u from just above

\* Define  $\beta(a, b, c) := \frac{\partial f}{\partial b} = a c e^{bc}$

\* Invert:  $b(a, \beta, c) = \frac{1}{c} \ln \frac{\beta}{ac}$

\* Legendre transform:  $f(a, b, c) \xrightarrow{LT} g(a, \beta, c)$

$$g(a, \beta, c) := \beta b(a, \beta, c) - f(a, b(a, \beta, c), c)$$

$$g(a, \beta, c) = \frac{\beta}{c} \ln \frac{\beta}{ac} - a c e^{\frac{\beta}{ac}} = \frac{\beta}{c} \ln \frac{\beta}{ac} - \frac{\beta}{c}$$

### Case of countably many variables:

How to define

$$F(\{u_i\}) \xrightarrow{LT} G(\{w_i\})?$$

Define:  $w_i := \frac{\partial F}{\partial u_i}$

Assume we can invert to obtain:

$$u_i(\{w_i\})$$

Define:

$$G(\{w_i\}) := \sum_i w_i u_i(\{w_i\}) - F(\{u_i(\{w_i\})\})$$

(we may also allow for spectator variables)

← classical mechanics

### Case of uncountably many variables:

How to define

$$F[\{u(x)\}_{x \in \mathbb{R}^n}] \xrightarrow{LT} G[\{w(x)\}_{x \in \mathbb{R}^n}]?$$

Define:  $w(x) := \frac{\delta F}{\delta u(x)}$

Assume we can solve to obtain:

$$u(x, \{w(x')\}_{x' \in \mathbb{R}^n})$$

Define:

$$G[\{w(x)\}_{x \in \mathbb{R}^n}] := \int_{\mathbb{R}^n} w(x) u(x, \{w(x')\}_{x' \in \mathbb{R}^n}) dx - F[\{u(x, \{w(x')\})\}]$$

Note: We still have that  $LT \circ LT = id$ .

Define:  $w_j := \frac{\partial F}{\partial u_j}$

Assume we can invert to obtain:  
 $u_j(\{w, \beta\})$

Define:  
 $G(\{w, \beta\}) := \sum_j w_j u_j(\{w, \beta\}) - F(\{u_j(\{w, \beta\})\})$   
 (we may also allow for spectator variables)

Define:  $w(x) := \frac{\delta F}{\delta u(x)}$

Assume we can solve to obtain:  
 $u(x, \{w(x')\}_{x' \in \mathbb{R}^n})$

Define:  
 $G[\{w(x)\}_{x \in \mathbb{R}^n}] := \int_{\mathbb{R}^n} w(x) u(x, \{w(x')\}_{x' \in \mathbb{R}^n}) dx - F[\{u(x, \{w(x')\}_{x' \in \mathbb{R}^n})\}]$

Note: We still have that  $LT \circ LT = id$ .

classical mechanics

Application to CM:

\* Assume the Hamiltonian  $H(q, p)$  is given.

\* Hamilton equations for arbitrary  $f(q, p)$ :  
Recall: Poisson bracket  $\{q, p\} = 1$   
 $\dot{f}(q, p) = \{f(q, p), H(q, p)\}$

See my notes to MATH673.

Dixon showed: Quantization consists in keeping the Poisson bracket definition and the Hamilton equations unchanged while allowing  $q, p$  non-commutativity in such a way that the Poisson algebra structure stays. This fixes noncommutativity to be  $\hat{q}\hat{p} - \hat{p}\hat{q} = i\hbar$  and  $[\hat{q}]^2 = [\hat{p}]^2 = 0$ .

\* From this, one can prove the eqns of motion for  $q, p$ :

$$\dot{q} = \frac{\partial H(q, p)}{\partial p}, \quad \dot{p} = -\frac{\partial H(q, p)}{\partial q} \quad (EoM)$$

\* Legendre transform:

The "Lagrangian"  
 $H(q, p) \xrightarrow{LT} L(q, b) \quad (q \text{ is spectator})$

\* Example:  $H(q, p) := \frac{p^2}{2} + V(q)$ .

