Title: Homological Link Invariants from Floer Theory

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Series: Mathematical Physics

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Abstract: In recent work, Aganagic proposed a categorification of quantum link invariants based on a category of A-branes, which is solvable explicitly. The theory is a generalization of Heegaard-Floer theory from gl(1|1) to arbitrary Lie algebras. I will describe in the detail the two simplest cases: the su(2) theory, categorifying the Jones polynomial, and the gl(1|1) theory, categorifying the Alexander polynomial. I will give an explicit algorithm for computing link homologies in these cases. I will also briefly describe the generalization to other simple Lie algebras and to Lie superalgebras of type gl(m|n). This talk is based on work to appear with Mina Aganagic and Miroslav Rapcak.

Zoom Link: TBD

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Homological Link Invariants from Floer Theory

Elise LePage with Mina Aganagic and Miroslav Rapcak

Perimeter Institute, May 11, 2023

UC Berkeley

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Knot invariants from CS theory

- \bullet In 1989, Witten showed that the Jones polynomial comes from Chern-Simons theory at level k with gauge group SU(2)
- ullet Knots are Wilson lines colored by the fundamental rep of SU(2)
- The partition function with a Wilson line inserted coincides with the Jones polynomial after identifying

$$\mathfrak{q} = \exp\left(\frac{2\pi i}{2+k}\right)$$

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 By varying the gauge group and representation, one gets many different link invariants, known as quantum group invariants

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Khovanov homology

- A categorification of the Jones polynomial due to Khovanov (1999)
- A link invariant
- The first categorification of a link polynomial
- ullet Khovanov homology assigns to a link K a collection of bi-graded vector spaces

$$\mathcal{H}_K = igoplus_{i,j} \mathcal{H}_K^{i,j}$$

such that the graded Euler characteristic coincides with the Jones polynomial:

$$J_K(\mathfrak{q}) = \sum_{i,j \in \mathbb{Z}} (-1)^i \mathfrak{q}^{j/2} \dim_{\mathbb{C}} \mathcal{H}_K^{i,j}$$

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Link Homology

- Should be a link invariant
- The Euler characteristic should be a link polynomial invariant
- Known examples:
 - Khovanov homology categorifies the Jones polynomial
 - ullet Khovanov-Rozansky homology categorifies the quantum group invariants associated with the fundamental rep of SU(N)
 - Knot Floer homology categorifies the Alexander polynomial
 - Webster proposed a general categorification of quantum group invariants (2013)

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The categorification problem

- A longstanding problem is to find a construction of link homology that works uniformly for all Lie algebras
- One would also like to find a construction with a physical/geometric origin
- This problem is solved in recent work of Aganagic (2020, 2021)
 using homological mirror symmetry
- The solution is based on insights from various other authors: Webster (2013), Auroux (2010), Seidel-Smith (2008), Ozsvath-Szabo (2004, 2008), Rasmussen (2003), Gaiotto-Moore-Witten (2015)...

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Outline

- 1. Floer theory and the A-model
- 2. Homological link invariants
- 3. An algebraic approach to solving the theory

$$\mathfrak{gl}_{1|1}$$
 examples

 \mathfrak{su}_2 examples

- 4. Other Lie (super)algebras
- 5. Topological invariance

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The Floer complex

- Let (Y, ω) be a 2n-dimensional symplectic manifold
- A Lagrangian submanifold L is an n-dimensional submanifold of M such that $\omega|_L=0$
- Given two Lagrangians L_0 and L_1 , one can associate a chain complex $CF(L_0,L_1)$ freely generated by the intersection points of L_0 with L_1 equipped with a differential Q
- ullet Originally defined for compact L, but we will see later there is a generalization for noncompact L

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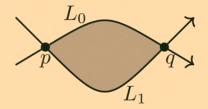
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The Floer differential

ullet Counts certain pseudo-holomorphic maps y from a disk to Y:

$$Qp = \sum_{\substack{q \in L_0 \cap L_1 \\ M(y) = 1}} \# \mathcal{M}(p, q, y) q$$

ullet Example of a map that contributes to Q:



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Floer homology

• Simply the homology of the Floer complex:

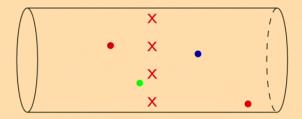
$$HF(L_0, L_1) = H^*(CF(L_0, L_1), Q)$$

ullet Invariant under Hamiltonian isotopy of L_0 or L_1

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The A-model

- Let $Y = \bigotimes_{a=1}^{rk\mathfrak{g}} Sym^{d_a}\mathcal{A}$ where \mathcal{A} is an infinite cylinder
 - g is a Lie algebra
 - $d_a \in \mathbb{Z}^{\geq 0}$ are parameters
- ullet One can think of Y as the configuration space of colored points on a (punctured) infinite cylinder
- Example:



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The potential

- ullet Equip Y with a potential W
- Let $y_{\alpha,a}$ parametrize Y with a corresponding to $Sym^{d_a}\mathcal{A}$ and $\alpha=1,\ldots,d_a$ labelling the different copies of \mathcal{A}

$$W = \lambda_0 W^0 + \sum_{a=1}^{rk\mathfrak{g}} \lambda_a W^a$$

$$W^a = \sum_{\alpha} \ln y_{\alpha,a}$$

$$W^0 = \sum_{a=1}^{rk\mathfrak{g}} \ln f_a(y)$$

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The potential

$$W^{0} = \sum_{a=1}^{rk\mathfrak{g}} \ln f_{a}(y)$$

$$f_{a}(y) = \prod_{\alpha=1}^{d_{a}} \frac{\prod_{i} (1 - a_{i}/y_{\alpha,a})^{\langle e_{a}, \mu_{i} \rangle}}{\prod_{(b,\beta) \neq (a,\alpha)} (1 - y_{\beta,b}/y_{\alpha,a})^{\langle e_{a}, e_{b} \rangle/2}}$$

- a_i are special marked points on the cylinder ("punctures")
- ullet μ_i is the highest weight of the representation coloring the corresponding puncture
- ullet e_a are the roots of ${\mathfrak g}$

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The potential: an example

Consider $\mathfrak{g}=\mathfrak{su}_2$ with 4 punctures labeled by w_1 and 2 marked points In this case, $Y=Sym^2\mathcal{A}$ because $rk\,\mathfrak{su}_2=1$ and we chose $d_1=2$

$$W(y) = \lambda_0 \ln f(y) + \lambda_1 \sum_{\alpha=1}^{2} \ln y_{\alpha}$$

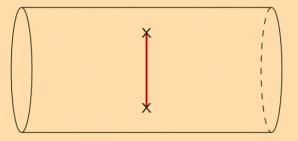
$$f(y) = \prod_{\alpha=1}^{2} \frac{\prod_{i=1}^{4} (1 - a_i/y_\alpha)^{1/2}}{\prod_{\beta < \alpha} (1 - y_\beta/y_\alpha)}$$

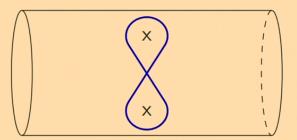
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A-branes

- ullet A-branes are supported on Lagrangian submanifolds of Y
- They can be described as products of either
 - one-dimensional curves between a pair of punctures
 - closed one-dimensional curves (figure eights or ovals) encircling pairs of punctures
- Examples:





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Morphisms between branes

• Morphisms between branes are defined by Floer theory

$$Hom_{\mathscr{D}_{Y}}^{*,*}(L_{0},L_{1}) = HF^{*,*}(L_{0},L_{1})$$

- Two gradings:
 - ullet the equivariant grading $ec{J}$
 - ullet the Maslov/homological grading M

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The gradings: Maslov and equivariant

ullet Equivariant grading comes from non-singlevaluedness of W:

$$J^{i}(y) = -\frac{1}{2\pi i} \oint_{\partial D} y^{*} dW^{i}$$

 Maslov grading comes from the change of phase of the top holomorphic form on Y:

$$\Omega = \bigwedge_{a=1}^{rk\mathfrak{g}} \bigwedge_{\alpha=1}^{d_a} \frac{dy_{\alpha,a}}{y_{\alpha,a}}$$

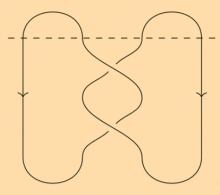
$$\Omega = |\Omega| e^{i\varphi}$$

$$M(y) = \frac{1}{\pi} \oint_{\partial D} y^* d\varphi$$

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Translating a link to A-branes

