Title: Emergent Metric Space-Time from the BFSS Matrix Model

Speakers: Robert Brandenberger

Collection: Quantum Spacetime in the Cosmos: From Conception to Reality

Date: May 09, 2023 - 9:00 AM

URL: https://pirsa.org/23050109

Abstract: Effective field theory analysis are inadequate to describe the evolution of the very early universe. I will describe an approach to obtain emergent time, emergent space, an emergent metric and an emergent early universe cosmology starting from the BFSS matrix model, a proposed non-perturbative definition of superstring theory. In this approach there is no cosmological constant problem.

Zoom Link: https://pitp.zoom.us/j/95721802052?pwd=TE1iTGxGLzNqeTFSZINGRXRYMHBCZz09

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Emergent R. Brandenberger Matrix Theory

Emergent Space-Time and Early Universe Cosmology from the BFSS Matrix Model

Robert Brandenberger
Physics Department, McGill University

Quantum Spacetime in the Cosmos Conference, Perimeter Institute, May 8 - 12 2023

Work in collaboration with S. Brahma and S. Laliberte arXiv:2106.11512, arXiv:2206.12468

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Motivation

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Scenarios

Criteria String Gas Cosmology

Matrix Theory

Matrix Theory Cosmology

Conclusions

- Inflationary Scenario is the current paradigm of early Universe cosmology.
- Inflation is usually analyzed using an effective field theory (EFT) framework.
- Fundamental conceptual problems for an EFT description of a rapidly expanding universe.
- Unitarity problem, inconsistency with the 2nd law of thermodynamics.
- We need to look beyond an EFT description of the early universe!
- Matrix Theory Cosmology: Emergent metric space-time and early universe from the BFSS matrix model.

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Motivation

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Matrix Theory

Matrix Theory Cosmology

Conclusions

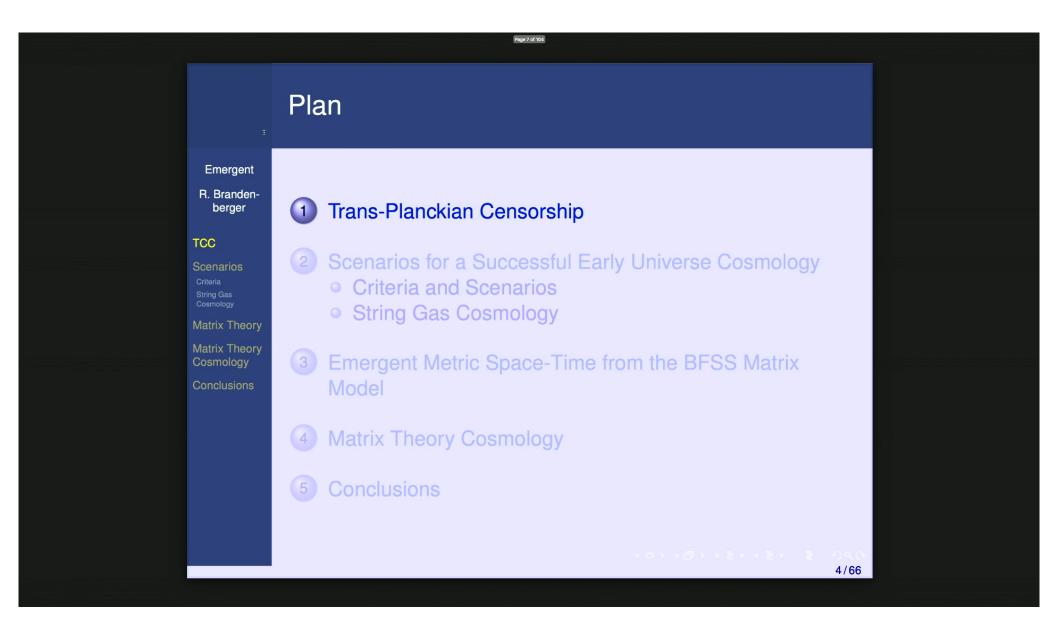
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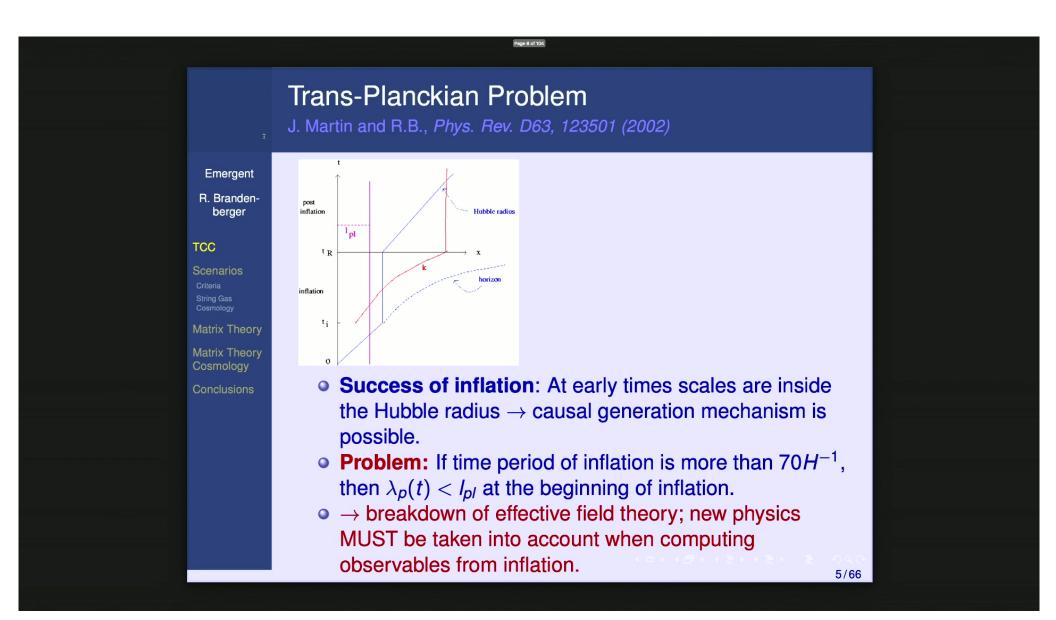
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Page 6 of 104 Outline Emergent R. Branden-Trans-Planckian Censorship berger Scenarios for a Successful Early Universe Cosmology Criteria and Scenarios String Gas Cosmology Matrix Theory Emergent Metric Space-Time from the BFSS Matrix Model Matrix Theory Cosmology **Conclusions** 3/66

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Trans-Planckian Censorship Conjecture (TCC)

A. Bedroya and C. Vafa., arXiv:1909.11063

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Conclusions

No trans-Planckian modes exit the Hubble horizon.

