

Title: A New Constraint on Early Dark Energy using the Profile Likelihood

Speakers: Laura Herold

Series: Cosmology & Gravitation

Date: January 17, 2023 - 1:00 PM

URL: <https://pirsa.org/23010103>

Abstract: A dark energy-like component in the early universe, known as early dark energy (EDE), is a proposed solution to the Hubble tension. In this talk, I will describe how a frequentist profile likelihood yields important complementary information compared to a Bayesian MCMC analysis. While in an MCMC analysis, the EDE model is clearly disfavoured by Cosmic Microwave Background and large-scale structure data, a profile likelihood analysis prefers consistently larger amounts of EDE and with that a Hubble constant consistent with the SH0ES measurement for the same data sets. The difference between MCMC and profile likelihood can be explained by prior volume effects in the MCMC analysis. I will discuss how frequentist and Bayesian methods can give important complementary information in the context of beyond- $\Lambda$ CDM models.

Zoom link: <https://pitp.zoom.us/j/97257428766?pwd=ckx4ajRsQVRQUnpXaGEvZEtEWW9ldz09>

# A new constraint on Early Dark Energy using the profile likelihood

*based on LH, Ferreira (arXiv:2210.16296),  
LH, Ferreira, Komatsu (ApJ.L. 929 (2022) 1, L16)*

**Jan. 17, 2023 – Perimeter Institute**

**Laura Herold (MPA)**



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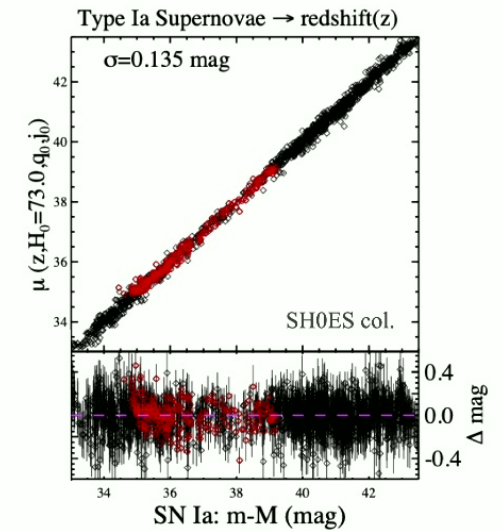
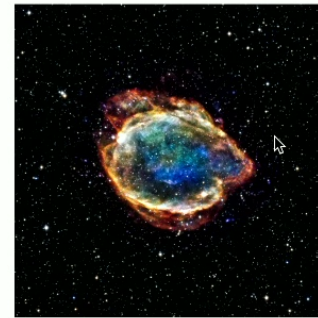
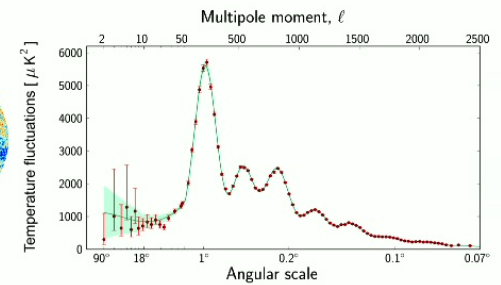
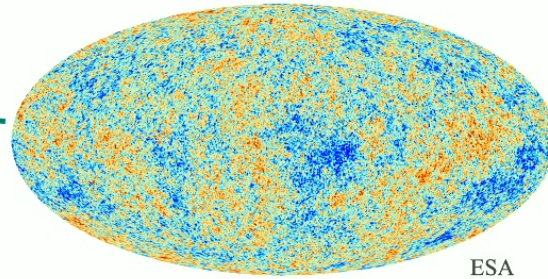
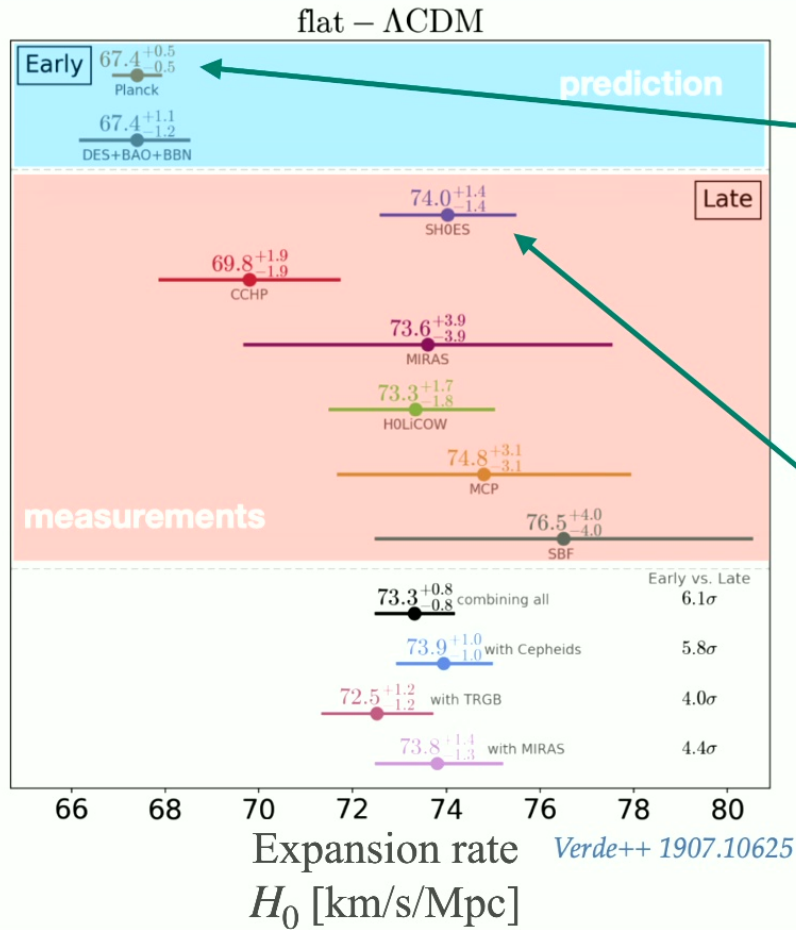
## The idea behind EDE

Does EDE solve the Hubble tension?

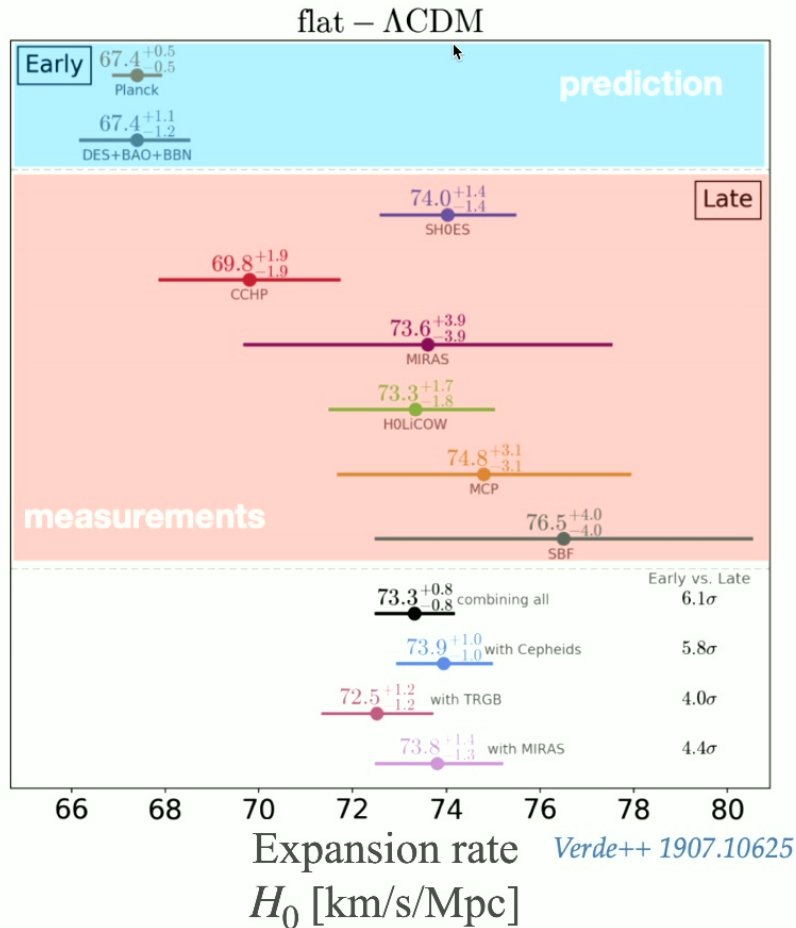
New constraint with the profile likelihood



# The Hubble tension



# The Hubble tension



Most recent SH0ES results:  
 $H_0 = 73.04 \pm 1.04$  km/s/Mpc  
 $\rightarrow 5\sigma$  tension with *Planck*

Not only tension between two experiments but:  
 direct and indirect measurements

Systematics or new physics?



# Solutions to the Hubble tension

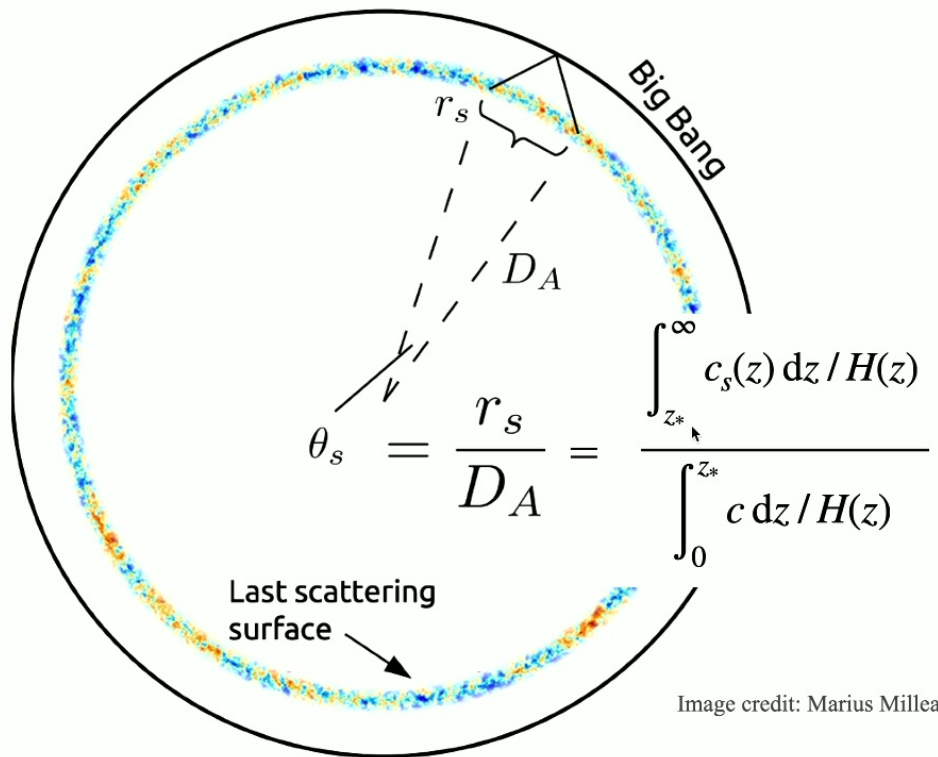


Image credit: Marius Millea

Angular scale of sound horizon  $\theta_s$  measured with 0.03% precision by *Planck*.