Then:  $b := \frac{\partial H(q, p)}{\partial p} \stackrel{EoM}{=} \dot{q}$

$\Rightarrow L(q, b) = b p(q, b) - H(q, p(q, b)) = \dot{q} p(q, \dot{q}) - H(q, p(q, \dot{q})) = L(q, \dot{q})$

Proposition:

The equations of motion (EoM) now take the form:

$b = \dot{q}$  and  $\frac{\partial L}{\partial q} = \frac{d}{dt} \frac{dL}{db}$  (Euler-Lagrange equation)

Proof: Exercise

Example:  $H = \frac{p^2}{2m} + \frac{\omega^2}{2} q^2 \xrightarrow{LT} L[q, b] = \frac{1}{2} \dot{q}^2 - \frac{\omega^2}{2} q^2$   
 $\dot{q} = \frac{p}{m}, \quad \dot{p} = -\omega^2 q \quad \quad \quad -\omega^2 q = \ddot{q}, \quad b = \dot{q}$

with:  $\{\phi(x,t), \pi(x',t)\} = \delta^3(x-x')$

This yields the eqns of motion:

$$\dot{\phi}(x,t) = \frac{\delta H}{\delta \pi(x,t)} \quad \dot{\pi}(x,t) = -\frac{\delta H}{\delta \phi(x,t)} \quad (EOM)$$

Legendre Transform:

$$H(\phi, \pi) \xrightarrow{LT} L(\phi, \dot{\phi})$$

spectator

$$L(\phi, \dot{\phi}) = L(\phi, \dot{\phi})$$

$$= \int_{\mathbb{R}^3} \dot{\phi}(x,t) \pi(\phi, \dot{\phi}, x,t) d^3x - H(\phi, \pi(\phi, \dot{\phi}, x,t))$$

Proposition: The eqns of motion (EOM) are equivalent to:

$$\frac{\delta L}{\delta \phi(x,t)} = \frac{d}{dt} \frac{\delta L}{\delta \dot{\phi}(x,t)} \quad \text{Exercise: Check Euler-Lagrange eqn.}$$

Example:

$$H(\phi, \pi) = \int_{\mathbb{R}^3} \frac{\pi^2(x,t)}{2} + \frac{1}{2} \phi(x,t) (m^2 - \Delta) \phi(x,t) d^3x$$

yields:  $\dot{\phi}(x,t) = \pi(x,t) \quad \dot{\pi}(x,t) = -(m^2 - \Delta) \phi(x,t)$

i.e.:  $\ddot{\phi} - \Delta \phi + m^2 \phi = 0$  K.G. eqn.

After Legendre transform:

$$L(\phi, \dot{\phi}) = \int_{\mathbb{R}^3} \frac{\dot{\phi}^2(x,t)}{2} - \frac{1}{2} \phi(x,t) (m^2 - \Delta) \phi(x,t) d^3x$$

yields directly:  $-(m^2 - \Delta) \phi = \ddot{\phi}$

Remark: (see arxiv.0810.4293)

- a) Solving a quantum theory is to do a Fourier transform.
- b) The lowest order approximation is the Legendre transform.
- c) The Legendre transform yields the solution to the classical theory.

a) Consider the path integral in QFT (covered in detail later in this course)

$$e^{-iW[J]} = \int e^{iS[\phi]} e^{-i\int J(x)\phi(x) d^4x} \mathcal{D}[\phi]$$

↑ Classical action
↑ "Source field" (Fourier factors, one for each x)
↑  $\int \phi(x)$  (i.e. use in Legendre transform all fields  $\phi$ ) (the proper functions appear in next lecture)

(To know  $W[J]$  is to have solved the quantum field theory because it yields all n-point correlation functions  $G^{(n)}(x_1, \dots, x_n) = \frac{\delta^n W[J]}{\delta J(x_1) \dots \delta J(x_n)}$ )

$\Rightarrow e^{-iW[J]}$  is the Fourier transform of  $e^{iS[\phi]}$

d) The integral converges only when it is defined

d) So what is known:  $W^{approx}[J]$  and  $\ln Z$ ?