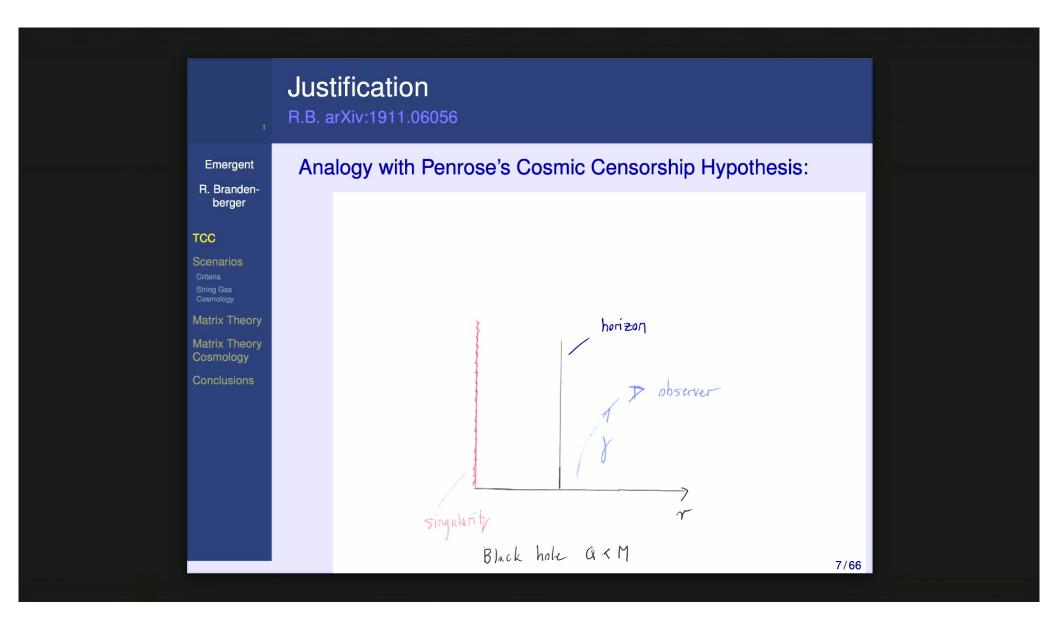
$$ds^2 = dt^2 - a(t)^2 d\mathbf{x}^2$$

$$H(t)\equiv\frac{\dot{a}}{a}(t)$$

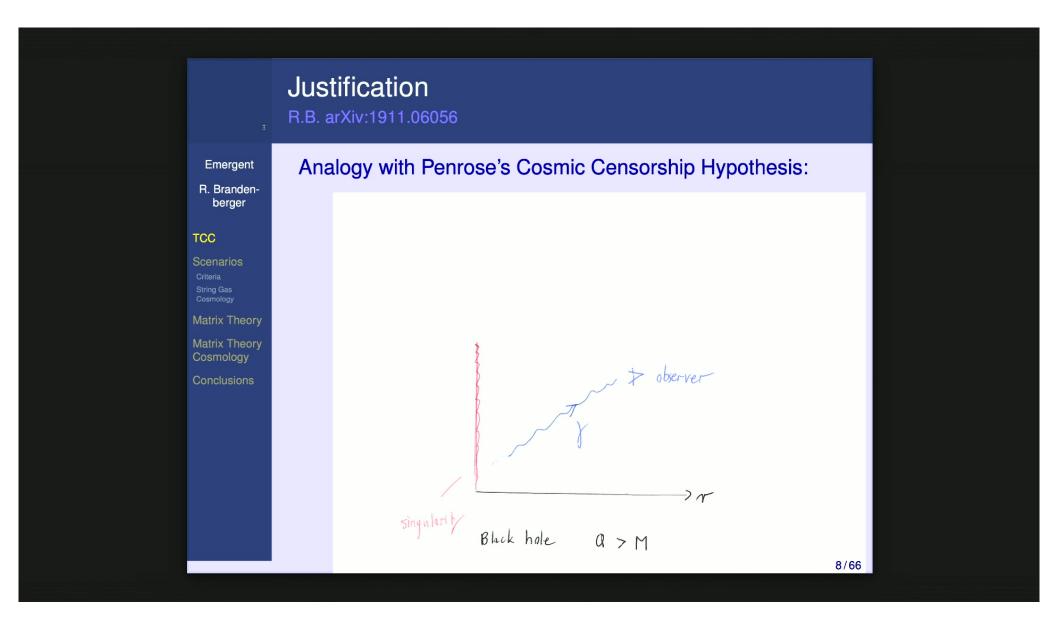
$$\frac{a(t_R)}{a(t_i)}I_{pl} < H(t_R)^{-1}$$

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Justification

R.B. arXiv:1911.06056

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Conclusions

 Effective field theory of General Relativity allows for solutions with timelike singularities: super-extremal black holes.

- Cauchy problem not well defined for observer external to black holes.
- Evolution non-unitary for external observer.
- Conjecture: ultraviolet physics → external observer shielded from the singularity and non-unitarity by horizon.

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Cosmological Version of the Censorship Conjecture

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Conclusions

Translation

- $\bullet \ \, \text{Position space} \to \text{momentum space}. \\$
- ullet Singularity o trans-Planckian modes.
- Black Hole horizon → Hubble horizon.

Observer measuring super-Hubble horizon modes must be shielded from trans-Planckian modes.

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Cosmological Version of the Censorship Conjecture

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Conclusions

Translation

- $\bullet \ \, \text{Position space} \to \text{momentum space}. \\$
- $\bullet \ \ Singularity \rightarrow trans-Planckian \ modes.$
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Observer measuring super-Hubble horizon modes must be shielded from trans-Planckian modes.

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Why Hubble Horizon? R.B. arXiv:1911.06056; A. Bedroya and C. Vafa., arXiv:1909.11063 Emergent R. Brandenberger TCC Recall: Fluctuations only oscillate on sub-Hubble scales. Recall: Fluctuations freeze out, become squeezed Matrix Theory **states** and **classicalize** on super-Hubble scales. Matrix Theory Demand: classical region be insensitive to trans-Planckian region. → no trans-Planckian modes ever exit Hubble horizon.

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Unitarity Problem

R.B. arXiv:1911.06056; A. Bedroya and C. Vafa., arXiv:1909.11063

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Conclusions

 Recall: non-unitarity of effective field theory in an expanding universe (N. Weiss, Phys. Rev. D32, 3228 (1985); J. Cotler and A. Strominger, arXiv:2201.11658).

- \mathcal{H} is the product Hilbert space of harmonic oscillator Hilbert spaces for all **comoving** wave numbers k
- UV cutoff: time dependent k_{max} : $k_{max}(t)a(t)^{-1} = m_{pl}$
- Continuous mode creation → non-unitarity.
- Demand: classical region be insensitive to non-unitarity.
- → no trans-Planckian modes ever exit Hubble horizon.

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Page 24 of 104 Effective Field Theory (EFT) and the CC Problem Emergent R. Brandenberger TCC EFT: expand fields in comoving Fourier space. Scenarios Quantize each Fourier mode like a harmonic oscillator \rightarrow ground state energy. Matrix Theory Matrix Theory Add up ground state energies → CC problem. Cosmology The usual quantum view of the CC problem is an artefact of an EFT analysis! 13/66

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Application of the Second Law of Thermodynamics

S. Brahma, O. Alaryani and RB, arXiv:2005.09688

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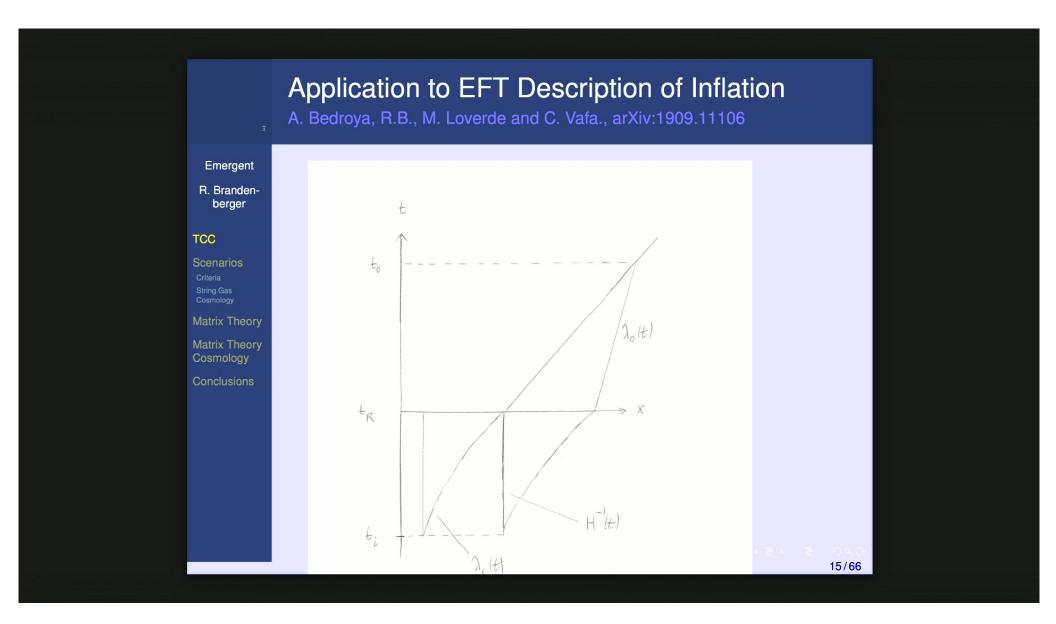
Matrix Theory Cosmology

Conclusions

- Consider entanglement entropy density $s_E(t)$ between sub- and super-Hubble modes.
- Consider an phase of inflationary expansion.
- $s_E(t)$ increases in time since the phase space of super-Hubble modes grows.
- **Demand**: $s_E(t)$ remain smaller than the post-inflationary thermal entropy.
- Duration of inflation is bounded from above, consistent with the TCC.