Early-time solutions:

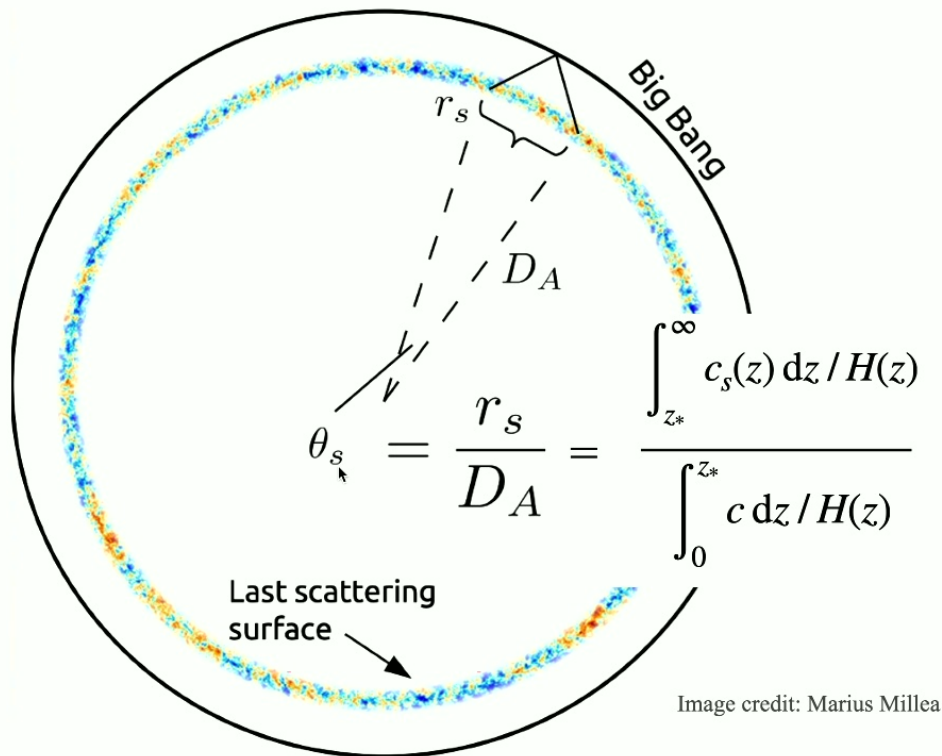
Modify  $r_s$

Late-time solutions:

Modify  $D_A$



# Early Dark Energy (EDE)



Idea of EDE:

Angular scale of sound horizon  $\theta_s$  measured with 0.03% precision by *Planck*.



Introducing an additional component before recombination reduces the sound horizon  $r_s$ .



$\theta_s$  fixed

Angular diameter distance  $D_A$  decreases.



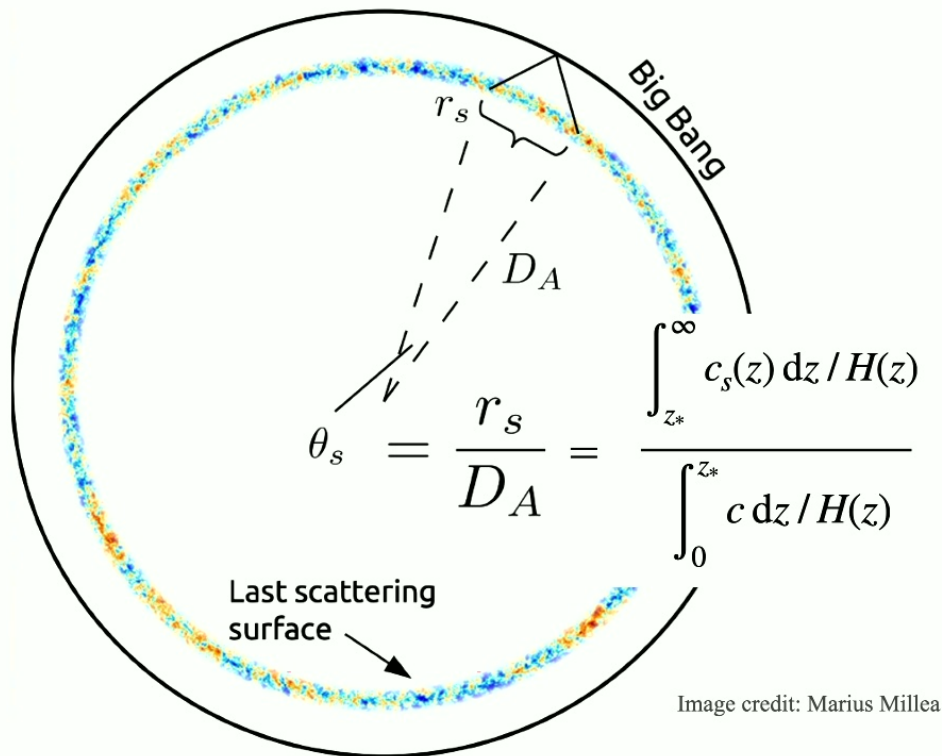
$$H(z) = H_0 \sqrt{\Omega_m(z) + \Omega_r(z) + \Omega_\Lambda}$$

$H_0$  increases.



# Early Dark Energy (EDE)

*Kamionkowski et al. 2014, Karwal & Kamionkowski 2016, Caldwell & Devulder 2018, Poulin et al. 2019*



## Requirements:

- 1) It starts becoming relevant just before recombination  $z_*$ .
- 2) It behaves like dark energy at early times.
- 3) Its energy density dilutes faster than matter after  $z_*$ .





# Early Dark Energy (EDE)

*Kamionkowski et al. 2014, Karwal & Kamionkowski 2016, Caldwell & Devulder 2018, Poulin et al. 2019*

Canonical EDE model: scalar field  $\phi$  with potential

$$V(\phi) = V_0 [1 - \cos(\phi/f)]^n$$

Free parameters:

$m$ : mass of  $\phi \rightarrow V_0 = m^2 f^2$ ,

$f$ : decay constant,

$\theta_i \equiv \phi_i/f$ : initial value of the field,

$n = 3$ : shown to fulfil EDE requirements.

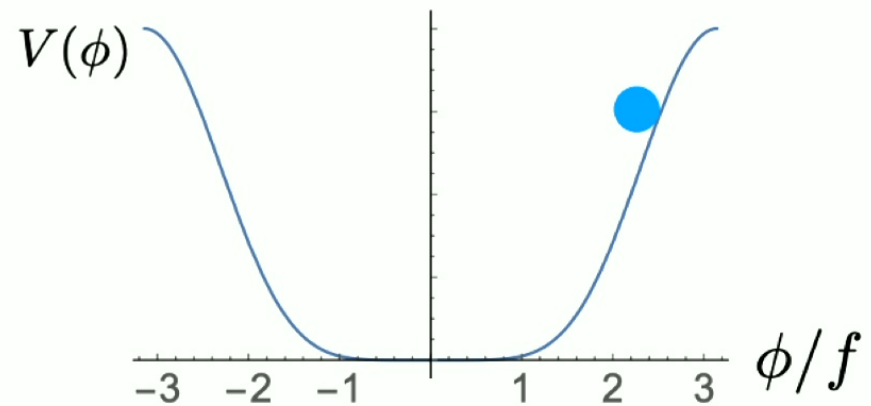


Image credit: Tristan Smith

→ Field inspired by axion ( $n = 1$ ) with extremely small mass  $\sim 10^{-27}$  eV

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# Early Dark Energy (EDE)

*Kamionkowski et al. 2014, Karwal & Kamionkowski 2016, Caldwell & Devulder 2018, Poulin et al. 2019*

Dynamics:  $\ddot{\phi} + 3H\dot{\phi} + \frac{dV(\phi)}{d\phi} = 0$

- Initially: Hubble friction dominates:  $\phi$  frozen  $\rightarrow$  behaves as DE
- At  $z_c$ : Hubble friction < mass term:  $\phi$  starts oscillating and decays faster than matter

