

Title: Machine learning feature discovery of spinon Fermi surface

Speakers:

Series: Machine Learning Initiative

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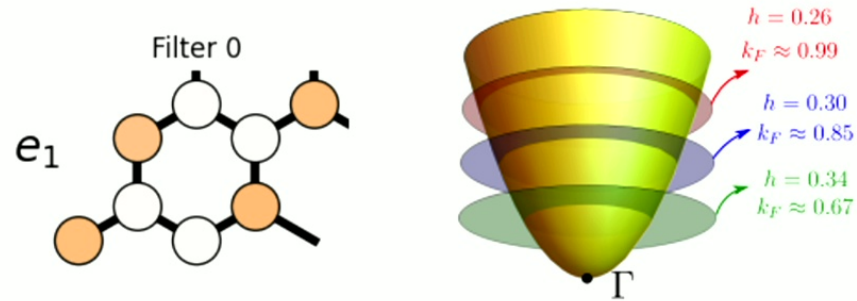
Abstract: With rapid progress in simulation of strongly interacting quantum Hamiltonians, the challenge in characterizing unknown phases becomes a bottleneck for scientific progress. We demonstrate that a Quantum-Classical hybrid approach (QuCl) of mining the projective snapshots with interpretable classical machine learning, can unveil new signatures of seemingly featureless quantum states. The Kitaev-Heisenberg model on a honeycomb lattice with bond-dependent frustrated interactions presents an ideal system to test QuCl. The model hosts a wealth of quantum spin liquid states: gapped and gapless  $Z_2$  spin liquids, and a chiral spin liquid (CSL) phase in a small external magnetic field. Recently, various simulations have found a new intermediate gapless phase (IGP), sandwiched between the CSL and a partially polarized phase, launching a debate over its elusive nature. We reveal signatures of phases in the model by contrasting two phases pairwise using an interpretable neural network, the correlator convolutional neural network (CCNN). We train the CCNN with a labeled collection of sampled projective measurements and reveal signatures of each phase through regularization path analysis. We show that QuCl reproduces known features of established spin liquid phases and ordered phases. Most significantly, we identify a signature motif of the field-induced IGP in the spin channel perpendicular to the field direction, which we interpret as a signature of Friedel oscillations of gapless spinons forming a Fermi surface. Our predictions can guide future experimental searches for  $U(1)$  spin liquids.

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Zoom link: <https://pitp.zoom.us/j/94233944575?pwd=OVljLzMrZzIKeUErNHZQRkEzMFRKUT09>

# Machine learning feature discovery of spinon Fermi surface

Kevin Zhang @ PI  
Cornell University



**NSERC**  
**CRSNG**

NSF EAGER OSP#136036

# Characterizing quantum many-body states

32 spins:  $2^{32}$  complex numbers = 60GB

What can we do with wf data?

measure observables  $\langle \Psi | O | \Psi \rangle$

or

snapshots  $P_i \sim |c_i|^2$

How much information in PI library?



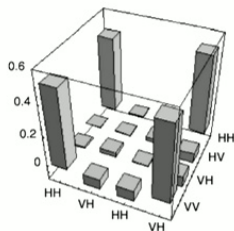
# Projective measurements



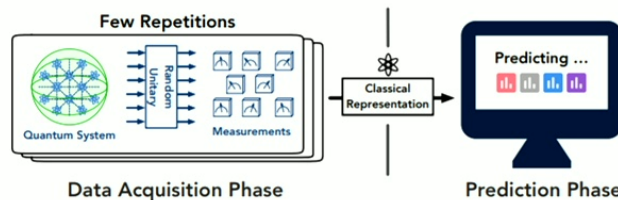
States on a quantum simulator are accessed through **projective measurements** e.g. bitstrings 001001110, 111010111, ...

## Things we can do with bitstrings

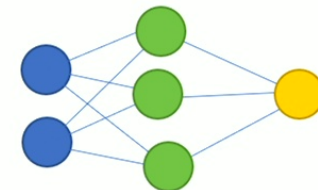
Fewer samples needed



Full tomography  
James et al. (PRA 2001)



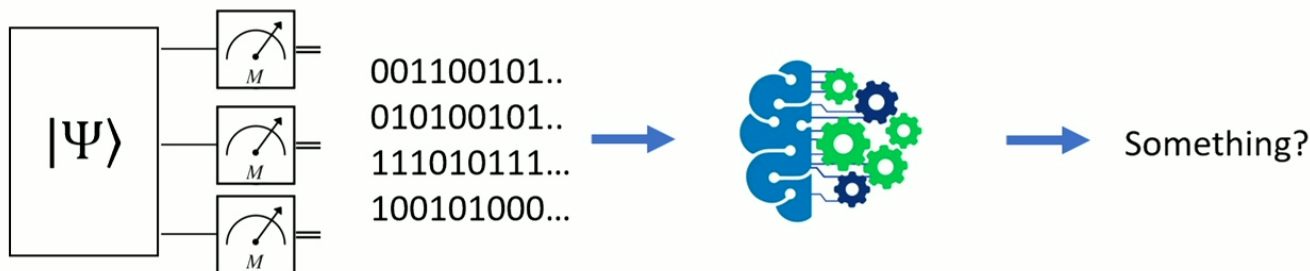
“Classical shadows”  
Huang et al. (Nat. Phys. 2020)



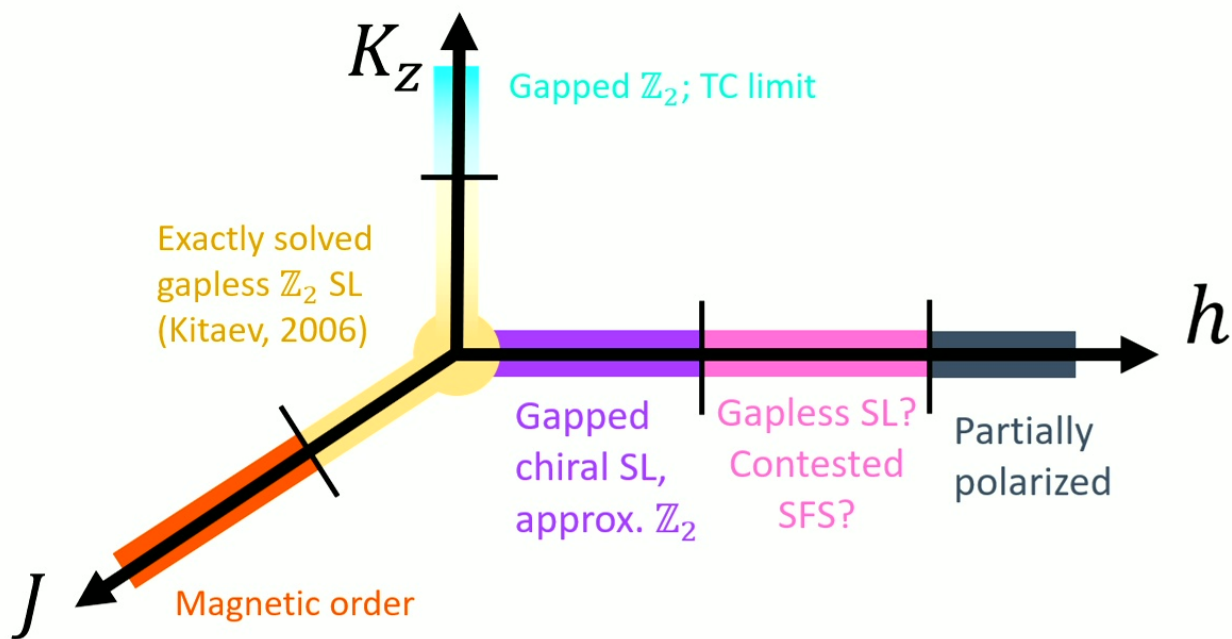
Machine learning?

