

Title: Partition counting, instantons and enumerative geometry

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Series: Mathematical Physics

Date: December 07, 2023 - 2:30 PM

URL: <https://pirsa.org/23120037>

Abstract: Counting partitions in diverse dimensions is a long-standing problem in enumerative combinatorics. It also plays a prominent role in the physics of instanton counting and in algebraic geometry through the computation of Donaldson-Thomas invariants. In this talk I will give an overview of these counting problems, and discuss how recent developments in the computation of instanton/Donaldson-Thomas partition functions clarify some open problems in the enumeration of higher-dimensional partitions.

Zoom link <https://pitp.zoom.us/j/92547375606?pwd=VDBiTTV6QjBtWThnSjJPc0phVEI1dz09>

Partition Counting, Instantons and Enumerative Geometry

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Mathematical Physics Seminar
Perimeter Institute for Theoretical Physics

7 December 2023

Introduction

- ▶ Counting problems of enumerative combinatorics arise in various areas of physics (gauge theory, statistical mechanics, ...) and mathematics (algebraic geometry, representations of ∞ -D algebras, topology, ...)
- ▶ **In this talk:** Supersymmetric gauge theories in dimensions $2d = 2, 4, 6, 8$ and string theory through the lens of **instanton counting**
- ▶ Counting problems are well-understood for $d = 3$:
 - ▶ BPS states of D-branes in IIA string theory on toric Calabi–Yau 3-folds X , described as melting crystals
(Aganagic *et al.* '03; Okounkov, Reshetikin & Vafa '03; Ooguri & Yamazaki '08; ...)
 - ▶ Instantons in 6D cohomological gauge theory on X
(Iqbal *et al.* '03; Nekrasov '05; Jafferis '07; Cirafici, Sinkovics & Sz '08; Awata & Kanno '09; Del Zotto *et al.* '21; ...)
 - ▶ Donaldson–Thomas invariants (virtual numbers of sheaves on X)
(Graber & Pandharipande '97; Maulik *et al.* '03; Okounkov '17; Fasola, Monavari & Ricolfi '20; ...)
- ▶ Until the last few years, generalizations to $d = 4$ were **not** well understood

Introduction

- ▶ For X a toric Calabi–Yau 4-fold, recent developments include:
 - ▶ Constructions of virtual cycles for moduli of sheaves on X
(Cao & Leung '14; Borisov & Joyce '15; Cao & Kool '17; Oh & Thomas '20)
 - ▶ Instanton counting in 8D cohomological gauge theory on X
(Nekrasov '17; Nekrasov & Piazzalunga '18; Bonelli *et al.* '20; Kimura '22; Sz & Tirelli '23; Kimura & Noshita '23; ...)
 - ▶ Donaldson–Thomas theory of X (Cao, Kool & Monavari '19; Liu '23; ...)
 - ▶ BPS D-branes on X /4D melting crystal (Galakhov & Li '23; Franco '23)

- ▶ From gauge theory perspective, predecessors are Donaldson–Witten and Vafa–Witten theories in $d = 2$, and by dimensional reduction vortex counting in $\mathcal{N} = (2, 2)$ and $\mathcal{N} = (4, 4)$ theories in $d = 1$
(Bonelli, Tanzini & Zhao '11; Yoshida '11; ...)

- ▶ **Goal:** Broad-brush overview and comparison of these developments in the various dimensionalities; see also (Sz & Tirelli [arXiv:2207.12862])

Outline

- ▶ Combinatorics: Partition counting
- ▶ Generalized instanton equations
- ▶ Moduli spaces
- ▶ Instanton counting: Enumerative geometry

$d = 1$: Counting Points

Count objects with no structure, paying attention only to total number:

\emptyset	•	••	•••	...
$n = 0$	1	2	3	...