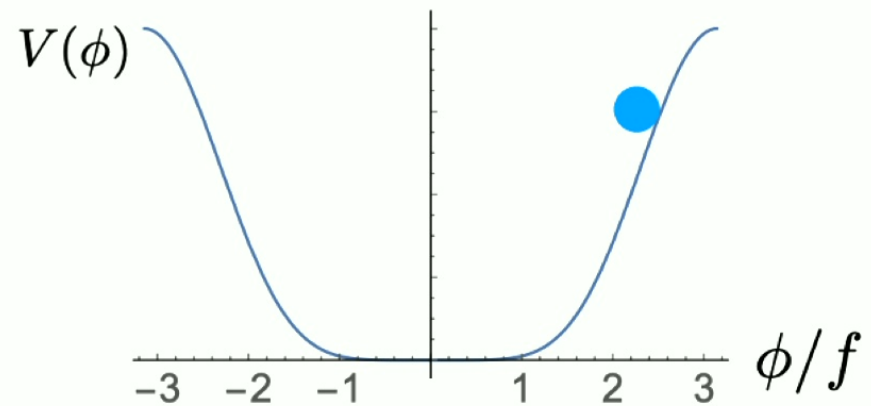


Image credit: Tristan Smith



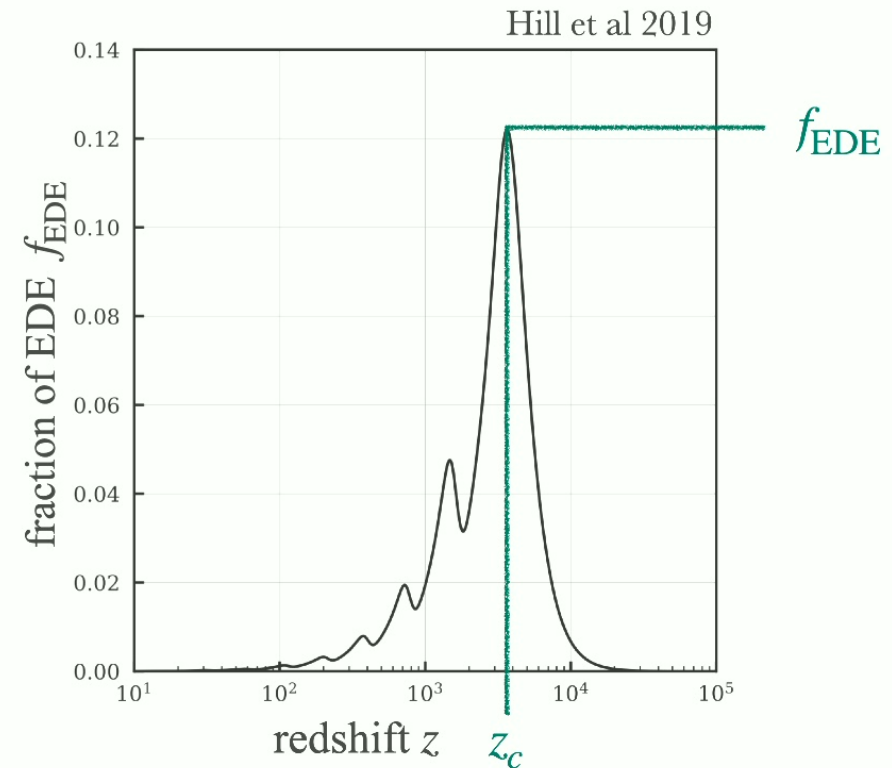
# Early Dark Energy

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- At  $z_c$ : Hubble friction < mass term:  $\phi$  starts oscillating and decays faster than matter

From  $m, f, \theta_i$  one can calculate:  
 $f_{\text{EDE}}, z_c, \theta_i$  (3 additional parameters to  $\Lambda\text{CDM}$ )



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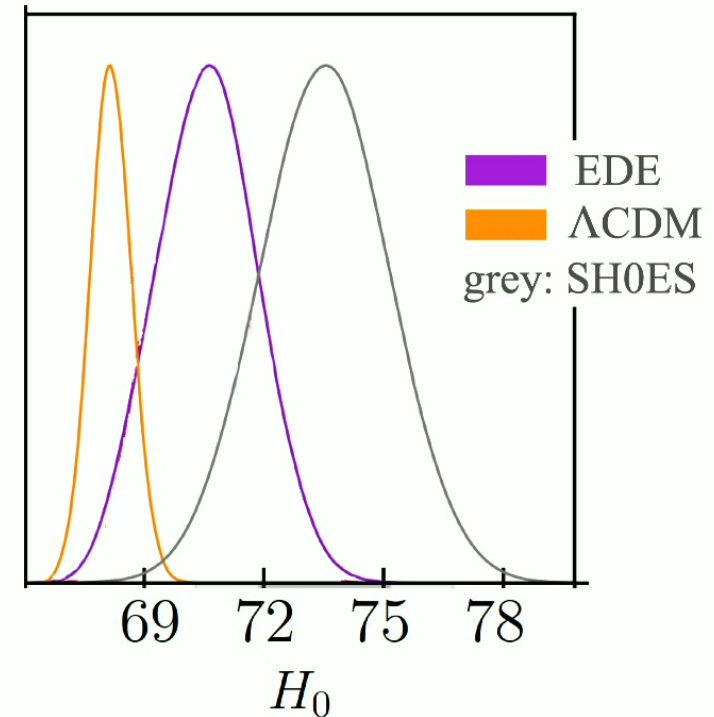


# EDE can solve the $H_0$ tension

*Poulin, Smith, Karwal, Kamionkowski, 2019*

*Data sets: Planck + 6dFGS + BOSS DR12 BAO/  
RSD + Pantheon + SH0ES 2016*

- $f_{\text{EDE}} = 0.107^{+0.035}_{-0.030}$  (mean  $\pm 1\sigma$ )
- $H_0 = 71.49 \pm 1.20$  km/s/Mpc

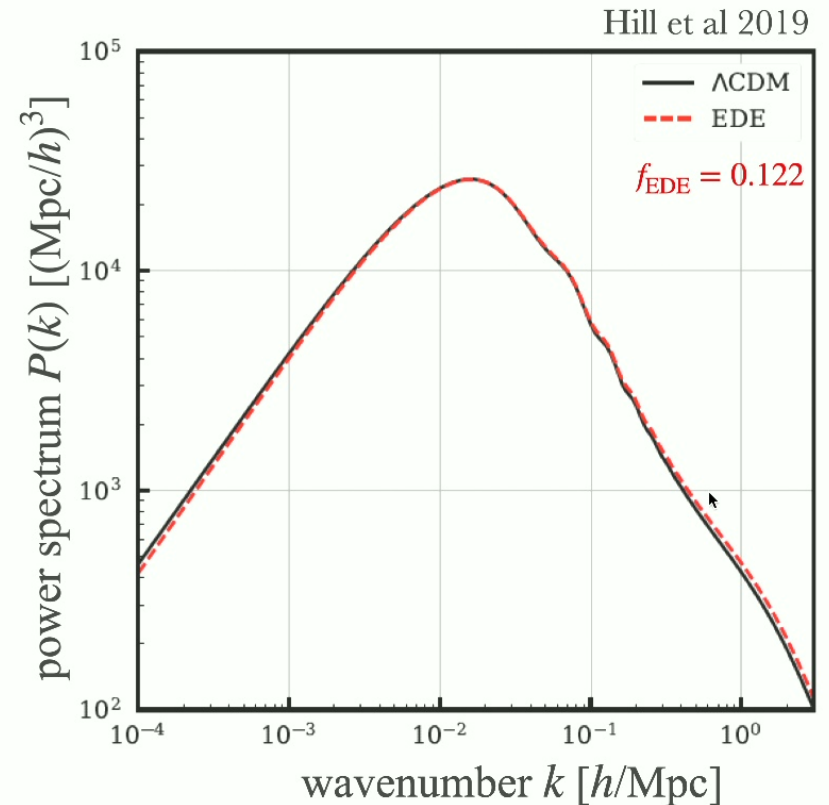


# EDE can solve the $H_0$ tension

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Data sets: Planck + 6dFGS + BOSS DR12 BAO/  
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- $f_{\text{EDE}} = 0.107^{+0.035}_{-0.030}$  (mean  $\pm 1\sigma$ )
- $H_0 = 71.49 \pm 1.20$  km/s/Mpc
- Also other parameters shift:  
EDE suppresses growth of perturbations at early times
  - $\omega_{\text{CDM}}$  and  $n_s$  increase
  - $\sigma_8$  increases, **worsening the so-called  $\sigma_8$  discrepancy**



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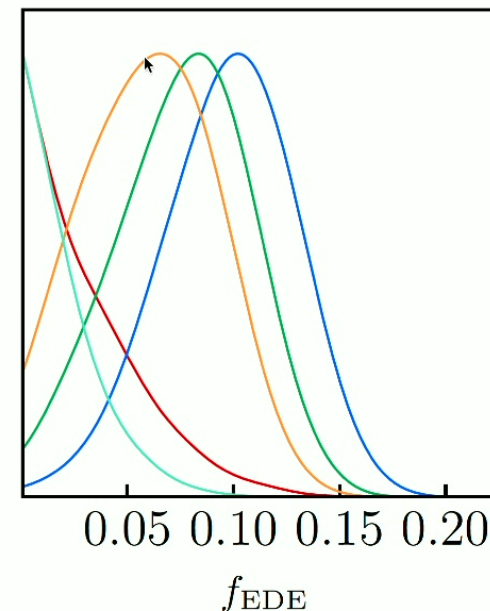
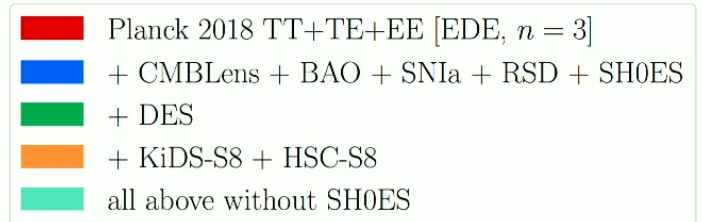


# Adding LSS data: EDE is ruled out?

Hill, McDonough, Troomey, Alexander, 2020

*Data sets: Planck + 6dFGS + BOSS DR12  
BAO/RSD + Pantheon + SH0ES 2016 +  
DES + KiDS + HSC*

- $f_{\text{EDE}} < 0.06$  (95% C.L.)
- $H_0 = 68.92^{+0.57}_{-0.59}$  km/s/Mpc
- No SH0ES  $\rightarrow$  no preference for EDE



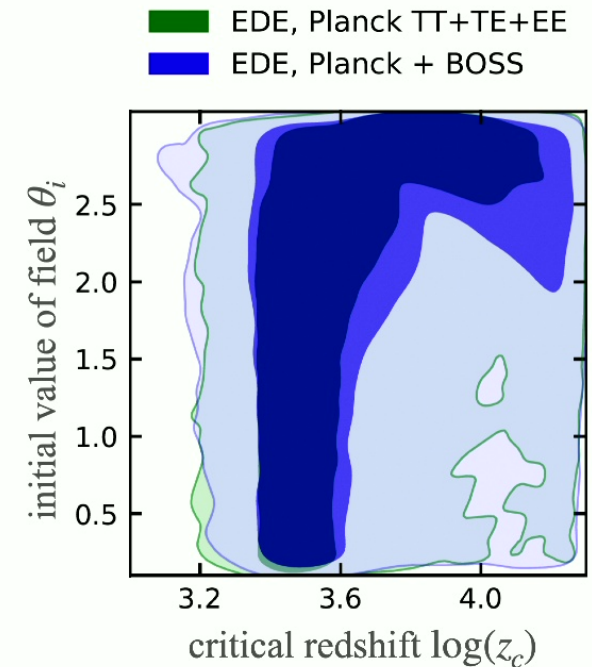
# Prior volume / projection / marginalisation effects

...appear if the posterior is influenced by the prior volume.

Reasons:

- Model has too many parameters / data is not constraining.
- Posterior is very non-Gaussian.
- Parameter structure of the model generates large volume differences.