Start with a presentation of a link as a braid closure:

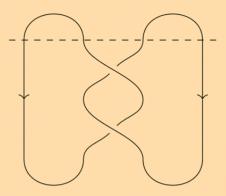


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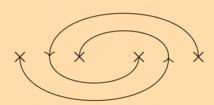
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Translating a link to A-branes

Start with a presentation of a link as a braid closure:







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Translating a link to A-branes













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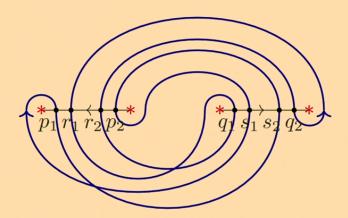
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Homological link invariants

Theorem

 $Hom_{\mathscr{D}_{Y}}^{*,*}(\mathscr{B}E_{\mathcal{U}},I_{\mathcal{U}})$ is a link invariant.

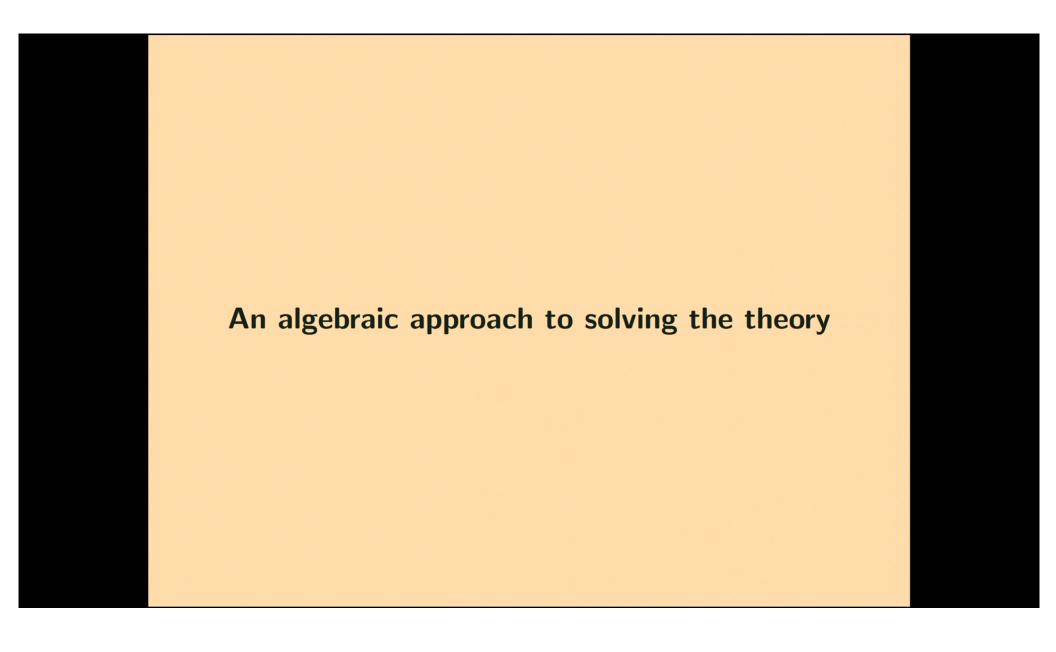
Example:



 $CF(\mathscr{B}E_{\mathcal{U}},I_{\mathcal{U}})$ is spanned by 8 points: p_iq_j , r_is_j for $i,j\in\{1,2\}$

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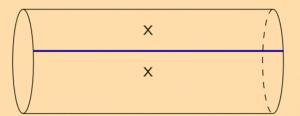
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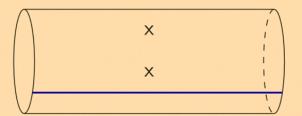


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Thimbles

- Every A-brane can be written in terms of special branes known as Lefshetz thimbles
- ullet Lefshetz thimbles $T_{\mathcal{C}}$ are downward gradient flows of Re(W)
- ullet Each thimble passes through a critical point of W
- Left thimbles are products of real line Lagrangians





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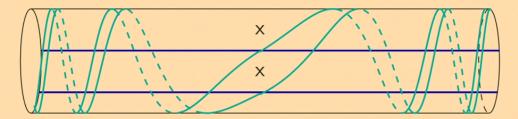
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Morphisms between thimbles

