

Title: Learning the sign structures of quantum systems: is it hard or trivial?

Speakers: Tom Westerhout

Series: Machine Learning Initiative

Date: December 02, 2022 - 2:00 PM

URL: <https://pirsa.org/22120021>

Abstract: A well-established approach to solving interacting quantum systems is variational Monte Carlo. There is a lot of renewed interest in it since the introduction of neural networks as a highly expressive and unbiased variational ansatz. Similar to more traditional ansätze, neural networks struggle with solving frustrated quantum systems. A conjecture has been made that the cause of these difficulties lies in the sign structures of the ground state wavefunctions. Here, we will discuss these sign structures in more detail and try to analyze how complex they really are by establishing a connection to classical Ising models.

Zoom link: <https://pitp.zoom.us/j/99087954160?pwd=Vm5zWWRFbHBwVFR1RHZMc3ptem03QT09>

# Learning the sign structures of quantum systems: is it hard or trivial?



Tom Westerhout  
Theory of Condensed Matter  
Radboud University

2 December

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Acknowledgements



Mikhail I. Katsnelson  
Andrey A. Bagrov



Nikita Astrakhantsev



Konstantin S. Tikhonov



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Radboud University



- Located in Nijmegen — the oldest city in the Netherlands;
- In 2010 Andre Geim and Konstantin Novoselov got a Nobel Prize in Physics for the discovery of graphene;

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Theory of Condensed Matter



- Electronic structure calculations
- Plasmonics
- Superconductivity
- Spintronics
- Statistical physics of membranes
- Quantum mechanics on fractals
- Quantum many-body theory
- Complexity

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Complexity



- Structural complexity

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Complexity



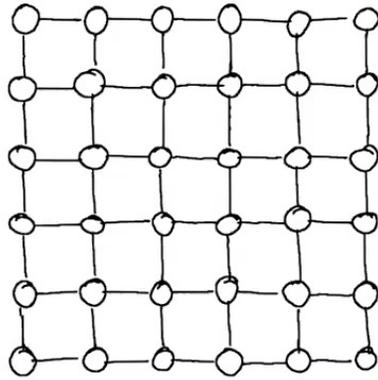
- Structural complexity
- Biological complexity
- Complexity in quantum systems

**Institute for  
Molecules and Materials**  
Radboud University

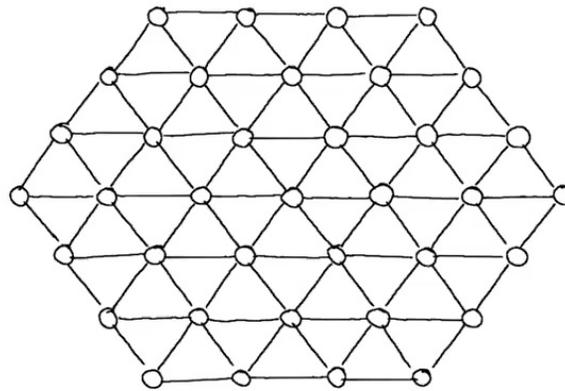


|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

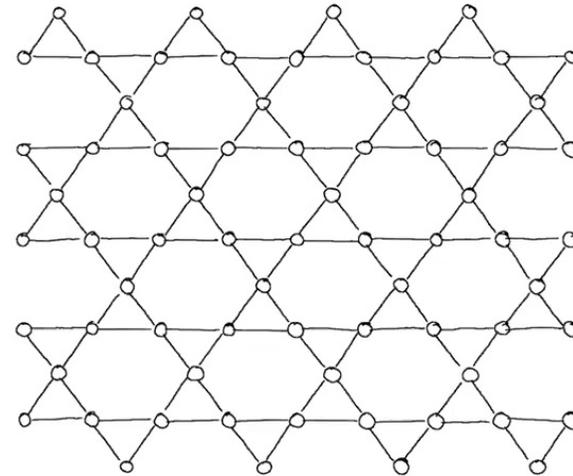
# Complexity in quantum systems



Simple



Meh...



Difficult

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Plan



Stick to simple objects — ground states.

- Ground states of frustrated magnets are difficult to learn.
- It's the sign structure that's difficult, not the amplitudes.
- Alternative formalism to describe the sign structures.
- In this formalism, even frustrated magnets don't seem very difficult.

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Part 1



ARTICLE



<https://doi.org/10.1038/s41467-020-15402-w>

OPEN

## Generalization properties of neural network approximations to frustrated magnet ground states

Tom Westerhout<sup>1</sup>, Nikita Astrakhantsev<sup>2,3,4</sup>, Konstantin S. Tikhonov<sup>5,6,7</sup>, Mikhail I. Katsnelson<sup>1,8</sup> & Andrey A. Bagrov<sup>1,8,9</sup>

NATURE COMMUNICATIONS, 11(1), 1-8. DOI:10.1038/S41467-020-15402-W

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Learning



- Exact diagonalization can treat systems of up to 48-50 spins [1].
- Can't save (or *remember*) everything.
- Need to *learn* it.
- Neural networks are good at learning.