Ivanov et al. 2020



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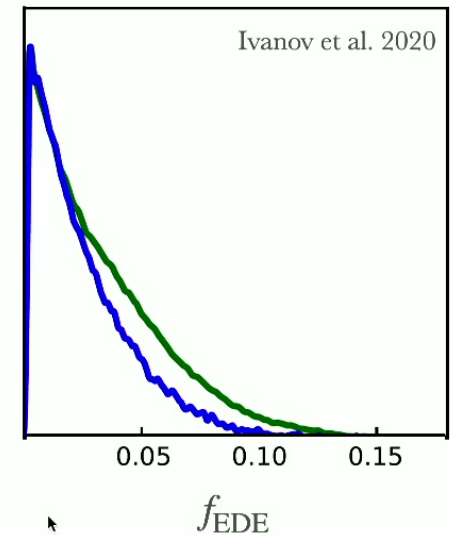
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■ EDE, Planck TT+TE+EE  
■ EDE, Planck + BOSS



$f_{\text{EDE}} \approx 0$ : all values of  $z_c, \theta_i$   
unconstrained ( $\Lambda$ CDM limit)





# Prior volume / projection / marginalisation effects

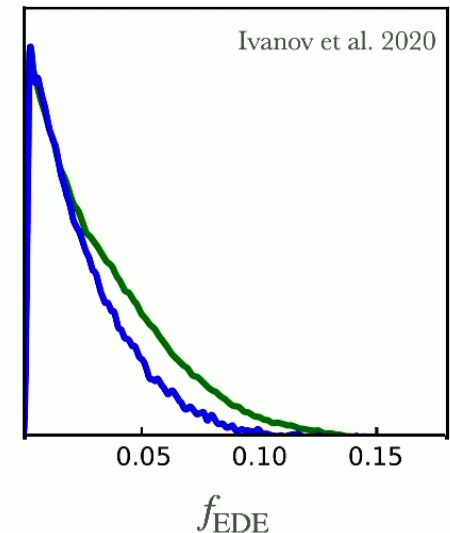
...appear if the posterior is influenced by the prior volume.

Reasons:

- Model has too many parameters / data is not constraining.
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→ Bias in the marginalised posterior.

- EDE, Planck TT+TE+EE
- EDE, Planck + BOSS



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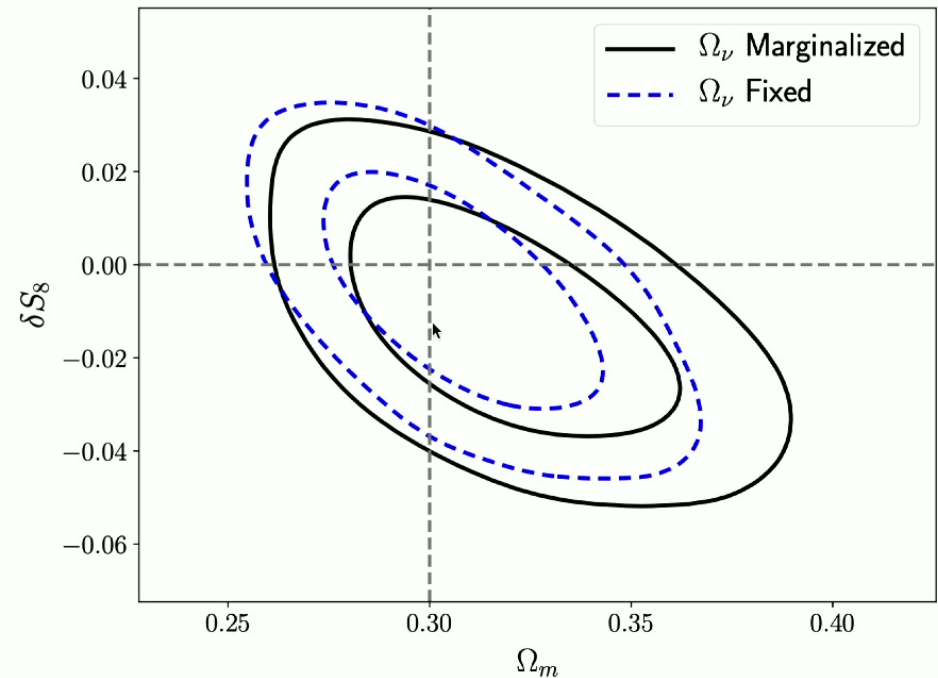
# Aside: volume effects in the literature

*Krause et al. (DES collaboration): Y3 analysis paper*

- $\Omega_\nu$  is poorly constrained by 3x2pt analysis
- “Shift in 2D contours indicates a projection effect from marginalising over an under-constrained parameter that is correlated with the parameters shown.”

→ Fix  $\Omega_\nu$

3x2pt simulated  $\Lambda$ CDM



# EDE is not ruled out by LSS?

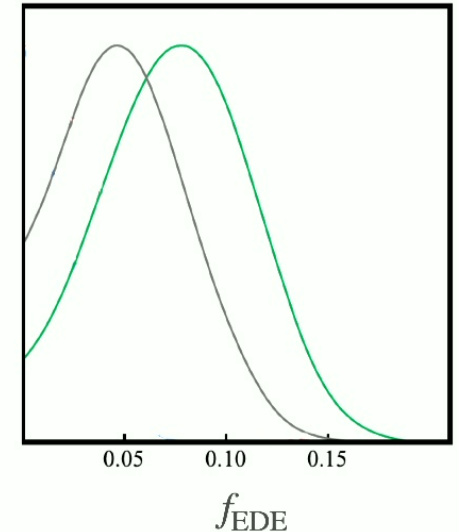
Smith, Poulin, Bernal, Boddy, Kamionkowski, Murgia, 2020;

Niedermann, Sloth, 2019 (for NEDE)

*Data sets: Planck + BOSS DR12 BAO + full-shape analysis + Pantheon*

- fixing  $z_c$ ,  $\theta_i$  to bestfit to *Planck* — “1-parameter model”
- $f_{\text{EDE}} = 0.072 \pm 0.034$  (mean  $\pm 1\sigma$ )
- Same data set, but different conclusion than Ivanov et al., D’Amico et al., **suspect volume effects**

■ SNe+CMB/1pEDE  
■ EFT+BAO+SNe+CMB/1pEDE



# EDE is not ruled out by LSS?

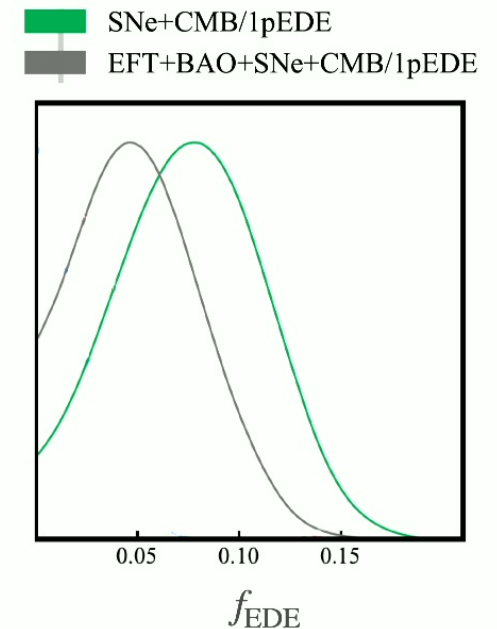
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- $f_{\text{EDE}} = 0.072 \pm 0.034$  (mean  $\pm 1\sigma$ )
- Same data set, but different conclusion than Ivanov et al., D’Amico et al., **suspect volume effects**

However: Not a full Bayesian analysis — How does  $f_{\text{EDE}}$  depend on choice of  $z_c, \theta_i$ ?

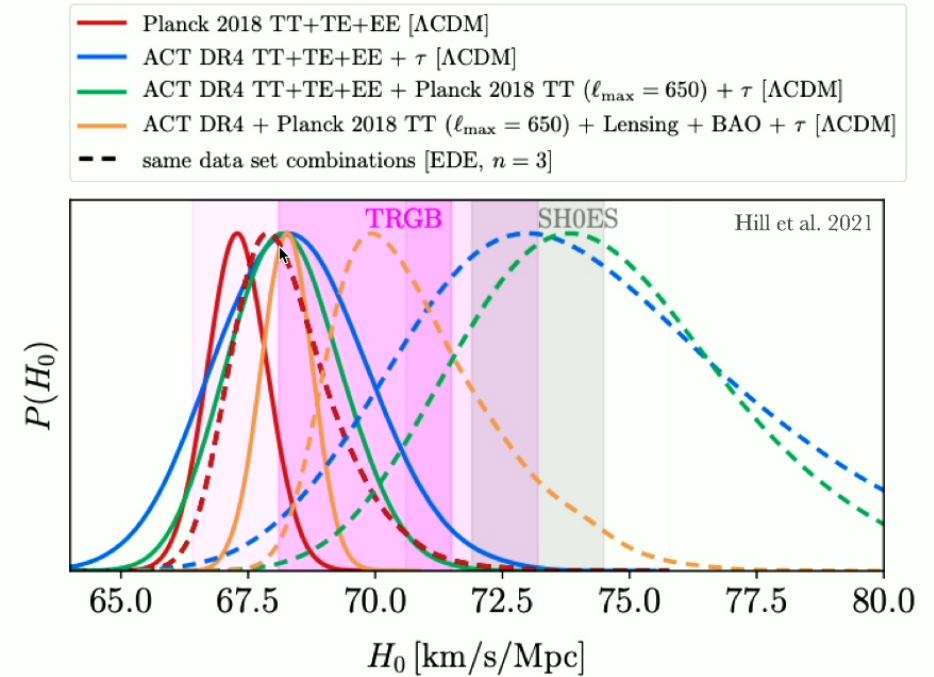


# Results from ACT

Hill et al. 2021, Poulin et al. 2021

*Data sets:* ACT DR4 + large-scale Planck TT+lensing + BOSS BAO (yellow line)

- prefers the EDE model over  $\Lambda$ CDM by 2-3  $\sigma$
- $H_0 = 70.9^{+1.0}_{-2.0}$  km/s/Mpc,  
 $f_{\text{EDE}} = 0.091^{+0.020}_{-0.036}$
- Driven by ACT *TE+EE* power spectra



# Status of EDE

*Is EDE ruled out or not?*

- When including SH0ES (full MCMC): **EDE solves the tension!**
- CMB, galaxy clustering, weak lensing (full MCMC): **EDE is ruled out!**
- CMB, galaxy clustering, weak lensing (1-parameter MCMC): **EDE solves the tension!**
- (CMB from ACT: **EDE solves the tension!**)



