

Title: Boundary and plane defect criticality in the 3d O(N) model

Speakers: Max Metlitski

Series: Quantum Matter

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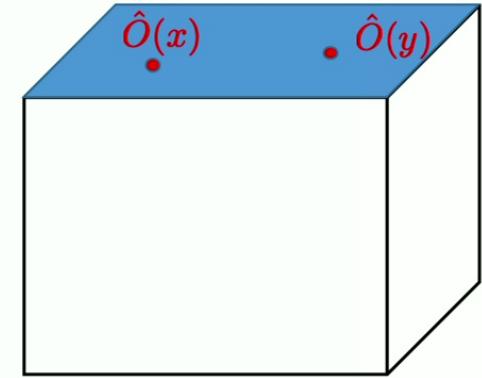
Abstract: It is known that the classical O(N) model in dimension  $d > 3$  at its bulk critical point admits three boundary universality classes: the ordinary, the extraordinary and the special. The extraordinary fixed point corresponds to the bulk transition occurring in the presence of an ordered boundary, while the special fixed point corresponds to a boundary phase transition between the ordinary and the extra-ordinary classes. While the ordinary fixed point survives in  $d = 3$ , it is less clear what happens to the extraordinary and special fixed points when  $d = 3$  and N is greater or equal to 2. I'll show that formally treating N as a continuous parameter, there exists a critical value  $N_c > 2$  separating two distinct regimes. For  $N < N_c$  the extra-ordinary fixed point survives in  $d = 3$ , albeit in a modified form: the long-range boundary order is lost, instead, the order parameter correlation function decays as a power of  $\log r$ . For  $N > N_c$  there is no fixed point with order parameter correlations decaying slower than power law. I'll discuss how these findings compare to recent Monte-Carlo studies of classical and quantum spin models.

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Zoom link <https://pitp.zoom.us/j/97209122334?pwd=UHQ2OXR4bnVZREV0SlJOYXphWjh0QT09>

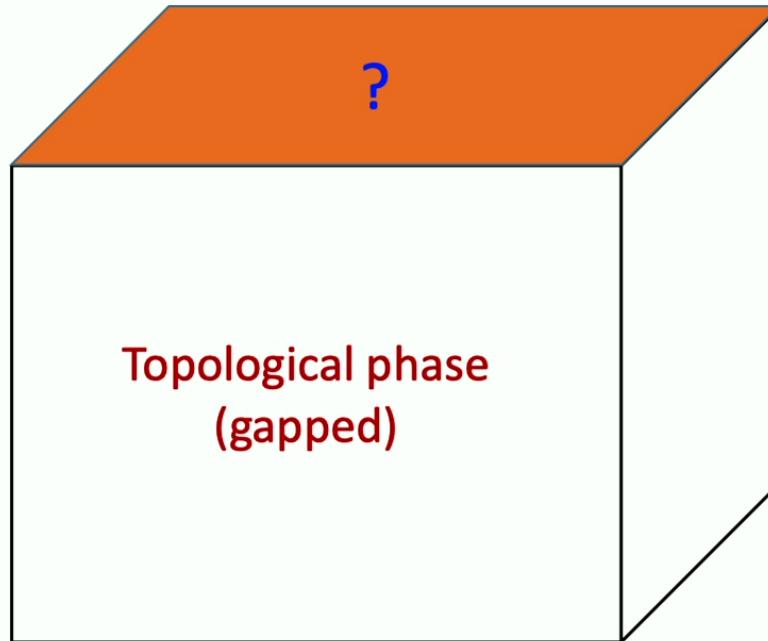
# Boundary and plane defect criticality in the 3d O(N) model

Max Metlitski  
MIT

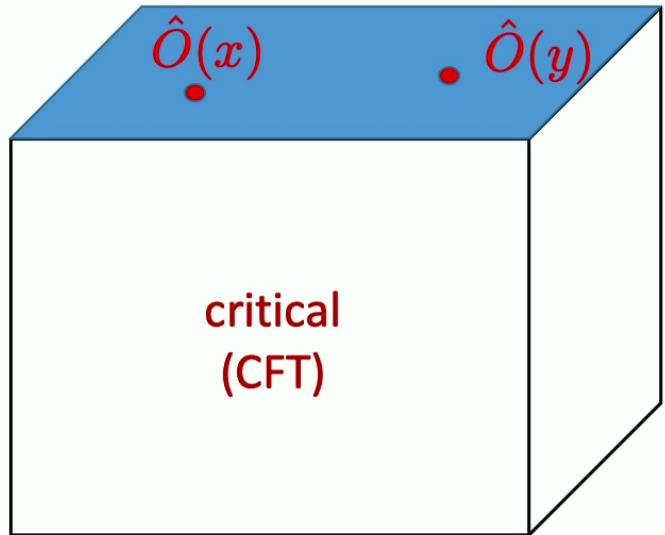


Perimeter Institute  
December 12, 2023

# Boundaries of TQFTs



## Boundary criticality

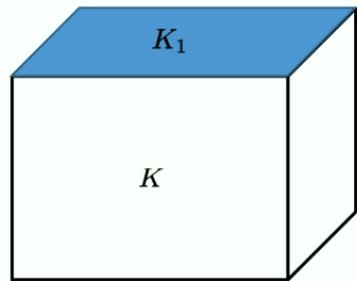


$$\langle \hat{O}(x)\hat{O}(y) \rangle \sim \frac{1}{|x-y|^{2\hat{\Delta}}}$$

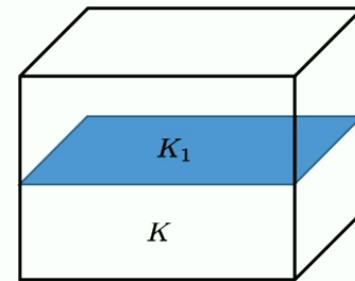
- BCFT - not unique

## Classical O(N) model, d = 3

$$H = - \sum_{\langle ij \rangle} K_{ij} \vec{S}_i \cdot \vec{S}_j$$



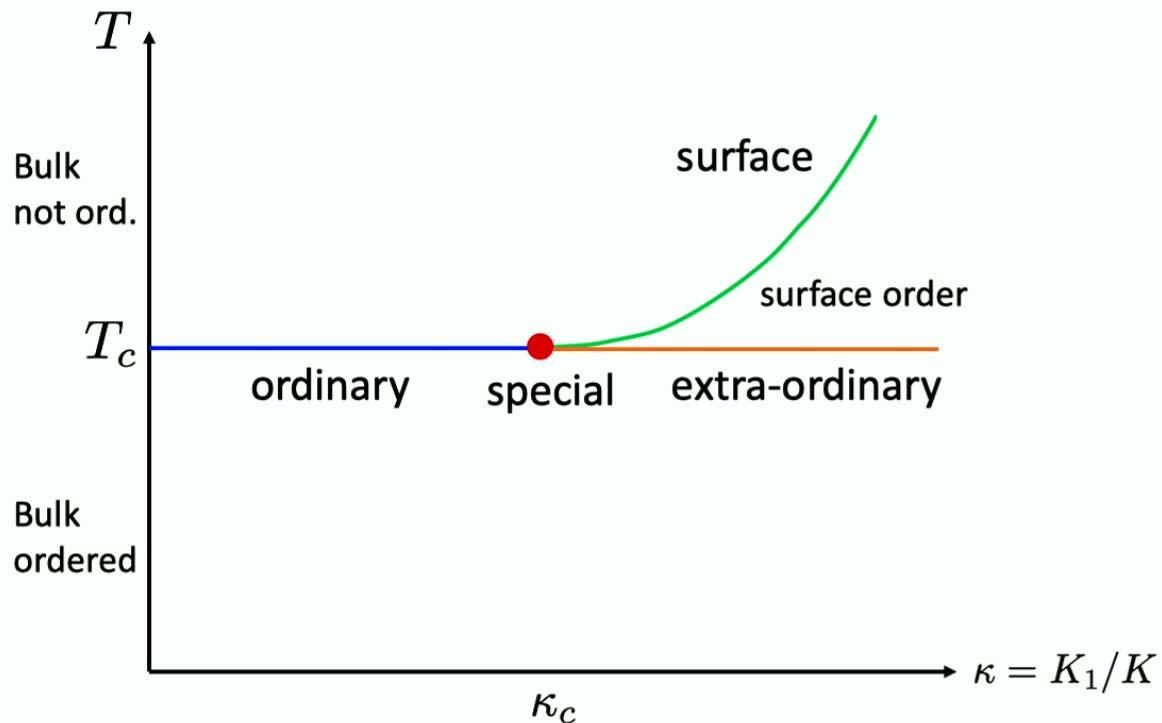
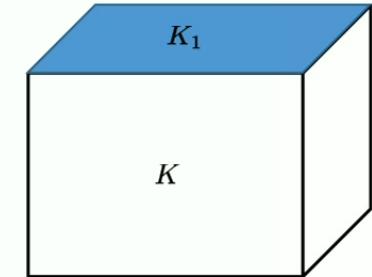
Boundary



Plane defect

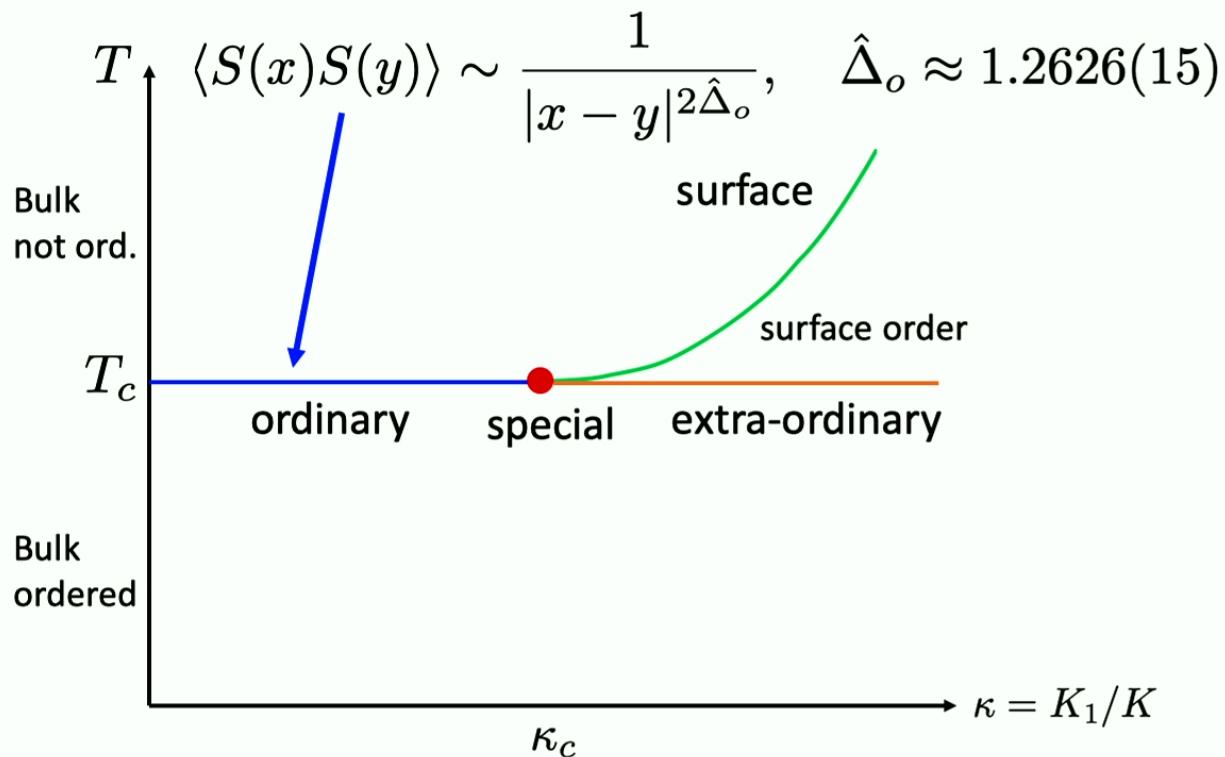
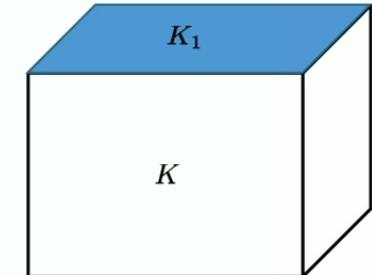
## Boundary criticality: $N = 1$