There is $p_1(n) = 1$ configuration for each n , weigh by q^n ($|q| < 1$)
Encode all counts at once in a **generating (partition) function**:

$$\mathcal{G}_1(q) := \sum_{n=0}^{\infty} p_1(n) q^n = \sum_{n=0}^{\infty} q^n$$

The **geometric series** was summed by Euclid (and others ...):

$$\mathcal{G}_1(q) = \frac{1}{1-q}$$



$d = 1$: Counting Points

Simple generating functions, such as $\mathcal{G}_1(q)$, can be written as **plethystic exponentials** of even simpler functions ('single-particle partition functions'):

If $f(q) = \sum_{n=1}^{\infty} a_n q^n$ is an analytic function with $f(0) = 0$, then

$$\text{PE}[f(q)] := \exp\left(\sum_{n=1}^{\infty} \frac{f(q^n)}{n}\right) = \prod_{n=1}^{\infty} \frac{1}{(1 - q^n)^{a_n}}$$

or we may write $f(q) = \text{PL}\left(\prod_{n=1}^{\infty} \frac{1}{(1 - q^n)^{a_n}}\right)$ as a **plethystic logarithm**

For the geometric series:

$$\text{PL}[\mathcal{G}_1(q)] = q$$

$d = 2$: Counting Partitions

Now keep track of how a collection of n objects is composed of more elementary constituents, paying attention only to their total numbers:

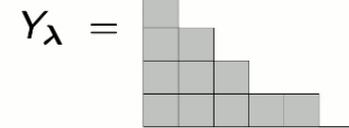
$p_2(n) :=$ number of ways of representing $n \in \mathbb{N}$ as a sum of natural numbers (up to permutations of summands)

Counts **partitions** $\lambda = (\lambda_1 \geq \lambda_2 \geq \lambda_3 \geq \dots)$, $\lambda_i \in \mathbb{N}$ of **size**

$$n = |\lambda| := \sum_i \lambda_i$$

Correspond to **Young diagrams** $Y_\lambda \subset \mathbb{Z}_{\geq 0}^2$ with λ_i squares in i^{th} row:

E.g. For $n = 12$: $\lambda = (5 \geq 3 \geq 2 \geq 1 \geq 1)$



$d = 2$: Counting Partitions

Generating function: $\mathcal{G}_2(q) := \sum_{n=0}^{\infty} p_2(n) q^n = \sum_{\lambda} q^{|\lambda|}$

This series was summed by Euler:

$$\mathcal{G}_2(q) = \prod_{n=1}^{\infty} \frac{1}{1 - q^n}$$



with plethystic logarithm

$$\text{PL}[\mathcal{G}_2(q)] = \frac{q}{1 - q} = \sum_{n=1}^{\infty} q^n$$

Modularity property ($q = e^{2\pi i \tau}$, $\text{Im}(\tau) > 0$):

$$\mathcal{G}_2(e^{2\pi i \tau}) = \sqrt{\frac{\tau}{i}} e^{\frac{\pi i}{12}(\tau + \frac{1}{2})} \mathcal{G}_2(e^{-2\pi i / \tau})$$

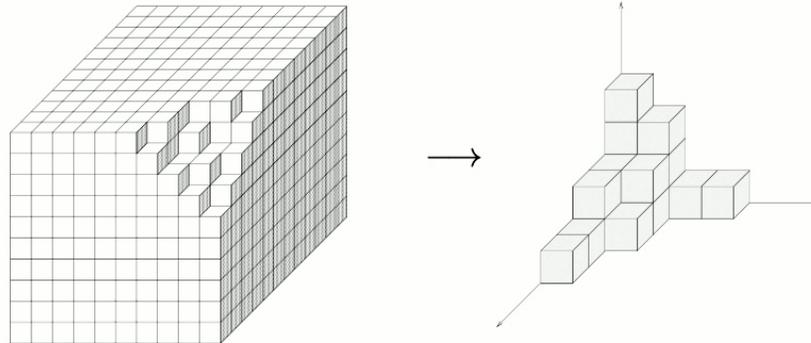
allows estimate of $p_2(n)$ for $n \gg 1$ to very good accuracy

$d = 3$: Counting Plane Partitions

Next we stack partitions to form **plane partitions** of integers:

$$\pi = \{\pi_{i,j} \in \mathbb{N} \mid \pi_{i,j} \geq \pi_{i+1,j}, \pi_{i,j} \geq \pi_{i,j+1}\}$$

Piling $\pi_{i,j}$ cubes vertically at $(i,j,0)$ gives **3D Young diagram** in $\mathbb{Z}_{\geq 0}^3$, obtained from a **melting crystal model**:



Unit cube at $(I, J, K) \in \mathbb{Z}_{\geq 0}^3$ evaporates
 \iff all $(i \leq I, j \leq J, k \leq K)$ already evaporated