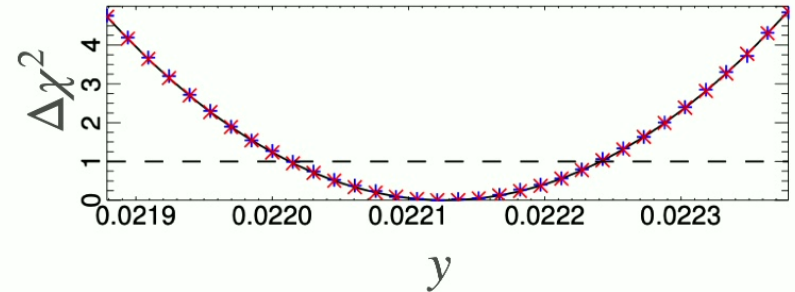
# Profile likelihood – method

## Profile likelihood:

- Fix parameter  $y$  of interest to different values, minimize  $\chi^2$  w.r.t. all other parameters
- for Gaussian distribution this gives parabola in  $\Delta\chi^2$

## Confidence interval:

- Read off  $1\sigma$  at the intersection with  $\Delta\chi^2 = 1$  (*Neyman construction*)
- At a physical boundary: Feldman-Cousins prescription



**Example: Planck col. XVI, 2014**



# Comparison to MCMC

## *MCMC (Bayesian statistics):*

- Includes prior knowledge as priors:  
 $P(M | D) \sim \mathcal{L}(D | M) \cdot P(M)$ .
- Identifies bulk volumes that fit data well.
- Problem: If data is not constraining enough, can be subject to prior effects.  
Solution: Use more/better data, less free parameters.

## *Profile Likelihood (Frequentist statistics):*

- Only based on the likelihood  $\mathcal{L}(D | M)$  or on  $\chi^2 = -2 \log(\mathcal{L})$ .
- No posterior, no prior dependence.
- Problem: Can prefer cosmology with very small parameter volume — “fine tuning”.  
Solution: Construct physically motivated model.



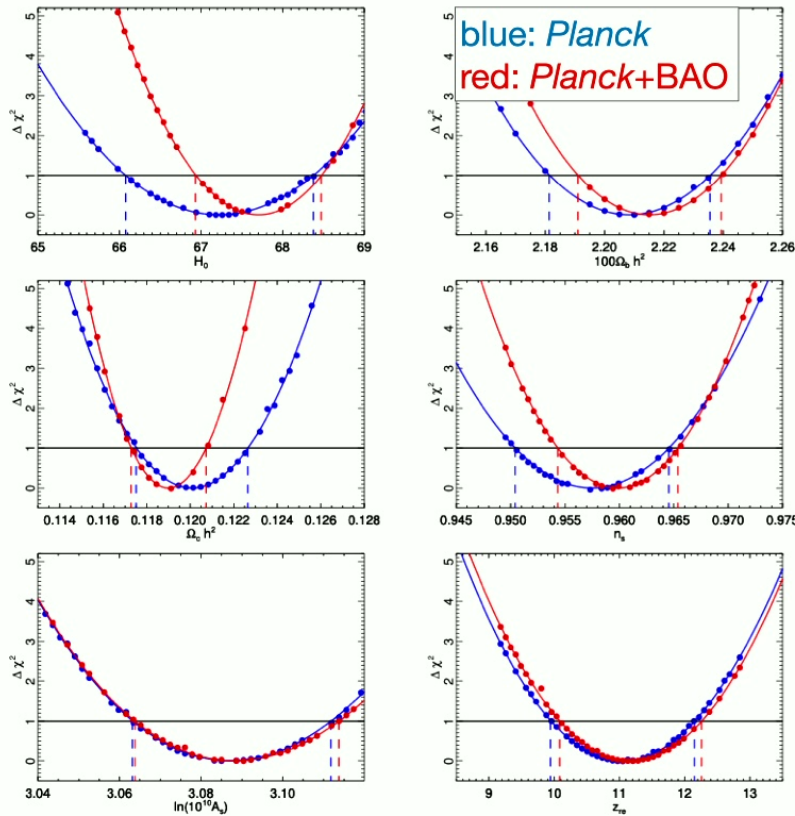
Verify results from MCMC





# Profile likelihood – examples

Planck col. XVI, 2013



→ Use profile likelihood to verify *Planck*  $\Lambda$ CDM results from MCMC

Parameter	CMB		CMB+BAO	
	MCMC	Profile-likelihood	MCMC	Profile-likelihood
$H_0$ . . . . .	$67.3 \pm 1.2$	$67.2 \pm 1.2$	$67.8 \pm 0.8$	$67.7 \pm 0.8$
$100\omega_b$ . . . . .	$2.207 \pm 0.027$	$2.208 \pm 0.027$	$2.214 \pm 0.024$	$2.215 \pm 0.024$
$\omega_c$ . . . . .	$0.1198 \pm 0.0026$	$0.1201 \pm 0.0026$	$0.1187 \pm 0.0017$	$0.1190 \pm 0.0017$
$n_s$ . . . . .	$0.9585 \pm 0.0070$	$0.9575 \pm 0.0071$	$0.9608 \pm 0.0054$	$0.9598 \pm 0.0055$
$\ln(10^{10}A_s)$ . .	$3.090 \pm 0.025$	$3.087 \pm 0.025$	$3.091 \pm 0.025$	$3.088 \pm 0.025$
$z_{re}$ . . . . .	$11.2 \pm 1.1$	$11.0 \pm 1.1$	$11.2 \pm 1.1$	$11.2 \pm 1.1$

Consistent results for all  $\Lambda$ CDM parameters



# Profile likelihood – results

*Compute profile likelihood in  $f_{\text{EDE}}$  and  $H_0$ :*

- 1) Maximise likelihood (for  $f_{\text{EDE}} / H_0$  fixed to different values)
- 2) Fit parabola to  $\Delta\chi^2$  as a function of  $f_{\text{EDE}}/H_0$
- 3) Construct confidence interval via Feldman-Cousins prescription



# Profile likelihood – results

LH, Ferreira (arXiv:2210.16296)

*Step 1 – Compute profile likelihoods for different data sets*

Model: CLASS-PT\_EDE — combined CLASS-PT (Chudaykin et al. 2020) and CLASS\_EDE (Hill et al. 2020)

Data sets:

- Planck 2018 TT, TE, EE, low $\ell$ , lensing - Planck col. VI 2020
- BOSS DR12 full-shape power spectrum (EFT of LSS) - Alam et al. 2017
- DES Gaussian likelihood:  $S_8 = 0.776 \pm 0.017$  - Abbott et al. 2022
- SH0ES Gaussian likelihood:  $H_0 = 73.04 \pm 1.04$  km/s/Mpc - Riess et al. 2021



# Baseline data set: Planck + BOSS

Carrasco++ 2012, Baumann++ 2012, Carrasco++ 2014, Alam++ 2017, Chudaykin++ 2020

Full-shape BOSS analysis based on the Effective field theory of LSS (CLASS\_PT)

- The galaxy power spectrum multipoles have the form

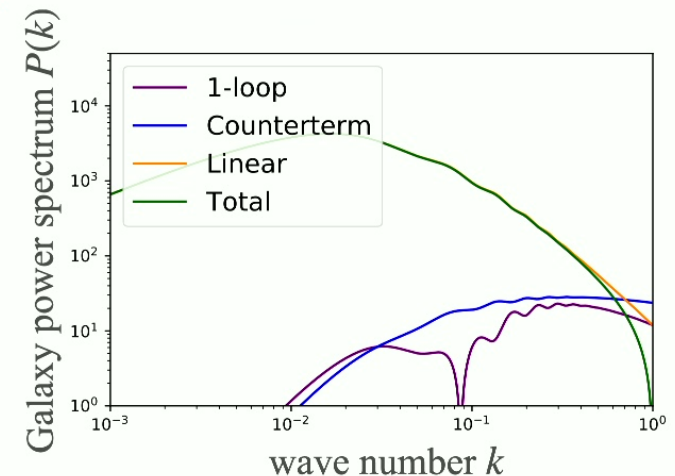
$$P_{g,\ell}(k) = P_{g,\ell}^{\text{tree}}(k) + P_{g,\ell}^{1\text{-loop}}(k) + P_{g,\ell}^{\text{noise}}(k) + P_{g,\ell}^{\text{ctr}}(k)$$

- With 8 nuisance parameters:

$$\underbrace{b_1, b_2, b_{\mathcal{G}_2}, b_{\Gamma_3}}_{\text{galaxy bias parameters}}, \underbrace{c_0, c_2, c_4}_{\text{counterterms}}, \underbrace{P_{\text{shot}}}_{\text{shot noise}}$$

galaxy bias parameters counterterms shot noise

- Note: Update in the BOSS window-function treatment included here (Beutler&McDonald 2021)

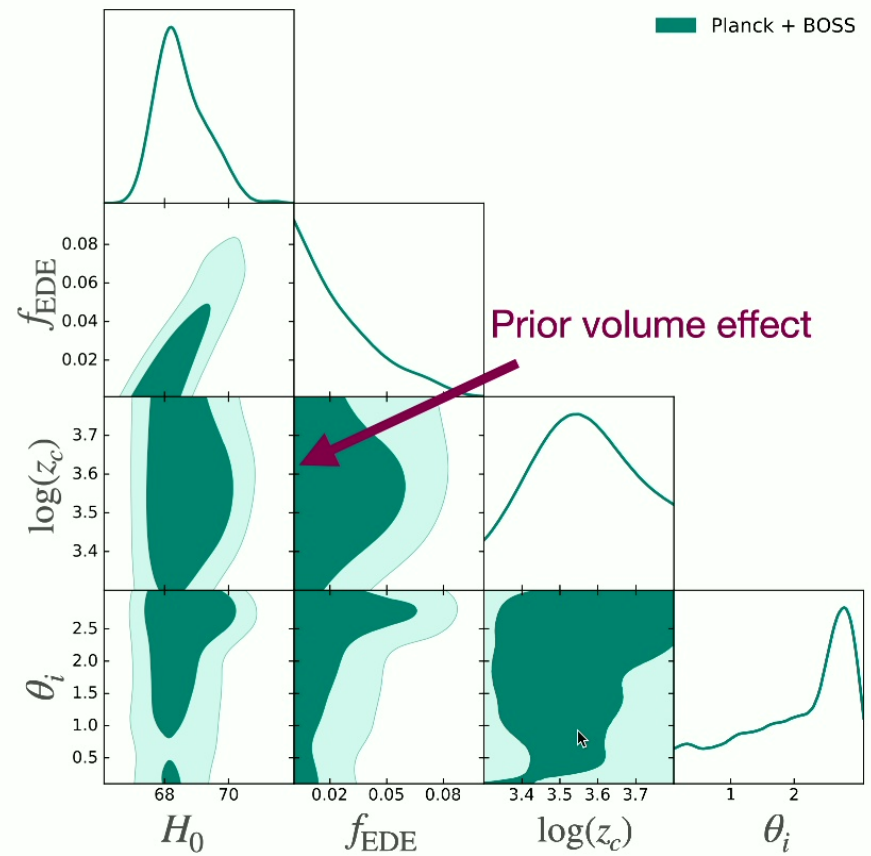


# Planck + BOSS (baseline data set)

LH, Ferreira (arXiv:2210.16296)