• Morphisms between thimbles are given by intersection points after wrapping one thimble:

$$Hom_{\mathscr{D}_Y}(T_i, T_j) = HF^{0,0}(T_i^{\zeta}, T_j)$$

 \bullet Wrapped thimbles are gradient flows of $Re(e^{-i\zeta}W)$ for small, real ζ



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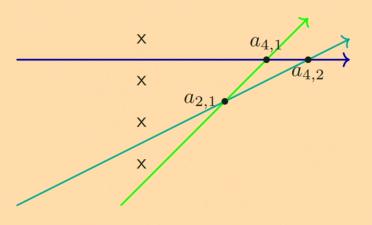
Composing morphisms

$$Hom_{\mathscr{D}_{Y}}(T_{j}, T_{k}) \otimes Hom_{\mathscr{D}_{Y}}(T_{i}, T_{j}) \to Hom_{\mathscr{D}_{Y}}(T_{i}, T_{k})$$

 $HF^{0,0}(T_{j}^{\zeta}, T_{k}) \otimes HF^{0,0}(T_{i}^{2\zeta}, T_{j}^{\zeta}) \to HF^{0,0}(T_{i}^{2\zeta}, T_{k})$

Product of morphisms are given by counting holomorphic triangles

For example:



$$a_{4,2} \cdot a_{2,1} = a_{4,1}$$

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The thimble algebra

- The thimble algebra can be described as a strand algebra on a cylinder
- This strand algebra is generated by certain "bits":



• Multiplication is given by stacking the diagrams, for example:

$$|\mathcal{Y}| \cdot \mathcal{Y}|| = |\mathcal{Y}|$$

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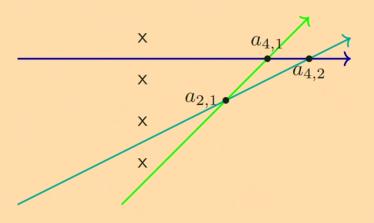
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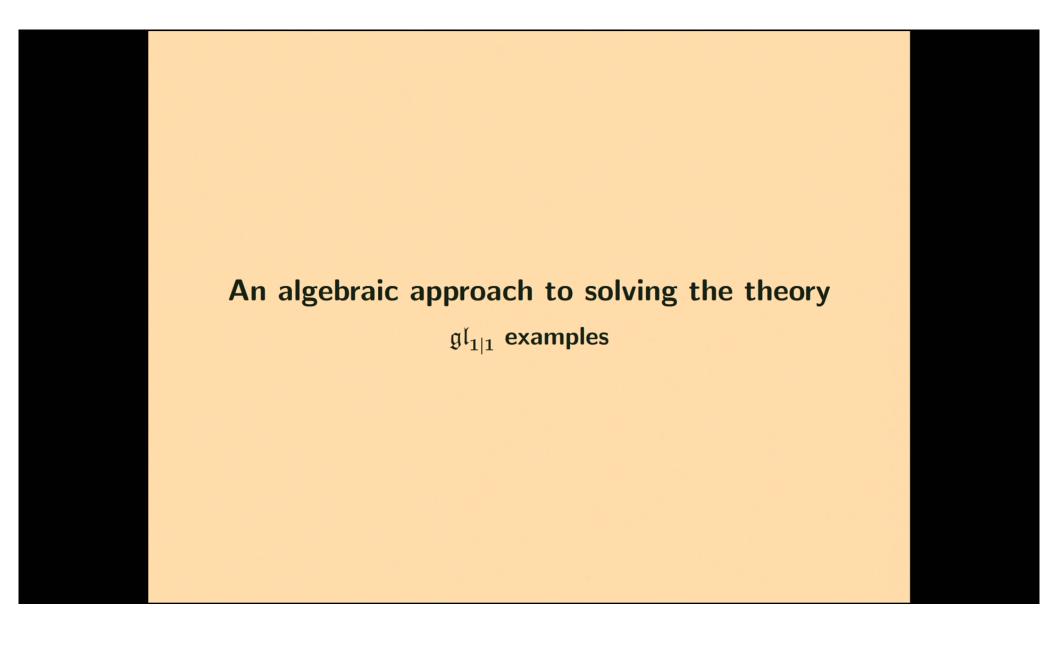
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Finding resolutions