$$H = - \sum_{\langle ij \rangle} K_{ij} \vec{S}_i \cdot \vec{S}_j$$



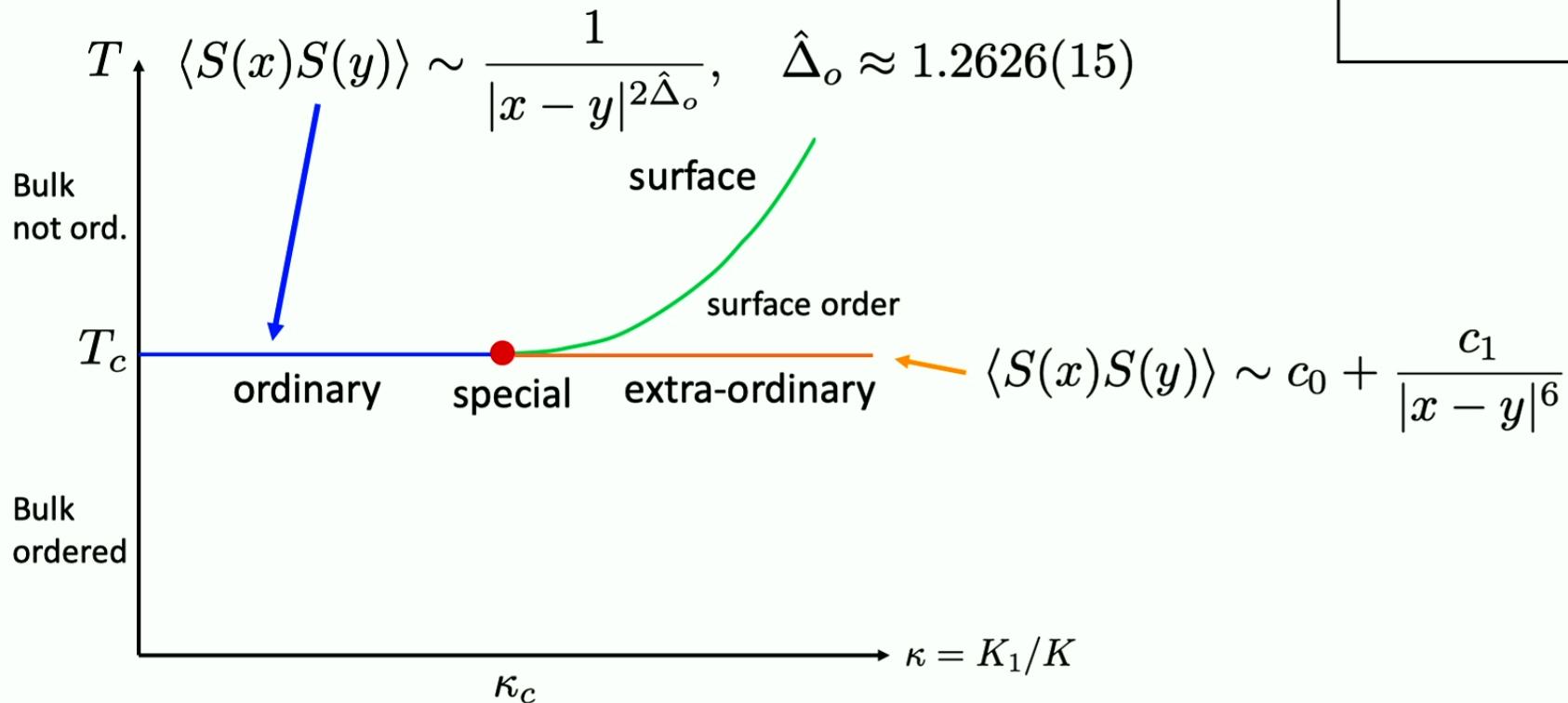
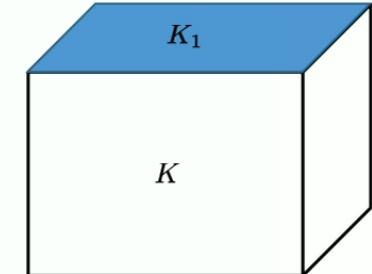
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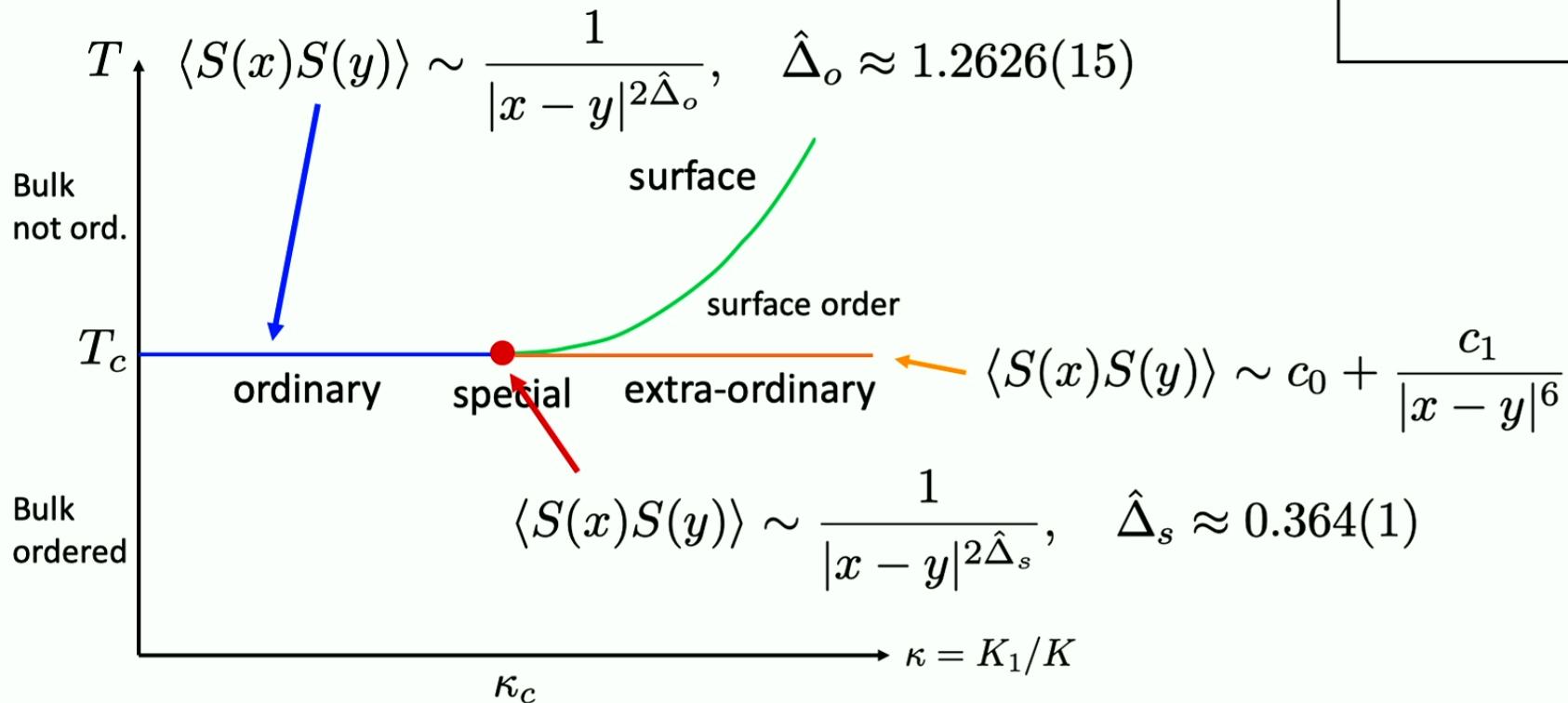
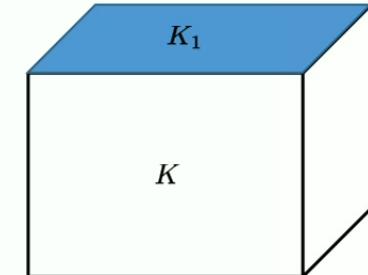
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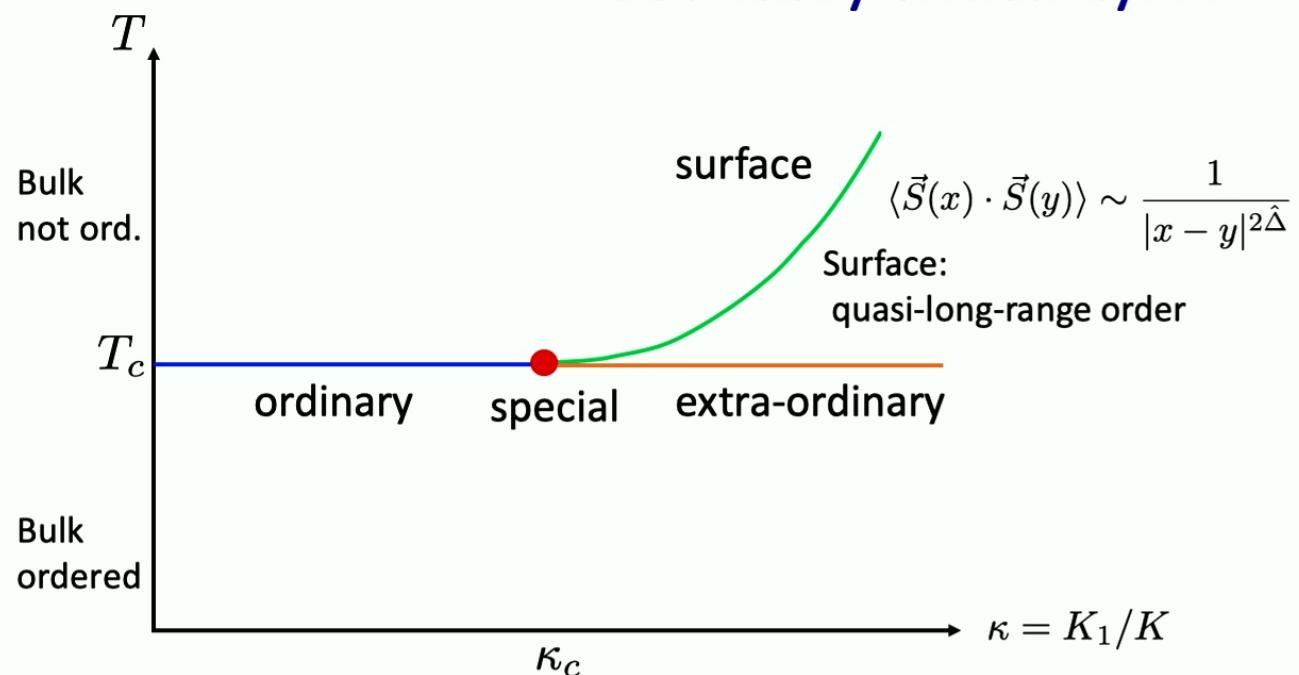


## Boundary criticality: $N = 1$

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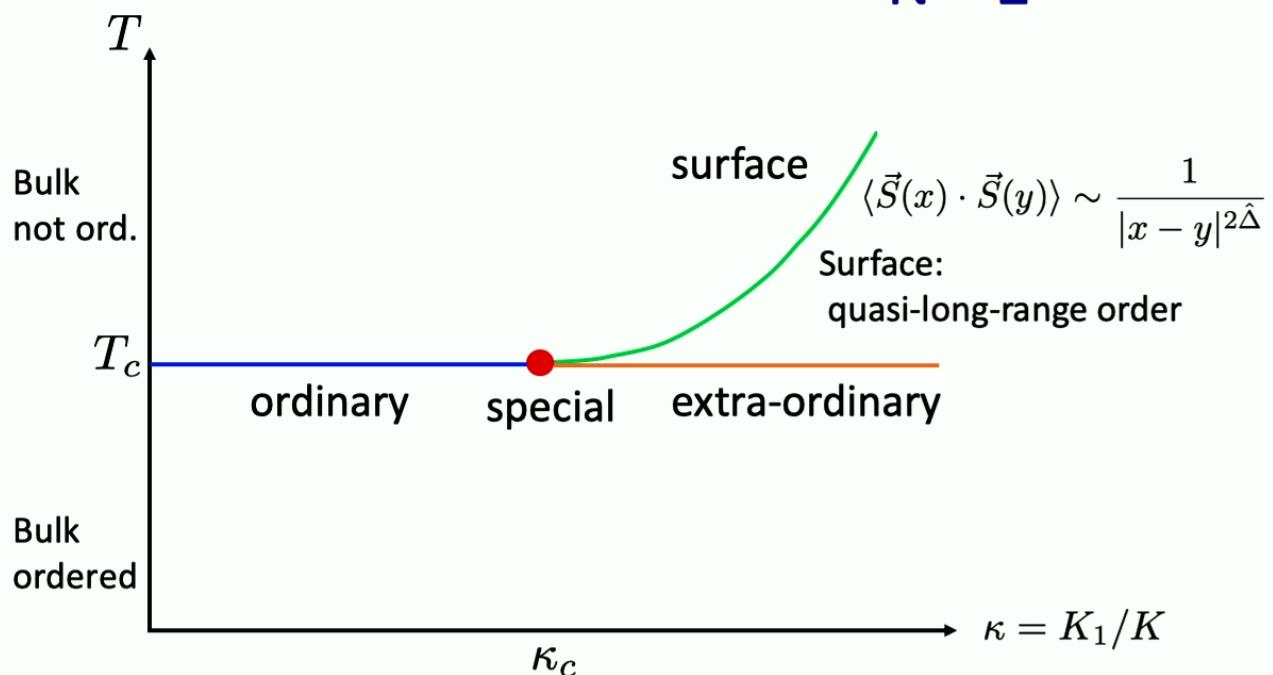


## Boundary criticality: N = 2



- Mermin-Wagner-Hohenberg theorem: 2d + local interactions

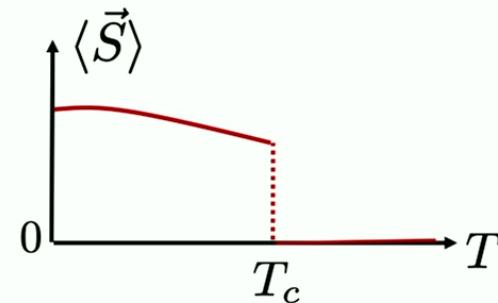
$$\langle \vec{S}(x) \cdot \vec{S}(y) \rangle \rightarrow 0, \quad |x - y| \rightarrow \infty$$

$N = 2$ 

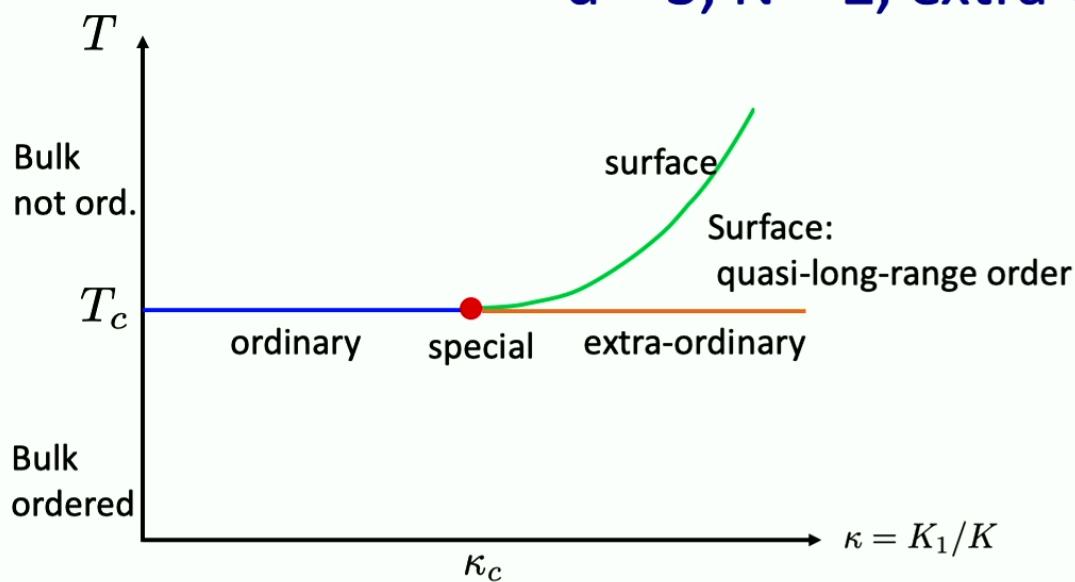
- Extra-ordinary??