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Application to EFT Descriptions of Inflation

A. Bedroya, R.B., M. Loverde and C. Vafa., arXiv:1909.11106

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TCC implies:

$$\frac{a(t_R)}{a(t_*)}I_{pl} < H(t_R)^{-1}$$

Demanding that inflation yields a causal mechanism for generating CMB anisotropies implies:

$$H_0^{-1} \frac{a(t_0)}{a(t_R)} \frac{a(t_R)}{a(t_*)} < H^{-1}(t_*)$$

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Implications

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Conclusion

Upper bound on the energy scale of inflation:

$$V^{1/4} < 3 \times 10^9 \text{GeV}$$

 \rightarrow upper bound on the **primordial tensor to scalar ratio** r:

$$r < 10^{-30}$$

Note: Secondary tensors will be larger than the primary ones.

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Implications for Dark Energy Emergent R. Brandenberger TCC Dark Energy cannot be a bare cosmological constant. Matrix Theory Quintessence models of Dark Energy are constrained (L. Heisenberg et al. arXiv:2003.13283] Cosmology 18/66

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Plan Emergent R. Branden-Trans-Planckian Censorship berger Scenarios for a Successful Early Universe Cosmology Scenarios Criteria and Scenarios String Gas Cosmology Matrix Theory Emergent Metric Space-Time from the BFSS Matrix Model Matrix Theory Cosmology Conclusions 19/66

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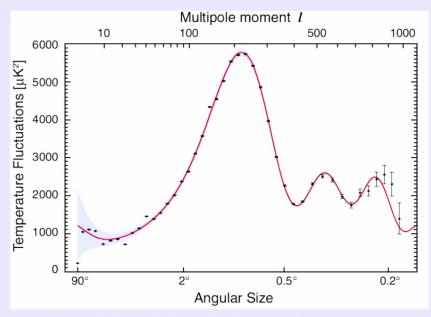
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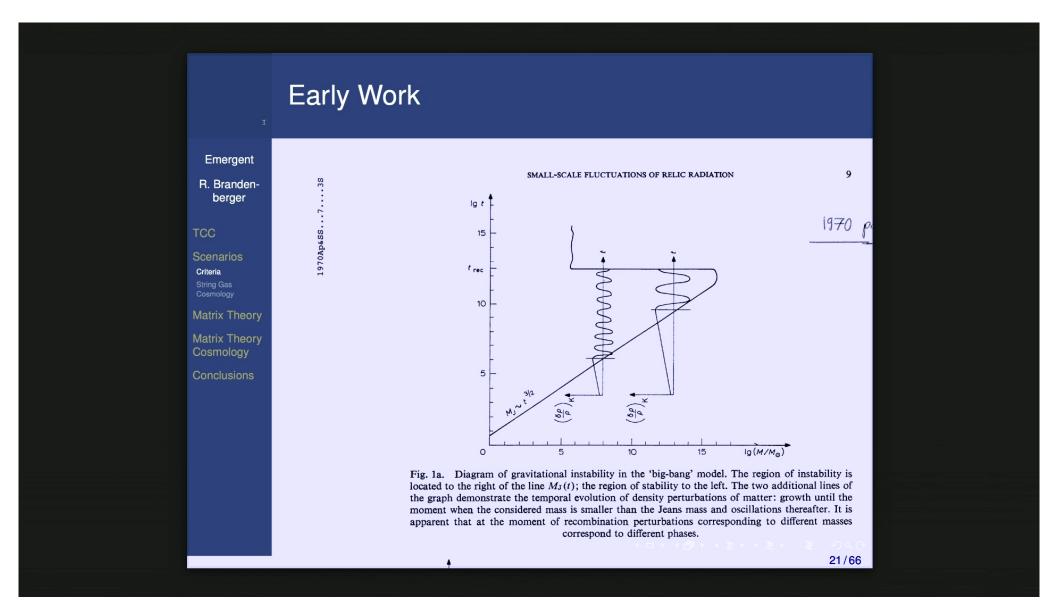
Conclusions



Credit: NASA/WMAP Science Team

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Criteria for a Successful Early Universe Scenario

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Conclusion

 Horizon >> Hubble radius in order for the scenario to solve the "horizon problem" of Standard Big Bang Cosmology.

- Scales of cosmological interest today originate inside the Hubble radius at early times in order for a causal generation mechanism of fluctuations to be possible.
- Mechanism for producing a scale-invariant spectrum of curvature fluctuations on super-Hubble scales.

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Predictions from 1970

R. Sunyaev and Y. Zel'dovich, Astrophys. and Space Science **7**, 3 (1970); P. Peebles and J. Yu, Ap. J. **162**, 815 (1970).

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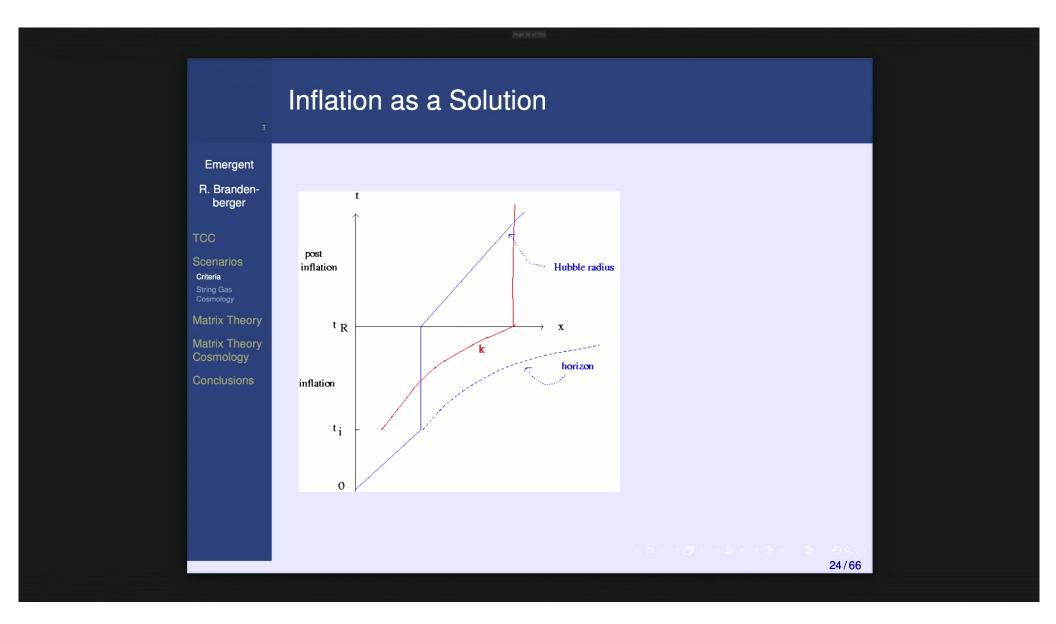
Matrix Theor Cosmology