Removing each atom from corner of crystal contributes q to Boltzmann weight

$d = 3$: Counting Plane Partitions

$p_3(n)$:= number of plane partitions π of size (total number of boxes)

$$n = |\pi| := \sum_{i,j} \pi_{i,j}$$

Generating function: $\mathcal{G}_3(q) = \sum_{n=0}^{\infty} p_3(n) q^n = \sum_{\pi} q^{|\pi|}$

This series was summed by MacMahon:

$$\mathcal{G}_3(q) = \prod_{n=1}^{\infty} \frac{1}{(1 - q^n)^n}$$



with plethystic logarithm

$$\text{PL}[\mathcal{G}_3(q)] = \frac{q}{(1 - q)^2} = \sum_{n=1}^{\infty} n q^n$$

$d = 3$: Calabi–Yau Crystals

Trivalent planar graph Γ with:

- (1) Plane partition π_v at each vertex v
- (2) Ordinary partition λ_e at each edge e ; $\lambda_e = \emptyset$ for each external edge (asymptotics of π_v)

“Topological string” partition function:

$$\mathcal{G}_\Gamma(q, \vec{x}) = \sum_{\lambda_e} \prod_{\text{edges } e} x_e^{|\lambda_e|} \prod_{\substack{\text{vertices} \\ v=(e_1, e_2, e_3)}} \mathcal{G}_{\lambda_{e_1}, \lambda_{e_2}, \lambda_{e_3}}(q)$$

Generating function for plane partitions π with boundaries λ, μ, ν :

$$\mathcal{G}_{\lambda, \mu, \nu}(q) = \sum_{\pi: \partial\pi=(\lambda, \mu, \nu)} q^{|\pi|}$$

$d = 3$: Calabi–Yau Crystals

Examples: $\mathcal{G}_{\bullet}(q) = \mathcal{G}_3(q)$



$$\begin{aligned}
 \mathcal{G}_{\bullet-\bullet}(q, x) &= \sum_{\lambda} \mathcal{G}_{0,0,\lambda}(q) \mathcal{G}_{0,0,\lambda}(q) x^{|\lambda|} \\
 &= \sum_{\lambda} \sum_{\pi_1, \pi_2} q^{|\pi_1| + |\pi_2| + \sum_{(i,j) \in \lambda} (i+j+1)} x^{|\lambda|} \\
 &= \frac{\mathcal{G}_3(q)^2}{\mathcal{G}_3(q, x)}
 \end{aligned}$$

$$\mathcal{G}_3(q, x) = \prod_{n=1}^{\infty} \frac{1}{(1 - x q^n)^n} \text{ counts } \text{weighted plane partitions}$$

$d = 4$: Counting Solid Partitions

Stack plane partitions to **solid partitions** of integers:

$$\sigma = \{\sigma_{i,j,k} \in \mathbb{N} \mid \sigma_{i,j,k} \geq \sigma_{i+1,j,k}, \sigma_{i,j,k} \geq \sigma_{i,j+1,k}, \sigma_{i,j,k} \geq \sigma_{i,j,k+1}\}$$

Represent as **4D Young diagrams**, equivalently **4D melting crystals**, in $\mathbb{Z}_{\geq 0}^4$

For the (naive) generating function $\mathcal{G}_4(q) = \sum_{\sigma} q^{|\sigma|}$, MacMahon conjectured

the formula $\mathcal{G}_4(q) = \prod_{n=1}^{\infty} \frac{1}{(1 - q^n)^{\frac{n(n+1)}{2}}}$ (with $\text{PL}[\mathcal{G}_4(q)] = \frac{q}{(1 - q)^3}$)

This guess famously fails at order q^6 , where it begins to overcount

The actual plethystic logarithm complicates matters:

its coefficients start to become non-monotonic at q^{13} and negative at q^{15}

This problem was clarified recently by Nekrasov using the geometry of **instanton moduli spaces** to adopt a non-trivial measure in the counting function, giving a closed formula for the generating function of solid partitions with a physically meaningful plethystic logarithm

Generalized Instanton Equations

M_d = connected oriented Riemannian manifold of dimension $2d \geq 4$ with Hodge operator $*$; consider a vector bundle over M_d with connection

Σ -self-duality equations: $\Sigma \wedge F = *F$

$F = dA + A \wedge A$ = curvature of connection 1-form A

$\Sigma \in \Omega^{2d-4}(M_d)$ closed and singlet of holonomy group $H \subseteq SO(2d)$

