

Title: General Relativity for Cosmology Lecture - 112823

Speakers: Achim Kempf

Collection: General Relativity for Cosmology

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GR for Cosmology, Achim Kempf

Lecture 22

A singularity theorem:

Assume that:  $(M, g)$  is a globally hyperbolic spacetime

The energy-momentum tensor of matter obeys the

Strong energy condition:

$$(T_{\mu\nu} - \frac{1}{2} T^{\sigma}{}_{\sigma} g_{\mu\nu}) \xi^{\mu} \xi^{\nu} \geq 0 \quad \forall \text{ timelike } \xi.$$

There exists a  $C^2$  spacelike Cauchy surface  $\Sigma$ , on which the trace of the extrinsic curvature,  $K$ , is bounded from above by a negative constant  $C$ :

$$K(p) \leq C < 0 \quad \text{for all } p \in \Sigma$$

Note: Since the Einstein equation can be brought in the form  $R_{\mu\nu} = T_{\mu\nu} - \frac{1}{2} T^{\sigma}{}_{\sigma} g_{\mu\nu}$ , the strong energy condition is a condition on the Ricci tensor too. This will be the use of the strong energy condition.

Extrinsic curvature?

The extrinsic curvature of a spacelike hypersurface describes how much curvature there is in between the spacelike hypersurface and the time dimension.

Intuitively: it is the rate of the expansion of spacetime, more precisely its negative, the rate of contraction.

later more on this

Then:

No past-directed timelike curve from a spacelike hypersurface  $\Sigma$  can have eigentime, i.e., proper length, larger than  $\frac{3}{c}$ .

I.e.: All past-directed timelike geodesics are incomplete.

⇒ There is a cosmological singularity in the finite past!

because all past-directed paths end on it.

The strong energy condition?

Recall: The "weak energy condition":

$$T_{\mu\nu} v^{\mu} v^{\nu} \geq 0 \quad \text{for all timelike } v; g(v,v) < 0$$

Meaning? For an observer with unit tangent  $v$  the local energy density is:  $T_{\mu\nu} v^{\mu} v^{\nu} \geq 0$

The "dominant energy condition":

$$T_{\mu\nu} v^{\mu} v^{\nu} \geq 0 \quad \text{and} \quad K^{\mu}{}_{\nu} K^{\nu}{}_{\mu} \leq 0$$

Strong energy condition :

Note: Since the Einstein equation can be brought in the form  $R_{\mu\nu} = T_{\mu\nu} - \frac{1}{2}Tg_{\mu\nu}$ , the strong energy condition is a condition on the Ricci tensor too. This will be the use of the strong energy condition.

$$(T_{\mu\nu} - \frac{1}{2}Tg_{\mu\nu})\xi^\mu\xi^\nu \geq 0 \quad \forall \text{ timelike } \xi.$$

- There exists a  $C^2$  spacelike Cauchy surface  $\Sigma$ , on which the trace of the extrinsic curvature,  $K$ , is bounded from above by a negative constant  $C$ :

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- The extrinsic curvature of a spacelike hypersurface describes how much curvature there is in between the spacelike hypersurface and the time dimension.

Intuitively: it is the rate of the expansion of spacetime, more precisely its negative, the rate of contraction.

Thus: Assuming  $K(p) \leq C < 0$  means that spacetime has a finite minimum expansion rate everywhere on  $\Sigma$ .   
 ⇒ We'll define expansion below in detail.

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The "dominant energy condition":

$$T_{\mu\nu}v^\mu v^\nu \geq 0 \quad \text{and} \quad K_\mu K^\mu \leq 0$$

weak energy condition i.e.  $T_{\mu\nu}v^\mu$  is non-space-like.

where  $v$  is any timelike vector and  $K_\mu := T_{\mu\nu}v^\nu$

Meaning? The local energy-momentum flow vector  $K$  may not be conserved but has to be non-space-like: Flow should be into the future & need for causality.



Intuitively: it is the rate of the expansion of spacetime, more precisely its negative, the rate of contraction.

Thus: Assuming  $K(p) \leq C < 0$  means that spacetime has a finite minimum expansion rate everywhere on  $\Sigma$ .  
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 $T_{\mu\nu}v^\mu v^\nu \geq 0$  and  $K_\mu K^\mu \leq 0$   
weak energy condition i.e.  $T_{\mu\nu}v^\mu$  is non-space-like.  
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Meaning: The local energy-momentum flow vector  $K$  may not be conserved but has to be non-space-like: Flow should be into the future & need for causality.

The "strong energy condition"  
 Matter is said to obey the strong energy condition iff:

$$(T_{\mu\nu} - \frac{1}{2}T^\alpha_\alpha g_{\mu\nu})\xi^\mu \xi^\nu \geq 0 \quad \forall \text{ timelike } \xi.$$

- Intuition? Excludes matter that causes accelerated expansion. as we will discuss below
- Plausible? Yes, obeyed by known matter. (but not by dark energy)
- Relationship? Independent of weak and dominant energy condition.