Conclusions

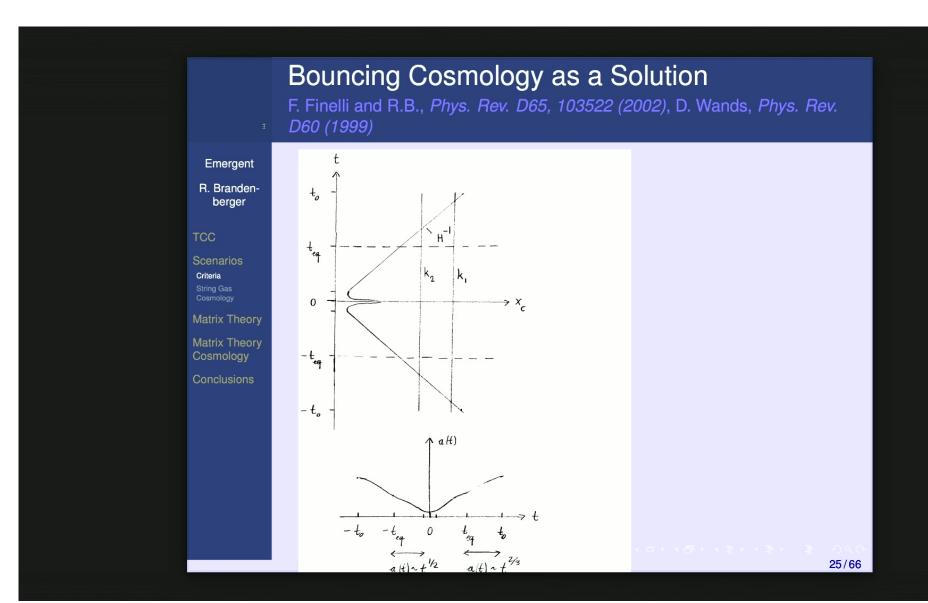
- Given a scale-invariant power spectrum of adiabatic fluctuations on "super-horizon" scales before t_{eq} , i.e. standing waves.
- → "correct" power spectrum of galaxies.
- → acoustic oscillations in CMB angular power spectrum.
- → baryon acoustic oscillations in matter power spectrum.

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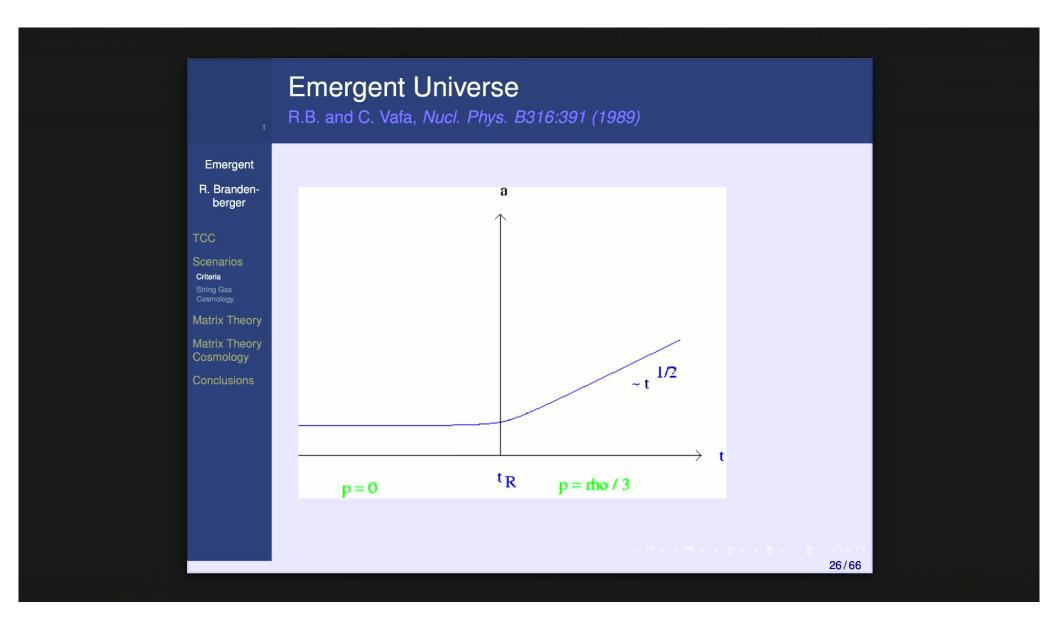
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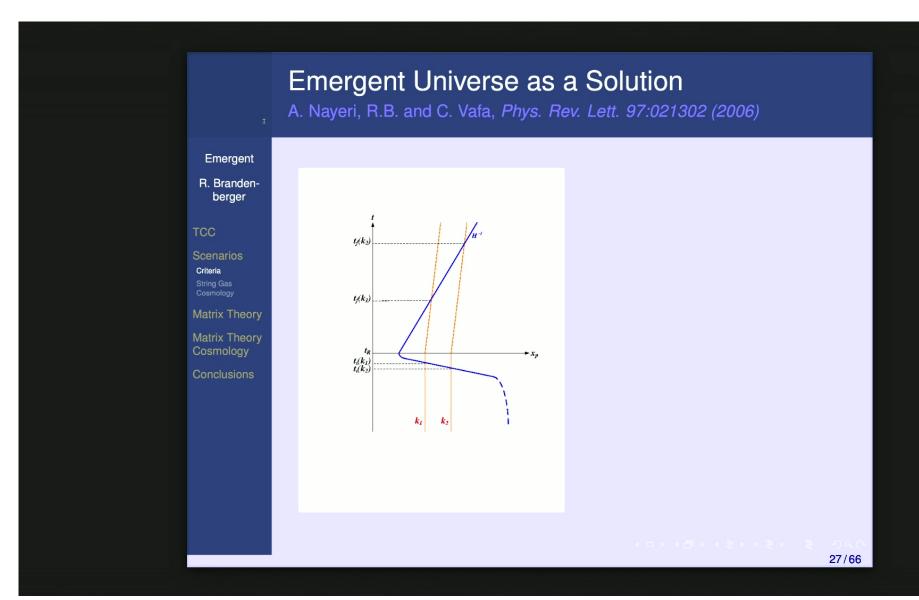
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Trans-Planckian Censorship and Cosmological Scenarios

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Conclusion:

- Bouncing cosmologies are consistent with the TCC provided that the energy scale at the bounce is lower than the Planck scale.
- Emergent cosmologies are consistent with the TCC provided that the energy scale of the emergence phase is lower than the Planck scale.
- Inflationary cosmologies are inconsistent with the TCC unless the energy scale of inflation is fine tuned.

All early universe scenarios require going beyond EFT.

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Obtaining an Emergent Cosmology: String Gas Cosmology

R.B. and C. Vafa, Nucl. Phys. B316:391 (1989)

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Conclusions

Idea: make use of the new symmetries and new degrees of freedom which string theory provides to construct a new theory of the very early universe.

Assumption: Matter is a gas of fundamental strings Assumption: Space is compact, e.g. a torus. Key points:

- New degrees of freedom: string oscillatory modes
- Leads to a maximal temperature for a gas of strings, the Hagedorn temperature
- New degrees of freedom: string winding modes
- Leads to a new symmetry: physics at large R is equivalent to physics at small R