# Machine learning with snapshots

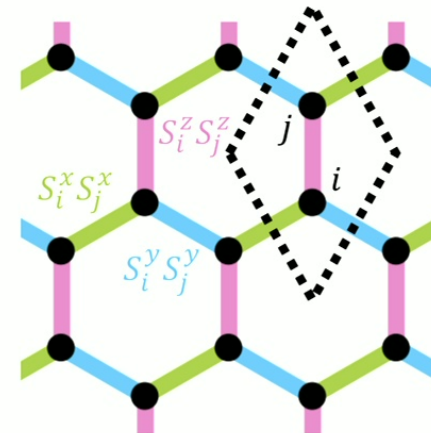
- Snapshots are suitable for machine learning:
  - Large volume of data points drawn from distribution
  - Snapshots have consistent structure (lattice sites, symmetries, ...)
  - Ensemble of snapshots reconstructs all\* of original state



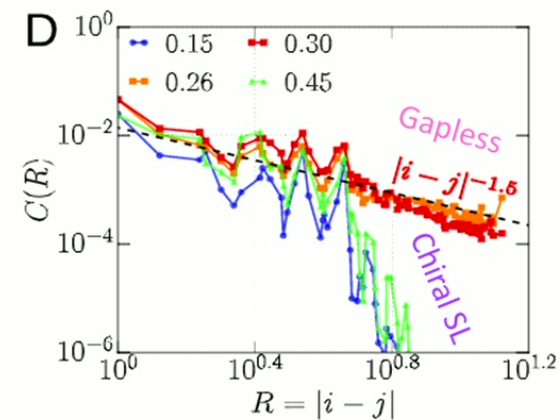
# New developments in Kitaev model



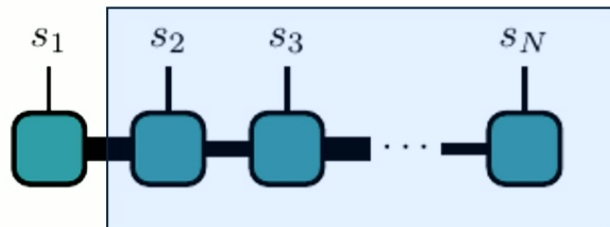
$$H = \sum_{\langle ij \rangle_\gamma} K_\gamma S_i^\gamma S_j^\gamma - J \mathbf{S}_i \cdot \mathbf{S}_j - \sum_i h \cdot \mathbf{S}_i$$



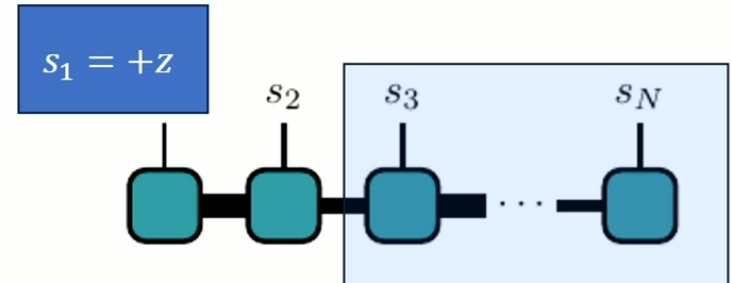
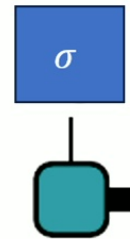
Patel, Trivedi (PNAS, 2019)



# Snapshots from DMRG



trace out rest of system

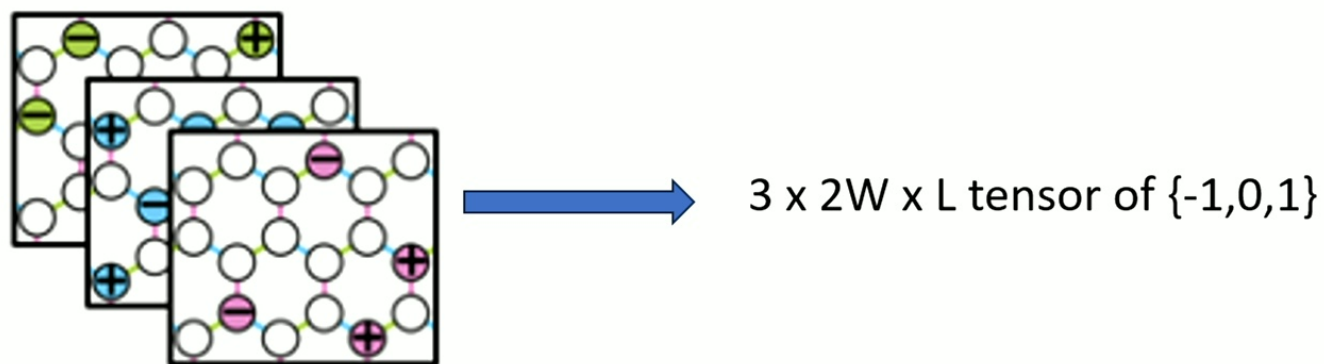


project and move on

rotate into some spin basis  
and sample spin +1 or -1  
from RDM

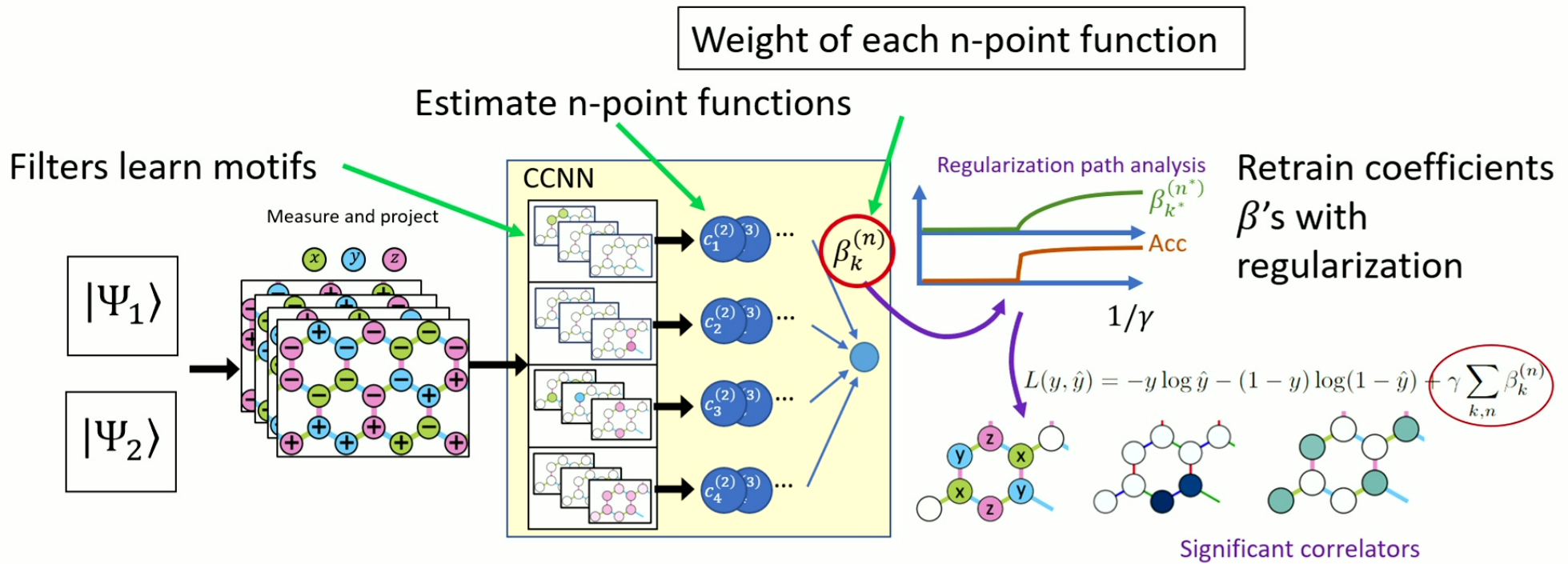
# DMRG snapshots and ML

- Which axis to measure in? Depends on the specific training
- Basis direction encoded in snapshot channel “RGB”
- Honeycomb lattice maps to array





# Architecture



# Architecture

- How to think about learning different n-point functions?
- Consider an expansion of some activation function (nonlinearity)

$$f(z) \sim \beta_0 + z\beta_1 + \frac{z^2}{2} a_2 \dots$$

- Now  $z = \sum x_i w_i$ , the convolution output of input data  $x$  with filter weights  $w$

$$f(z) \sim \beta_0 + \beta_1 \underbrace{\sum B_i w_i}_{1\text{pt fns}} + \beta_2 \underbrace{\sum B_i B_j w_i w_j}_{2\text{pt fns}} \dots$$