- Long range order at  $T_c$  ?

Deng, Blote, Nightingale, 2005



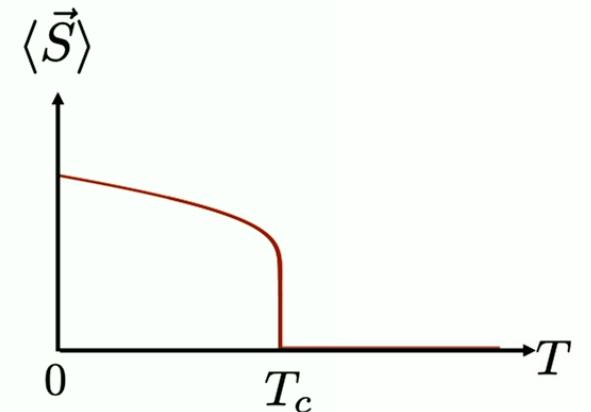
$d = 3, N = 2$ , extra-ordinary



- $\langle \vec{S}(x) \cdot \vec{S}(0) \rangle \sim \frac{1}{(\log x)^q}$  “extra-ordinary-log” MM, 2020.

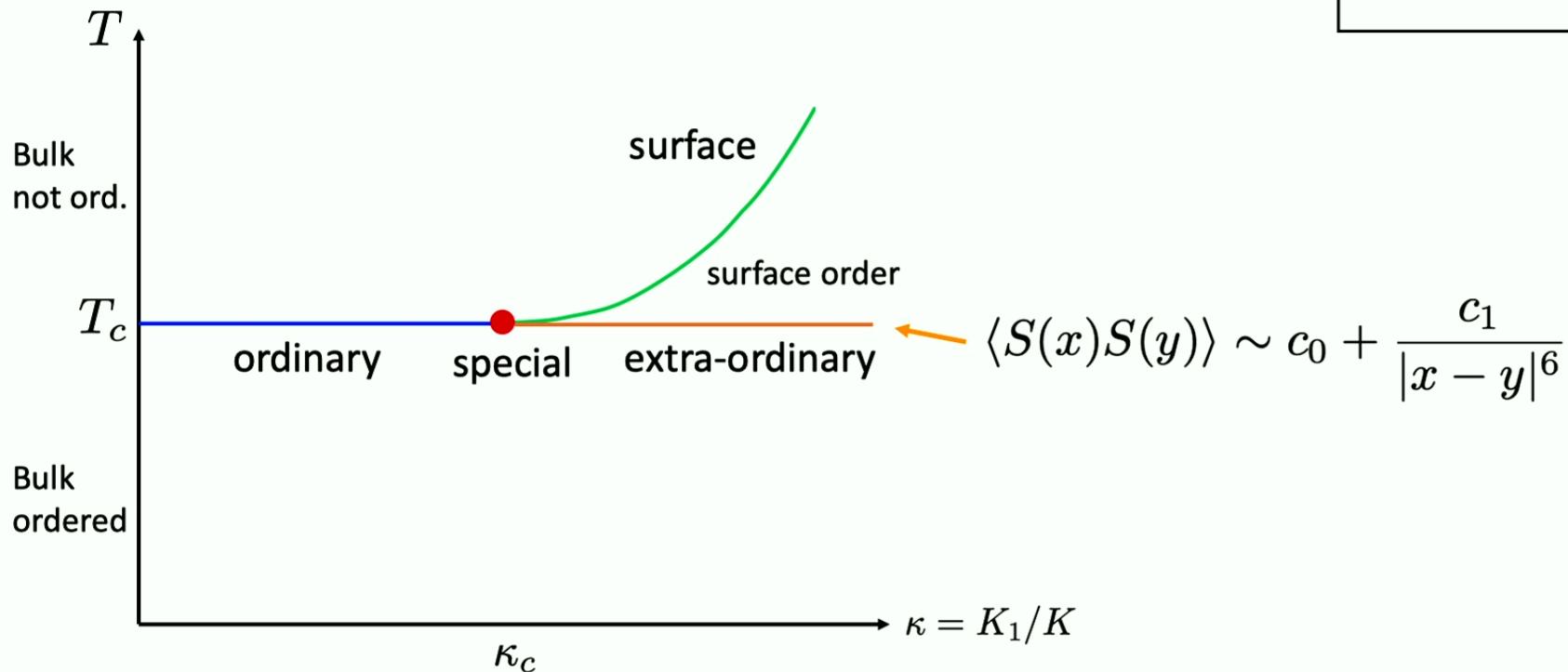
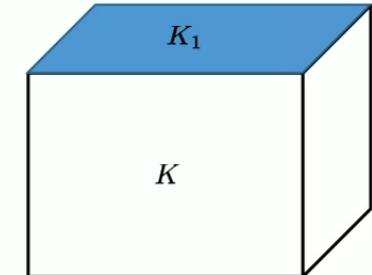
$$q \approx 0.531(9)$$

M. Hu, Y. Deng, J.-P. Lv, 2021  
Francesco Parisen Toldin, MM 2021

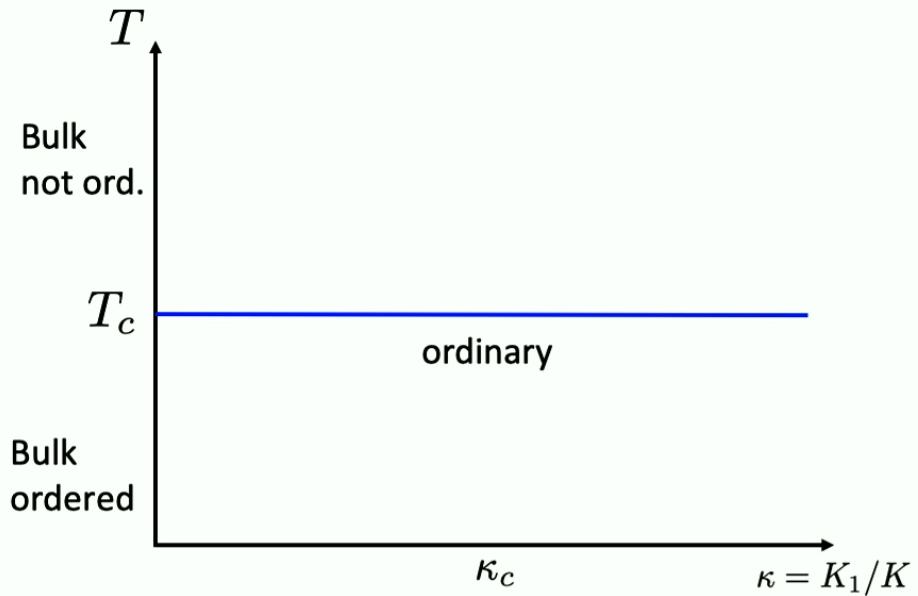


## Boundary criticality: $N = 1$

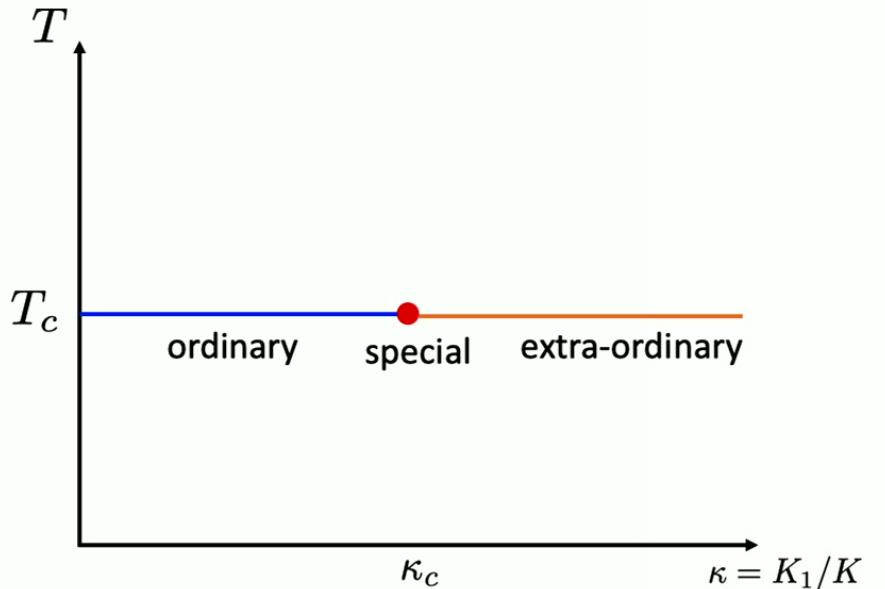
$$H = - \sum_{\langle ij \rangle} K_{ij} \vec{S}_i \cdot \vec{S}_j$$



# $N > 2$

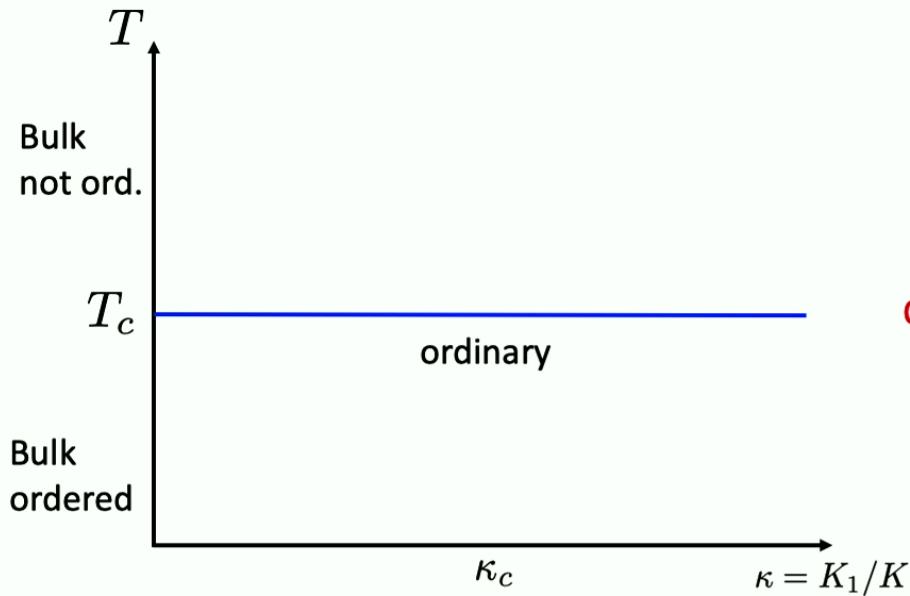


OR

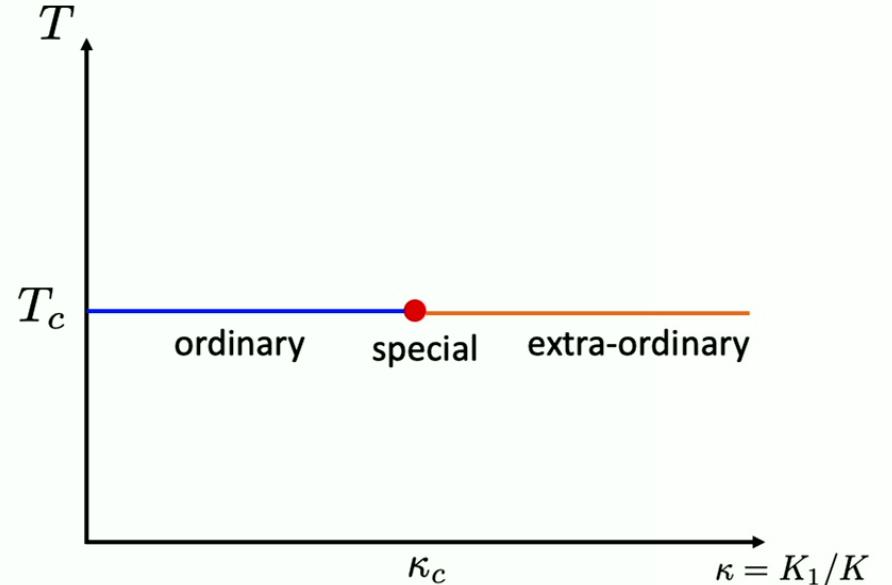


?