Concretely: For known matter,  $T_{\mu\nu}$  is diagonalizable to obtain:

$$T_{\mu\nu} = \begin{pmatrix} \rho & & & \\ & p_1 & & \\ & & p_2 & \\ & & & p_3 \end{pmatrix}$$

energy density observed by comoving observer  
principal pressures

- The energy conditions then read:
- Weak:  $\rho \geq 0$  and  $\rho + p_i \geq 0$  for  $i \in \{1, 2, 3\}$
  - Dominant:  $\rho \geq |p_i|$  for  $i \in \{1, 2, 3\}$
  - Strong:  $\rho + \sum_{i=1}^3 p_i \geq 0$  and  $\rho + p_i \geq 0$  for  $i \in \{1, 2, 3\}$   
 $p_i$  could possibly be negative.

Exercise: Show this

Recall: A cosmological constant  $\Lambda$  can be viewed as a contribution to  $T_{\mu\nu}$ .

Exercise: Show that the strong energy condition is violated in cosmology iff  $w < -\frac{1}{3}$ , i.e., iff the expansion is accelerating:  $\ddot{a}(t) > 0$

$(\rho - \frac{1}{2} \sum p_i) \geq 0 \forall$  timelike

- Intention? Excludes matter that causes accelerated expansion. as we will discuss below
- Plausible? Yes, obeyed by known matter. (but not by dark energy)
- Relationship? Independent of weak and dominant energy conditions.

Given, in particular, the strong energy condition, one can show that geodesics meet a divergence of a quantity called expansion,  $\theta$ , in finite proper time:

The "expansion",  $\theta$ : important notion also e.g. in study of grav. collapse of stars.

- Consider a "congruence of timelike geodesics" e.g., freely falling dust. through  $\Sigma$ , i.e., a smooth family of timelike geodesics, exactly one through each  $p \in \Sigma$ . If parametrized by proper time, their tangent vector field  $\xi$ , namely

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- Strong:  $\rho + \sum_{i=1}^3 p_i \geq 0$  and  $\rho + p_i \geq 0$  for  $i \in \{1, 2, 3\}$  Note: could possibly be also negative.

Exercise: Show this

Recall: A cosmological constant  $\Lambda$  can be viewed as a contribution to  $T_{\mu\nu}$ .

Indeed, there is no big bang singularity, e.g., if  $w = -1 \forall t$ , i.e., in de Sitter spacetime inflation  $a(t) = e^{Ht}$

Exercise: Show that the strong energy condition is violated in cosmology iff  $w < -\frac{1}{3}$ , i.e., iff the expansion is accelerating:  $\ddot{a}(t) > 0$ .

$$\xi := \frac{d}{d\tau} \leftarrow \text{proper time}$$

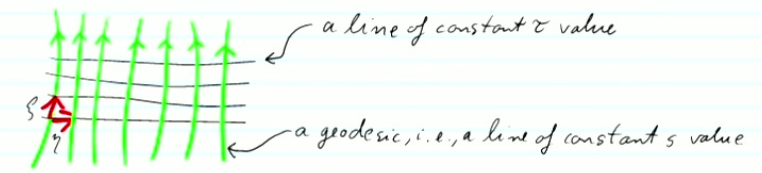
will obey:  $g(\xi, \xi) = -1 \forall p$ .

- Consider now a one-parameter sub family of these geodesics:  $\gamma(\tau, s)$  parameter of family of neighboring geodesics.

a "connecting vector field"

Then, we define the deviation vector:

$$\eta := \frac{d}{ds}$$





The expansion,  $\theta$ :

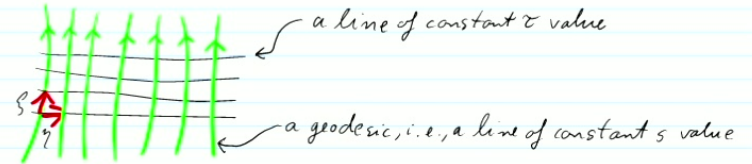
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*e.g., freely falling dust.*

parameter of family of neighboring geodesics.   
 a "connecting vector field"

Then, we define the deviation vector:

$$\eta := \frac{d}{ds}$$



How does  $\eta$  change along a geodesic?   
 $\tau, s$  are Riemann normal coordinates for a geodesic traveller.

$$\Rightarrow \frac{d}{d\tau} \frac{d}{ds} = \frac{d}{ds} \frac{d}{d\tau}, \text{ i.e., } [\xi, \eta] = 0$$