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T-Duality

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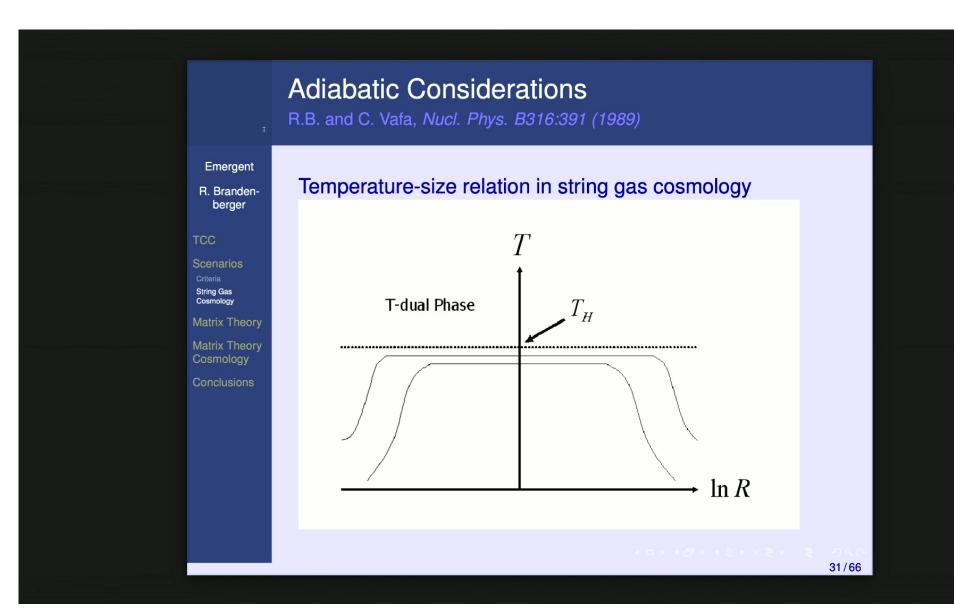
Conclusions

T-Duality

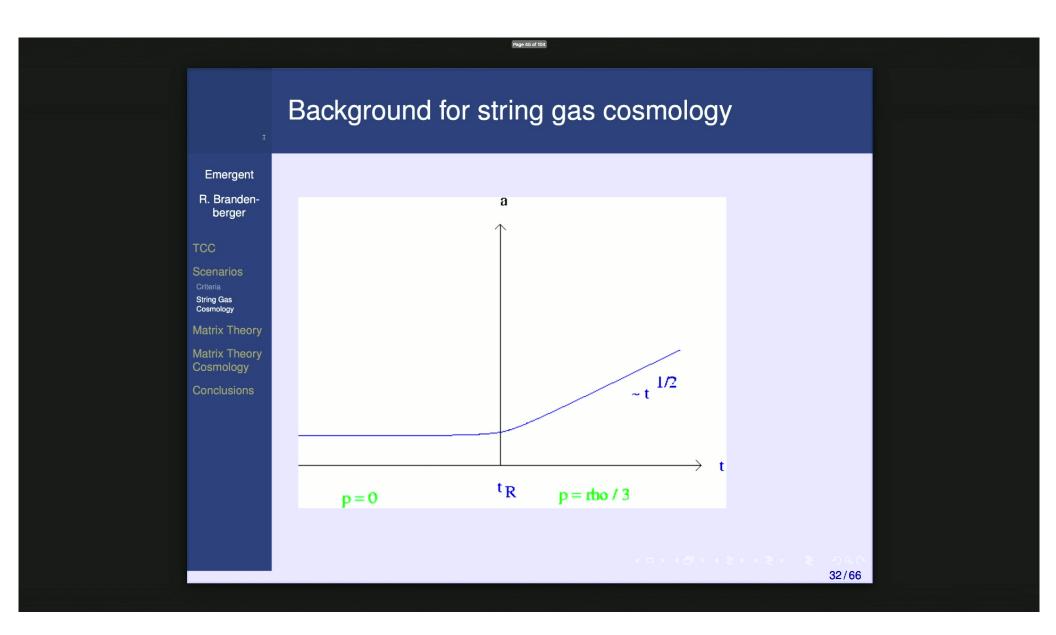
- Momentum modes: $E_n = n/R$
- Winding modes: $E_m = mR$
- Duality: $R \rightarrow 1/R \ (n, m) \rightarrow (m, n)$
- Mass spectrum of string states unchanged
- Symmetry of vertex operators
- \bullet Symmetry at non-perturbative level \to existence of D-branes

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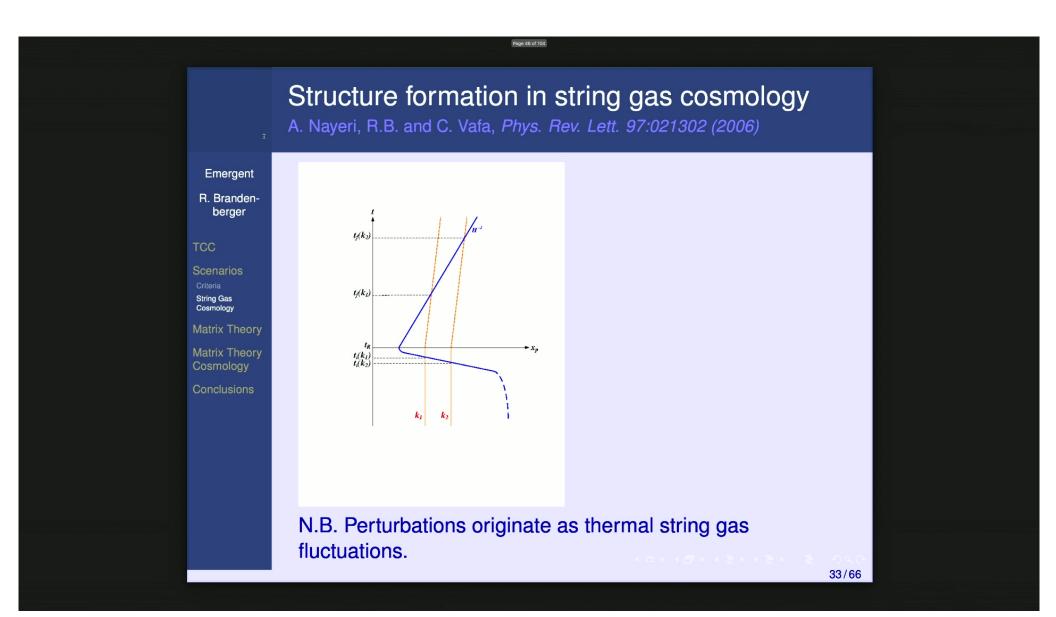
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Extracting the Metric Fluctuations

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Conclusions

Ansatz for the metric including cosmological perturbations and gravitational waves:

$$ds^2 = a^2(\eta)((1+2\Phi)d\eta^2 - [(1-2\Phi)\delta_{ij} + h_{ij}]dx^i dx^j).$$

Inserting into the perturbed Einstein equations yields

$$\langle |\Phi(k)|^2 \rangle = 16\pi^2 G^2 k^{-4} \langle \delta T^0_0(k) \delta T^0_0(k) \rangle,$$

$$\langle |\mathbf{h}(\mathbf{k})|^2 \rangle = 16\pi^2 G^2 k^{-4} \langle \delta T^i{}_j(\mathbf{k}) \delta T^i{}_j(\mathbf{k}) \rangle.$$

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Method Emergent R. Brandenberger Calculate matter correlation functions in the Hagedorn phase (neglecting the metric fluctuations) TCC Scenarios • For fixed k, convert the matter fluctuations to metric fluctuations at Hubble radius crossing $t = t_i(k)$ String Gas Cosmology Matrix Theory • Evolve the metric fluctuations for $t > t_i(k)$ using the Matrix Theory usual theory of cosmological perturbations Note: the matter correlation functions are given by partial derivatives of the finite temperature string gas partition **function** with respect to T (density fluctuations) or R (pressure perturbations). 34/66

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Power Spectrum of Cosmological Perturbations

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Conclusions

Key ingredient: For thermal fluctuations:

$$\langle \delta
ho^2
angle \, = \, rac{T^2}{R^6} C_V \, .$$

Key ingredient: For string thermodynamics in a compact space

$$C_V \approx 2 rac{R^2/\ell_s^3}{T \left(1 - T/T_H
ight)}$$
.