$N > 2$



OR



Large finite  $N$

$$N \rightarrow 2^+$$

$$\langle \vec{S}(x) \cdot \vec{S}(0) \rangle \sim \frac{1}{(\log x)^q}$$

MM, 2020

# RG

- Surface:  $S = \frac{1}{2g} \int d^2x (\partial_\mu \vec{n})^2, \quad \vec{n}^2 = 1$

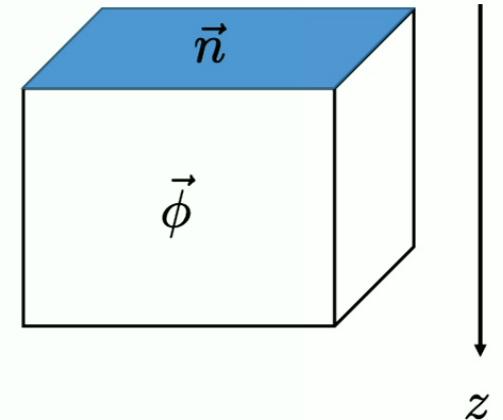
Polyakov:

$$x \rightarrow e^\ell x : \quad \frac{dg}{d\ell} \approx \frac{N-2}{2\pi} g^2, \quad \vec{n} \rightarrow \left(1 - \frac{\eta_n(g)}{2} d\ell\right) \vec{n}, \quad \eta_n \approx \frac{N-1}{2\pi} g.$$

## RG: adding the bulk

$$S_n = \frac{1}{2g} \int d^2x (\partial_\mu \vec{n})^2$$

$$S = S_{ord}[\vec{\phi}] + S_n - \tilde{s} \int d^2x \vec{n} \cdot \vec{\phi}(\vec{x}, z=0)$$



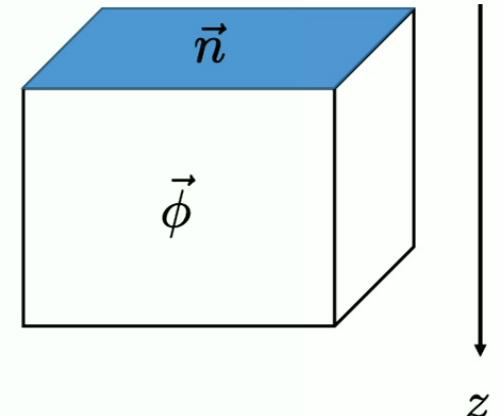
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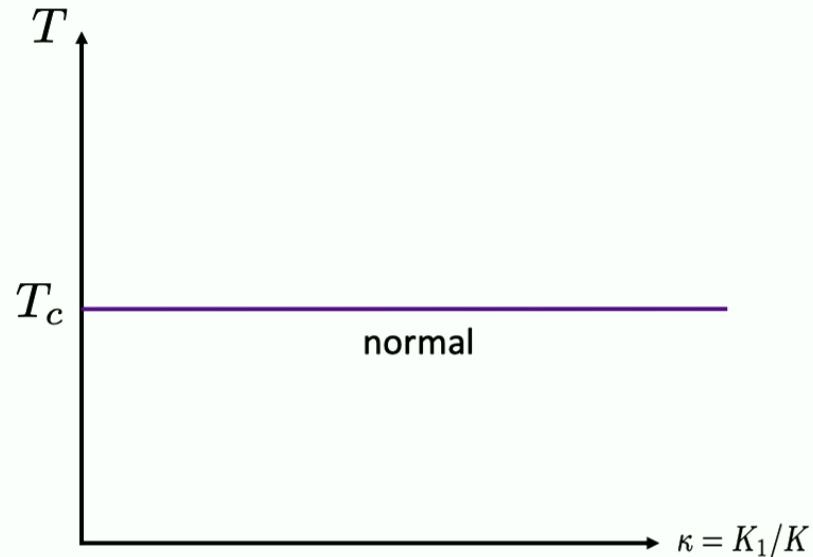
$$\vec{n} = (\vec{\pi}, \sqrt{1 - \vec{\pi}^2})$$

- $g = 0, \vec{n} = (\vec{0}, 1)$  - flows to normal universality class



## “Normal” universality class

$$H = - \sum_{\langle ij \rangle} K_{ij} \vec{S}_i \cdot \vec{S}_j - \sum_{i \in \text{surf}} \vec{h}_1 \cdot \vec{S}_i \quad O(N) \rightarrow O(N-1)$$

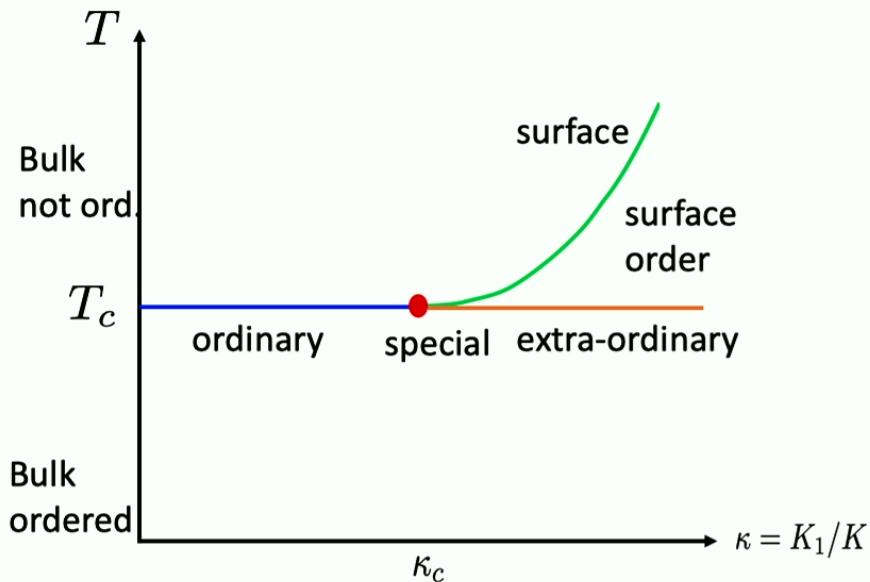


$$h_1 \neq 0$$

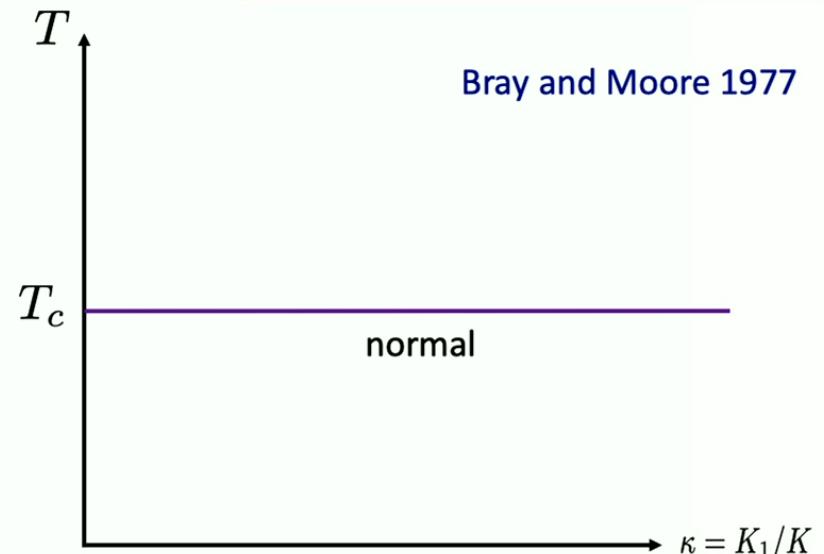
# N = 1

$$H = - \sum_{\langle ij \rangle} K_{ij} \vec{S}_i \cdot \vec{S}_j - \sum_{i \in \text{surf}} \vec{h}_1 \cdot \vec{S}_i$$

**N = 1:**  
Extra-ordinary = Normal



$$h_1 = 0$$



$$h_1 \neq 0$$

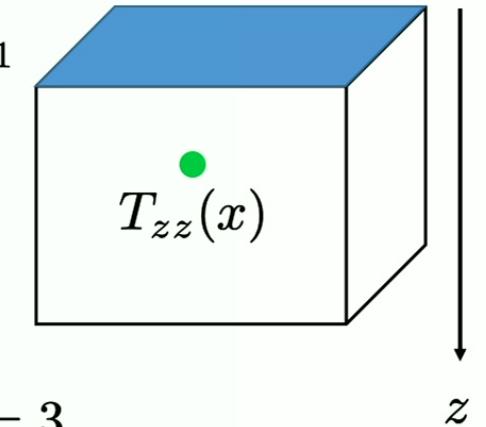
# Normal universality class

$$O(N) \rightarrow O(N - 1)$$

- Protected boundary operators:

- Displacement:  $T_{zz}(\mathbf{x}, z \rightarrow 0) = \sqrt{C_D} D(\mathbf{x}), \quad \Delta_D = 3$

- Tilt:  $j_z^{Ni}(\mathbf{x}, z \rightarrow 0) = s t_i(\mathbf{x}), \quad i = 1 \dots N - 1, \quad \Delta_t = 2$



Bray and Moore, 1977;  
Burkhardt and Cardy, 1987.

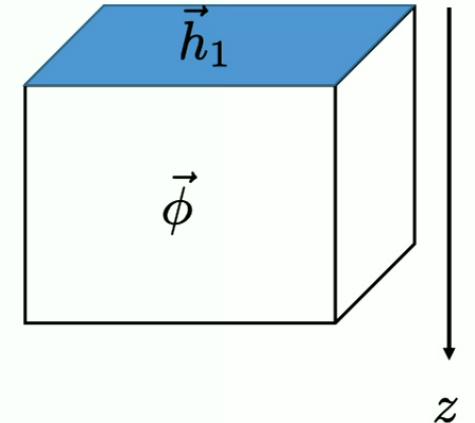
## Ward identity

$$j_z^{Ni}(\mathbf{x}, z \rightarrow 0) = s t_i(\mathbf{x})$$

$$\langle t_i(\mathbf{x}) t_j(\mathbf{x}') \rangle = \frac{\delta_{ij}}{|\mathbf{x} - \mathbf{x}'|^4}$$

$$\phi_N(\mathbf{x}, z) = \frac{a_\sigma}{(2z)^{\Delta_\phi}} + b_D(2z)^{3-\Delta_\phi} D(\mathbf{x}) + \dots, \quad z \rightarrow 0$$

$$\phi_i(\mathbf{x}, z) = b_t(2z)^{2-\Delta_\phi} t_i(\mathbf{x}) + \dots, \quad i = 1..N-1, \quad z \rightarrow 0$$



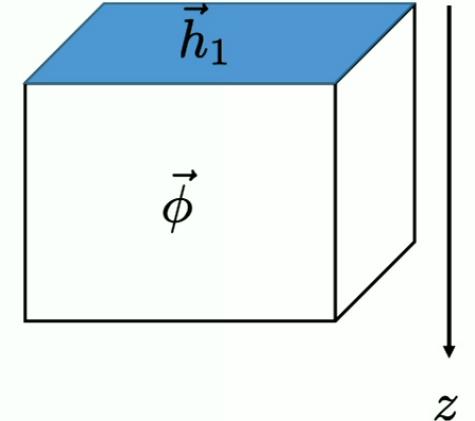
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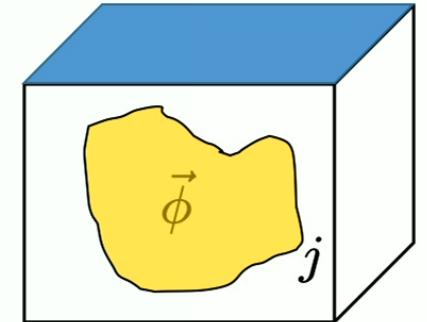
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$$s = \frac{a_\sigma}{4\pi b_t}$$

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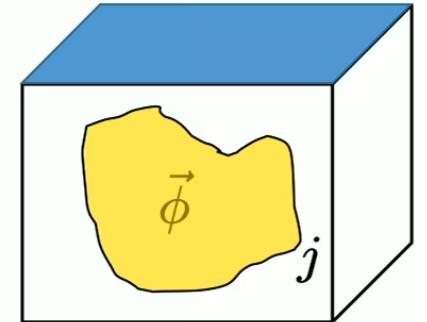


$$\delta_\omega \langle \phi^a(x) \rangle = \omega^{ab} \langle \phi^b(x) \rangle = \frac{1}{2} \int_{y \in S} dS_\mu \, \omega^{bc} \langle \phi^a(x) j_\mu^{bc}(y) \rangle$$

$$j_z^{Ni}(\mathbf{x}, z \rightarrow 0) = s t_i(\mathbf{x})$$

$$\phi_N(\mathbf{x}, z) = \frac{a_\sigma}{(2z)^{\Delta_\phi}} + b_{\text{D}}(2z)^{3-\Delta_\phi} \mathbf{D}(\mathbf{x}) + \dots, \quad z \rightarrow 0$$

$$\phi_i(\mathbf{x}, z) = b_{\text{t}}(2z)^{2-\Delta_\phi} \mathbf{t}_i(\mathbf{x}) + \dots, \quad i = 1..N-1, \quad z \rightarrow 0$$



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$$j_z^{Ni}(\mathbf{x}, z \rightarrow 0) = s \mathbf{t}_i(\mathbf{x})$$

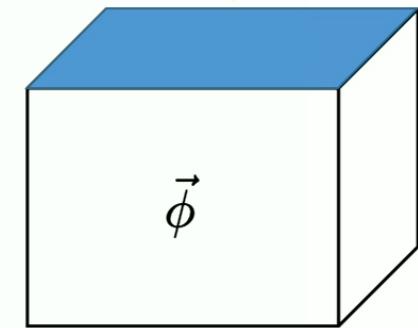
$$\langle \phi^i(\mathbf{x}, z) \mathbf{t}^j(\mathbf{y}) \rangle = b_{\text{t}} \delta_{ij} \frac{(2z)^{2-\Delta_\phi}}{(|\mathbf{x} - \mathbf{y}|^2 + z^2)^2}$$

## Adding fluctuations

$$\vec{n} = (\vec{\pi}, \sqrt{1 - \vec{\pi}^2})$$

$$S = S_{ord}[\vec{\phi}] + S_n - \tilde{s} \int d^2x \vec{n} \cdot \vec{\phi}(\vec{x}, z=0)$$

$$\rightarrow S_{norm}[\vec{\phi}] + S_n - s \int d^2x \pi_i t_i$$



$$j_z^{Ni}(\vec{x}, z \rightarrow 0) = s t^i(\vec{x}), \quad \langle t^i(\vec{x}) t^j(0) \rangle = \frac{\delta^{ij}}{|\vec{x}|^4}$$

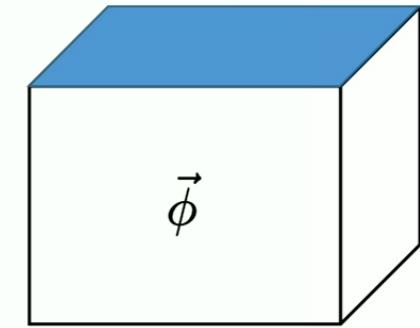
MM, 2020. Jay Padayasi, Abijith Krishnan, MM, Ilya Gruzberg and Marco Meineri, 2021.