Since the torsion vanishes:  $0 = \mathcal{T}(\xi, \eta) = \nabla_{\xi} \eta - \nabla_{\eta} \xi - [\xi, \eta]$

$$\Rightarrow \nabla_{\xi} \eta = \nabla_{\eta} \xi$$

$$\Rightarrow \xi^{\alpha} \nabla_{\alpha} \eta^{\beta} e_{\beta} = \eta^{\alpha} \nabla_{\alpha} \xi^{\beta} e_{\beta}$$

$$\Rightarrow \xi^{\alpha} \eta^{\beta}{}_{;\alpha} e_{\beta} = \eta^{\alpha} \xi^{\beta}{}_{;\alpha} e_{\beta}$$

$$\Rightarrow \xi^{\alpha} \eta^{\beta}{}_{;\alpha} = \eta^{\alpha} \xi^{\beta}{}_{;\alpha} = \eta^{\alpha} B^{\beta}{}_{\alpha}$$

$$B^{\nu}{}_{\mu} := \xi^{\nu}{}_{;\mu}$$

Along the geodesic's direction,  $\xi$ , the deviation vector  $\eta^{\alpha}$  changes its direction and length by  $B^{\nu}{}_{\mu} \eta^{\mu}$ .

The tensor  $B^{\nu}{}_{\mu}$  can be decomposed covariantly and uniquely into:

$$B_{\mu\nu} = \omega_{\mu\nu} + \sigma_{\mu\nu} + \epsilon_{\mu\nu}$$

Symmetric and trace = 0   
 Cosmic ballet tensor field.   
 antisymmetric   
 rest   
 (all 3 terms are tensors because the split is covariant)

We have:  $\omega_{\mu\nu} = \frac{1}{2}(B_{\mu\nu} - B_{\nu\mu})$ , clearly.

But  $\sigma_{\mu\nu}, \epsilon_{\mu\nu} = ?$

In preparation: define the projector  $h_{\mu\nu}$  onto  $(\mathbb{R}\xi)^{\perp}$  i.e. onto the spatial components:   
 is timelike

$$h_{\mu\nu} := g_{\mu\nu} + \xi_{\mu} \xi_{\nu}$$

Check: is  $h_{\mu\nu} w^{\nu}$  really always  $\perp$  to  $\xi$ ?

Indeed:  $\xi^{\alpha} h_{\mu\nu} w^{\nu} = (\xi, w) + \underbrace{(\xi, \xi)}^{-1} (\xi, w) = 0$



$$\nabla_{\xi} \eta = \nabla_{\eta} \xi$$

$$\Rightarrow \xi^{\mu} \nabla_{\nu} \eta^{\rho} e_{\mu} = \eta^{\mu} \nabla_{\nu} \xi^{\rho} e_{\mu}$$

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$$\Rightarrow \xi^{\mu} \eta^{\rho} = \eta^{\mu} \xi^{\rho} = \eta^{\mu} B^{\rho}_{\mu} \text{ for } B^{\nu}_{\mu} := \xi^{\nu} \eta_{\mu}$$

⇒ Along the geodesic's direction,  $\xi$ , the deviation vector  $\eta^{\mu}$  changes its direction and length by  $B^{\nu}_{\mu} \eta^{\mu}$ .

□ The tensor  $B^{\nu}_{\mu}$  can be decomposed covariantly and uniquely into:

Define: The "expansion",  $\theta$ , is defined as the magnitude of the spatial part of  $B$ :

$$\theta := B^{\mu\nu} h_{\mu\nu}$$

Claim:  $\text{Tr}(B) = \theta$

Indeed:  $\theta = B^{\mu\nu} h_{\mu\nu} = B^{\mu\nu} g_{\mu\nu} + \xi^{\mu} \xi_{\nu} B_{\mu}^{\nu}$   
 $= \text{Tr}(B) + \xi^{\mu} \xi_{\nu} \nabla_{\mu} \xi^{\nu}$  (because  $\nabla_{\xi} \xi = 0$  for geodesics.)

Therefore:  $d_{\mu\nu} = \frac{1}{2} (B_{\mu\nu} + B_{\nu\mu}) - \frac{1}{3} \theta h_{\mu\nu}$  (because:  $\text{Tr}(h_{\mu\nu}) = g^{\mu\nu} h_{\mu\nu} = g^{\mu\nu} (g_{\mu\nu} + \xi_{\mu} \xi_{\nu}) = 4 - 1 = 3$ )

and:  $\uparrow$  the part of  $B_{\mu\nu}$  which is symmetric and traceless.

$$t_{\mu\nu} = \frac{1}{3} \theta h_{\mu\nu} \leftarrow \text{the "rest term"}$$

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□ Interpretation:

a)  $\omega_{\mu\nu}$  is antisymmetric:  $\omega_{\mu\nu} = -\omega_{\nu\mu}$   
 ⇒ it generates Lorentz transformation for  $\eta$ .  
 but all  $\eta$  are  $\perp$  to the time direction

⇒  $\omega_{\mu\nu}$  generates spatial rotations of neighboring geodesics around another. So,  $\omega_{\mu\nu}$  is called

$$\omega = \text{"Twists tensor"}$$

One can prove: (nontrivial)

If one chooses the congruence of geodesics  $\perp$  to  $\Sigma$  then  $\omega_{\mu\nu} = 0$ .