## MCMC results:

- $f_{\text{EDE}} < 0.072$  (95% C.L.),  
 $H_0 = 68.55^{+0.62}_{-1.06}$  km/s/Mpc
- $z_c$  and  $\theta_i$  not well constrained



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# Planck + BOSS

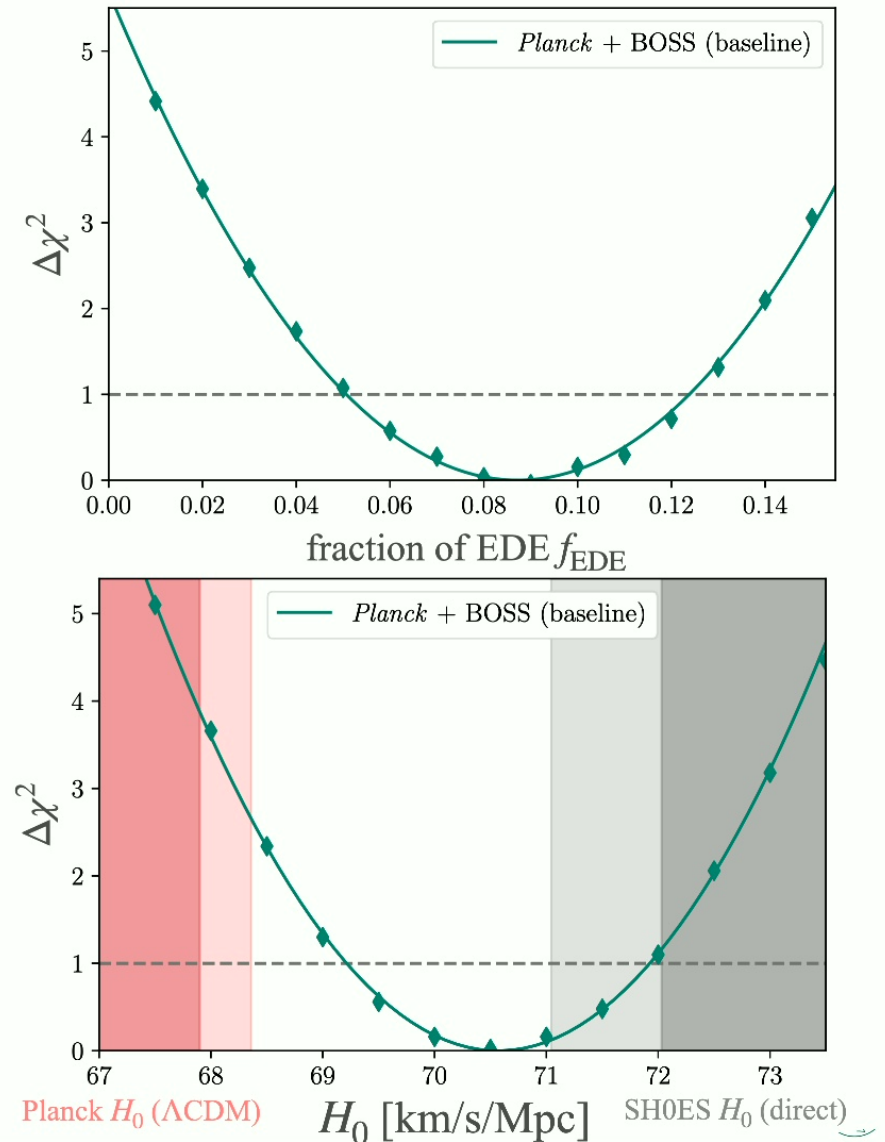
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- $f_{\text{EDE}} = 0.087 \pm 0.037$ ,  
 $H_0 = 70.57 \pm 1.36$  km/s/Mpc
- Consistency with SH0ES at  $1.4 \sigma$
- However:  $S_8$  tension worsens slightly  
( $\Lambda$ CDM: 0.828, EDE: 0.840, DES: 0.776)

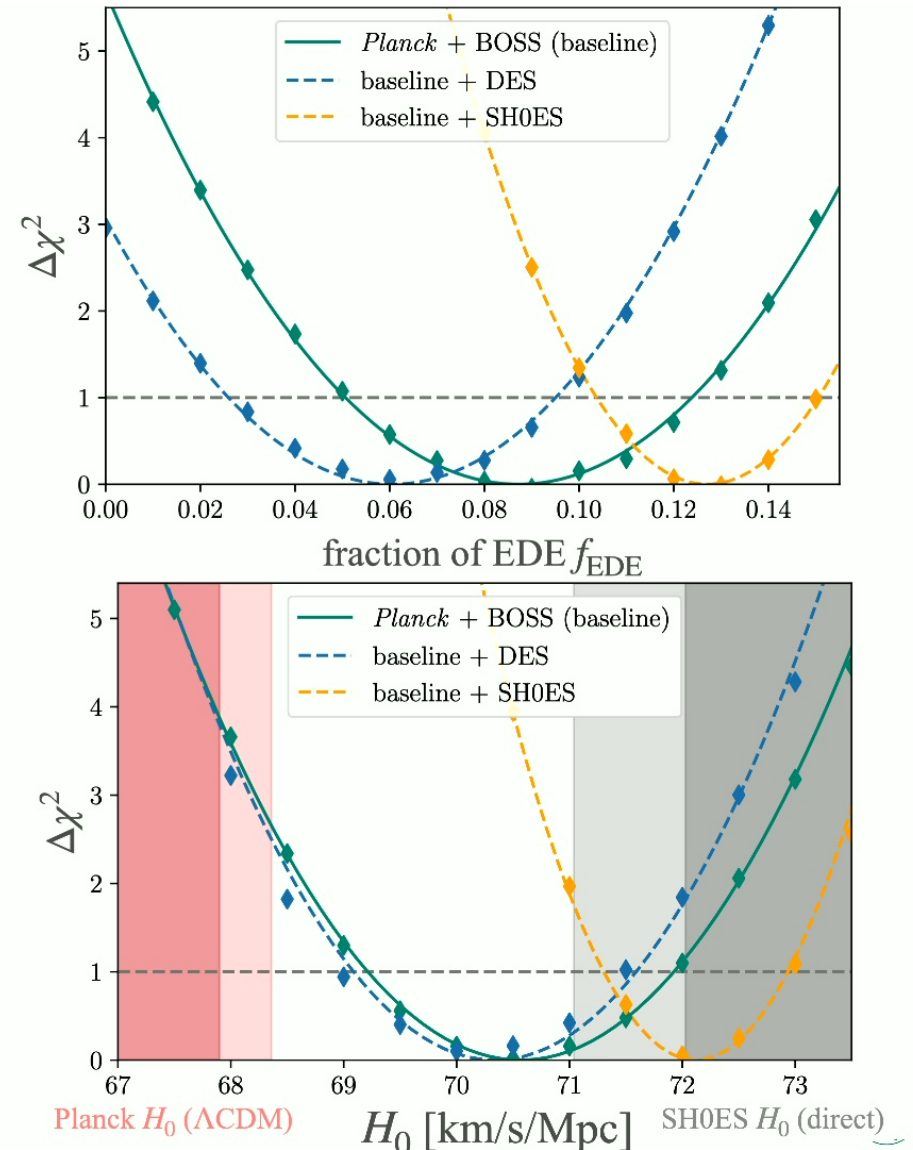


# Baseline + DES

LH, Ferreira (arXiv:2210.16296)

Baseline+DES ( $S_8 = 0.776 \pm 0.017$ ):

- $f_{\text{EDE}} = 0.061^{+0.035}_{-0.034}$   
 $H_0 = 70.28 \pm 1.33$  km/s/Mpc
- Consistency with SH0ES at  $1.6 \sigma$
- Including weak lensing reduces  $f_{\text{EDE}}$  and  $H_0$  slightly but does not rule out EDE
- Prior volume effect seems to be the dominating effect (compared to clustering enhancement)