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O(N) rotation:  $\pi^i \rightarrow \pi^i + \omega^i$ ,  $S_{norm} \rightarrow S_{norm} + \int d^2x \omega^i j_z^{Ni}(\vec{x})$

MM, 2020. Jay Padayasi, Abijith Krishnan, MM, Ilya Gruzberg and Marco Meineri, 2021.

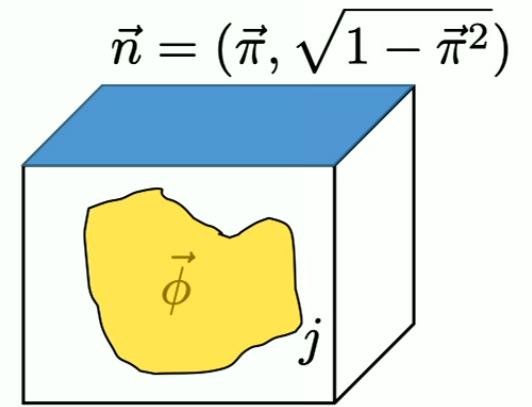
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$$j_z^{Ni}(\vec{x}, z \rightarrow 0) = s t^i(\vec{x}), \quad \langle t^i(\vec{x}) t^j(0) \rangle = \frac{\delta^{ij}}{|\vec{x}|^4}$$

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MM, 2020. Jay Padayasi, Abijith Krishnan, MM, Ilya Gruzberg and Marco Meineri, 2021.

RG

$$S = S_{norm}[\vec{\phi}] + S_n - s \int d^2x \pi_i t_i$$

$$S_n = \frac{1}{2g} \int d^2x \left( (\partial_\mu \vec{\pi})^2 + \frac{1}{1 - \vec{\pi}^2} (\vec{\pi} \cdot \partial_\mu \vec{\pi})^2 \right)$$



$$\langle t_i(x) t_j(x') \rangle = \frac{\delta_{ij}}{|x - x'|^4}$$

$$\frac{dg}{d\ell} = -\alpha g^2 \quad \alpha = \frac{\pi s^2}{2} - \frac{N-2}{2\pi} \quad \eta_n = \frac{N-1}{2\pi} g$$

## RG results

$$\frac{dg}{d\ell} = -\alpha g^2$$

$$\alpha = \frac{\pi s^2}{2} - \frac{N-2}{2\pi}$$

$$\eta_n = \frac{N-1}{2\pi} g$$

- $\alpha > 0, \quad g \rightarrow 0$   
- Extra-ordinary-log fixed point
- $\alpha < 0, \quad g = 0$  - unstable

$$\langle \vec{n}(x) \cdot \vec{n}(0) \rangle \sim \frac{1}{(\log x)^q}$$

$$q = \frac{N-1}{2\pi\alpha}$$

# $N_c$

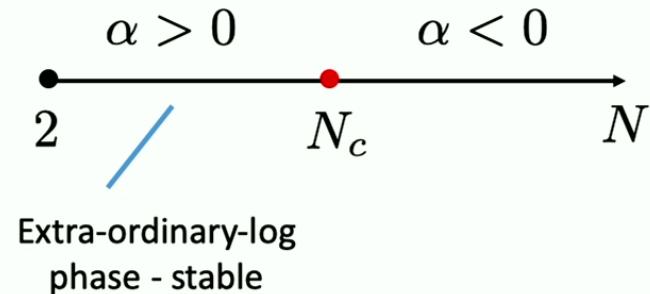
$$\frac{dg}{d\ell} = -\alpha g^2,$$

$$\alpha = \frac{\pi s^2}{2} - \frac{N-2}{2\pi}$$

$$s = \frac{a_\sigma}{4\pi b_t}$$

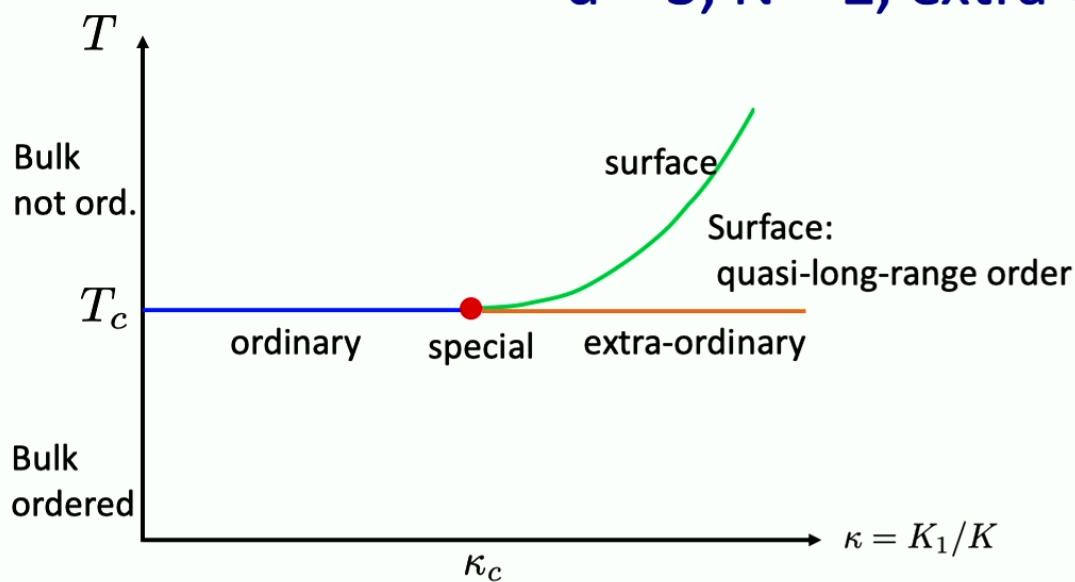
$$\alpha(N=2) = \frac{\pi s^2}{2} > 0$$

$$\alpha(N \rightarrow \infty) \approx -\frac{N-4}{4\pi} < 0$$



- Monte-Carlo:  $N_c > 3$
- Bootstrap:  $N_c \sim 5$

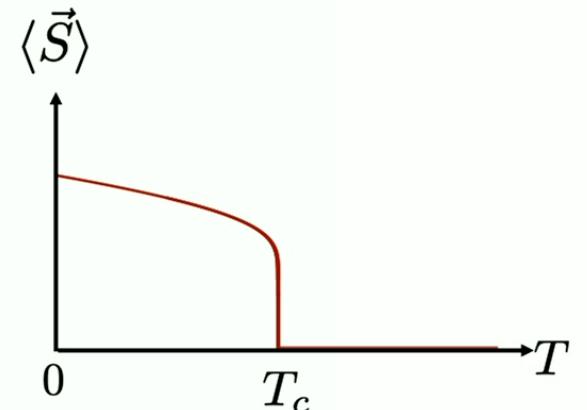
$d = 3, N = 2$ , extra-ordinary



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M. Hu, Y. Deng, J.-P. Lv, 2021  
Francesco Parisen Toldin, MM 2021



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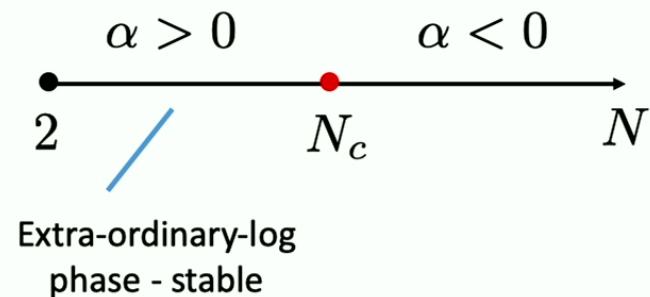
$$\frac{dg}{d\ell} = -\alpha g^2,$$

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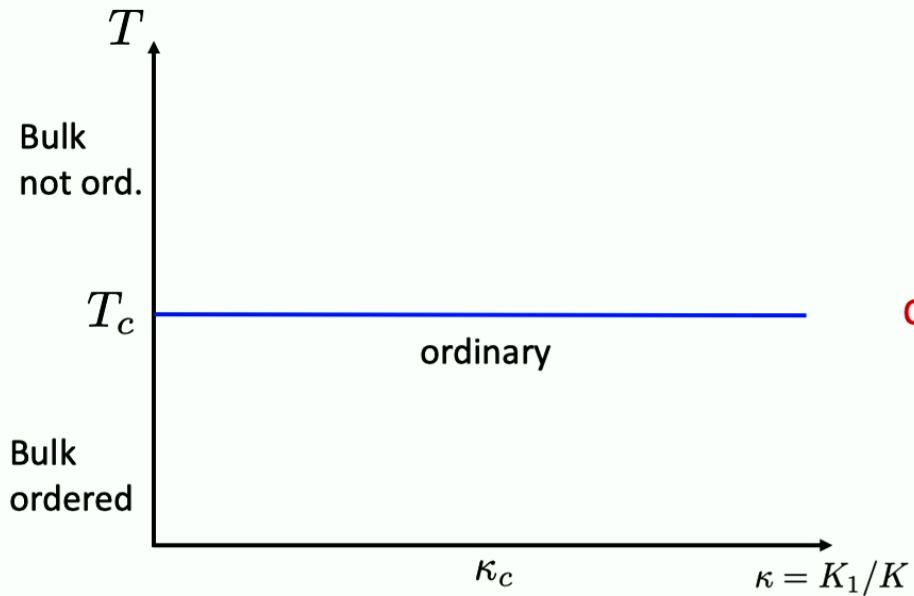
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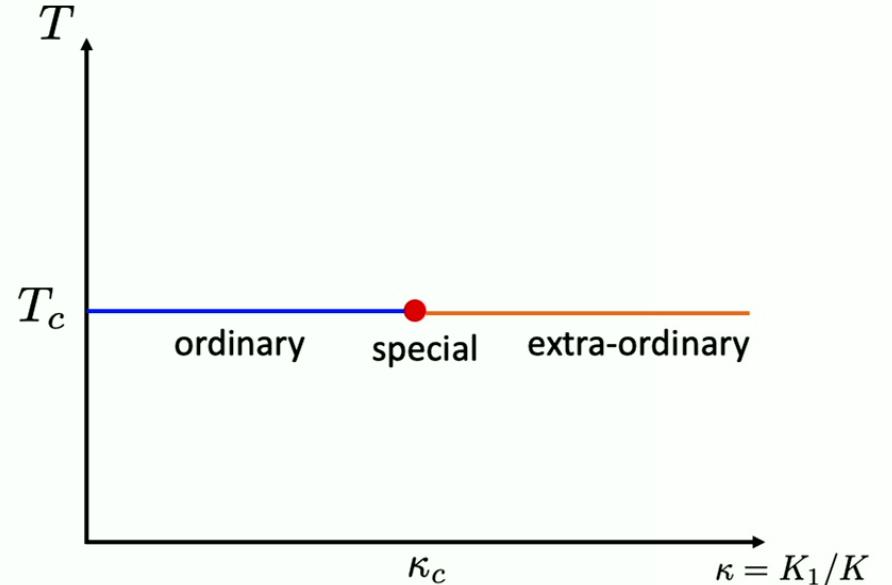


- Monte-Carlo:  $N_c > 3$
- Bootstrap:  $N_c \sim 5$

$N > 2$



OR



Large finite  $N$

$$N \rightarrow 2^+$$

$$\langle \vec{S}(x) \cdot \vec{S}(0) \rangle \sim \frac{1}{(\log x)^q}$$

MM, 2020

# $N_c$

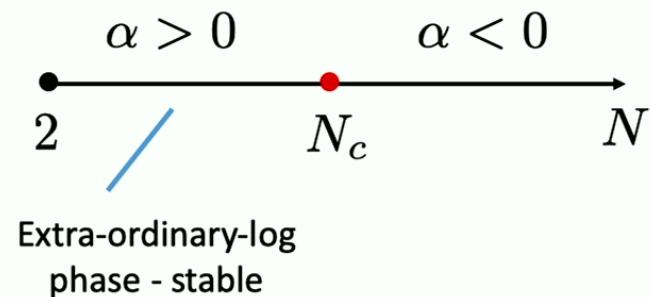
$$\frac{dg}{d\ell} = -\alpha g^2,$$

$$\alpha = \frac{\pi s^2}{2} - \frac{N-2}{2\pi}$$

$$s = \frac{a_\sigma}{4\pi b_t}$$

$$\alpha(N=2) = \frac{\pi s^2}{2} > 0$$

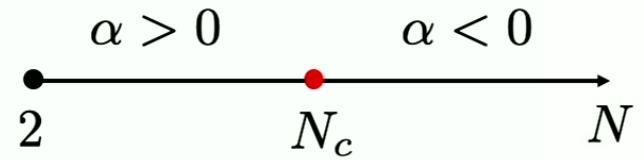
$$\alpha(N \rightarrow \infty) \approx -\frac{N-4}{4\pi} < 0$$