Since $d\Sigma = 0$, solutions labelled by instanton number $n = \text{ch}_d \in \mathbb{Z}$ and satisfy Yang–Mills equations $d_A * F = d * F + A \wedge * F = 0$

Introduce complex structure J making (M_d, ω, J) a Kähler d -fold; reduces holonomy to $H \subseteq U(d)$

Instanton equations with $\text{ch}_1 = 0$ under suitable conditions are equivalent to Donaldson–Uhlenbeck–Yau equations:

$$F^{0,2} = 0 \quad , \quad \omega^{d-1} \wedge F^{1,1} = 0$$

for $F = F^{2,0} + F^{1,1} + F^{0,2}$; these equations describe stable holomorphic vector bundles over M_d with finite characteristic classes

Generalized Instanton Equations

$d = 2$: $\Sigma F = *F$ and $*^2 = \mathbb{1}$ imply $\Sigma = \pm 1$ with $H = SO(4)$, complex structure J reduces H to $U(2)$

$d = 1$: Dimensional reduction of $F = -*F$ on $M_4 = M_2 \times S^2$ (with holonomy $SO(2) \times SO(2)$) gives **vortex equations** for Yang–Mills–Higgs theory on M_2 for a connection A and section ϕ on M_2 , with holonomy $U(1)$

$d = 3$: Take $\Sigma = \omega$, $H = SU(3) \subset SO(6)$

$d = 4$: Σ is a $Spin(7)$ -structure on M_4 , $* \circ (\Sigma \wedge -)$ acts on 2-forms with eigenvalues $-3, 1$

J breaks holonomy to $SU(4) \subset Spin(7) \subset SO(8)$ with $SU(4)$ -structure $\Omega \in \Omega^{4,0}(M_4)$ and compatible $Spin(7)$ -structure $\Sigma = \frac{1}{2}\omega \wedge \omega - \text{Re}(\Omega)$

$Spin(7)$ -instanton equation $*(\Sigma \wedge F) = F$ reduces along $SU(4) \subset Spin(7)$ to

$$F_-^{0,2} = 0 \quad , \quad \omega \wedge \omega \wedge \omega \wedge F^{1,1} = 0$$

where $F_-^{0,2} = \frac{1}{2}(F^{0,2} - *_\Omega F^{0,2})$ in -1 -eigenspace of antilinear involution $*_\Omega : \Omega^{0,2}(M_4) \rightarrow \Omega^{0,2}(M_4)$ induced by Ω

Instanton Moduli Spaces

We can now develop a general geometric setting for our combinatorial partition functions for $d = 1, 2, 3, 4$

We are interested in the **instanton moduli space**

$$\mathcal{M}_n^d := \frac{\{\text{solutions } A \text{ of } \Sigma \wedge F = *F \text{ with } \text{ch}_d = n\}}{\text{gauge transformations}}$$

on $M_d = \mathbb{C}^d$ for $\text{rank } \text{ch}_0 = 1$

Noncommutative instantons: Complex structure J corresponds to Poisson bivector $\theta = r\omega^{-1}$, $r > 0$. Berezin–Toeplitz quantization:

$$[z_i, \bar{z}_j] = ir\delta_{ij} \quad , \quad [z_i, z_j] = 0 = [\bar{z}_i, \bar{z}_j]$$

represented on irreducible Fock module $\mathcal{H} = \mathbb{C}[\bar{z}_1, \dots, \bar{z}_n]|\vec{0}\rangle$

Using Segal–Bargmann representation, instanton equations become algebraic equations for operators $Z_i \in \text{End}(\mathcal{H})$:

$$[Z_i, Z_j] = 0 \quad , \quad \sum_{i=1}^d [Z_i, Z_i^\dagger] = dr\mathbb{1}_{\mathcal{H}}$$

Instanton Moduli Spaces

Moduli space can be described using a **generalized ADHM parameterization** as a moduli space defined by matrix polynomial equations:

$$\mathcal{M}_n^d \simeq \frac{\left\{ (B_i)_{i=1,\dots,d} \subset \text{End}(\mathbb{C}^n), I \in \mathbb{C}^n \mid \begin{array}{l} [B_i, B_j] = 0 \\ \sum_{i=1}^d [B_i, B_i^\dagger] + I \otimes I^\dagger = r \mathbb{1}_n \end{array} \right\}}{U(n)}$$

where $r > 0$ and $g \cdot (B_i, I) = (g B_i g^{-1}, g I)$ for $g \in U(n)$

Geometrically, this is the **Hilbert scheme** of n points in \mathbb{C}^d :

$$\mathcal{M}_n^d \simeq \text{Hilb}^n(\mathbb{C}^d) := \{ \text{ideals } \mathcal{I} \subset \mathbb{C}[z_1, \dots, z_d] \mid \dim \mathbb{C}[z_1, \dots, z_d]/\mathcal{I} = n \}$$