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and:  $\uparrow$  the part of  $B_{\mu\nu}$  which is symmetric and traceless.

$t_{\mu\nu} = \frac{1}{3} \theta h_{\mu\nu}$  ← the "rest term".

$\Rightarrow \omega_{\mu\nu}$  generates spatial rotations of neighboring geodesics around another. So,  $\omega_{\mu\nu}$  is called

$\omega =$  "Twists tensor"

One can prove: (nontrivial)

If one chooses the congruence of geodesics  $\perp$  to  $\Sigma$  then  $\omega_{\mu\nu} = 0$ .

b)  $\sigma_{\mu\nu}$  is symmetric,  $\sigma_{\mu\nu} = \sigma_{\nu\mu}$ . (i.e. hermitian)

Consider "diagonalized", by suitable choice of cd basis.

$\Rightarrow \sigma_{\mu\nu}$  changes the relative lengths of the basis vectors, by multiplying them with its eigenvalues.

i.e. points on a sphere will under geodesic flow  $\rightarrow$  become points on an ellipsoid.  
infinitesimal transport along geodesics

Note: Since  $\text{Tr}(\sigma) = 0$  we have  $\det(e^{+\sigma}) = 1$  finite transport

$\Rightarrow$  The volume spanned by basis vectors stays the same under the action of  $\sigma$ .

$\rightsquigarrow$  Definition:  $\sigma_{\mu\nu} =$  "Shear tensor" 

c.) While the twist and shear tensors are both traceless and therefore volume-preserving, we see that the trace part,  $\theta$ , i.e., more precisely

$t_{\mu\nu} = \frac{1}{3} \theta h_{\mu\nu} =$  "Expansion tensor"  
recall: is projector on spatial part.

is indeed generating the spatial expansion or contraction of nearby geodesics!

Evolution of  $\theta$  along a geodesic?

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 $\leftarrow$  infinitesimal transport along geodesics  
 $\leftarrow$  finite transport

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$\Rightarrow$  Definition:  $\sigma_{\mu\nu} =$  "Shear tensor"  $\square \rightarrow \diamond$

$t_{\mu\nu} = \frac{1}{3} \theta h_{\mu\nu} =$  "Expansion tensor"  
 $\leftarrow$  recall: is projector on spatial part.

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Evolution of  $\theta$  along a geodesic?

Recall:

Given, in particular, the strong energy condition, our singularity theorem claimed that geodesics meet a divergence of a quantity called expansion,  $\theta$ , in finite proper time in the past and this will mean a big bang singularity:

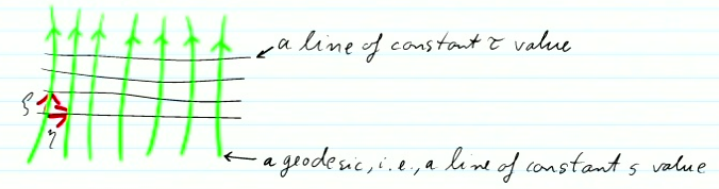
$\leftarrow$  important motion also e.g. in study of grav. collapse of stars.

The "expansion",  $\theta$ :

$\square$  Consider a "congruence of timelike geodesics" through  $\Sigma$ , i.e., a smooth family of timelike geodesics, exactly one through each  $p \in \Sigma$ : ( $\Sigma$  is a (spacelike) surface)  
 $\leftarrow$  e.g., freely falling dust.

$\square$  We consider a one-parameter sub-family of these geodesics:

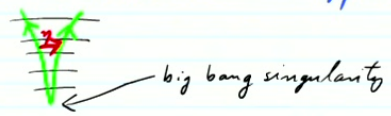
$y(\tau, s)$   
 $\leftarrow$   $\tau$ : proper time  
 $\leftarrow$   $s$ : parameter of family of neighboring geodesics.



$\square$  Then, we define the deviation vector to a neighboring geodesic:

$\eta := \frac{d}{ds}$

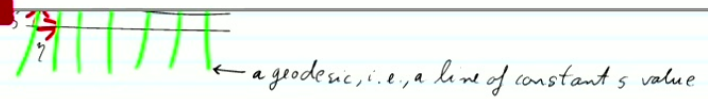
$\square$  The singularity theorem claims that this happened in the past:





The "expansion",  $\theta$ : important notion also e.g. in study of grav. collapse of stars.