# Planck + BOSS

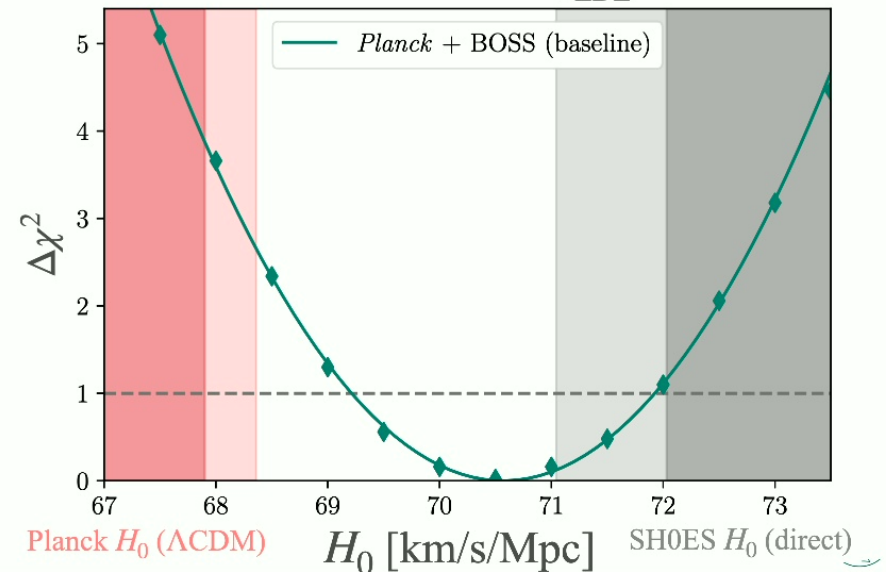
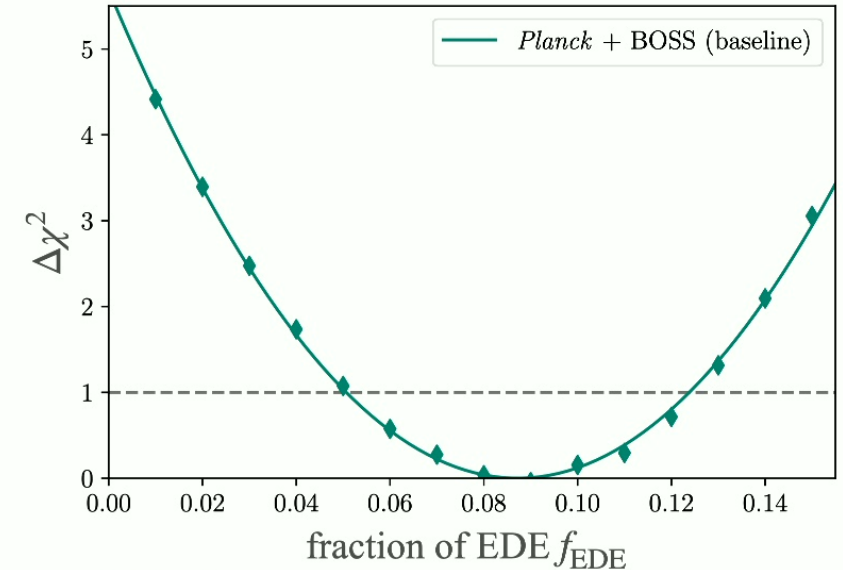
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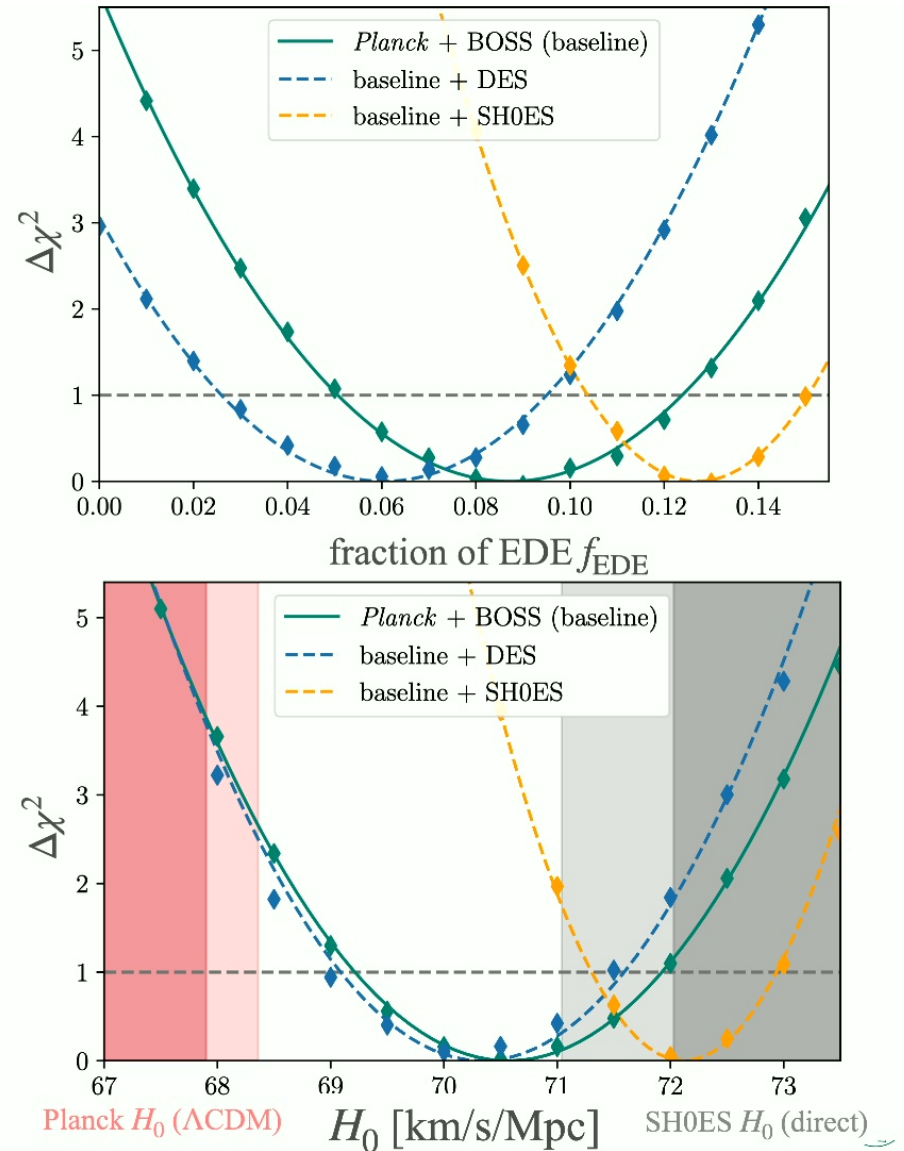
# Baseline + SH0ES

LH, Ferreira (arXiv:2210.16296)

Baseline+SH0ES

( $H_0 = 73.04 \pm 1.04$  km/s/Mpc):

- Since we find that  $H_0$  for the baseline data set is in agreement with SH0ES, we can combine both data sets
- $f_{\text{EDE}} = 0.127 \pm 0.023$ ,  
 $H_0 = 72.12 \pm 0.82$  km/s/Mpc
- Consistency with SH0ES at  $0.69 \sigma$

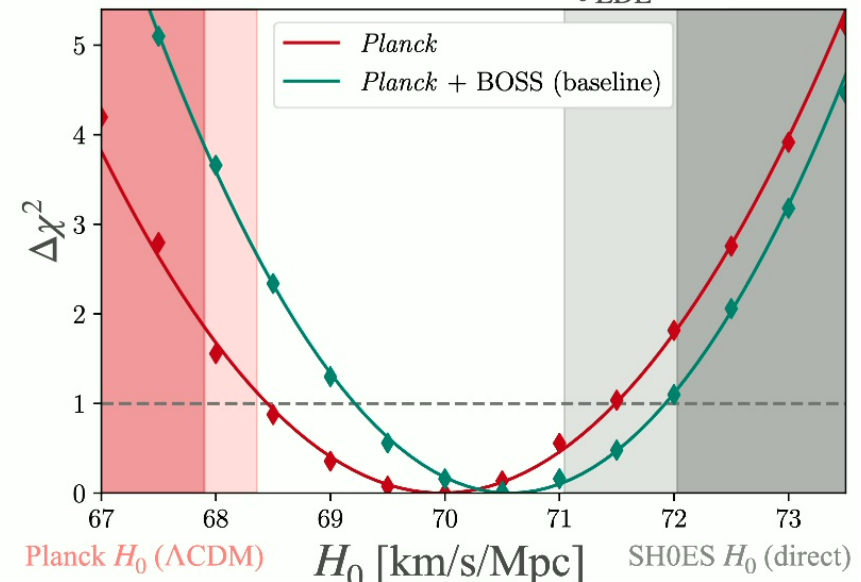
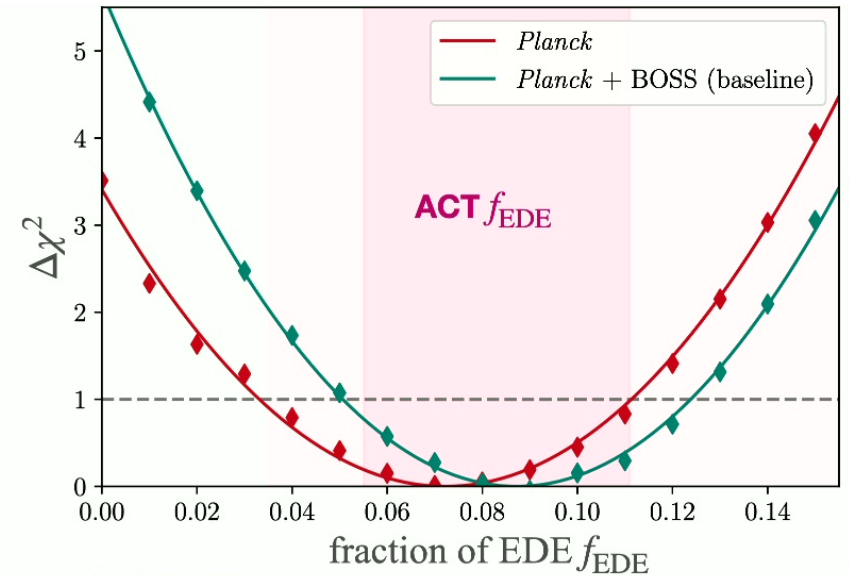


# Planck only

LH, Ferreira (arXiv:2210.16296)

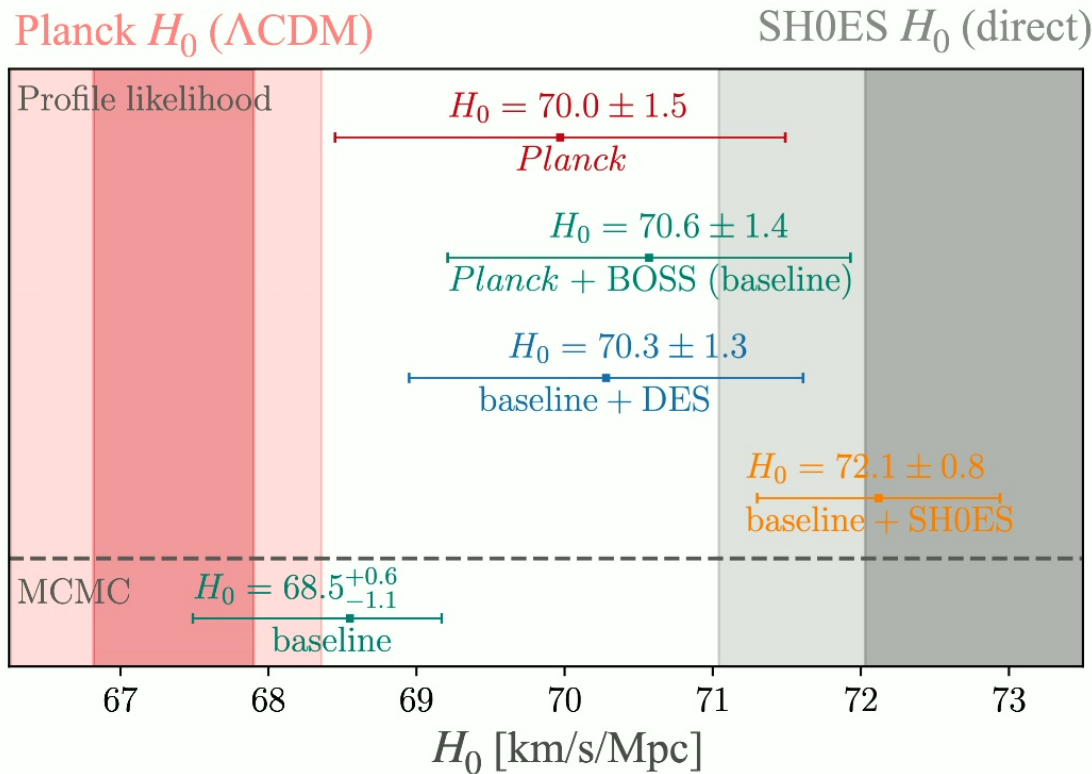
## Planck only:

- $f_{\text{EDE}} = 0.072 \pm 0.039$ ,  
 $H_0 = 69.97 \pm 1.52$  km/s/Mpc
- Agreement with SH0ES at  $1.7 \sigma$
- Agrees with recent MCMC results from the Atacama cosmology telescope (ACT):  
 $f_{\text{EDE}} = 0.091^{+0.020}_{-0.036}$  (Hill++ 2022)



# Profile likelihood – results

LH, Ferreira (arXiv:2210.16296)



## Results:

- Evidence for prior volume effects.
- $H_0$  in EDE model within  $1.7 \sigma$  of SH0ES measurement for all data sets (incl. galaxy clustering, weak lensing).
- EDE viable solution to Hubble tension.



# Model comparison

LH, Ferreira (arXiv:2210.16296)

Data set	$\chi^2(\Lambda\text{CDM})$	$\chi^2(\text{EDE})$	$\Delta\chi^2$	$\Delta\text{AIC}$
<i>Planck</i>	2774.24	2770.72	-3.52	+2.48
<i>Planck</i> +BOSS (base)	3045.65	3039.98	-5.67	+0.33
Baseline + DES	3052.06	3049.13	-2.93	+3.07
Baseline + SHOES	3068.44	3042.08	-26.36	-20.36

Data sets

$\Delta\chi^2$

Akaike Information Criterion



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Mild preference for  $\Lambda\text{CDM}$

Clear preference for EDE

## Result:

For all data sets: EDE is a **similarly good model** as  $\Lambda\text{CDM}$  (penalising extra parameters)



# Table of content

The idea behind EDE

Does EDE solve the Hubble tension?

New constraint with the profile likelihood

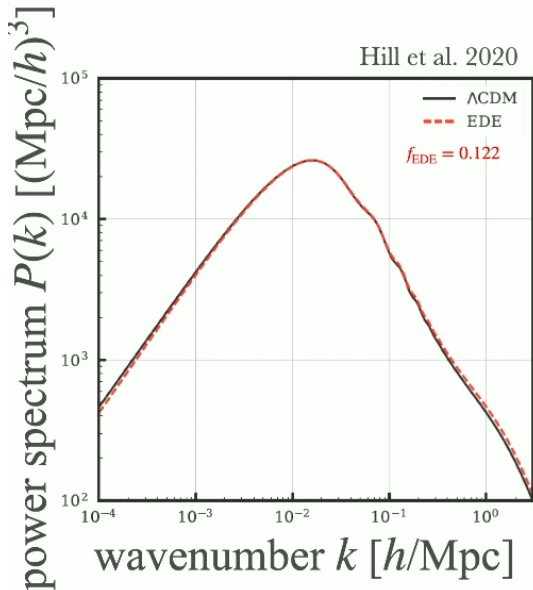
**Short extra section: What about the  $S_8$  tension?**

*based on A. Reeves, LH, S. Vagnozzi, B. D. Sherwin, E. G. M. Ferreira (arXiv:2207.01501)*

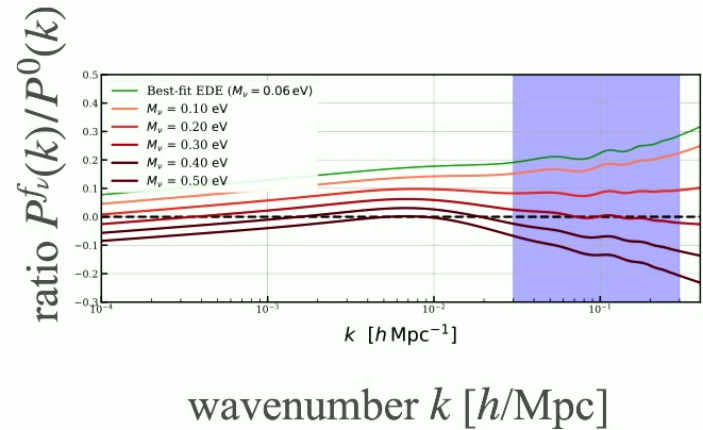


# Massive neutrinos and EDE

A. Reeves, *LH*, S. Vagnozzi, B. D. Sherwin, E. G. M. Ferreira (arXiv:2207.01501)



Higher  $f_{\text{EDE}}$ :  
stronger  
enhancement  
of clustering at  
small scales



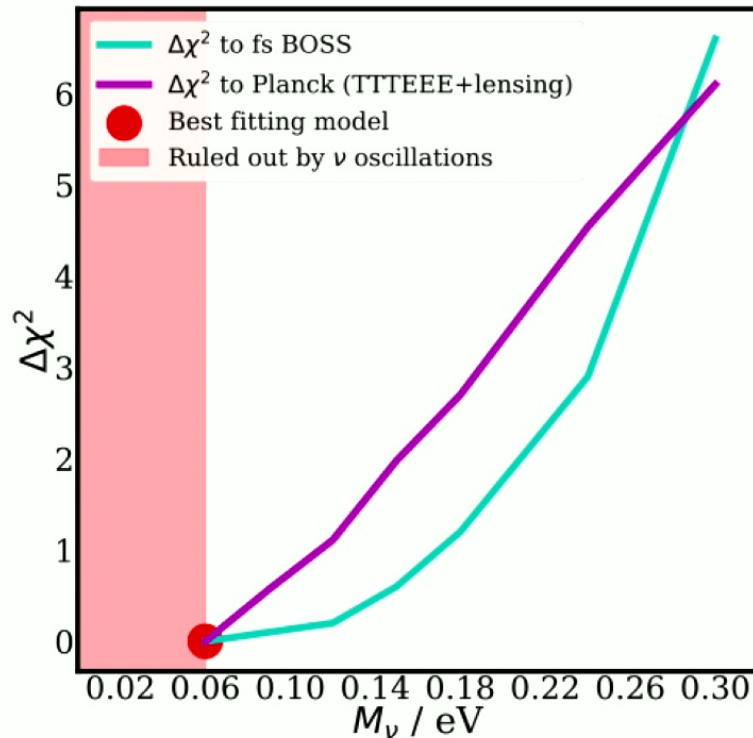
Higher  $M_\nu$ :  
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suppression of  
clustering at small  
scales

Could higher neutrino masses **compensate the worsening of the  $S_8$ -tension** in EDE cosmologies?



# Massive neutrinos and EDE

A. Reeves, **LH**, S. Vagnozzi, B. D. Sherwin, E. G. M. Ferreira (arXiv:2207.01501)



*Profile likelihood analysis in  $M_\nu$  with  $f_{\text{EDE}}$ ,  $\theta_i$ ,  $z_c$  free:*

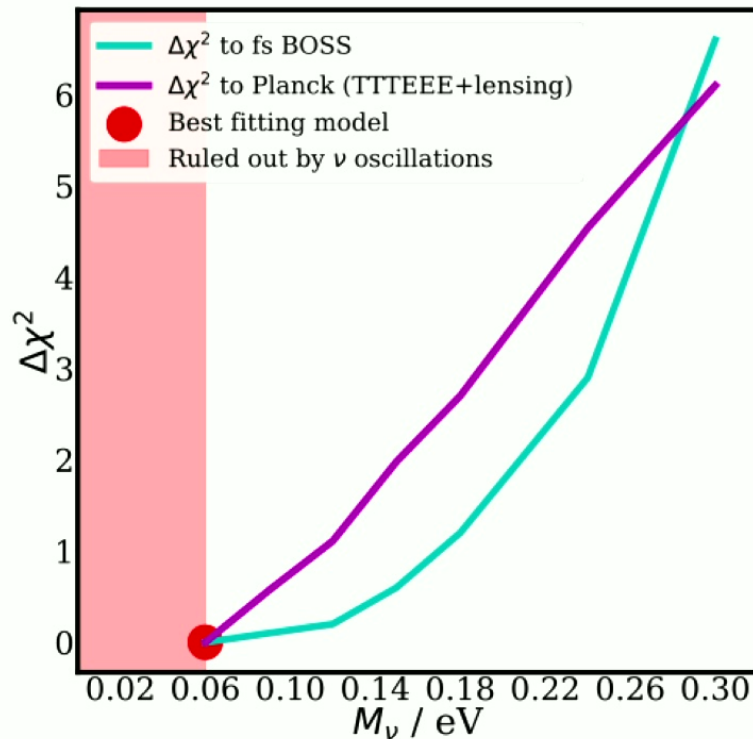
- Bestfit:  $M_\nu = 0.06$  eV,  $f_{\text{EDE}} = 0.077$   
(no preference for larger  $M_\nu$  in EDE cosmologies)
- Positive correlation between  $M_\nu$  and  $f_{\text{EDE}}$
- To reduce  $S_8$  to the  $\Lambda\text{CDM}$  limit: Need  $M_\nu = 0.24$  eV ( $H_0 = 70.1$  km/s/Mpc) but worsens  $\Delta\chi^2 = 7.5$
- Constraining power from BAO data





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*If  $S_8$  tension persists, this is a problem for EDE.  
→ Requires an independent (late-time) solution.*



# Summary

## Prior volume effects

Important for beyond- $\Lambda$ CDM models with many parameters, e.g. EDE, decaying DM (see 2211.01935, E. Holm, LH, S. Hannestad, A. Nygaard, T. Tram)

## Profile likelihood

EDE is viable solution to the Hubble tension (not ruled out by LSS)

## Frequentist + Bayesian methods are complementary

Both have different shortcomings (fine tuning vs. prior volume effects)



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Thank you!