- Resolutions of one-dimensional branes can be written down from geometry
- Resolutions of branes on $Y \setminus \Delta$ (where Δ is the diagonal in $Y = \bigotimes_{a=1}^{\mathrm{rk}\mathfrak{g}} Sym^{d_a}(A)$) are constructed from products of one-dimensional branes
- \bullet We then solve for the unique deformation extending the resolution from $Y \backslash \Delta$ to Y
- \bullet Passing from $Y \backslash \Delta$ to Y does not change the set of thimbles, only their algebra

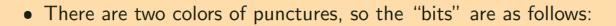
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Relations for $\mathfrak{gl}_{1|1}$













• There are two relations:

$$\left(= 0 = \right)$$

• There is also a differential:

$$\partial X = \hbar |$$

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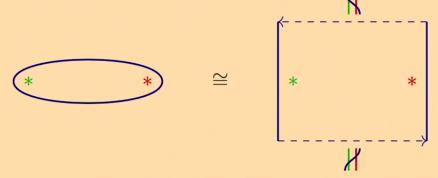
The parameter \hbar

- ullet h keeps track of the intersection with the diagonal Δ in $Sym^d(\mathcal{A})$
- It may be set to 1 by rescaling the algebra generators but it's useful to leave in for computational purposes
- Setting $\hbar = 0$ gives the algebra on $Sym^d(\mathcal{A}) \backslash \Delta$

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$\mathfrak{gl}_{1|1}$ cap



Projective resolution:

$$E_2 \cong T_1 \xrightarrow{\nearrow} T_3$$

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$\mathfrak{gl}_{1|1}$ unknot

Naively, one would expect to recover \widehat{HFK} of the unknot from the diagram



but it turns out that $Hom_{\mathscr{D}_Y}^{*,*}(E_2,I_2)=0.$

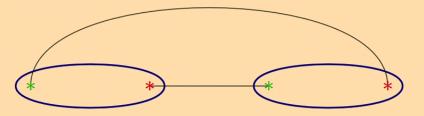
Instead, one must cut a strand to obtain a nonzero invariant.

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$\mathfrak{gl}_{1|1}$ unknot

Another diagram for the unknot is



Then we can cut a strand to get



This second diagram gives

$$Hom_{\mathscr{D}_{Y}}^{*,*}(E_{2},I_{3})=\mathbb{C}$$

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Twisted complexes

- ullet Twisted complexes are necessary because not all maps have Maslov degree 0
- In an ordinary chain complex, the differential is made of maps

$$\delta_{k,k-1}: V_k \to V_{k-1}$$

• In a twisted complex, the differential has maps

$$\delta_{k,\ell}:V_k\to V_\ell$$

where
$$M(\delta_{k,\ell}) = \ell + 1 - k$$

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Twisted complexes

• The condition that the differential square to 0 is

$$\sum_{k} m_k(\delta, \dots, \delta) = 0$$

where $m_k: A^{\otimes k} \to A$

- For $\mathfrak{g}=\mathfrak{gl}_{1|1}$, the algebra is a differential graded algebra with differential $\partial\sim m_1$, product m_2 , and all higher products vanishing
- In this case, the condition becomes

$$\partial \delta + \delta^2 = 0$$

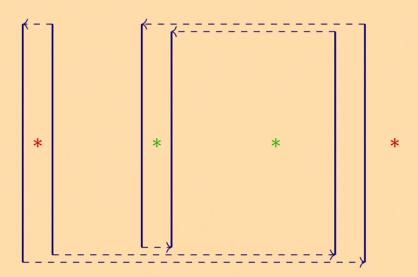
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$\mathfrak{gl}_{1|1}$ Hopf link * 36/71

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$\mathfrak{gl}_{1|1}$ Hopf link resolution



$$T_1 \xrightarrow{\text{$\rlap/$|}} T_4[-1] \xrightarrow{\text{$\rlap/$|}} T_2[2]\{-2\} \xrightarrow{\text{$\rlap/$|}} T_3[3]\{-2\} \xleftarrow{\text{$\rlap/$|}} T_4[4]\{-1\} \xleftarrow{\text{$\rlap/$|}} T_2[1]\{-1\} \xrightarrow{\text{$\rlap/$|}} .$$