-> learning an activation function is equivalent to learning weights for different orders of correlations

# Interpreting filters

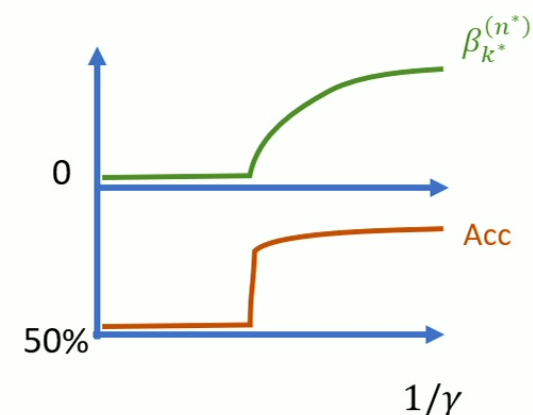
- Suppose  $\beta_k^n$  is first to increase in RPA
- Look for filter #k

$$f(z) \sim \beta_0 + \beta_1 \sum B_i w_i + \beta_2 \sum B_i B_j w_i w_j \dots$$

- Correlation function is the term that is multiplied by  $\beta_k^n$ , for example

$$c_k^n(a) = \sum_{xy} w(x)w(y)B(a+x)B(a+y)$$

- Weighted sum of k-pt fns



#1

#2

#3

#4

# Architecture

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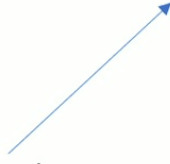
-> learning an activation function is equivalent to learning weights for different orders of correlations

# Output prediction


- Full NN representation:

$$y = \frac{1}{1 + \exp(\sum_{nk} \beta_k^n (\sum_x w_k(x) B(x + d))^n)}$$

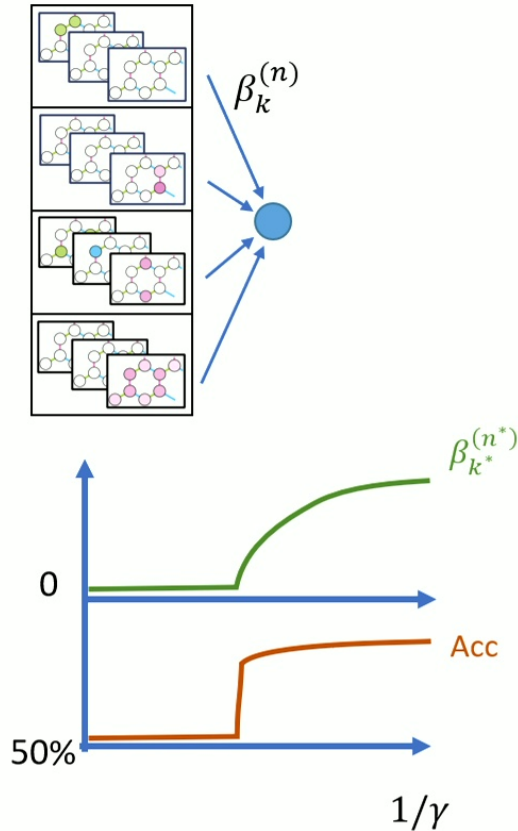
Coefficients select particular correlations to be used



Learnable weights govern spatial layout of correlators



# Regularization path analysis



$$L(y, \hat{y}) = -y \log \hat{y} - (1 - y) \log(1 - \hat{y}) + \gamma \sum_{k,n} \beta_k^{(n)}$$

- RPA: feature selection
- For RPA, retrain with filters  $w_k$  fixed but allow couplings  $\beta_k^n$  to vary as we change the L1 regularization  $\gamma$
- Look for the moment where the first  $\beta_k^n$  becomes nonzero

# Interpreting filters

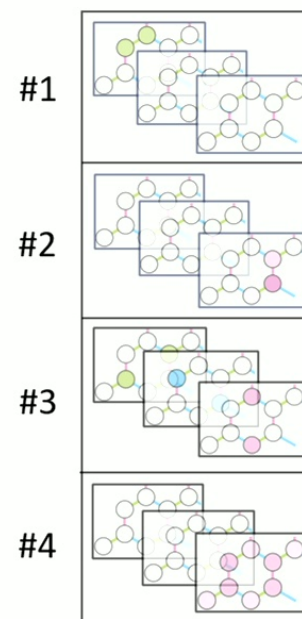
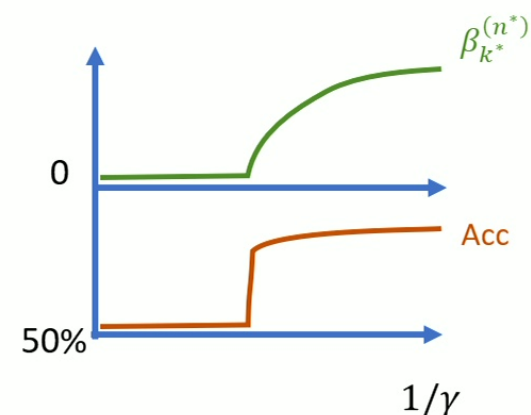
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- Weighted sum of k-pt fns