Example:

$$H(\phi, \pi) = \int_{\mathbb{R}^3} \frac{\pi^2(x,t)}{2} + \frac{1}{2} \phi(x,t) (m^2 - \Delta) \phi(x,t) d^3x$$

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*( $\int J(x)\phi(x) d^4x$  is the "Source field" Fourier transform (not for each  $x$ )*

*( $\int \mathcal{D}[\phi]$  is the path integral over all fields  $\phi$  (the proper functions appear in our business))*

$\Rightarrow e^{-iW[J]}$  is the Fourier transform of  $e^{iS[\phi]}$

- b) The integrand contributes most where it is stationary:

$$e^{-iW[J]} \approx e^{iS[\phi] - i \int J\phi d^4x} \quad \left| \begin{array}{l} \text{for that } \phi \text{ for which} \\ \frac{\delta}{\delta \phi} (iS[\phi] - i \int J\phi d^4x) = 0 \end{array} \right.$$

Condition of stationarity of the phase

i.e.

$$W^{(approx)}[J] = \int J\phi d^4x - S[\phi] \quad \left| \begin{array}{l} \text{where } \phi \text{ obeys} \\ \frac{\delta S}{\delta \phi} = 0 \end{array} \right.$$

- c) So what is knowing  $W^{(approx)}[J]$  good for?

Consider  $S^{total}[\phi] := S[\phi] - \int J\phi d^4x$ .

As a classical action, it describes a classical field  $\phi(x)$  driven by an external "driving force"  $J(x)$ :

$$\frac{\delta S^{total}}{\delta \phi} = 0, \text{ i.e., } \frac{\delta S}{\delta \phi}(x) = J(x) \quad (EoM)$$

i.e.:  $\phi - \Delta \phi + m^2 \phi = 0$  K.G. eqn.

After Legendre transform:

$$L(\phi, \dot{\phi}) = \int_{\mathbb{R}^3} \left( \frac{\dot{\phi}(x,t)^2}{2} - \frac{1}{2} \phi(x,t) (m^2 - \Delta) \phi(x,t) \right) d^3x$$

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a) Consider the path integral in QFT (covered in detail later in this course)

$$e^{-iW[J]} = \int e^{iS[\phi]} e^{-i\int J(x)\phi(x)d^4x} \mathcal{D}[\phi]$$

To know  $W[J]$  is to have solved the quantum field theory because it yields all n-point correlation functions  $G^{(n)}(x_1, \dots, x_n) = \frac{\delta^n W[J]}{\delta J(x_1) \dots \delta J(x_n)}$

Classical action

"Source field"

Feynman's picture (one for each  $i$ )

$\int \mathcal{D}[\phi]$  (i.e. we integrate "over all fields  $\phi$ " (the proper functions space or whatever))

$\Rightarrow e^{-iW[J]}$  is the Fourier transform of  $e^{iS[\phi]}$

b) The integrand contributes most where it is stationary:

$$e^{-iW[J]} \approx e^{iS[\phi] - i\int J\phi d^4x} \Bigg|_{\frac{\delta}{\delta \phi} (iS[\phi] - i\int J\phi d^4x) = 0}$$

for that  $\phi$  for which

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$$W^{(approx)}[J] = \int J\phi d^4x - S'[\phi] \Bigg|_{\frac{\delta S'}{\delta \phi}(x) = J(x)}$$

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i.e.  $W^{(approx)}[J] = \int J\phi[J] d^4x - S'[\phi[J]] \Bigg|_{\frac{\delta S'}{\delta \phi}(x) = J(x)}$

where  $\phi[J]$  follows from:

i.e. it's the Legendre transform!

c) So what is knowing  $W^{(approx)}[J]$  good for?

Consider  $S^{total}[\phi] := S[\phi] - \int J\phi d^4x$ .

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$$\frac{\delta S^{total}}{\delta \phi} = 0, \text{ i.e., } \frac{\delta S'}{\delta \phi}(x) = J(x) \quad (EoM)$$

To solve the classical equations of motion (EoM) is to find the field  $\phi(x)$  for any given driving  $J(x)$ . This is what  $W^{(approx)}[J]$  provides:

$$\phi(x) = \frac{\delta W^{(approx)}[J]}{\delta J(x)}$$

Because:  
(Legendre transform)<sup>2</sup> = 1