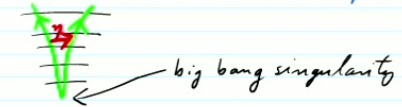
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Then, we define the deviation vector to a neighboring geodesic:

$$\eta := \frac{d}{ds}$$

The singularity theorem claims that this happened in the past:



How does  $\eta$  change along a past-directed timelike geodesic with tangent  $\xi$ ?

We showed:

$$\xi^\nu \nabla_\nu \eta^\mu = \eta^\nu B^\mu{}_\nu \quad \text{where} \quad B^\mu{}_\nu := \xi^\rho \nabla_\nu \eta^\rho$$

⇒ Along the geodesic,  $\xi$ , the deviation vector  $\eta^\mu$  changes its direction and length by  $B^\mu{}_\nu \eta^\nu$ .

The tensor  $B^\mu{}_\nu$  can be decomposed covariantly and uniquely:

$$B_{\mu\nu} = \underbrace{\omega_{\mu\nu}}_{\text{antisymmetric}} + \underbrace{\sigma_{\mu\nu}}_{\text{Symmetric and trace}=0} + \underbrace{\epsilon_{\mu\nu}}_{\text{trace}}$$

Explicitly:

- Volume preserving →  $\omega_{\mu\nu} = \frac{1}{2}(B_{\mu\nu} - B_{\nu\mu})$  Twist:  $\circ \rightarrow \circ$
- Volume changing →  $\sigma_{\mu\nu} = \frac{1}{2}(B_{\mu\nu} + B_{\nu\mu}) - \frac{1}{3}\theta h_{\mu\nu}$  Shear:  $\circ \rightarrow \text{oval}$
- Volume changing:  $\epsilon_{\mu\nu} = \frac{1}{3}\theta h_{\mu\nu}$  Expansion:  $\circ \rightarrow \text{circle}$

Here, we defined:  $\theta := B^{\mu\nu} g_{\mu\nu}$  and  $h_{\mu\nu} := g_{\mu\nu} + \xi_\mu \xi_\nu$

I.e., the Expansion,  $\theta$ , is the trace of  $B$ , which we showed is also equal to the magnitude of the spatial part of  $B$ :  $\theta = B^{\mu\nu} h_{\mu\nu}$ .

Key question:

What is the dynamics of  $\theta$ ?



⇒ Along the geodesic,  $\xi$ , the deviation vector  $\eta^r$  changes its direction and length by  $B^r{}_\mu \eta^\mu$ .

□ The tensor  $B^r{}_\mu$  can be decomposed covariantly and uniquely:

$$B_{\mu\nu} = \underbrace{\omega_{\mu\nu}}_{\text{antisymmetric}} + \underbrace{\sigma_{\mu\nu}}_{\text{Symmetric and trace}=0} + \underbrace{\tau_{\mu\nu}}_{\text{rest}}$$

### The Raychaudhuri equation

For the derivation, we will use:

- A) Definition of  $B$  is:  $B_{\mu\nu} := \xi_{\mu;\nu}$
- B) The curvature tensor obeys the Ricci equation:

$$\xi^a{}_{jbc} - \xi^a{}_{jcb} = R^a{}_{bcd} \xi^d$$

- C)  $\xi$  is tangent to a geodesic, i.e., it obeys:  $\nabla_\xi \xi = 0$   
 i.e.:  $0 = \nabla_{\xi^a} \xi^b e_a = \xi^a \nabla_{e_a} \xi^b e_b = \xi^a \xi^b{}_{;a} e_b$   
 True for all  $e_a$ , thus:  $\xi^a \xi^b{}_{;a} = 0$

Here, we defined:  $\theta := B^{\mu\nu} g_{\mu\nu}$  and  $h_{\mu\nu} := g_{\mu\nu} + \xi_\mu \xi_\nu$   
 I.e., the Expansion,  $\theta$ , is the trace of  $B$ , which we showed is also equal to the magnitude of the spatial part of  $B$ :  $\theta = B^{\mu\nu} h_{\mu\nu}$ .

Key question: What is the dynamics of  $\theta$ ?

Now calculate the rate of change of  $B$  along the geodesic:

$$\begin{aligned} \xi^c B_{ab;c} &\stackrel{(A)}{=} \xi^c \xi_{a;jc} \\ &\stackrel{(B)}{=} \xi^c \xi_{a;jc} + \xi^c R_{abcd} \xi^d \\ &\stackrel{\text{Leibniz rule}}{=} \underbrace{(\xi^c \xi_{a;jc})}_{=0} - \xi^c \xi^b \xi_{a;jc} + R_{abcd} \xi^c \xi^d \\ &\stackrel{(C)}{=} -\xi^c \xi^b \xi_{a;jc} + R_{abcd} \xi^c \xi^d \\ &\stackrel{(A)}{=} -B^c{}_b B_{ac} + R_{abcd} \xi^c \xi^d \end{aligned}$$

$V \leftarrow$  big bang singularity

How does  $\eta$  change along a past-directed timelike geodesic with tangent  $\xi$ ?