# Interpreting filters

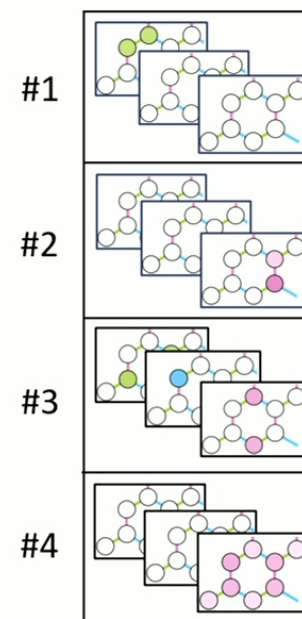
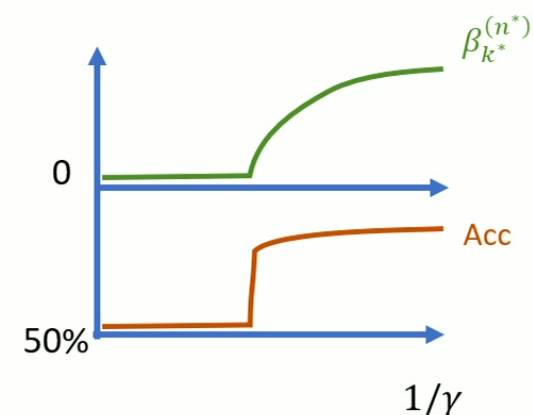
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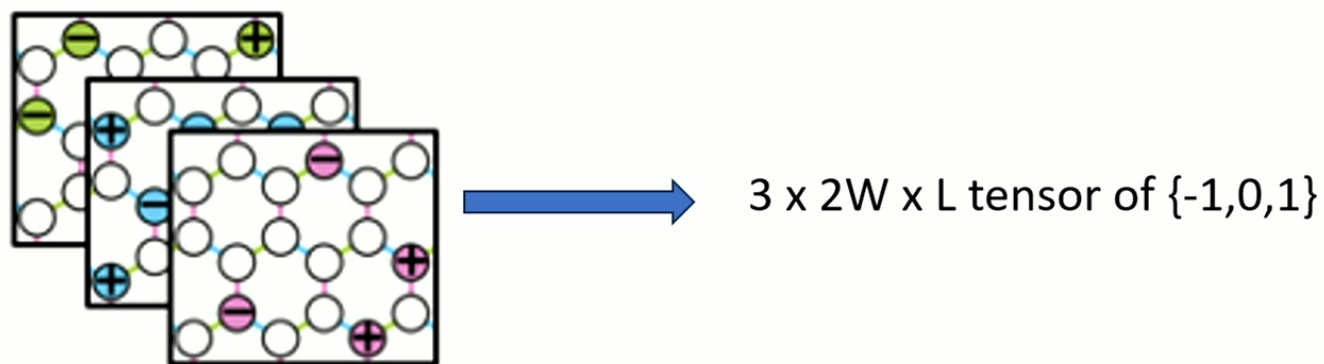
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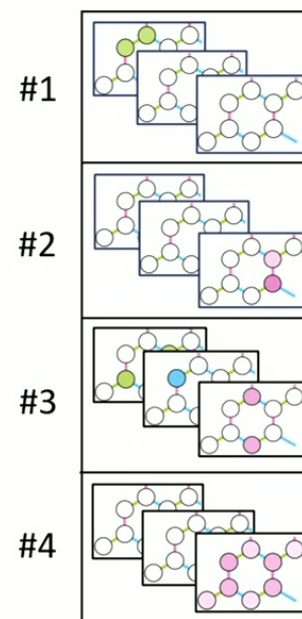
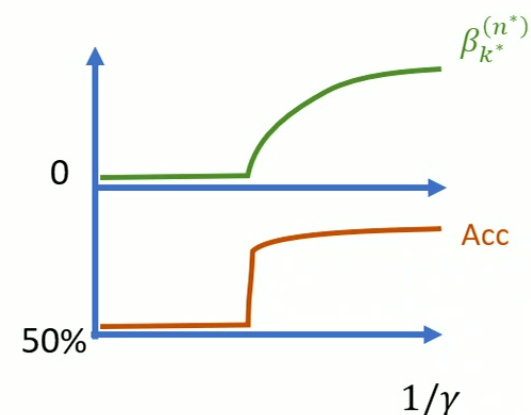
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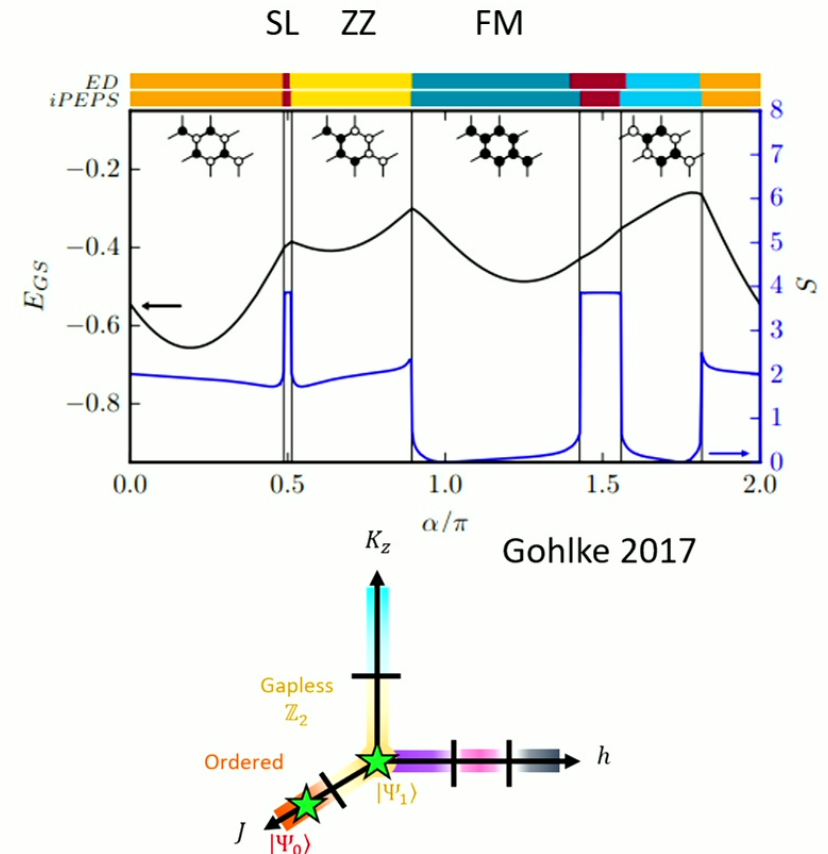
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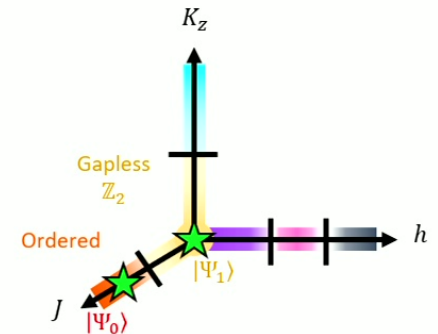
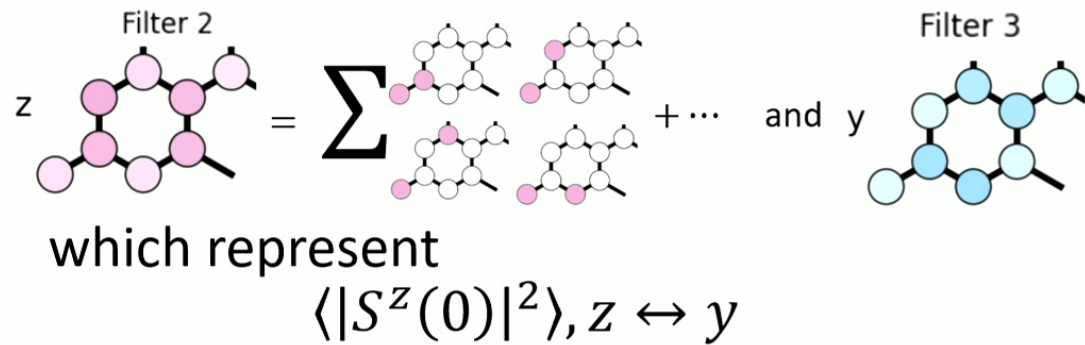
# Benchmark: Kitaev vs FM

- Known transition from SL to order
- Sneakily skip past zigzag order to learn feature of FM
- Training procedure:  
9000 training, 1000 test
- Binary classification between  $K_x = K_y = K_z$  SL and ordered FM states

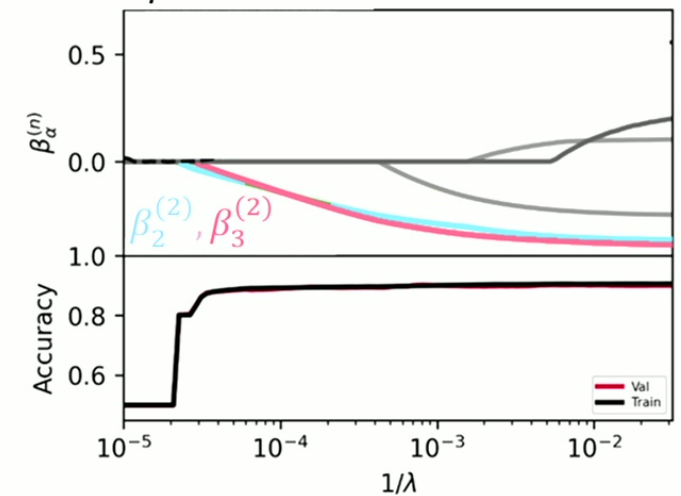


# Benchmark: Kitaev vs FM

- RPA shows most important correlators are 2-point functions of

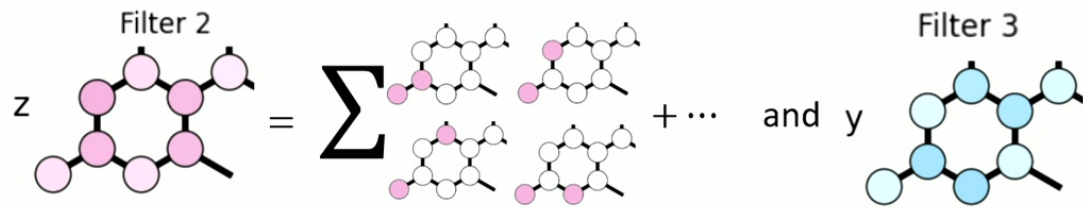


$$H = \sum_{\langle ij \rangle \gamma} K_{\gamma} S_i^{\gamma} S_j^{\gamma} - J \mathbf{S}_i \cdot \mathbf{S}_j$$