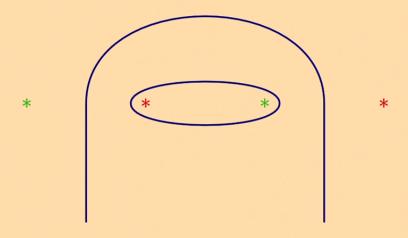
$$Hom_{\mathscr{D}_{Y}}^{*,*}(\mathscr{B}E, I_{2}) = \mathbb{C}[2]\{-2\} \oplus \mathbb{C}[1]\{-1\}$$

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Examples with d > 1

- \bullet In the previous examples, there was only one oval brane, meaning ∂ acted trivially on the δ
- If we want to describe knots with bridge number greater than 2,
 we'll need more than one oval brane
- Those examples are quite complicated (the simplest having 8 crossings), so I will do a short toy example here:



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Then, we consider the product of L_1 with each of the other two thimbles: T_2 and T_4 .

In doing so, we must keep track of the horizontal positions of T-branes relative to each other and to the punctures. We find the complexes

$$L_1 \times T_2 \qquad \cong \qquad T_{22} \xrightarrow{\parallel \mathbf{N} \parallel} T_{24}$$

$$L_1 \times T_4 \cong T_{24} \longrightarrow T_{44}$$

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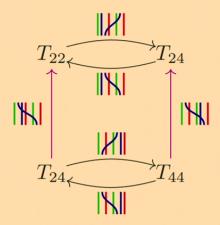
$$L_1 \times T_4 \cong T_{24} \longrightarrow T_{44}$$

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Next we glue $L_1 \times T_2$ to $L_1 \times T_4$, starting with their direct sum of the branes.

After turning on the map from L_2 , we find

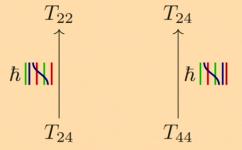


This is the geometric differential δ_0

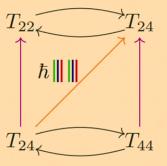
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The A_{∞} differential ∂ acts by uncrossing strands in δ_0 . The resulting maps $\partial \delta_0$ are



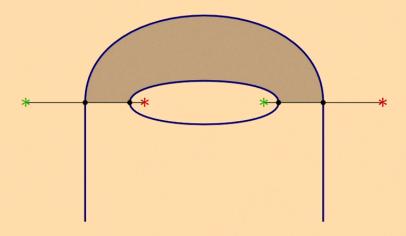
So to satisfy $\partial \delta + \delta^2 = 0$, we add an additional map δ_1 to δ_0 , so that $\delta = \delta_0 + \delta_1$ satisfies the condition. The resulting complex is the correct projection resolution for our toy example:



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This additional map we turned on corresponds to the geometric disk:



It is a general feature of the theory that the non-geometric terms in the complex corresponds to disks in \widehat{HFK} .

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Adding dots

- For all Lie (super)algebras besides $\mathfrak{gl}_{1|1}$, Y is actually a subspace of a larger space \mathcal{Y} , which fibers over Y with $(\mathbb{C}^{\times})^d$ fibers
- ullet We want to consider morphisms of thimbles in ${\mathcal Y}$ rather than in Y
- ullet We can account for the total space ${\mathcal Y}$ by adding dots to the strand algebra
- For Lie algebras, the strand algebra is known as the KLRW algebra and has been studied from a B-side perspective by Webster (2019)
- The calculation of the strand algebra on the A-side will appear in upcoming work of Aganagic-Danilenko-Li-Shende-Zhou

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Relations for \mathfrak{su}_2





$$4. \qquad \qquad + u \cdot \hbar \qquad \qquad |$$

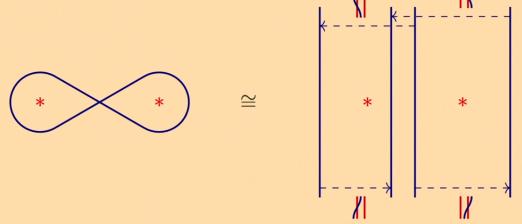
$$4. \qquad \qquad + u \cdot \hbar \qquad \qquad 5. \qquad = u \qquad , \qquad \qquad = u \qquad \qquad$$

6.
$$+ \hbar$$
 7. $+ \hbar$

7.
$$+\hbar$$

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\mathfrak{su}_2 cup

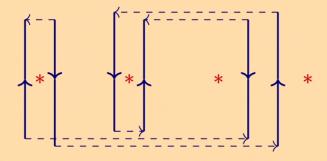


Resolution:

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\mathfrak{su}_2 Hopf link: $\mathscr{B}E_1$ resolution



$$T_1[2]\{-1\} \xrightarrow{-} T_4[1]\{-1\} \xrightarrow{-||\S|} T_3 \xleftarrow{||f||} T_2[1] \xleftarrow{||\S||} T_4[2]\{-2\} \xleftarrow{||f||} T_2[3]\{-2\} \xrightarrow{|\S||} \cdot$$

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su₂ Hopf link: geometric complex

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\mathfrak{su}_2 Hopf link: dotted corrections

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\mathfrak{su}_2 Hopf link: possible \hbar corrections

We use $(\delta)_5 \cdot (\delta)_6 = 0$ to solve for the coefficients x_i

For example, the 4th row of $(\delta)_5 \cdot (\delta)_6$ gives

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su₂ Hopf link: taking homology

Taking only the terms T_{24} from the complex gives $CF^{*,*}(\mathscr{B}E_{\mathcal{U}},I_{\mathcal{U}})$:

$$\mathbb{C}\{-2\} \xrightarrow{\begin{pmatrix} u\hbar & 0 \\ u\hbar & 0 \end{pmatrix}} \mathbb{C}\{-2\} \xrightarrow{\begin{pmatrix} 0 & 0 \\ u\hbar & -u\hbar \\ u\hbar & -u\hbar \end{pmatrix}} \mathbb{C}\{-3\} \xrightarrow{\mathbb{C}}\{-2\} \xrightarrow{\mathbb{C}}\{-2\} \xrightarrow{\mathbb{C}}\{-2\} \xrightarrow{\mathbb{C}}\{-2\} \xrightarrow{\mathbb{C}}\{-2\}} \mathbb{C}\{-2\}$$

Taking the homology gives the \mathfrak{su}_2 homology of the Hopf link:

$$Hom_{\mathscr{O}_{\mathcal{V}}}^{*,*}(\mathscr{B}E_{\mathcal{U}},I_{\mathcal{U}}) = \mathbb{C}[4]\{-1\} \oplus \mathbb{C}[2]\{-3\} \oplus \mathbb{C}[2]\{-2\} \oplus \mathbb{C}\{-4\}$$

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Solving order by order in \hbar

• At order zero, we just have

$$\delta_0^2 = O(\hbar)$$

• At order one, we have

$$\hbar(\delta_0\delta_1 + \delta_1\delta_0) + \delta_0^2 = O(\hbar^2)$$

• At order k, the equation has the form

$$\hbar^k(\delta_0\delta_k + \delta_k\delta_0) + D_k(\delta_0, \dots, \delta_{k-1}) = O(\hbar^{k+1})$$

where D_k is an operator that depends only on δ_ℓ for $\ell < k$

ullet We see that at each order, we have a linear equation for δ_k

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Simple Lie algebras

- ullet Choose a representation V of ${\mathfrak g}$ with highest weight μ
- $Y = \bigotimes_{a=1}^{rk\mathfrak{g}} Sym^{d_a}\mathcal{A}$ where d_a are chosen to satisfy

$$\mu + \mu^* = \sum_{a=1}^{rk\mathfrak{g}} d_a e_a$$

- ullet Punctures are colored by V and V^* such that cups and caps connect dual pairs of punctures
- ullet Cups are products of figure-eights colored by roots according to d_a
- ullet Caps are intervals with colored dots given by d_a

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Simple Lie algebras

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- $Y = \bigotimes_{a=1}^{rk\mathfrak{g}} Sym^{d_a} \mathcal{A}$ where d_a are chosen to satisfy

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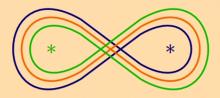
- ullet Punctures are colored by V and V^* such that cups and caps connect dual pairs of punctures
- ullet Cups are products of figure-eights colored by roots according to d_a
- ullet Caps are intervals with colored dots given by d_a
- Ordering of the figure-eights and colored dots corresponds to the order one needs to substract roots e_a from μ to reach $-\mu^*$