We showed:

$$\xi^\nu \eta^\mu{}_{;\nu} = \eta^\mu B^\nu{}_\nu \quad \text{where} \quad B^\nu{}_\mu := \xi^\nu \eta^\mu{}_{;\nu}$$

$\Rightarrow$  Along the geodesic,  $\xi$ , the deviation vector  $\eta^\mu$  changes its direction and length by  $B^\nu{}_\mu \eta^\mu$ .

□ The tensor  $B^\nu{}_\mu$  can be decomposed covariantly and uniquely:

$$B_{\mu\nu} = \underbrace{\omega_{\mu\nu}}_{\text{antisymmetric}} + \underbrace{\sigma_{\mu\nu}}_{\text{Symmetric and trace}=0} + \underbrace{\epsilon_{\mu\nu}}_{\text{rest}}$$

Explicitly:

Volume preserving $\rightarrow$	$\omega_{\mu\nu} = \frac{1}{2}(B_{\mu\nu} - B_{\nu\mu})$	Twist: $\circ \rightarrow \circ$
	$\sigma_{\mu\nu} = \frac{1}{2}(B_{\mu\nu} + B_{\nu\mu}) - \frac{1}{3}\theta h_{\mu\nu}$	Shear: $\circ \rightarrow \circ$
Volume changing:	$\epsilon_{\mu\nu} = \frac{1}{3}\theta h_{\mu\nu}$	Expansion: $\circ \rightarrow \bigcirc$

Here, we defined:  $\theta := B^{\mu\nu} g_{\mu\nu}$  and  $h_{\mu\nu} := g_{\mu\nu} + \xi_\mu \xi_\nu$

I.e., the Expansion,  $\theta$ , is the trace of  $B$ , which we showed is also equal to the magnitude of the spatial part of  $B$ :  $\theta = B^{\mu\nu} h_{\mu\nu}$ .

Key question:

What is the dynamics of  $\theta$ ?

The Raychaudhuri equation

For the derivation, we will use:

- A) Definition of  $B$  is:  $B_{\mu\nu} := \xi_{\mu;\nu}$
- B) The curvature tensor obeys the Ricci equation:

Now calculate the rate of change of  $B$  along the geodesic:

$$\begin{aligned} \xi^c B_{ab;c} &\stackrel{(A)}{=} \xi^c \xi_{a;bc} \\ &\stackrel{(B)}{=} \xi^c \xi_{a;cb} + \xi^c R_{abcd} \xi^d \end{aligned}$$

A) Definition of  $\Theta$  is:  $\Theta_{\mu\nu} := \xi_{\mu;j} \xi^{\nu;j}$

B) The curvature tensor obeys the Ricci equation:

$$\xi^a{}_{;jbc} - \xi^a{}_{;jcb} = R^a{}_{bcd} \xi^d$$

C)  $\xi$  is tangent to a geodesic, i.e., it obeys:  $\nabla_{\xi} \xi = 0$

i.e.:  $0 = \nabla_{\xi} \xi^a e_a = \xi^a \nabla_{e_a} \xi^b e_b = \xi^a \xi^b{}_{;a} e_b$

True for all  $e_a$ , thus:  $\xi^a \xi^b{}_{;a} = 0$

$$= \xi^c \xi_{a;jc} + \xi^c R_{abcd} \xi^d$$

Leibniz rule  $\underbrace{(\xi^c \xi_{a;jc})}_{0} - \xi^c{}_{;j} \xi_{a;c} + R_{abcd} \xi^c \xi^d$

$$= -\xi^c{}_{;j} \xi_{a;c} + R_{abcd} \xi^c \xi^d$$

$$= -B^c{}_b B_{ac} + R_{abcd} \xi^c \xi^d$$

In summary, we derived:

$$\xi^c B_{ab;c} = -B^c{}_b B_{ac} + R_{abcd} \xi^c \xi^d \quad (*)$$

The trace of (\*) will be the Raychaudhuri equation.

But first, we recall:

$$\square \xi = \frac{d\theta}{dt}$$

$$\square \text{Tr } B = B_{\mu\nu} g^{\mu\nu} = \Theta$$

$$\Rightarrow \text{Trace(LHS) of (*) reads } \frac{d}{dt} \Theta!$$

Now on the RHS of (\*) use the decomposition

$$B_{\mu\nu} = \omega_{\mu\nu} + \sigma_{\mu\nu} + \frac{1}{3} \Theta h_{\mu\nu} \text{ to express } B^c{}_b B_{ac}$$

$$B^c{}_b B_{ac} = \omega^c{}_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3} \Theta h_{ac}) + \sigma^c{}_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3} \Theta h_{ac}) + \frac{1}{3} \Theta h^c{}_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3} \Theta h_{ac})$$