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Conclusions

Power spectrum of cosmological fluctuations

$$P_{\Phi}(k) = 8G^{2}k^{-1} < |\delta\rho(k)|^{2} >$$

$$= 8G^{2}k^{2} < (\delta M)^{2} >_{R}$$

$$= 8G^{2}k^{-4} < (\delta\rho)^{2} >_{R}$$

$$= 8G^{2}\frac{T}{\ell_{s}^{3}}\frac{1}{1 - T/T_{H}}$$

Key features:

- scale-invariant like for inflation
- slight red tilt like for inflation

Spectrum of Gravitational Waves

R.B., A. Nayeri, S. Patil and C. Vafa, Phys. Rev. Lett. (2007)

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Conclusions

$$P_h(k) = 16\pi^2 G^2 k^{-1} < |T_{ij}(k)|^2 >$$

$$= 16\pi^2 G^2 k^{-4} < |T_{ij}(R)|^2 >$$

$$\sim 16\pi^2 G^2 \frac{T}{\ell_s^3} (1 - T/T_H)$$

Key ingredient for string thermodynamics

$$<|T_{ij}(R)|^2> \sim \frac{T}{I_s^3R^4}(1-T/T_H)$$

Key features:

- scale-invariant (like for inflation)
- slight blue tilt (unlike for inflation)

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Matrix Theor
S. Brahma, R.B. and
Starting point:

BFSS mod
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Matrix Theory

Matrix Theory

Cosmology

Matrix Theory Cosmology

S. Brahma, R.B. and S. Laliberte, arXiv:2108.1152

Starting point: BFSS matrix model at high temperatures.

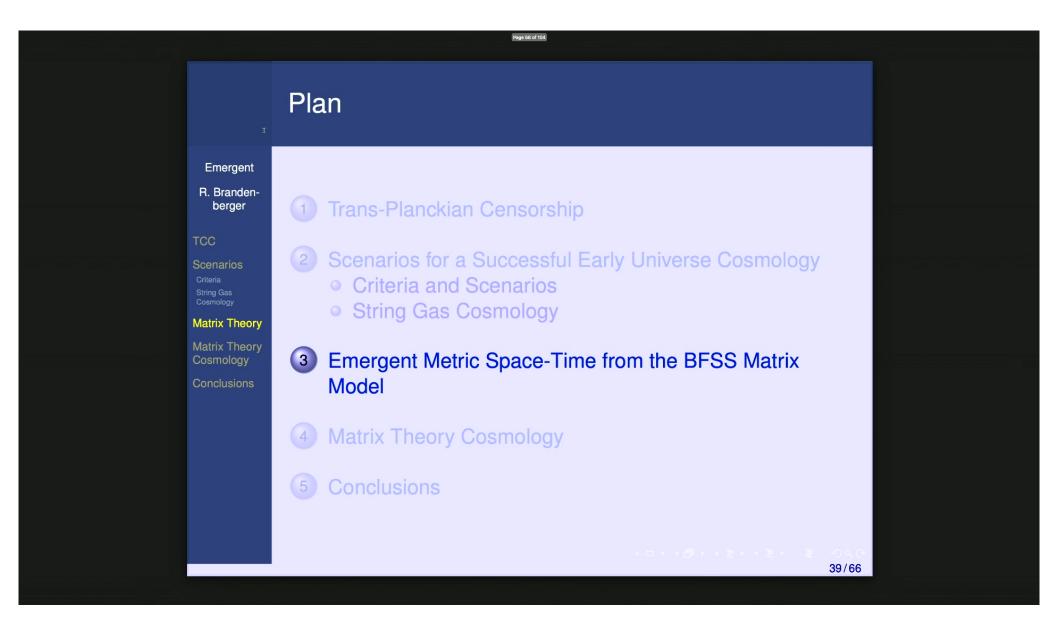
- BFSS model is a quantum mechanical model of 10
 N × N Hermitean matrices.
- Note: no space!
- Note: no singularities!
- Note: BFSS matrix model is a proposed non-perturbative definition of M-theory: 10 dimensional superstring theory emerges in the $N \to \infty$ limit.

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Matrix Theory Cosmology S. Brahma, R.B. and S. Laliberte, arXiv:2108.1152 Emergent R. Brandenberger **Starting point**: BFSS matrix model at high temperatures. BFSS model is a quantum mechanical model of 10 Scenarios $N \times N$ Hermitean matrices. Note: no space! Matrix Theory Matrix Theory Note: no singularities! Cosmology Note: BFSS matrix model is a proposed non-perturbative definition of M-theory: 10 dimensional superstring theory emerges in the $N \to \infty$ limit.

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BFSS Model (bosonic sector)

T. Banks, W. Fischler, S. Shenker and L. Susskind, Phys. Rev. D 55, 5112 (1997), B. de Wit, J. Hoppe and H. Nicolai, Nucl. Phys. B305 (1988), 545.

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Conclusions

 $L = \frac{1}{2g^2} \left[\text{Tr} \left(\frac{1}{2} (D_t X_i)^2 - \frac{1}{4} [X_i, X_j]^2 \right) \right]$

- X_i , i = 1, ...9 are $N \times N$ Hermitean matrices.
- D_t : gauge covariant derivative (contains a matrix A_0)

't Hooft limit: $N \to \infty$ with $\lambda \equiv g^2 N = g_s l_s^{-3} N$ fixed.

Thermal Initial State

N. Kawahara, J. Nishimura and S. Takeuchi, JHEP 12, 103 (2007)

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Conclusions

- Consider a high temperature state.
- Matsubara expansion:

$$X_i(t) = \sum_n X_i^n e^{2\pi i Tt}$$

- $S_{BFSS} = S_{IKKT} + \mathcal{O}(1/T)$
- S_{IKKT} : contribution of the n = 0 modes.
- At high temperatures, the bosonic sector of the (Euclidean) BFSS model is well approximated by the bosonic sector of the (Euclidean) IKKT matrix model.

$$A_i \equiv T^{-1/4} X_i^0$$

IKKT Matrix Model

N. Ishibashi, H. Kawai, Y. Kitazawa and A. Tsuchiya, Nucl. Phys. B **498**, 467 (1997).

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Conclusions

Proposed as a non-perturbative definition of the IIB Superstring theory.

Action:

$$S_{IKKT} = -rac{1}{g^2} \mathrm{Tr} ig(rac{1}{4} [A^a, A^b] [A_a, A_b] + rac{i}{2} ar{\psi}_{lpha} (\mathcal{C} \Gamma^a)_{lpha eta} [A_a, \psi_{eta}] ig) \,,$$

Partition function:

$$Z = \int dA d\psi e^{iS}$$

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Emergent Time from Matrix Theory

Y. Ito, J. Nishimura and A. Tsuchiya, arXiv:1506.04795

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Conclusions

• Eigenvalues of A_0 become emergent time.

• Work in the basis in which A_0 is diagonal.

• Numerical studies: $\frac{1}{N}\langle \text{Tr} A_0^2 \rangle \sim \kappa N$

$$ullet$$
 o $t_{max} \sim \sqrt{N}$

$$\bullet \to \Delta t \sim \frac{1}{\sqrt{N}}$$

→ infinite continuous time.

Note:
$$\sum_{n=0}^{N} n^2 = \frac{1}{6}N(N+1)(2N+1)$$

Emergent Space from Matrix Theory

Y. Ito, J. Nishimura and A. Tsuchiya, arXiv:1506.04795

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Conclusions

• Eigenvalues of A_0 become emergent time, continuous in $N \to \infty$ limit.