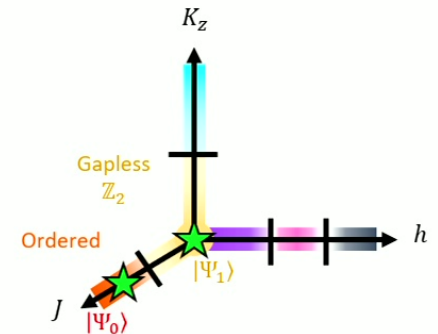
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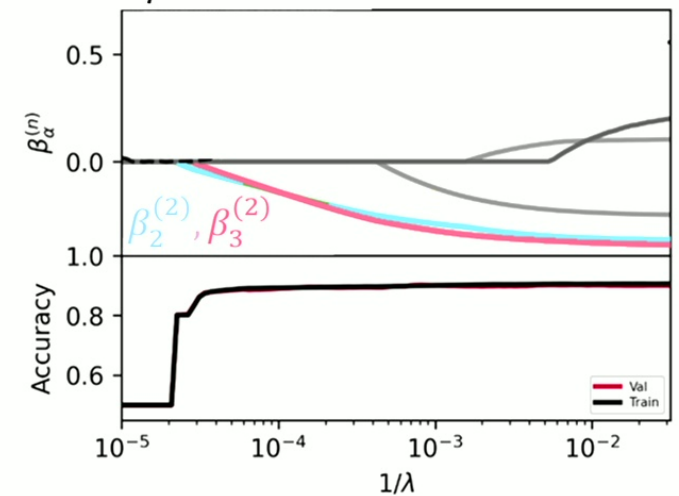


which represent  $\langle |S^z(0)|^2 \rangle, z \leftrightarrow y$

- Signature of Heisenberg ferromagnet



$$H = \sum_{\langle ij \rangle_\gamma} K_\gamma S_i^\gamma S_j^\gamma - J \mathbf{S}_i \cdot \mathbf{S}_j$$



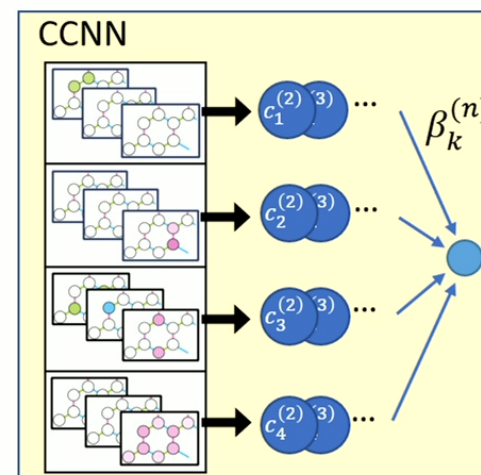
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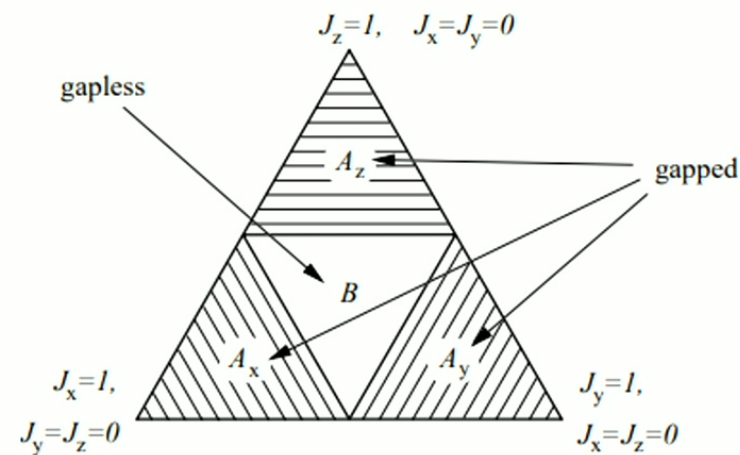
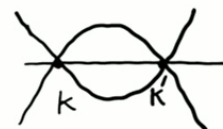
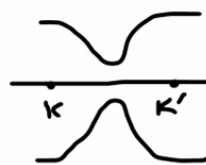
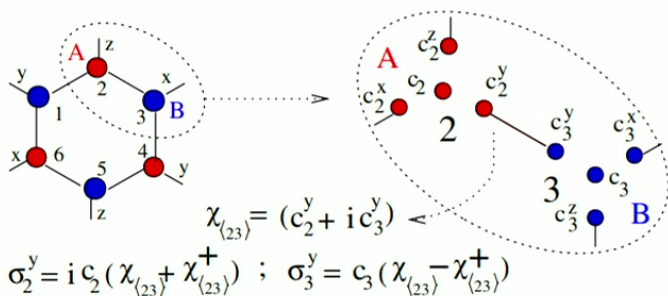
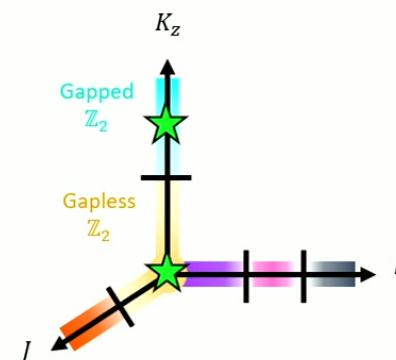
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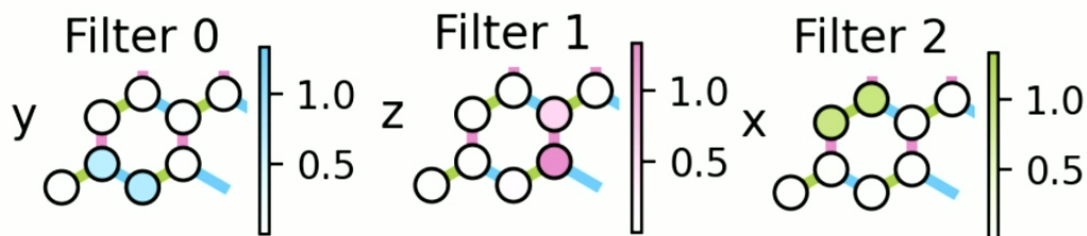
# Benchmark: $Z_2$ SLs

- Transition from gapless to gapped  $Z_2$  upon tuning  $K_z$
- Exact solution in terms of bond fermions and majoranas



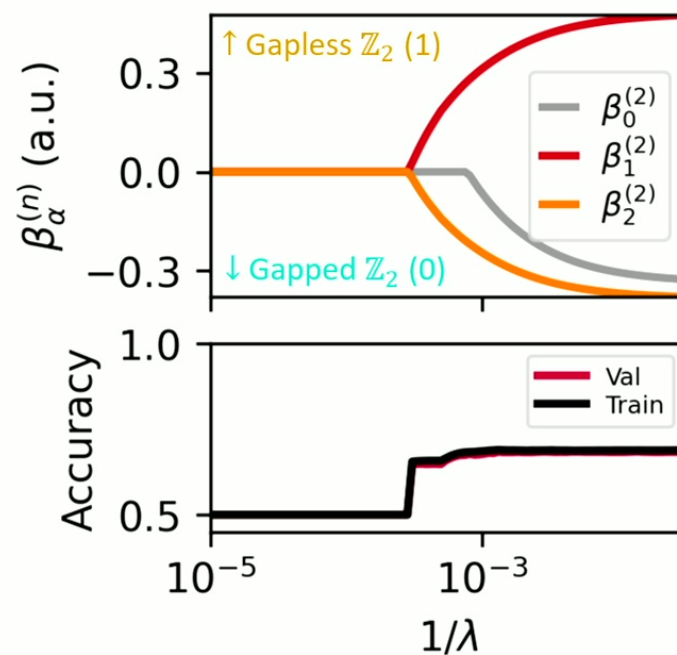
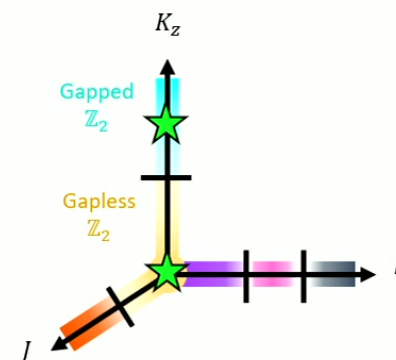
# Benchmark: $\mathbb{Z}_2$ SLs

- Random x, y, z basis
- Filters:



- Exact solution: spin-spin correlation

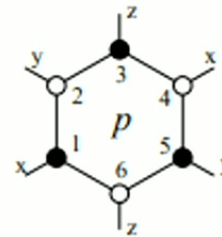
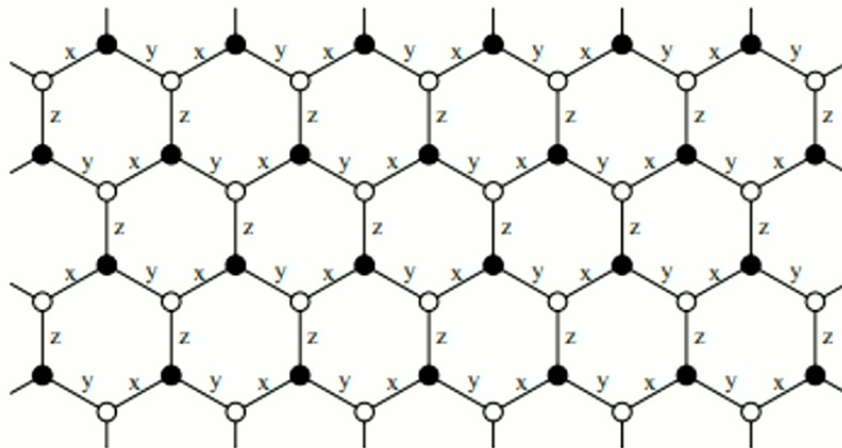
$$\langle \sigma_i^z \sigma_j^z \rangle \equiv S_{\langle ij \rangle z}^{zz}(0) = \frac{\sqrt{3}}{16\pi^2} \int_{BZ} \cos\theta(k_1, k_2) dk_1 dk_2,$$





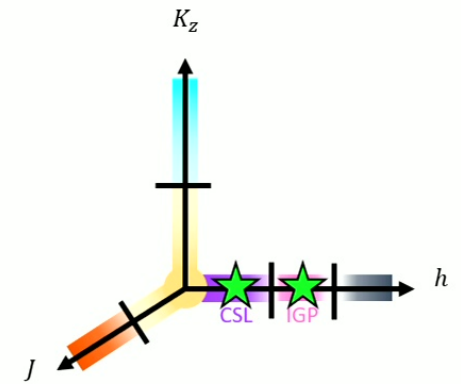
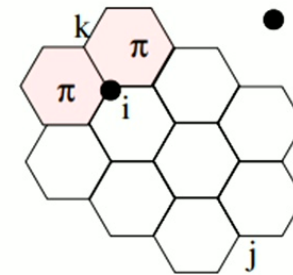
# Structure of chiral phase

- CSL is has approximate  $Z_2$  gauge theory



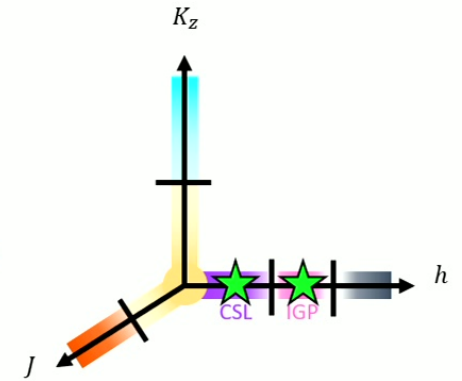
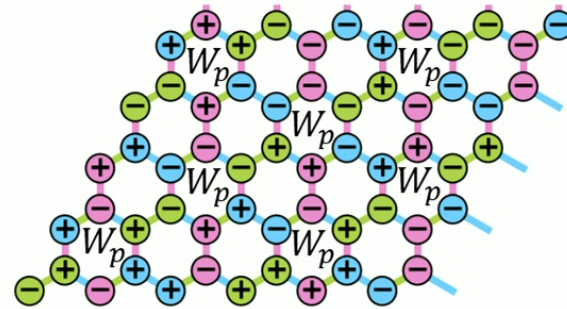
$$W_p = \sigma_1^x \sigma_2^y \sigma_3^z \sigma_4^x \sigma_5^y \sigma_6^z$$

$$[W, H] = 0$$

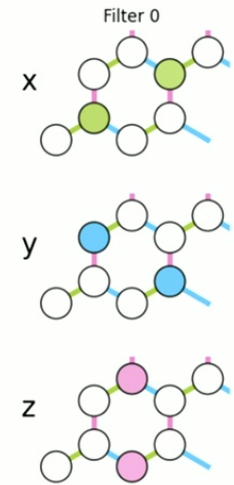
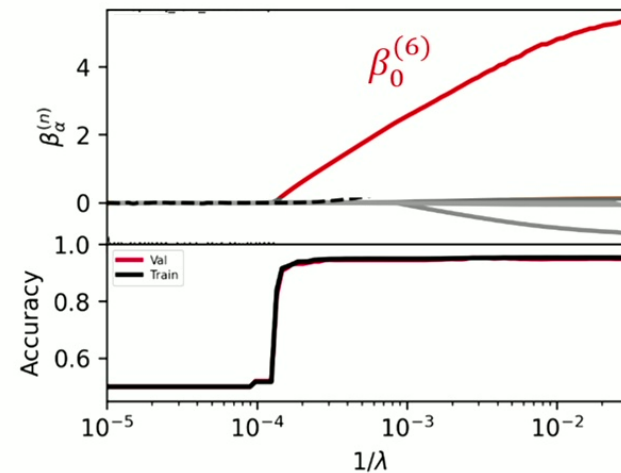
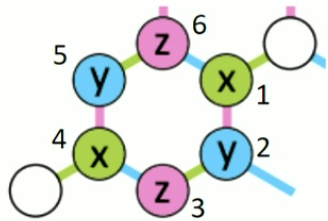


# Topological feature in chiral phase

- Snapshot in plaquette basis:  
Wilson loop of gauge theory
- 6-point function learned by NI  
is the Wilson loop

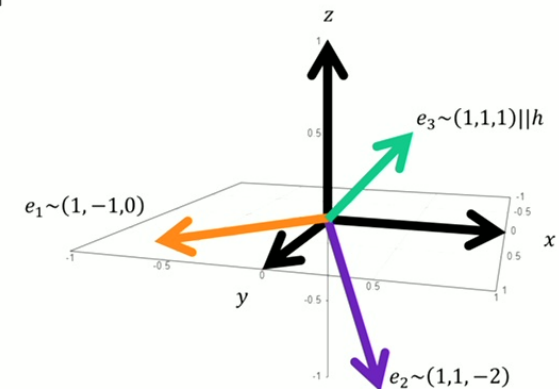
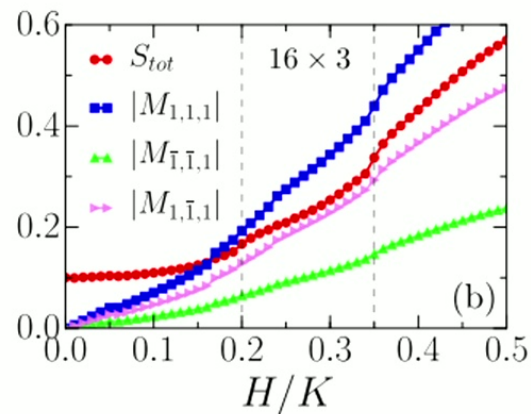
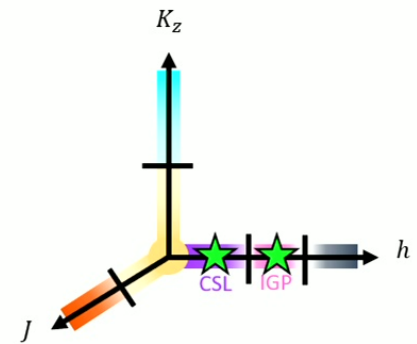