When taking the trace,  $g^{ab} B^c{}_b B_{ac}$ , only the diagonal terms survive:

$$\text{Tr}(BB) = g^{ab} B^c{}_b B_{ac} = \omega_{ab} \omega^{ab} + \sigma_{ab} \sigma^{ab} + \frac{1}{3} \Theta^2 h_{ab} h^{ab}$$

Exercise: show it in 3

The Raychaudhuri equation is then the trace of Eq. (\*):

$$\frac{d\Theta}{dt} = -\frac{1}{3} \Theta^2 - \underbrace{\sigma_{ab} \sigma^{ab}}_{\text{always positive}} - \underbrace{\omega_{ab} \omega^{ab}}_{\text{always positive (and vanishes if)}} - \underbrace{R_{cd} \xi^c \xi^d}_{\text{pos. or neg?}}$$

recall Ricci tensor is  $R_{cd} = R_{cd}{}^a{}_a$



B) The curvature tensor obeys the Ricci equation:

$$\xi^a{}_{jbc} - \xi^a{}_{jcb} = R^a{}_{bcd} \xi^d$$

c)  $\xi$  is tangent to a geodesic, i.e., it obeys:  $\nabla_{\xi} \xi = 0$

i.e.:  $0 = \nabla_{\xi} \xi^a e_a = \xi^b \nabla_b \xi^a e_a = \xi^b \xi^a{}_{,b} e_a$

True for all  $e_a$ , thus:  $\xi^a \xi^b{}_{,a} = 0$

Leibniz rule  $\equiv (\xi^c \xi_{ajc})_{;b} - \xi^c{}_{;b} \xi_{ajc} + R_{abcd} \xi^c \xi^d$

(c)  $= -\xi^c{}_{;b} \xi_{ajc} + R_{abcd} \xi^c \xi^d$

(A)  $= -B^c{}_b B_{ac} + R_{abcd} \xi^c \xi^d$

In summary, we derived:

$$\xi^c B_{ab;c} = -B^c{}_b B_{ac} + R_{abcd} \xi^c \xi^d \quad (*)$$

The trace of (\*) will be the Raychaudhuri equation.

But first, we recall:

□  $\xi = \frac{d}{d\tau}$

□  $\text{Tr} B = B_{\mu\nu} g^{\mu\nu} = \Theta$

⇒ Trace(LHS) of (\*) reads  $\frac{d}{d\tau} \Theta$ !

Now on the RHS of (\*) use the decomposition

$B_{\mu\nu} = \omega_{\mu\nu} + \sigma_{\mu\nu} + \frac{1}{3} \Theta h_{\mu\nu}$  to express  $B^c{}_b B_{ac}$ :

$$\begin{aligned} B^c{}_b B_{ac} &= \omega^c{}_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3} \Theta h_{ac}) \\ &+ \sigma^c{}_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3} \Theta h_{ac}) \\ &+ \frac{1}{3} \Theta h^c{}_b (\omega_{ac} + \sigma_{ac} + \frac{1}{3} \Theta h_{ac}) \end{aligned}$$

When taking the trace,  $g^{ab} B^c{}_b B_{ac}$ , only the diagonal terms survive:

$\text{Tr}(BB) = g^{ab} B^c{}_b B_{ac} = \omega_{ab} \omega^{ab} + \sigma_{ab} \sigma^{ab} + \frac{1}{3} \Theta^2 h_{ab} h^{ab}$  Exercise: show it is 3

The Raychaudhuri equation is then the trace of Eq. (\*):

$$\frac{d\Theta}{d\tau} = -\frac{1}{3} \Theta^2 - \underbrace{\sigma_{ab} \sigma^{ab}}_{\text{always positive}} - \underbrace{\omega_{ab} \omega^{ab}}_{\text{always positive (and vanishes if close congruence)}} - \underbrace{R_{cd} \xi^c \xi^d}_{\text{pos. or neg?}}$$

recall: Ricci tensor is  $R_{cd} = R_{dc}$

$$\square \xi = \frac{d}{d\tau}$$

$$\square \text{Tr } B = B_{\mu\nu} g^{\mu\nu} = \Theta$$

⇒ Trace(LHS) of (\*) reads  $\frac{d}{d\tau} \Theta$ !

Now on the RHS of (\*) use the decomposition

$$B_{\mu\nu} = \omega_{\mu\nu} + \sigma_{\mu\nu} + \frac{1}{3}\Theta h_{\mu\nu} \text{ to express } B^c{}_b B_{ac}$$

$$\text{Tr}(BB) = g^{ab} B^c{}_b B_{ac} = \omega_{ab} \omega^{ab} + \sigma_{ab} \sigma^{ab} + \frac{1}{3} \Theta^2 h_{ab} h^{ab}$$

show it is 3

The Raychaudhuri equation is then the trace of Eq. (\*):

$$\frac{d\Theta}{d\tau} = -\frac{1}{3} \Theta^2 - \underbrace{\sigma_{ab} \sigma^{ab}}_{\text{always positive}} - \underbrace{\omega_{ab} \omega^{ab}}_{\text{always positive (and vanishes if chosen congruence is 2D)}} - \underbrace{R_{cd} \xi^c \xi^d}_{\text{recall: Ricci tensor is } R_{cd} = R_{da}{}^a{}_c}$$

pos. or neg?