- Monte-Carlo:  $N_c > 3$
- Bootstrap:  $N_c \sim 5$

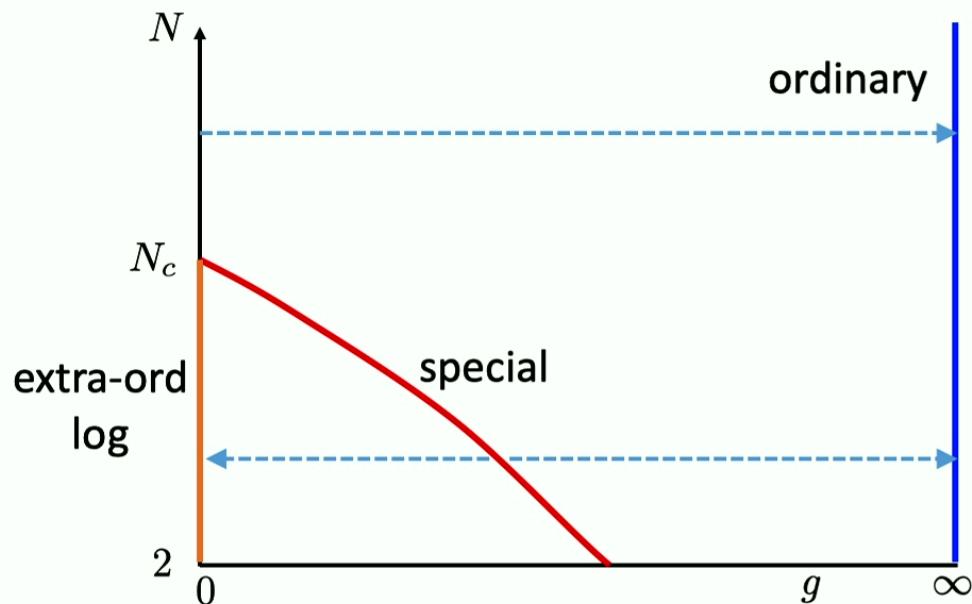
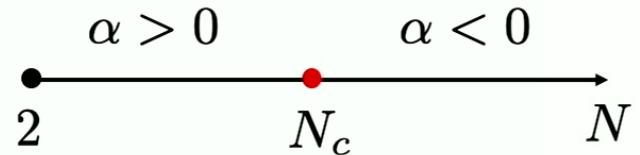
## Near $N_c$

$$\frac{dg}{d\ell} \approx a(N - N_c)g^2 + bg^3, \quad a > 0$$



## Near $N_c$

$$\frac{dg}{d\ell} \approx a(N - N_c)g^2 + bg^3, \quad a > 0$$



Scenario I:  $b > 0$

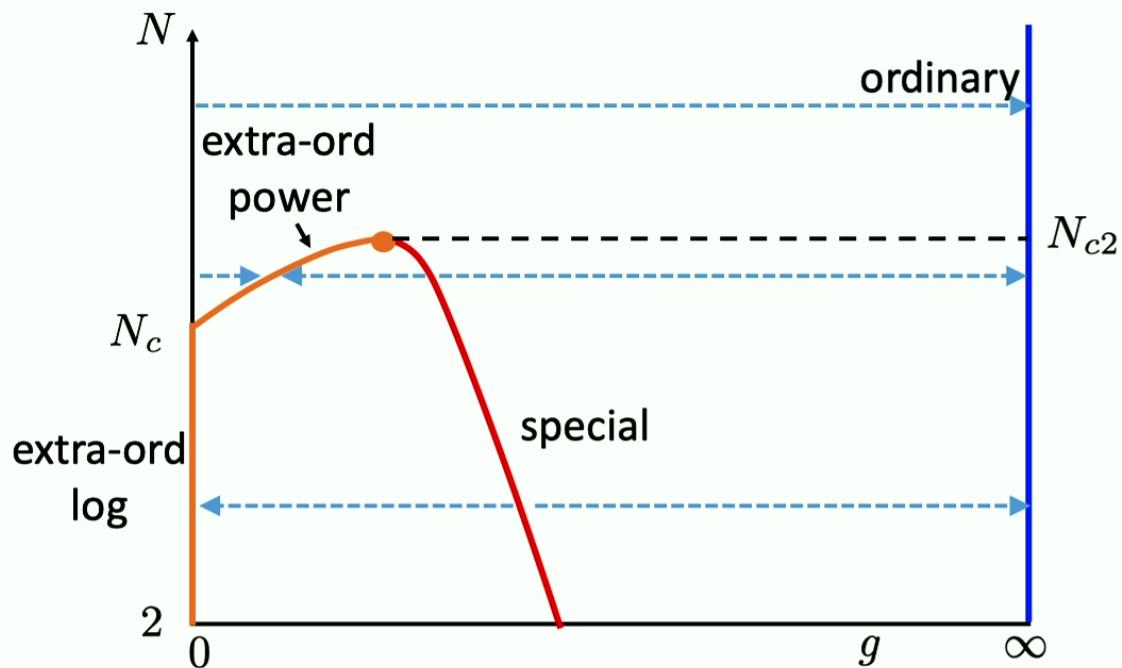
$$N \rightarrow N_c^-, \quad g_*^{spec} \sim \frac{a(N_c - N)}{b}$$

$$\Delta_{\vec{n}} \approx \frac{N-1}{4\pi} g_*$$

$$\nu^{-1} = \frac{a^2(N_c - N)^2}{b}$$

## Near $N_c$

$$\frac{dg}{d\ell} \approx a(N - N_c)g^2 + bg^3, \quad a > 0$$

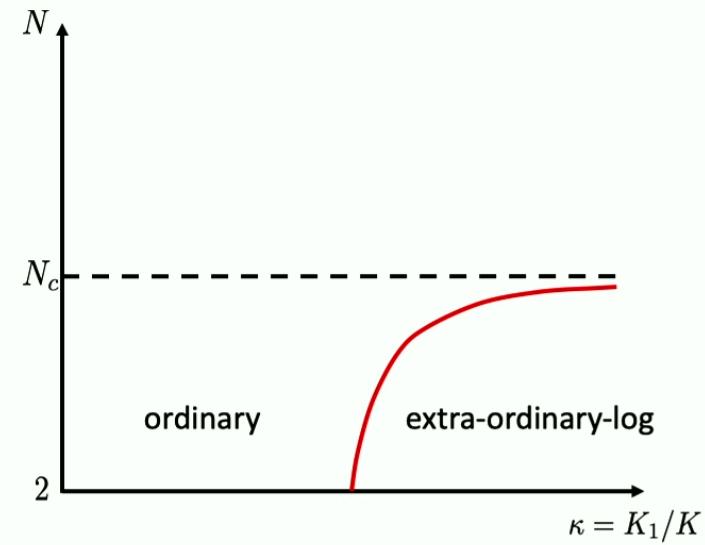


Scenario II:  $b < 0$

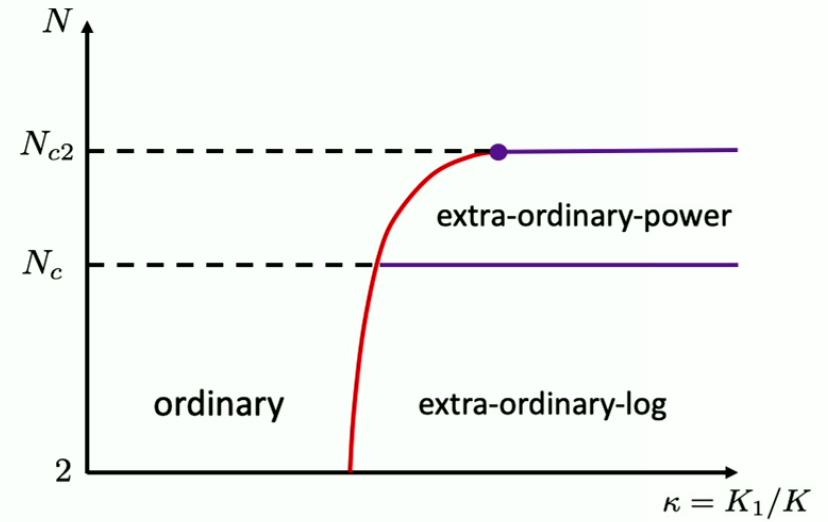
$$N \rightarrow N_c^+, \quad g_* = \frac{a(N - N_c)}{|b|}$$

$$\Delta_{\vec{n}} \approx \frac{N - 1}{4\pi} g_*$$

“Extra-ordinary-power” class.



Scenario I



Scenario II

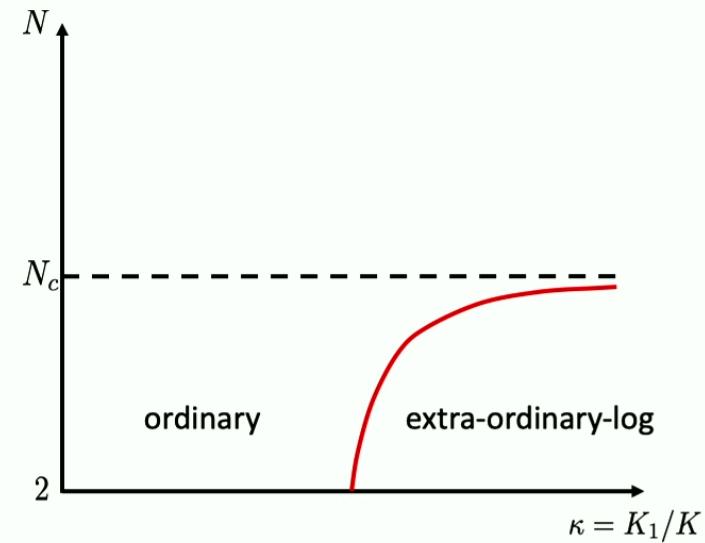
## Sign of b?

$$\frac{dg}{d\ell} = -\alpha g^2 + bg^3$$

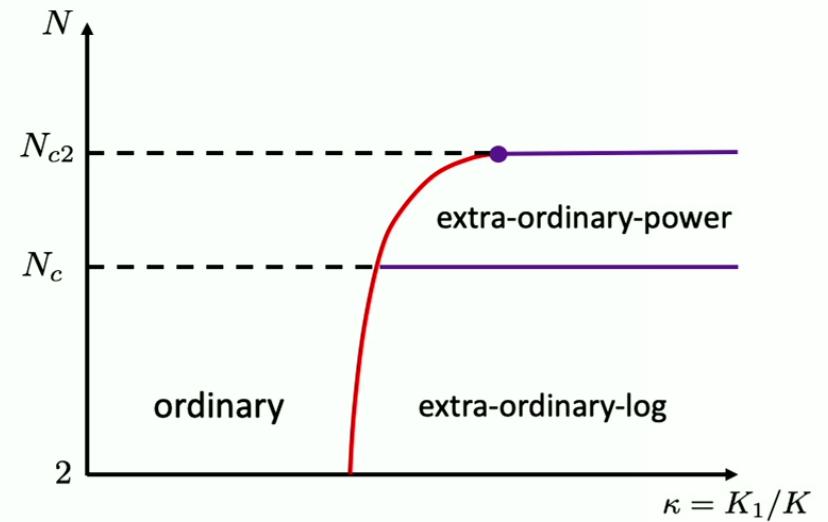
$$(2\pi)^2 b \approx \left( \frac{4}{3} \pm 2.5 \cdot 10^{-3} \right) N + O(N^0)$$

A. Krishnan, MM, 2023.

$$(2\pi)^2 b = (N - 2) \quad \text{- pure 2d norm}$$

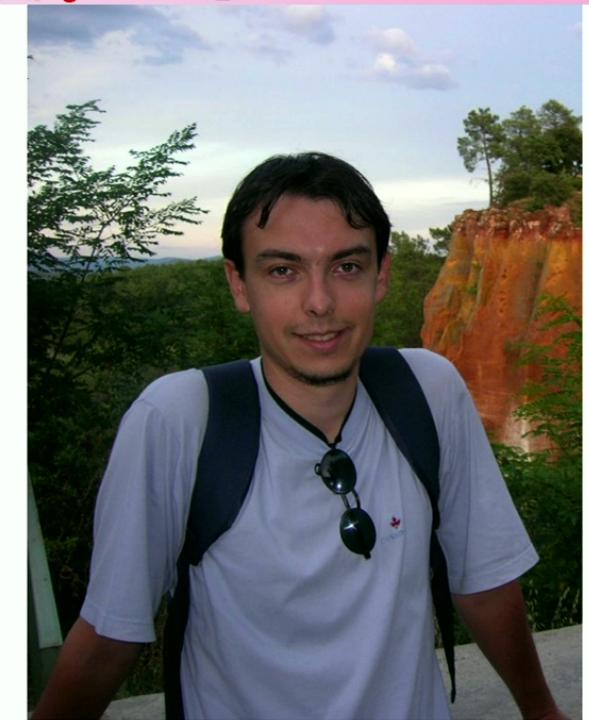
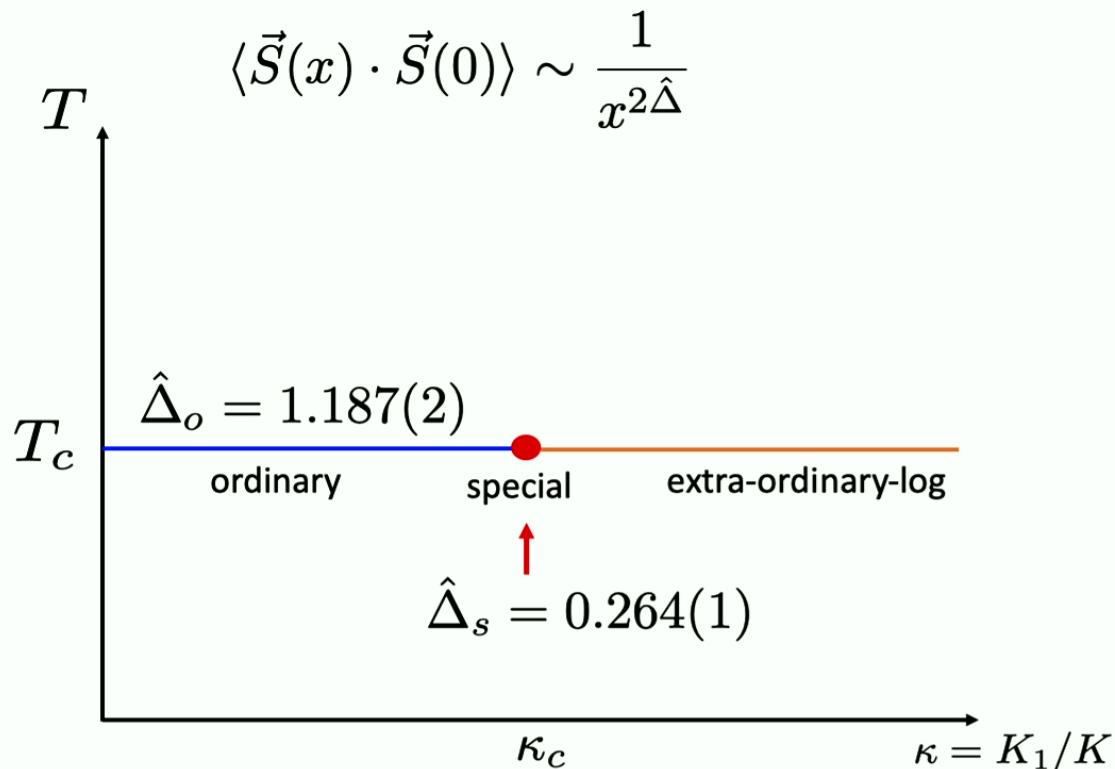