- Work in the basis in which A_0 is diagonal: A_i matrices elements decay when going away from the diagonal.
- $\sum_{i}\langle |A_{i}|_{ab}^{2}\rangle$ decays when $|a-b|>n_{c}$
- $n_c \sim \sqrt{N}$
- $\sum_i \langle |A_i|_{ab}^2 \rangle \sim \text{constant when } |a-b| < n_c$

Emergent Space from Matrix Theory

S. Kim, J. Nishimura and A. Tsuchiya, arXiv:1108.1540

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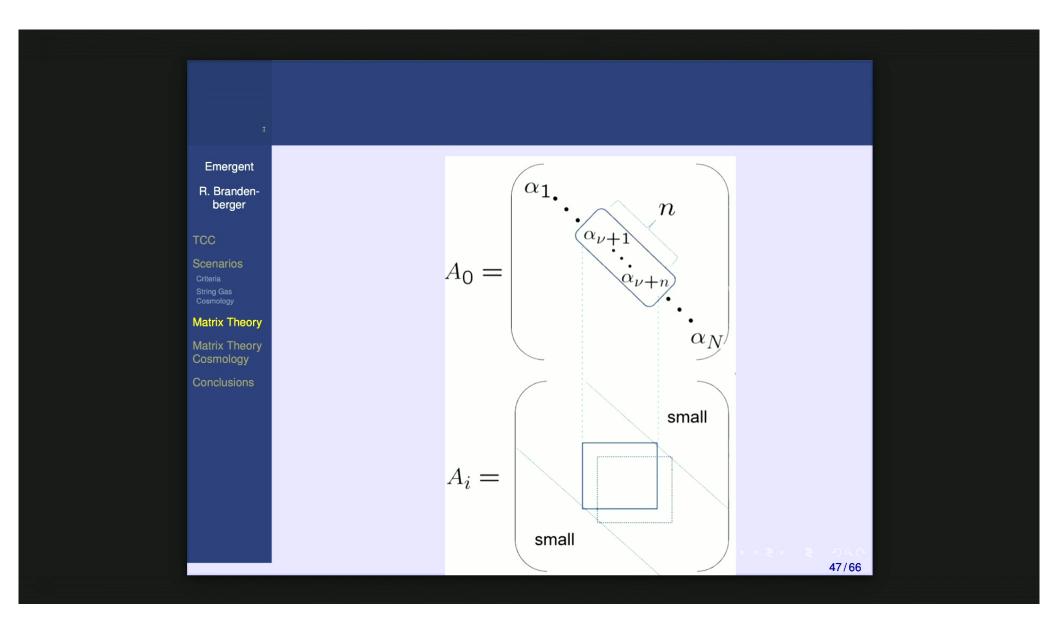
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Conclusions

- Eigenvalues of A_0 become emergent time, continuous in $N \to \infty$ limit.
- Work in the basis in which A_0 is diagonal: A_i matrices elements decay when going away from the diagonal.
- Pick $n \times n$ blocks $\tilde{A}_i(t)$ about the diagonal $(n < n_c)$

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Spontaneous Symmetry Breaking in Matrix Theory

J. Nishimura, PoS CORFU 2019, 178 (2020) [arXiv:2006.00768 [hep-lat]].

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Conclusions

- Eigenvalues of A_0 become emergent time, continuous in $N \to \infty$ limit.
- Work in the basis in which A_0 is diagonal.
- Work in the basis in which A_0 is diagonal: A_i matrices become block diagonal.
- Extent of space in direction i

$$x_i(t)^2 \equiv \left\langle \frac{1}{n} \text{Tr}(\bar{A}_i)(t))^2 \right\rangle$$
,

• In a thermal state there is spontaneous symmetry breaking: SO(9) → SO(6) × SO(3): three dimensions of space become larger, the others are confined. [J. Nishimura and G. Vernizzi, JHEP 0004, 015 (2000);]S.-W. Kim, J. Nishimura and A. Tsuchiya, Phys. Rev. Lett. 109, 011601 (2012)]

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Emergent Metric from Matrix Theory

S. Brahma, R.B. and S. Laliberte, arXiv:2206.12468

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Conclusions

• Eigenvalues of A_0 become emergent time, continuous in $N \to \infty$ limit.

- Work in the basis in which A_0 is diagonal: pick n (comoving spatial coordinate) and consider the block matrix $\tilde{A}_i(t)$.
- Physical distance between $n_i = 0$ and n_i (emergent space):

$$I_{phys,i}^2(n,it) \equiv \left\langle \operatorname{Tr}(\bar{A}_i)(t)\right)^2 \right\rangle$$
,

- $I_{phys,i}(n_i) \sim n_i$ (for $n_i < n_c$)
- Emergent infinite and continuous space in $N \to \infty$ limit.
- Emergent metric (S. Brahma, R.B. and S. Laliberte, arXiv:2206.12468).

$$g_{ii}(n_i)^{1/2} = \frac{d}{dn_i} I_{phys,i}(n_i)$$

No Flatness Problem in Matrix Theory Cosmology

S. Brahma, R.B. and S. Laliberte, arXiv:2206.12468

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Conclusions

Emergent metric:

$$g_{ii}(n_i)^{1/2} = \frac{d}{dn_i} I_{phys,i}(n_i)$$

Result:

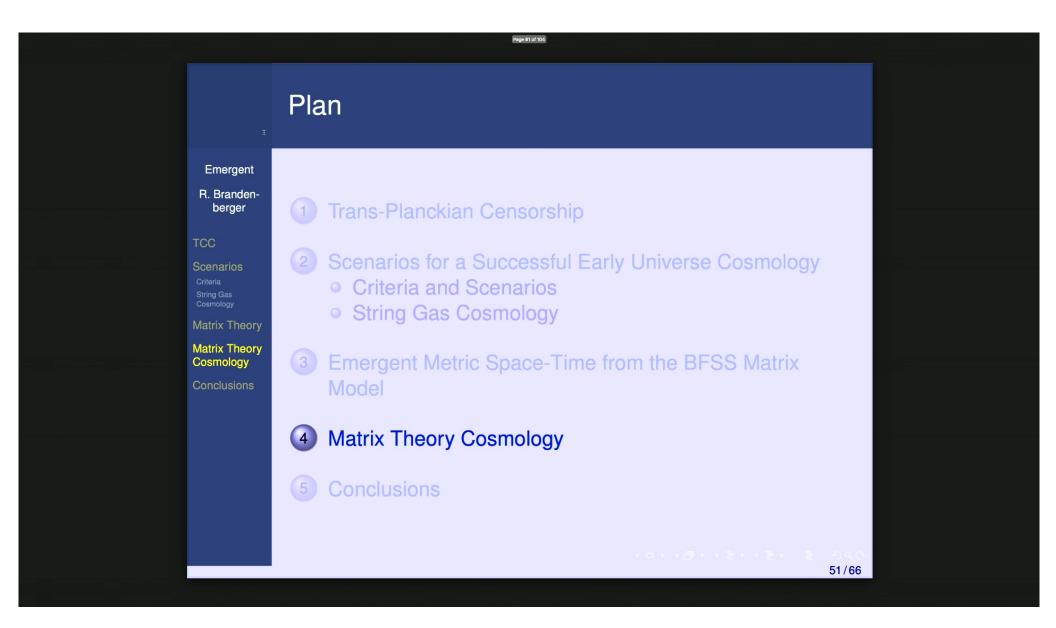
$$g_{ii}(n_i,t) = \mathcal{A}(t)\delta_{ii}$$
 $i = 1,2,3$