Dynamics

□ Assume that

$$R_{\mu\nu} \xi^\mu \xi^\nu \geq 0 \text{ for all timelike } \xi$$

i.e., using the Einstein equation

$$R_{\mu\nu} = 8\pi G (T_{\mu\nu} - \frac{1}{2} g_{\mu\nu} T^a{}_a)$$

we are assuming that

$$T_{\mu\nu} \xi^\mu \xi^\nu - \frac{1}{2} \xi^\mu \xi_\mu T \geq 0 \text{ whenever } \xi^\mu \xi_\mu < 0$$

i.e. the Strong Energy Condition.

Thus, assuming the strong energy condition:

$$\frac{d\Theta}{d\tau} + \frac{1}{3} \Theta^2 \leq 0$$

$$\text{i.e., } -\frac{1}{\Theta^2} \frac{d\Theta}{d\tau} - \frac{1}{3} \geq 0$$

$$\text{i.e., } \boxed{\frac{d}{d\tau} \Theta^{-1} \geq \frac{1}{3}} \quad \oplus$$

Consider the cases when the geodesics are initially all either

a.) diverging, i.e.,  $\Theta(\tau_0) > 0$  (expanding universe) or

b.) converging, i.e.,  $\Theta(\tau_0) < 0$  (contracting universe)

(This is reformulating the theorem's assumption that the extrinsic curvature (i.e. the expansion or contraction at some time exceeds a certain finite value everywhere)

i.e., using the Einstein equation

$$R_{\mu\nu} = 8\pi G (T_{\mu\nu} - \frac{1}{2} g_{\mu\nu} T^{\alpha}_{\alpha})$$

we are assuming that

$$T_{\mu\nu} \xi^{\mu} \xi^{\nu} - \frac{1}{2} \xi^{\alpha} \xi_{\alpha} T \geq 0 \quad \text{whenever } \xi^{\alpha} \xi_{\alpha} < 0$$

i.e. the Strong Energy Condition.

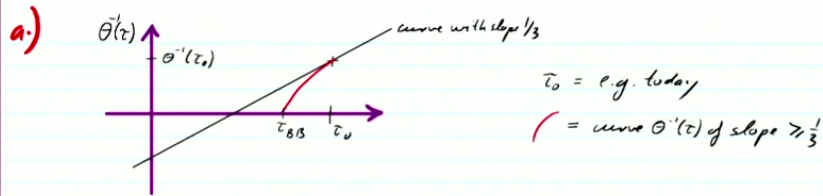
$$\frac{d}{d\tau} \theta^{-1} \geq \frac{1}{3}$$

(+)

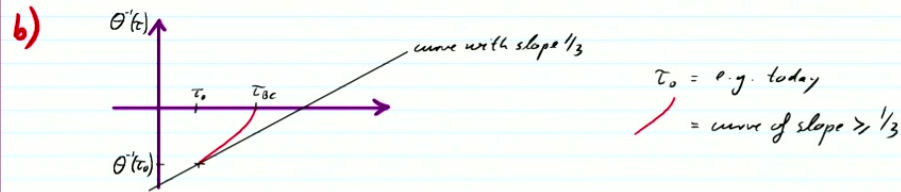
Consider the cases when the geodesics are initially all either

- a.) diverging, i.e.,  $\theta(\tau_0) > 0$  (expanding universe) or
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(This is reformulating the theorem's assumption that the extrinsic curvature (i.e. the expansion or contraction at some time exceeds a certain finite value everywhere)



We see that  $\theta'(\tau)$  must have hit  $\theta'(\tau) = 0$  at a finite time  $\tau_{BB}$  (Big Bang).



We see that  $\theta'(\tau)$  will hit  $\theta'(\tau) = 0$  at a finite time  $\tau_{BC}$  (Big Crunch)

Conclusion:

Eq. (+) implies that  $\theta(\tau)$  must go through 0, i.e.:

- a.) for sufficiently early  $\tau$ , have  $\theta \rightarrow +\infty$ , i.e.: Big Bang
- b.) for sufficiently late  $\tau$ , have  $\theta \rightarrow -\infty$ , i.e.: Big Crunch

Note:

This type of reasoning leads also to further cosmological singularity theorems.

E.g., another cosmological singularity theorem does not assume global hyperbolicity, and its conclusion is weaker:

There is at least one incomplete timelike geodesic.