$$W_p = \sigma_1^x \sigma_2^y \sigma_3^z \sigma_4^x \sigma_5^y \sigma_6^z$$

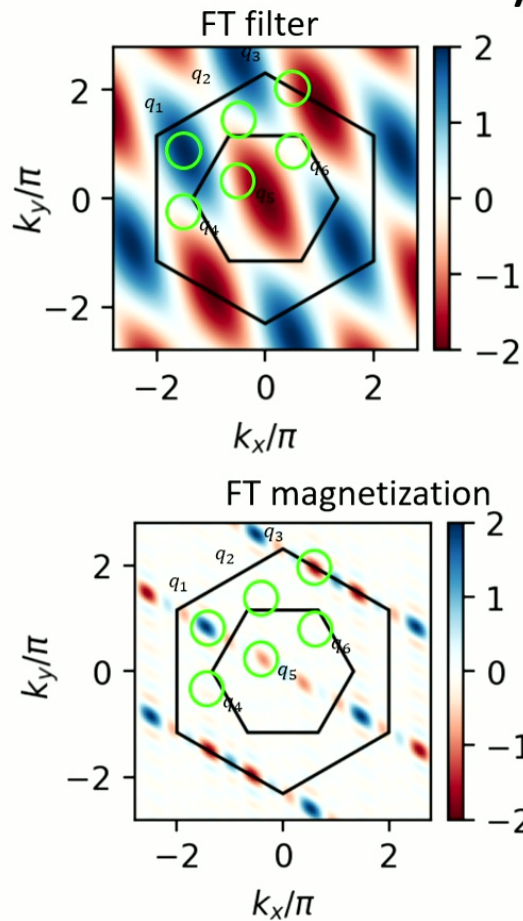


# Discovering new feature of gapless phase

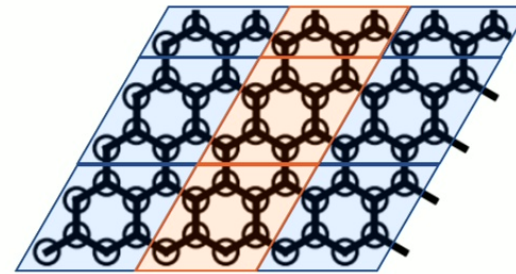
- Naively the NN would learn the total magnetization (since correlation functions are not connected)
- How to suppress effect of  $h$ ?
- Switch to a basis  $e_{1,2,3}$  where magnetization can be absorbed into  $e_3$  direction, and take snapshots in random  $e_1, e_2$  basis



# Fourier analysis and magnetization



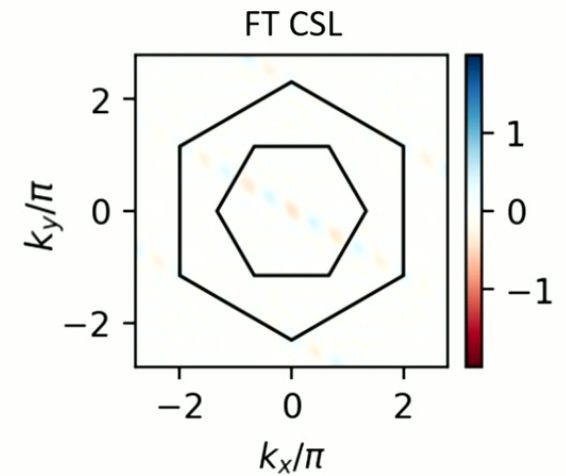
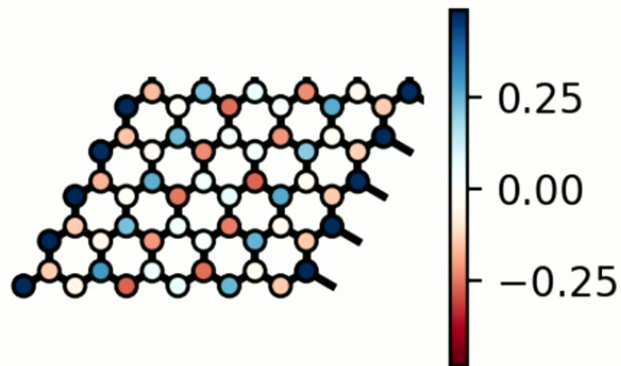
- Conjecture an AF tiling of the filter  $\langle S_{e_1} \rangle = 0$



- Bragg peaks associated with AF tiling consistent with DMRG measurement

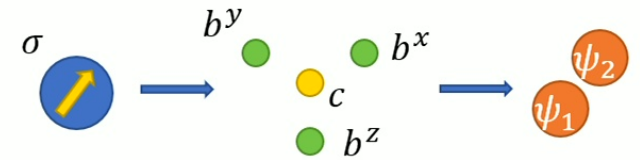
# Real-space magnetization feature

- Real-space magnetization pattern consistent with filter
- Oscillation captured by 2-pt fn
- Feature is not present in CSL phase: what physics does it signify?



# Spinon mapping

- Transformation of majoranas into spinons



$$c_i = (\psi_{i,1} + \psi_{i,1}^\dagger), \quad b_i^{e_1} = i(\psi_{i,1}^\dagger - \psi_{i,1}), \quad b_i^{e_2} = \psi_{i,2} + \psi_{i,2}^\dagger, \quad b_i^{e_3} = i(\psi_{i,2}^\dagger - \psi_{i,2}),$$

- Mapping from spin to spinon density

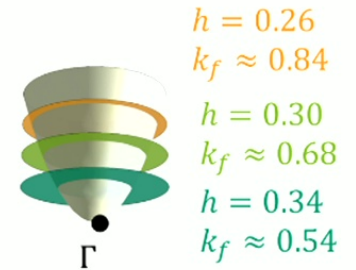
$$S_i^{e_1} = ic_i b_i^{e_1} = -(\psi_{i,1} + \psi_{i,1}^\dagger)(\psi_{i,1}^\dagger - \psi_{i,1}) = 2\psi_{i,1}^\dagger \psi_{i,1} - 1$$

$$S_i^{e_2} = ic_i b_i^{e_2} = i(\psi_{i,1} + \psi_{i,1}^\dagger)(\psi_{i,2}^\dagger + \psi_{i,2}) = i\psi_{i,1}\psi_{i,2} + i\psi_{i,1}\psi_{i,2}^\dagger + i\psi_{i,1}^\dagger\psi_{i,2} + i\psi_{i,1}^\dagger\psi_{i,2}^\dagger$$

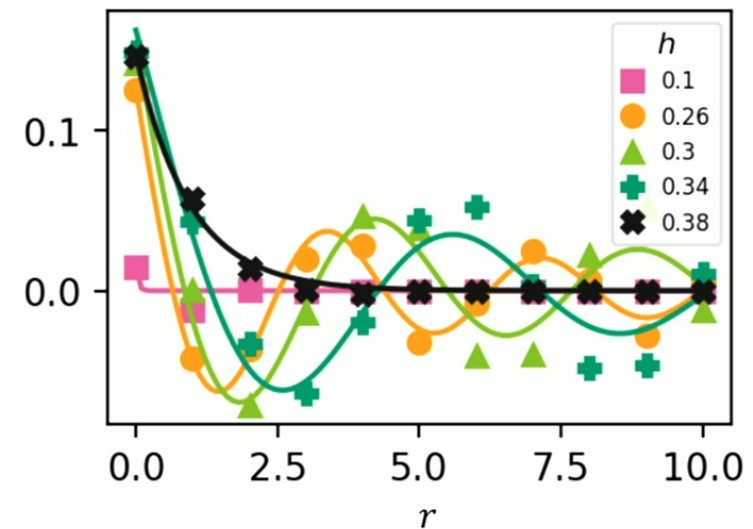
$$S_i^{e_3} = ic_i b_i^{e_3} = -(\psi_{i,1} + \psi_{i,1}^\dagger)(\psi_{i,2} - \psi_{i,2}^\dagger) = -\psi_{i,1}\psi_{i,2} + \psi_{i,1}\psi_{i,2}^\dagger - \psi_{i,1}^\dagger\psi_{i,2} + \psi_{i,1}^\dagger\psi_{i,2}^\dagger$$