SO(3) symmetry \rightarrow

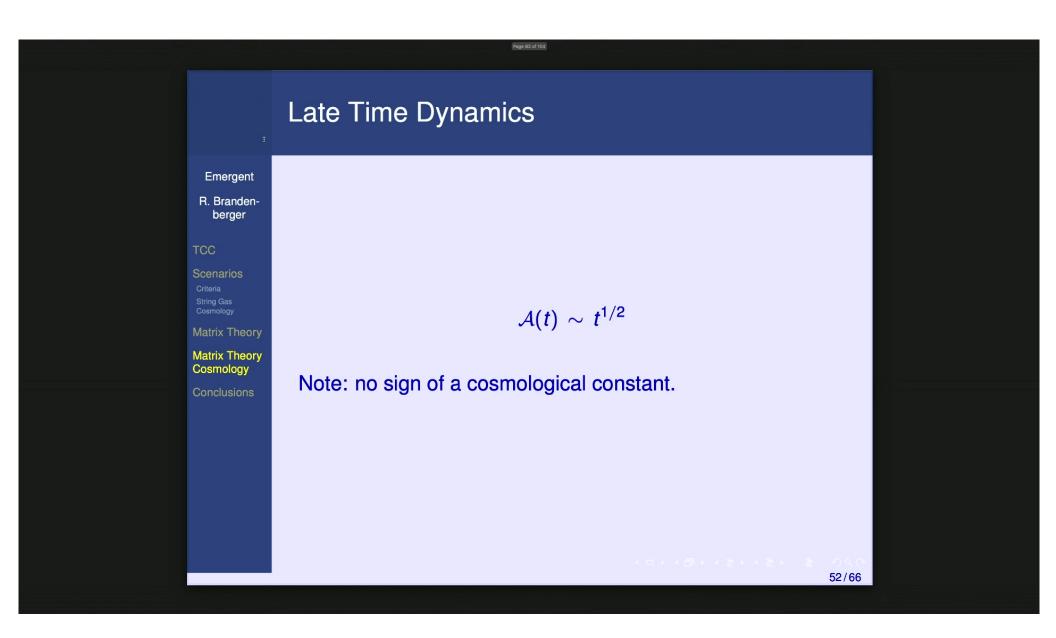
$$g_{ij}(\mathbf{n},t) = \mathcal{A}(t)\delta_{ij}$$
 $i = 1,2,3$

 \rightarrow spatially flat.

Note: Local Lorentz invariance emerges in $N \to \infty$ limit.



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Matrix Theory Cosmology

S. Brahma, R.B. and S. Laliberte, arXiv:2108.1152

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Conclusions

- We assume that the spontaneous symmetry breaking $SO(9) \rightarrow SO(3) \times SO(6)$ observed in the IKKT model also holds in the BFSS model.
- Using the Gaussian approximation method we have shown the existence of a symmetry breaking phase transition in the IKKT model (S. Brahma, RB and S. Laliberte, arXiv:2209.01255).
- Thermal correlation functions in the three large spatial dimensions calculated in the high temperature state of the BFSS model (following the formalism developed in String Gas Cosmology).
- → curvature fluctuations and gravitational waves.

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Matrix Theory Cosmology: Thermal Fluctuations

S. Brahma, R.B. and S. Laliberte, arXiv:2108.1152

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Conclusions

- Start with the BFSS partition function .
- Note: $\frac{1}{7}$ correction terms in the BFSS action are crucial!
- Calculate matter correlation functions in the emergent phase.
- For fixed k, convert the matter fluctuations to metric fluctuations at Hubble radius crossing $t = t_i(k)$.
- Evolve the metric fluctuations for $t > t_i(k)$ using the usual theory of cosmological perturbations.

Note: the matter correlation functions are given by partial derivatives of the **finite temperature partition function** with respect to T (density fluctuations) or R (pressure perturbations).

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Extracting the Metric Fluctuations

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Conclusions

Ansatz for the metric including cosmological perturbations and gravitational waves:

$$ds^{2} = a^{2}(\eta)((1+2\Phi)d\eta^{2} - [(1-2\Phi)\delta_{ij} + h_{ij}]dx^{i}dx^{j}).$$

Inserting into the perturbed Einstein equations yields

$$\langle |\Phi(k)|^2 \rangle = 16\pi^2 G^2 k^{-4} \langle \delta T^0_0(k) \delta T^0_0(k) \rangle,$$

$$\langle |\mathbf{h}(k)|^2 \rangle = 16\pi^2 G^2 k^{-4} \langle \delta T^i{}_j(k) \delta T^i{}_j(k) \rangle.$$

Note: We assume the validity of the semi-classical Einstein equations in the far IR.

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Computation of Fluctuations

S. Brahma, R.B. and S. Laliberte, arXiv:2108.1152

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Conclusions

$$P(k) = k^3 (\delta \Phi(k))^2 = 16\pi^2 G^2 k^2 T^2 C_V(R)$$

$$C_V(R) = \frac{\partial}{\partial T} E(R)$$

$${\cal E}\,=\,-rac{\partial}{\partialeta}{
m ln}{\cal Z}(eta)$$

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Matrix Theory Cosmology: Results

S. Brahma, R.B. and S. Laliberte, arXiv:2108.1152

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Conclusions

Thermal fluctuations in the emergent phase \rightarrow

- Scale-invariant spectrum of curvature fluctuations
- With a Poisson contribution for UV scales.
- Scale-invariant spectrum of gravitational waves.
- ightarrow BFSS matrix model yields emergent infinite space, emergent infinite time, emergent spatially flat metric and an emergent early universe phase with thermal fluctuations leading to scale-invariant curvature fluctuations and gravitational waves.

Note: Horizon problem automatically solved.

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Open Problems

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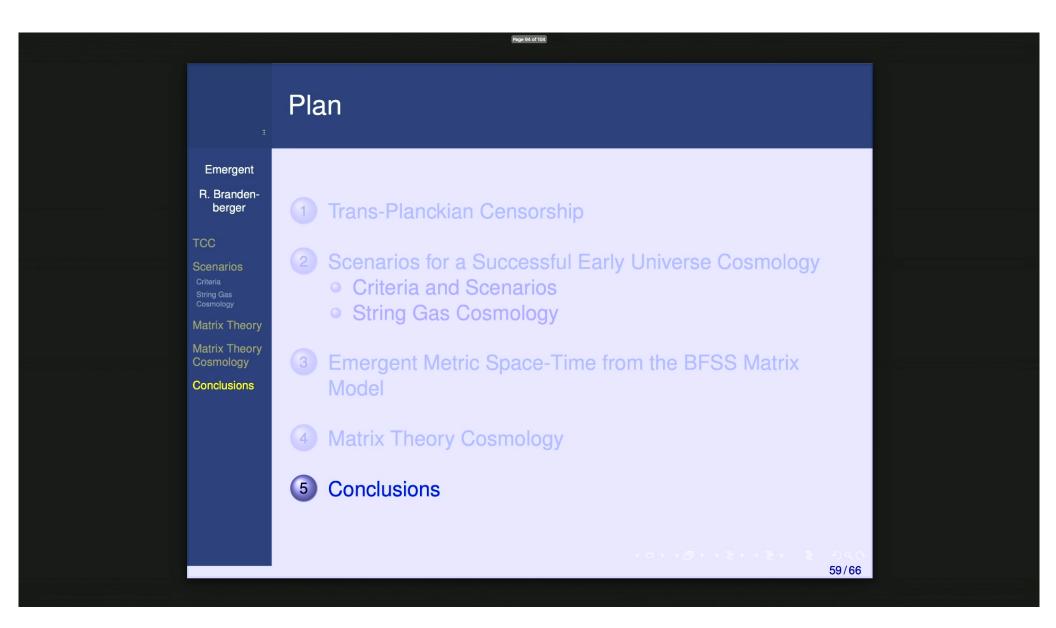
Conclusion:

Include the effects of the fermionic sector.

- Understand phase transition to the expanding phase of Big Bang Cosmology.
- Understand the emergence of GR in the IR.
- Spectral indices?
- What about Dark Energy?
- Emergent low energy effective field theory for localized excitations.

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Conclusions Emergent R. Brandenberger Inflation is not the only scenario of early universe cosmology consistent with current data. Scenarios In light of the TCC and other conceptual problems effective field theory models of inflation are not viable. Matrix Theory Matrix Theory In light of the TCC and other conceptual problems Dark Energy cannot be a cosmological constant. Conclusions We need to go beyond point particle EFT in order to describe the very early universe. 60/66

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Conclusions

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Conclusions

 BFSS matrix model is a proposal for a non-perturbative definition of superstring theory. Consider a high temperature state of the BFSS model.

- → emergent time, space and metric. Emergent space is spatially flat and infinite.
- Thermal fluctuations of the BFSS model → scale-invariant spectra of cosmological perturbations and gravitational waves.
- Horizon problem, flatness problem and formation of structure problem of Standard Big Bang Cosmology resolved without requiring inflation.
- Transition from an emergent phase to the radiation phase of expansion. No cosmological constant.

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