Scenario I



Scenario II

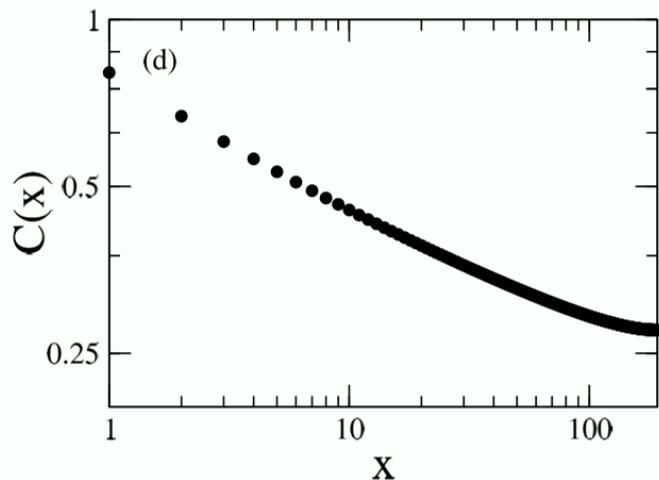
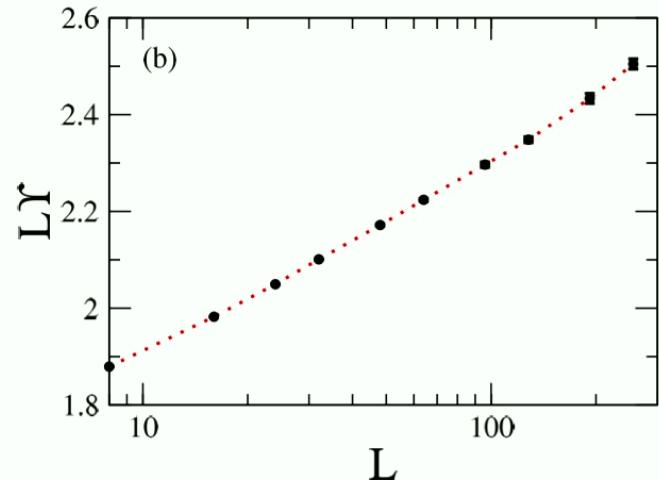
## Monte Carlo: N = 3



F. Parisen Toldin, Aachen, 2020

Deng et al, 2005

## N = 3 – extra-ordinary log phase?



$$L\gamma = \frac{2}{Ng(L)} = \frac{2}{N} \left( \frac{1}{g} + \alpha \log L \right)$$

F. Parisen Toldin, 2020

$$C(x) = \langle \vec{S}(x) \cdot \vec{S}(0) \rangle \sim \frac{1}{(\log x)^q}$$

$$\alpha = 0.15(2)$$

# Monte Carlo

N	$\alpha_{\text{eo}}^{\text{MC}}$	$\alpha_{\text{norm}}^{\text{MC}}$	$\alpha_{\text{norm}}^{\text{boot}}$
2	0.27(1)	0.300(5)	0.36
3	0.15(2)	0.190(4)	0.22
4			0.13
5			0.02
10			-0.45

$$\alpha = \frac{\pi s^2}{2} - \frac{N - 2}{2\pi} \quad s = \frac{a_\sigma}{4\pi b_t}$$

Francesco Parisen Toldin, 2021.

M. Hu, Y. Deng and J.-P. Lv, 2021.

Francesco Parisen Toldin, MM, 2021.

Jay Padayasi, Abijith Krishnan, MM, Ilya Gruzberg and Marco Meineri, 2021.

# Bootstrapping the normal universality class



Jay Padayasi  
(Ohio State)



Marco Meineri  
(U. Turin)



Abijith Krishnan  
(MIT)



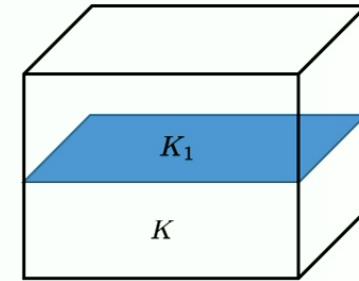
Ilya Gruzberg  
(Ohio State)

Jay Padayasi, Abijith Krishnan, MM, Ilya Gruzberg and Marco Meineri, 2111.03071.

## Plane defect, d = 3

$$H = - \sum_{\langle ij \rangle} K_{ij} \vec{S}_i \cdot \vec{S}_j$$

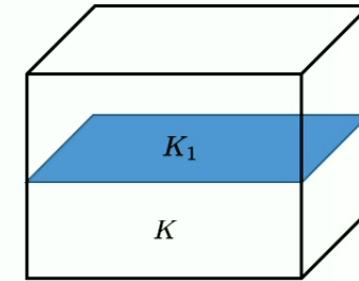
$$S = S_{\text{inf}} + c \int d^2x \epsilon(x, z=0), \quad c \sim K_c - K_1$$



$c = 0$  - special fixed point, exists for any N.

A.J. Bray, M.A. Moore, 1977

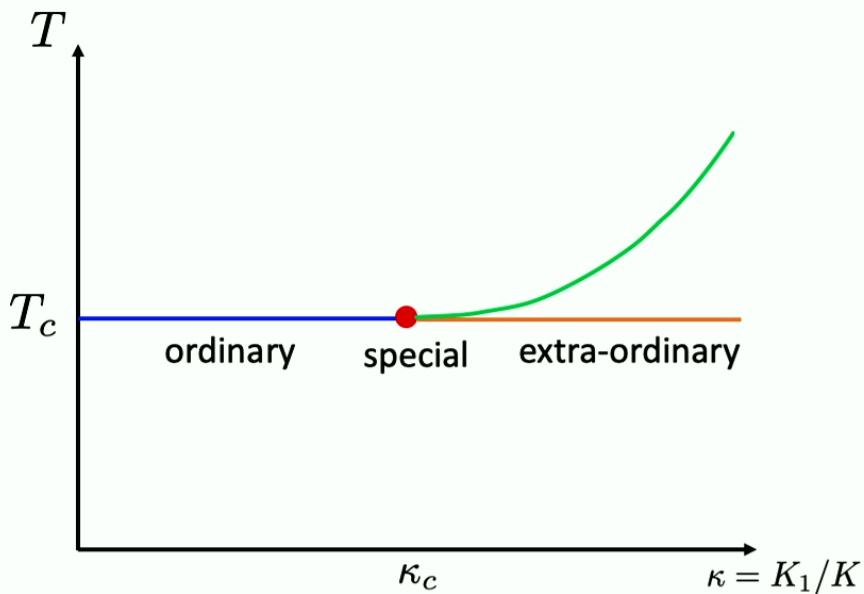
# Plane defect in $O(N)$ model



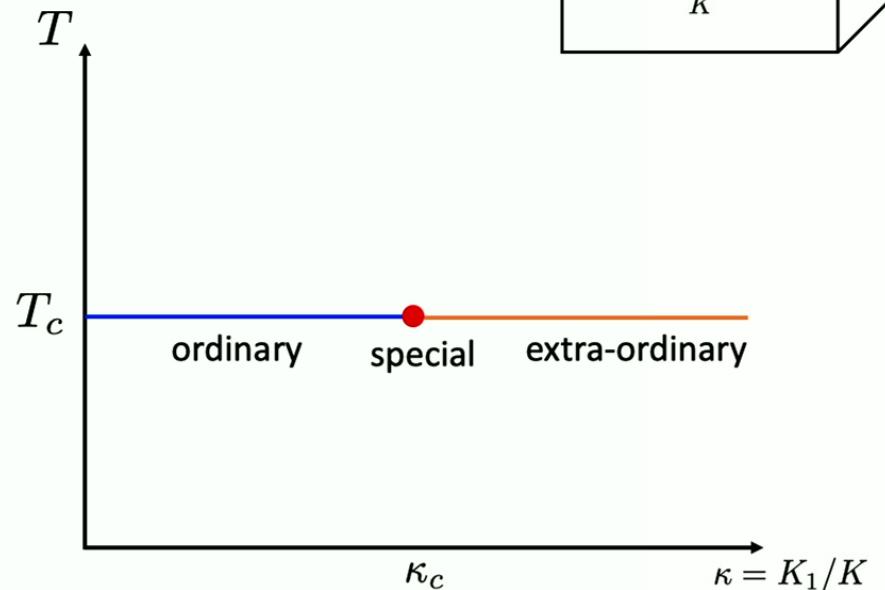
A. Krishnan, MM, 2023.

Abijith Krishnan  
(MIT)

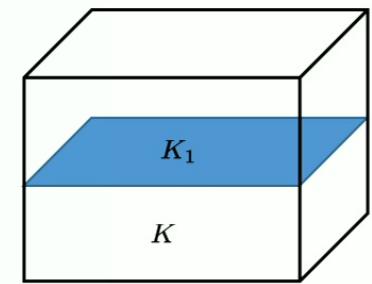
## Plane defect, $d = 3$



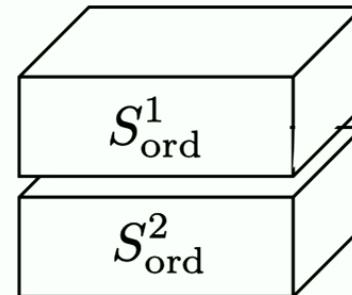
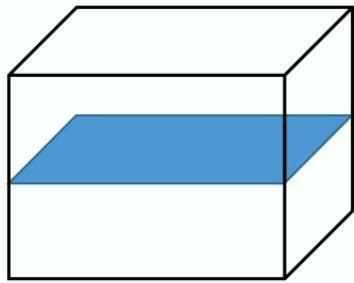
$$N = 1, 2$$



$$N > 2$$



## Plane defect, ordinary

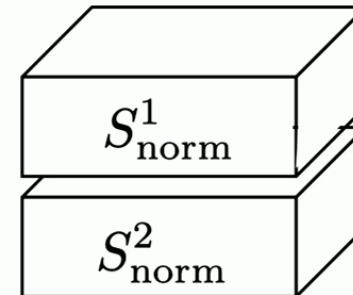
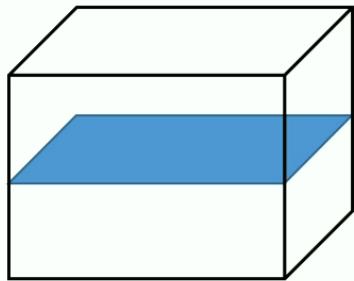


$$S = S_{\text{ord}}^1 + S_{\text{ord}}^2 + u \int d^2x \hat{\phi}_a^1 \hat{\phi}_a^2$$

$$\Delta_{\hat{\phi}}^{\text{ord}} = 1 + \frac{2}{3N} + O(N^{-2}) > 1$$

A.J. Bray, M.A. Moore, 1977

## Plane defect, normal



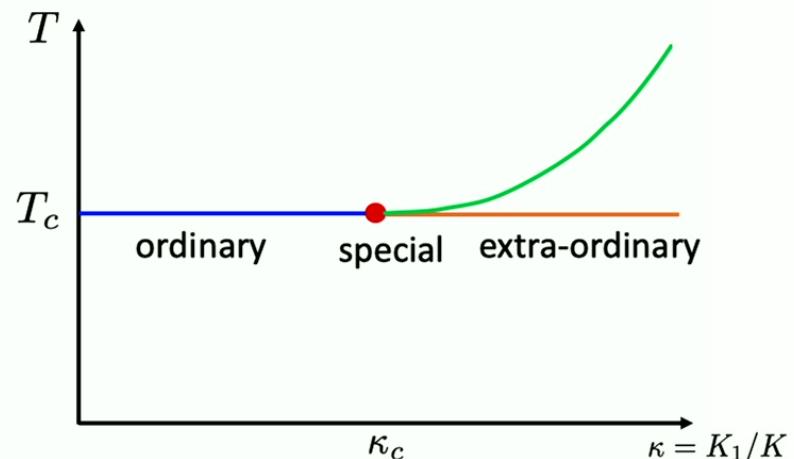
$$S = S_{\text{norm}}^1 + S_{\text{norm}}^2$$

$$\delta S = u \int d^2x D_1 D_2$$

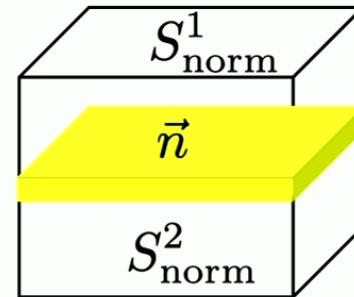
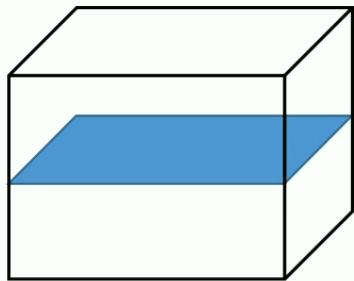
$$\delta S = v \int d^2x t_i^1 t_i^2$$

A.J. Bray, M.A. Moore, 1977

- N = 1: extra-ordinary = normal



## Extra-ordinary, N≥2



$$S = S_{\text{norm}}^1 + S_{\text{norm}}^2 + \frac{1}{2g} \int d^2x (\partial_\mu \vec{n})^2 - s \int d^2x \pi_i (t_i^1 + t_i^2) \quad s = \frac{a_\sigma}{4\pi b_t}$$