# Field dependence of Friedel oscillations

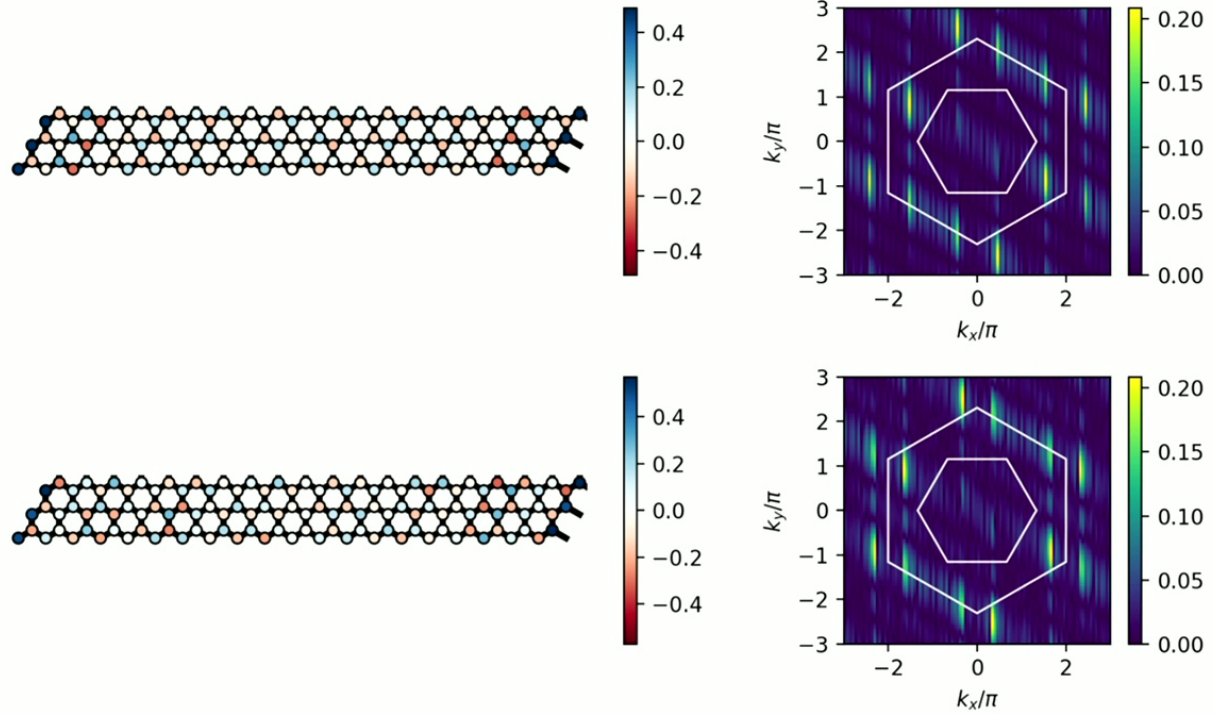
- Friedel oscillations reveal Fermi surface size
- Probe field dependence by fitting to functional form



$$\langle S^{e_1}(r) \rangle \sim \langle n_1(r) \rangle \sim \frac{k_F}{\pi} \left[ 1 - \frac{\sin(2k_F r)}{2k_F r} \right]$$



# Longer systems



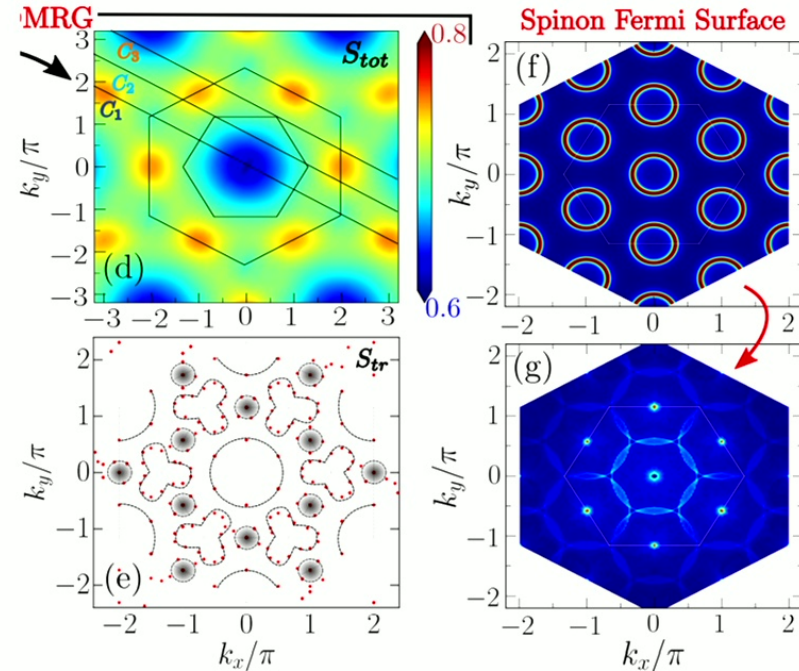


# Sketch and comparison of conjecture

- Previous conjecture (Patel & Trivedi) of FS size consistent with our results



$h = 0.26$   
 $k_f \approx 0.84$   
 $h = 0.30$   
 $k_f \approx 0.68$   
 $h = 0.34$   
 $k_f \approx 0.54$



# Significance and conclusions

- ML-led discovery of a characteristic feature (Friedel oscillations) in re-entrant gapless phase of Kitaev model
- Potential probe in experiments: measurement of correlations in specific axis rather than total  $S \cdot S$

## Collaborators

- Feng Shi, Nandini Trivedi (OSU)
- Yuri Lensky, Eun-Ah Kim (Cornell)



# Architecture

- How to think about learning different n-point functions?
- Consider an expansion of some activation function (nonlinearity)

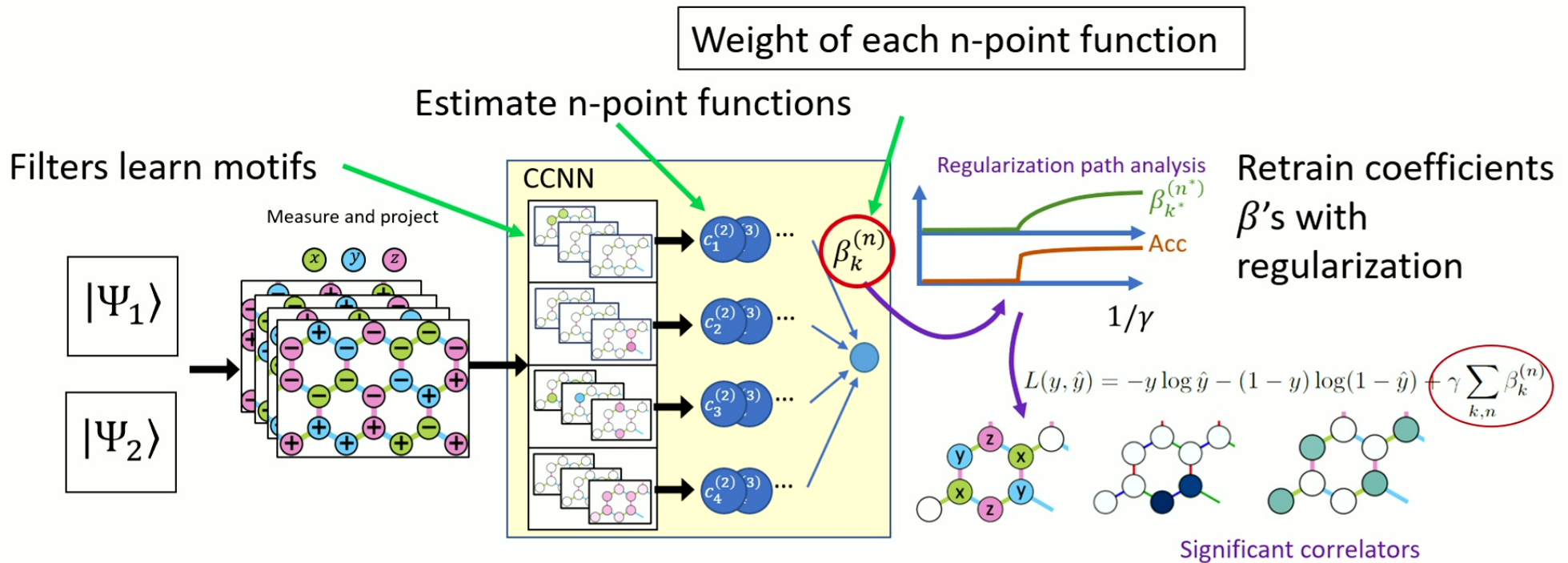
$$f(z) \sim \beta_0 + z\beta_1 + \frac{z^2}{2} a_2 \dots$$

- Now  $z = \sum x_i w_i$ , the convolution output of input data  $x$  with filter weights  $w$

$$f(z) \sim \beta_0 + \beta_1 \underbrace{\sum B_i w_i}_{1\text{pt fns}} + \beta_2 \underbrace{\sum B_i B_j w_i w_j}_{2\text{pt fns}} \dots$$

-> learning an activation function is equivalent to learning weights for different orders of correlations

# Architecture



- Dominant filter weight  $\beta_{k^*}^{(n^*)} \leftrightarrow$  spatial motifs  
Miles et al. (Nat. Comm. 2021)