Solutions Z_i parametrized by partial isometries

$$S : \mathcal{H} \longrightarrow \mathcal{H}_{\mathcal{I}} = \mathcal{I}[\bar{z}_1, \dots, \bar{z}_n]|\vec{0}\rangle$$

Instanton Moduli Spaces

This is **not smooth** in general: it has generic dimension $d \cdot n$ with an **obstruction vector space** over each point

Describe the **virtual tangent bundle** using the instanton deformation complex:

$$T^{\text{vir}} \mathcal{M}_n^d = [T\mathcal{M}_n^d \xrightarrow{\mathcal{D}} \text{Ob} \mathcal{M}_n^d]$$

where $\mathcal{D} =$ linearization of instanton equations followed by projector onto subspace orthogonal to $U(n)$ -orbit ('normal bundle')

This defines the **virtual dimension**:

$$\text{vdim} \mathcal{M}_n^d := \text{rk} T\mathcal{M}_n^d - \text{rk} \text{Ob} \mathcal{M}_n^d = \dim \ker \mathcal{D} - \dim \text{coker} \mathcal{D}$$

and **Euler class**: $e(T^{\text{vir}} \mathcal{M}_n^d) := \frac{e(T\mathcal{M}_n^d)}{e(\text{Ob} \mathcal{M}_n^d)}$

Let $\mathcal{V}_n^d \rightarrow \mathcal{M}_n^d$ be a vector bundle of rank $\text{vdim} \mathcal{M}_n^d$

Generalized Instanton Partition Functions

Geometric partition functions compute intersection theory of \mathcal{M}_n^d :

$$Z_d(q) = \sum_{n=0}^{\infty} q^n \int_{[\mathcal{M}_n^d]^{\text{vir}}} e(\mathcal{V}_n^d)$$

\mathcal{M}_n^d is acted upon by the torus group $T = U(1)^d \subset H$:

$$\vec{t} \cdot (B_i, l) = (t_i B_i, l) \quad , \quad t_i = e^{i\epsilon_i} \in U(1)$$

Fixed points $(\mathcal{M}_n^d)^T$ are isolated and given by **monomial ideals** $\mathcal{I} \in \text{Hilb}^n(\mathbb{C}^d)^T$, which are in correspondence with d -dimensional Young diagrams for $d \geq 2$

Virtual localization theorem:

$$Z_d(q; \vec{\epsilon}) = \sum_{n=0}^{\infty} q^n \sum_{f \in (\mathcal{M}_n^d)^T} \frac{e_T(\text{Ob}_f \mathcal{M}_n^d)}{e_T(T_f \mathcal{M}_n^d)} e_T(\mathcal{V}_n^d)_f$$

Can be computed as fluctuation determinants in instanton background

$d = 1$: Vortices

\mathcal{M}_n^1 is smooth of dimension n : $\text{Hilb}^n(\mathbb{C}) \simeq \text{Sym}^n(\mathbb{C}) := \mathbb{C}^n/S_n \simeq \mathbb{C}^n$

No obstructions, so compute Euler characteristic with $\mathcal{V}_n^1 = T\mathcal{M}_n^1$

T -fixed points: $tB = g(t)B g(t)^{-1}$, $g(t)I = I$

There is a **unique** fixed point satisfying $[B, B^\dagger] + I \otimes I^\dagger = r \mathbb{1}_n$

Euler classes cancel in localization formula:

$$Z_1(q) = \sum_{n=0}^{\infty} q^n = \mathcal{G}_1(q)$$

$\mathcal{G}_1(q)$ is the generating function for Euler characteristics of vortex moduli spaces

$d = 2$: Instantons

\mathcal{M}_n^2 is smooth of dimension $2n$

No obstructions, so compute Euler characteristic with $\mathcal{V}_n^2 = T\mathcal{M}_n^2$