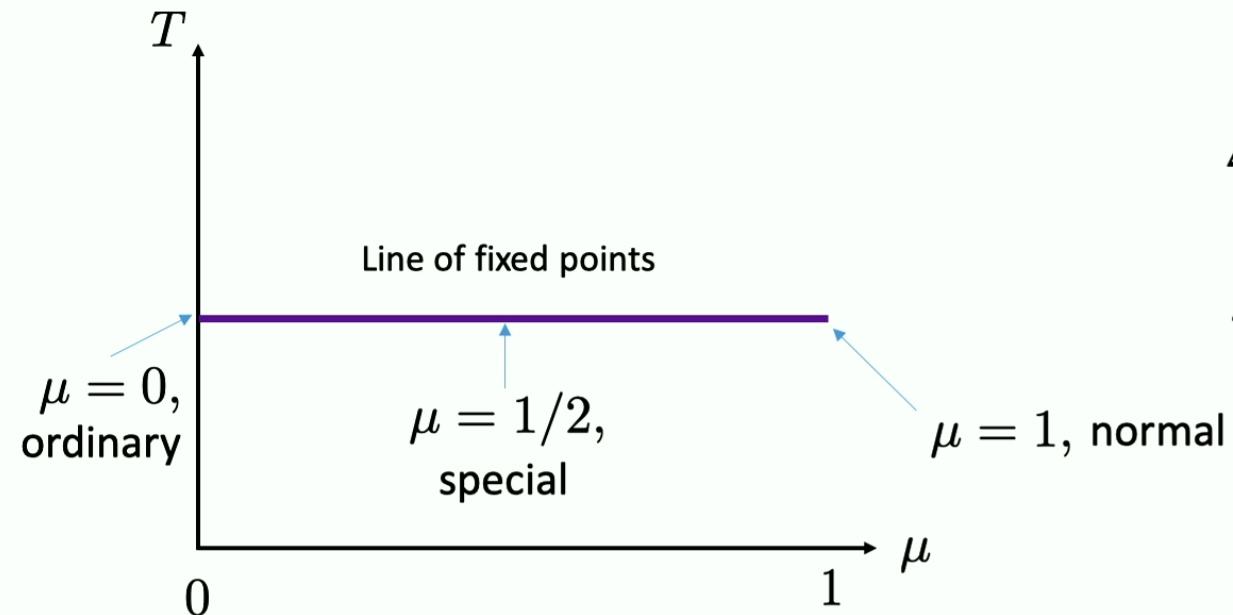
$$\frac{dg}{d\ell} \approx -\alpha g^2, \quad \alpha = 2 \cdot \frac{\pi s^2}{2} - \frac{N-2}{2\pi}, \quad \eta_n = \frac{(N-1)g}{2\pi}$$

$$\alpha(N) \rightarrow \frac{1}{\pi}, \quad N \rightarrow \infty$$

A. Krishnan, MM, 2023

N=2,3 Monte Carlo: Y. Sun, M. Hu, J.-P. Lv, 2023

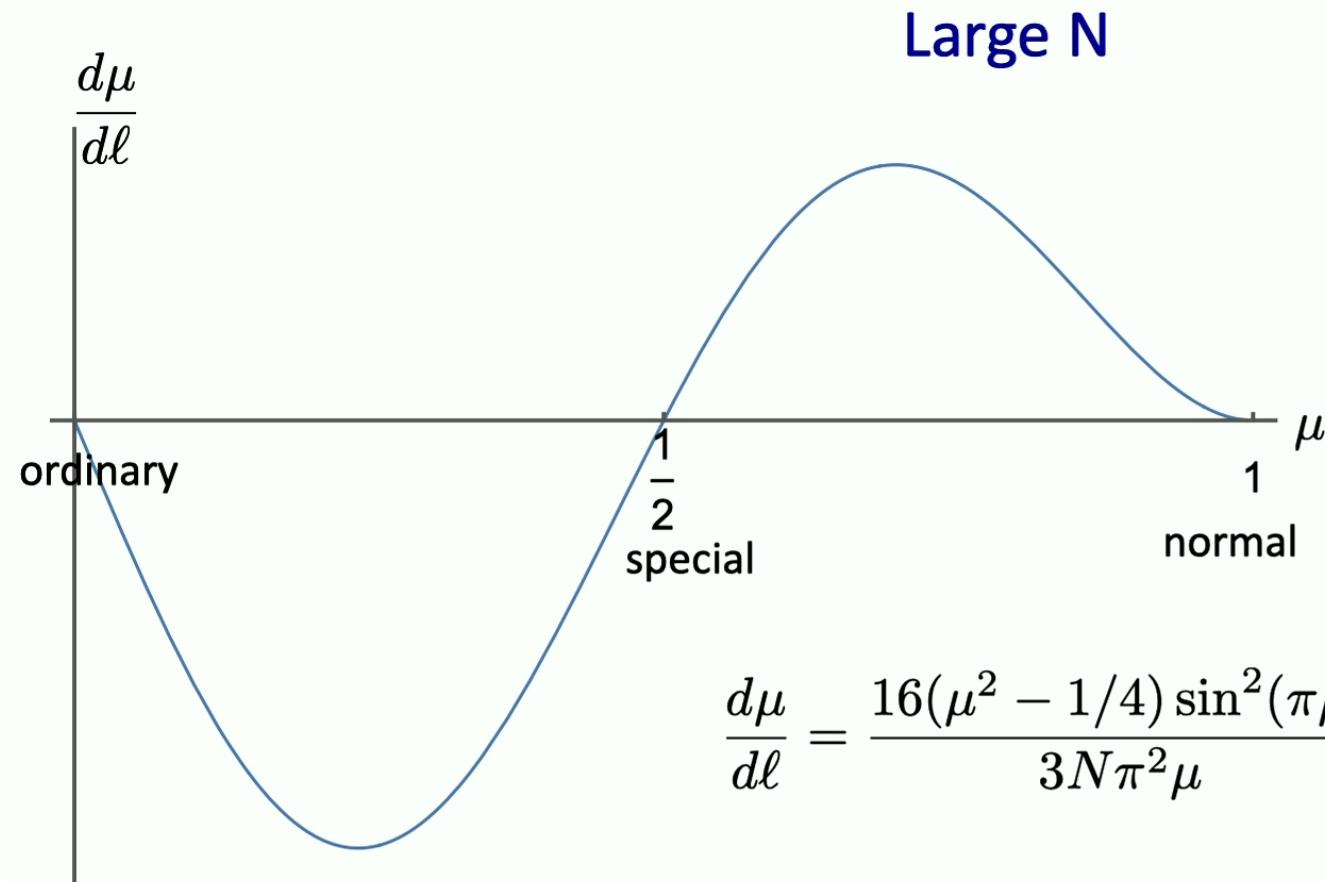
$$N = \infty$$



$$\hat{\Delta}_{\phi,S} = 1 - \mu$$

$$\hat{\Delta}_{\phi,A} = 1 + \mu$$

E. Eisenriegler, T.W. Burkhardt, 1982



$$\hat{\Delta}_{\phi,S} = 1 - \mu$$

$$\hat{\Delta}_{\phi,A} = 1 + \mu$$

$$\frac{d\mu}{d\ell} = \frac{16(\mu^2 - 1/4) \sin^2(\pi\mu)}{3N\pi^2\mu}$$

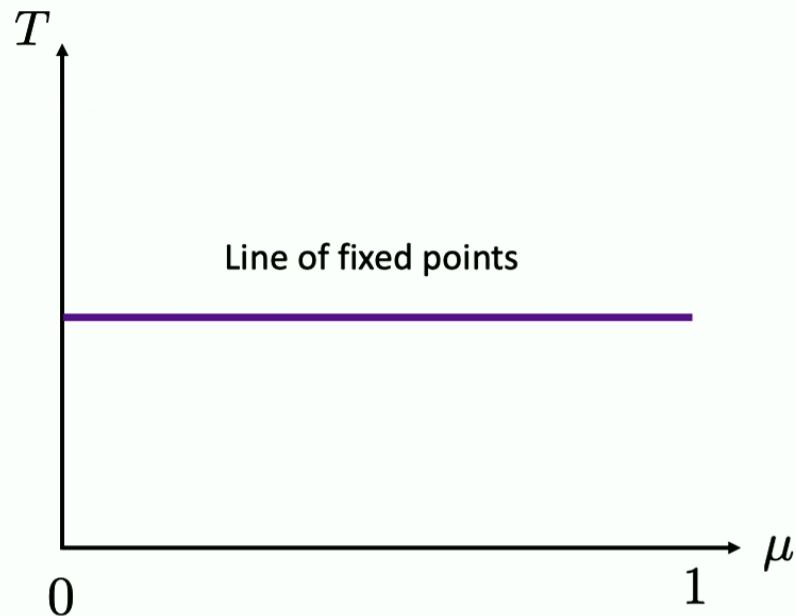
$$\frac{dg}{d\ell} = -\alpha g^2, \quad \eta_n = \frac{(N-1)g}{2\pi}, \quad \alpha \rightarrow \frac{1}{\pi}, \quad N \rightarrow \infty$$

A. Krishnan, MM, 2023

## Boundary entropy

$$T_\mu^\mu = \frac{1}{24\pi} \delta(x_\perp) (a_{3d} \hat{R} + b \operatorname{tr} \hat{K}^2) \quad \text{K. Jensen, A. O'Bannon (2015)}$$

$$N \rightarrow \infty : a_{3d}^{\text{plane}}(\mu) = 0$$



A. Krishnan, MM, 2023; S. Giombi and H. Khanchandani (2021)

## Boundary entropy, finite N

$$T_\mu^\mu = \frac{1}{24\pi} \delta(x_\perp) (a_{3d} \hat{R} + b \operatorname{tr} \hat{K}^2) \quad \text{K. Jensen, A. O'Bannon (2015)}$$



$$a_{int}^{sp} = 0$$

$$a_{bound}^O = -\frac{1}{16} + O(N^{-1}), \quad a_{int}^O = -\frac{1}{8} + O(N^{-1})$$

$$a_{bound}^N = -\frac{N}{2} - \frac{1}{16} + O(N^{-1}), \quad a_{int}^{eo} = 2a_{bound}^N + N - 1 = -\frac{9}{8} + O(N^{-1})$$

A. Krishnan, MM, 2023

N-1  $\pi$  fields

## Boundary entropy, finite N

$$T_\mu^\mu = \frac{1}{24\pi} \delta(x_\perp) (a_{3d} \hat{R} + b \operatorname{tr} \hat{K}^2) \quad \text{K. Jensen, A. O'Bannon (2015)}$$



$$a_{\text{UV}} - a_{\text{IR}} = 3\pi \int d^2x x^2 \langle \mathcal{T}(x)\mathcal{T}(0) \rangle_c$$

$$a_{int}^{sp} = 0$$

$$a_{bound}^O = -\frac{1}{16} + O(N^{-1}), \quad a_{int}^O = -\frac{1}{8} + O(N^{-1})$$

$$a_{bound}^N = -\frac{N}{2} - \frac{1}{16} + O(N^{-1}), \quad a_{int}^{eo} = 2a_{bound}^N + N - 1 = -\frac{9}{8} + O(N^{-1})$$

A. Krishnan, MM, 2023

N-1  $\pi$  fields

# Recent developments

- Can a continuous symmetry be spontaneously broken on a 2d defect in a (unitary) CFT?
  - Generically, “No”!
  - Generically, extra-ordinary-log is as close as you can get.

## Spontaneous symmetry breaking on surface defects

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31 May 2023

**Gabriel Cuomo,<sup>a,b</sup> Shuyu Zhang<sup>c</sup>**

<sup>a</sup>*Simons Center for Geometry and Physics, SUNY, Stony Brook, NY 11794, USA*

<sup>b</sup>*C. N. Yang Institute for Theoretical Physics, Stony Brook University, Stony Brook, NY 11794, USA*

<sup>c</sup>*Department of Physics and Astronomy, Stony Brook University, Stony Brook, NY 11794, USA*

# Recent developments

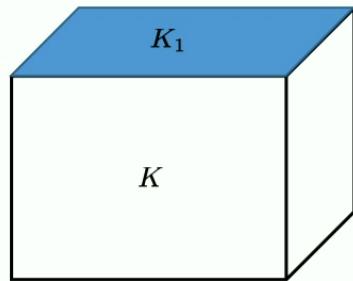
- Study of 2d defects in  $O(N)$  model in general  $d$ .

Avia Raviv-Moshe and Siwei Zhong, 2305.11370

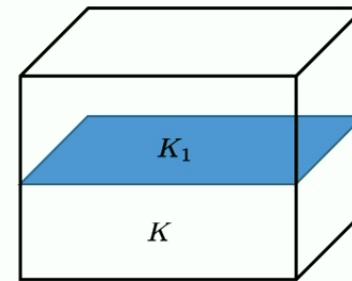
Maxime Trepanier, 2305.10486

Simone Giombi and Bowei Liu, 2305.11402

# Conclusion



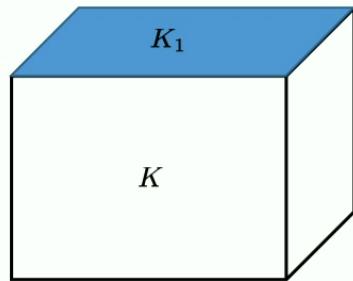
Boundary



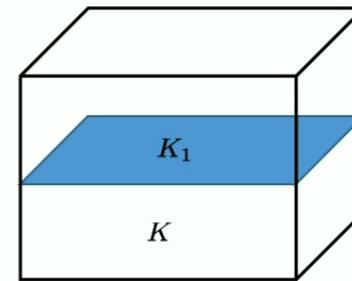
Plane defect

- Monte-Carlo
- Conformal bootstrap
- Quantum models

# Conclusion



Boundary



Plane defect

- Monte-Carlo
- Conformal bootstrap
- Quantum models

Thank you!