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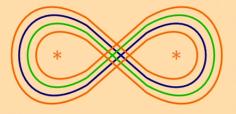
Simple Lie algebras: examples

ullet \mathfrak{su}_4 with $\mu=w_1$





• \mathfrak{su}_4 with $\mu=w_2$



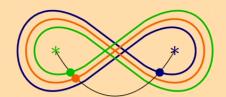


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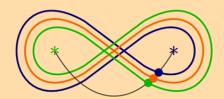
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Unknot homology for \mathfrak{su}_4 with $\mu=w_1$









$$Hom_{\mathscr{D}_{Y}}^{*,*}(\mathscr{B}E_{\mathcal{U}},I_{\mathcal{U}})=\mathbb{C}[6]\{-3\}\oplus\mathbb{C}[4]\{-2\}\oplus\mathbb{C}[2]\{-1\}\oplus\mathbb{C}$$

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$\mathfrak{gl}_{\mathbf{m}|\mathbf{n}}$

- \bullet Theory for $\mathfrak{gl}_{m|n}$ is similar to that of Lie algebras
- Main difference is that fermionic roots are associated to ovals rather than to figure-eights
- \bullet Example: cups and caps for $\mathfrak{gl}_{2|1}$



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Computations for other Lie (super)algebras

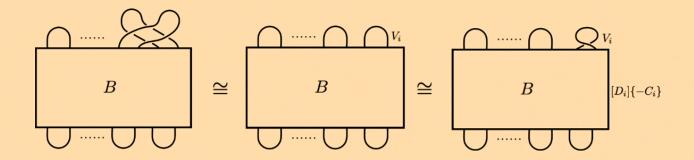
- ullet Computational methods developed for \mathfrak{su}_2 and $\mathfrak{gl}_{1|1}$ extend more or less directly to other cases
- Resolutions are more complicated, requiring more thimbles
- Algebra for simple Lie algebras never has a differential
- \bullet Algebra for $\mathfrak{gl}_{m|n}$ has a differential and a nontrivial product

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Markov moves

To prove that $Hom_{\mathscr{D}_Y}^{*,*}(\mathscr{B}E_{\mathcal{U}},I_{\mathcal{U}})$ are link invariants, we must prove they satisfy four relations, which are the analogues of Markov moves for plat closures



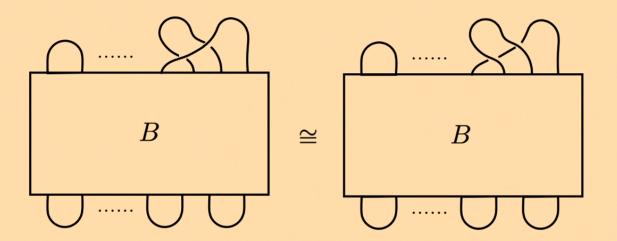
The first two relations, shown above, are manifest by construction

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Markov moves

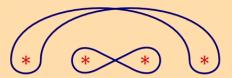
The next relation is the analogue of a Markov I move:



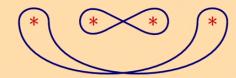
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In terms of cups and caps, the two relations we need to prove are



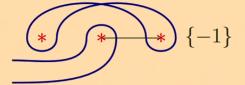
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and



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In terms of cups and caps, the two relations we need to prove are



and



Both are these of relations follow from



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- Start with resolutions $L_1 \cong T_1 \times E_2$ and $L_2 \cong E_2 \times T_3\{-1\}$
- Explicitly show L_1 and L_2 are homotopy equivalent:
 - 1. Construct chain maps $f: L_1 \to L_2$ and $f': L_2 \to L_1$
 - 2. Show $f' \cdot f \sim id_{L_1}$ and $f \cdot f' \sim id_{L_2}$
- \bullet Same method works for $\mathfrak{gl}_{1|1}$ using definitions adjusted for twisted complexes

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- \bullet Same method works for $\mathfrak{gl}_{1|1}$ using definitions adjusted for twisted complexes
- Method should work for any Lie algebra or superalgebra limited by computational feasibility

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Summary

- We reviewed Aganagic's A-model formulation of link homology and extended it to include Lie superalgebras
- We gave a method for computing link homology associated with a (minuscule) representation any Lie algebra or superalgebra
- \bullet We explicitly showed how this method works for fundamental rep of \mathfrak{su}_2 and $\mathfrak{gl}_{1|1}$
- ullet We gave a proof of topological invariance for the \mathfrak{su}_2 and $\mathfrak{gl}_{1|1}$ theories

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