T -fixed points: Monomial ideals $\mathcal{I}_\lambda \subset \mathbb{C}[z_1, z_2]$ of codimension n correspond to partitions λ with $|\lambda| = n$ (\mathcal{I}_λ generated by $z_1^{i-1} z_2^{\lambda_i}$)

Euler classes cancel in localization formula:

$$Z_2(q) = \sum_{n=0}^{\infty} q^n \sum_{|\lambda|=n} 1 = \mathcal{G}_2(q)$$

$\mathcal{G}_2(q)$ is the generating function for Euler characteristics of instanton moduli spaces (Hilbert schemes of points on \mathbb{C}^2)

$d = 3$: $SU(3)$ -Instantons

\mathcal{M}_n^3 is a scheme with branches of varying dimension

$\text{vdim } \mathcal{M}_n^3 = 0$, so compute 'volume' $\int_{[\mathcal{M}_n^3]^{\text{vir}}} 1$

T -fixed points: Monomial ideals $\mathcal{I}_\pi \subset \mathbb{C}[z_1, z_2, z_3]$ of codimension n correspond to plane partitions π with $|\pi| = n$

At each fixed point: $e_T(\text{Ob}_\pi \mathcal{M}_n^3) = (-1)^{|\pi|} e_T(T_\pi \mathcal{M}_n^3)$

Euler classes cancel up to a sign in localization formula:

$$Z_3(q) = \sum_{n=0}^{\infty} q^n \sum_{|\pi|=n} (-1)^{|\pi|} = \mathcal{G}_3(-q)$$

$\mathcal{G}_3(-q)$ is the generating function for Donaldson–Thomas invariants of \mathbb{C}^3 (virtual numbers of ideal sheaves on \mathbb{C}^3)

$d = 4$: $SU(4)$ -Instantons

\mathcal{M}_n^4 has virtual dimension $\text{vdim } \mathcal{M}_n^4 = n$

Take the **tautological bundle**:

$$\mathcal{V}_n^4 = \{(B_i, I) \mid \text{ADHM eqns}\} \times_{U(n)} \mathbb{C}^n$$

This carries an extra $U(1)$ -action with equivariant parameter m

T -fixed points: Solid partitions σ with $|\sigma| = n$

Instanton partition function:

$$Z_4(q; \vec{\epsilon}, m) = \sum_{n=0}^{\infty} q^n \sum_{|\sigma|=n} \frac{e_T(\text{Ob}_\sigma \mathcal{M}_n^4) e_{T \times U(1)}(\mathcal{V}_n^4)_\sigma}{e_T(T_\sigma \mathcal{M}_n^4)}$$

Signs: $\text{Ob } \mathcal{M}_n^4$ is a real vector bundle, so its determinant requires a choice of orientation, which amounts to choosing a sign $(-1)^{\sigma(\sigma)}$ at each fixed point σ to compute the Euler class

$d = 4$: $SU(4)$ -Instantons

Computing the Euler classes results in a complicated combinatorial series with non-trivial measure:

$$Z_4(q; \vec{\epsilon}, m) = \sum_{n=0}^{\infty} q^n \sum_{|\sigma|=n} (-1)^{o(\sigma)} \prod_{\vec{p}, \vec{p}' \in \sigma} \frac{\vec{p} \cdot \vec{\epsilon} - m}{\vec{p} \cdot \vec{\epsilon}} R((\vec{p} - \vec{p}') \cdot \vec{\epsilon} | \vec{\epsilon})$$

where $R(x | \vec{\epsilon}) := \frac{x(x - \epsilon_1 - \epsilon_2)(x - \epsilon_1 - \epsilon_3)(x - \epsilon_2 - \epsilon_3)}{(x - \epsilon_1)(x - \epsilon_2)(x - \epsilon_3)(x - \epsilon_4)}$

A closed form was conjectured by Cao–Kool and Nekrasov–Piazzalunga, and proven rigorously by Kool–Rennemo in Donaldson–Thomas theory on \mathbb{C}^4

Theorem: There exists choices of orientation $o(\sigma)$ such that

$$Z_4(q; \vec{\epsilon}, m) = \mathcal{G}_3(-q) \frac{m(\epsilon_1 + \epsilon_2)(\epsilon_1 + \epsilon_3)(\epsilon_2 + \epsilon_3)}{\epsilon_1 \epsilon_2 \epsilon_3 \epsilon_4}$$

The uniform measure on solid partitions (with weight given simply by $q^{|\sigma|}$) is not natural — they look 3-dimensional when suitably counted