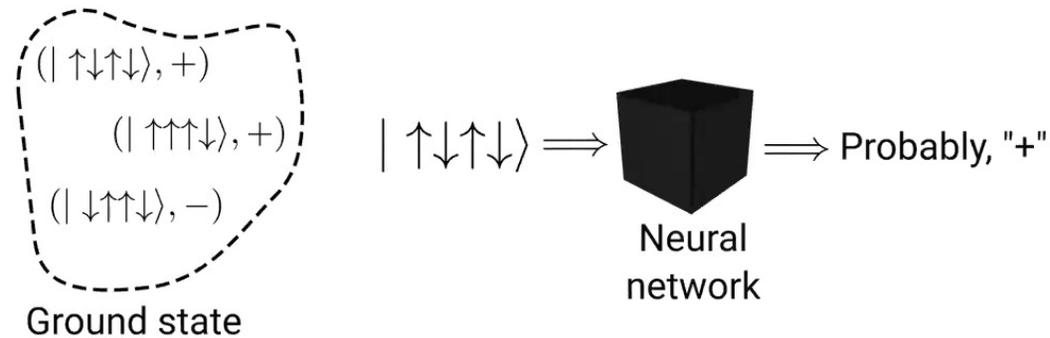
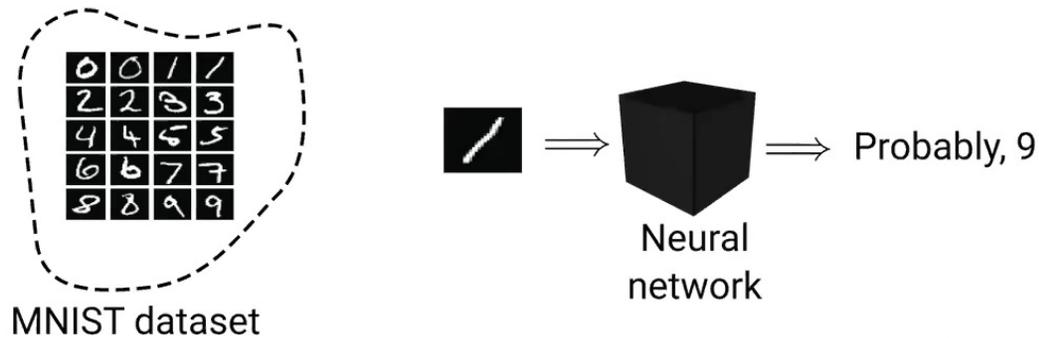
[1] PHYSICAL REVIEW E, 98(3), 033309. DOI:10.1103/PHYSREVE.98.033309

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Supervised learning



Institute for  
Molecules and Materials  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Hamiltonians



Stick to simple models: Ising in transverse field Heisenberg model

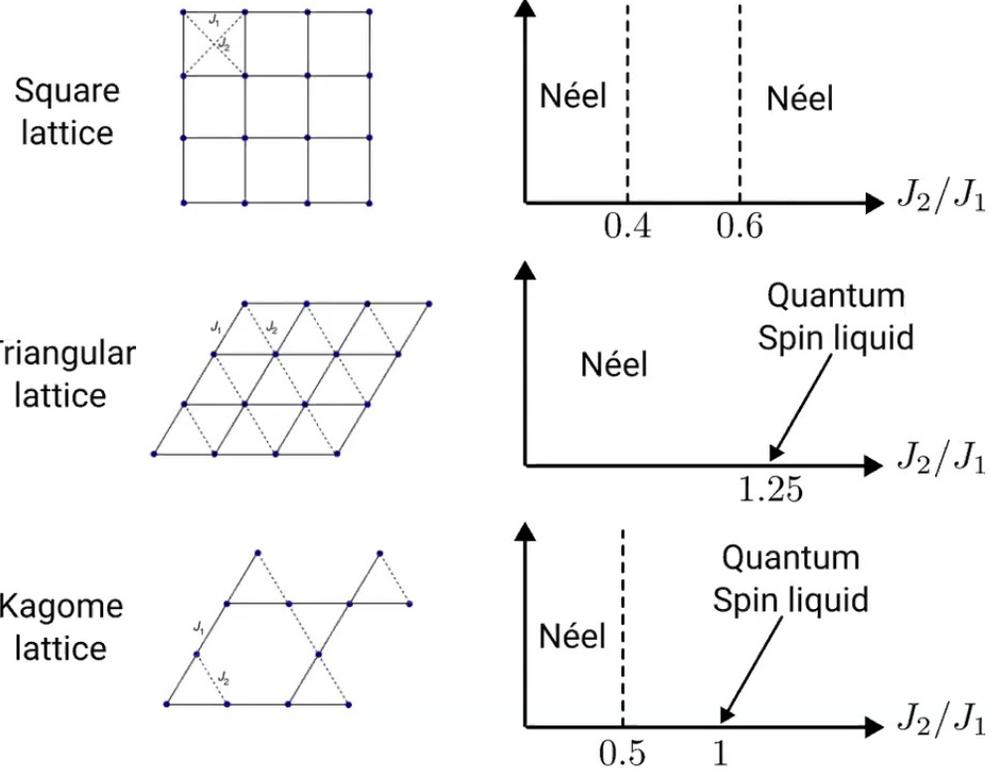
$$H = J_1 \sum_{\langle a,b \rangle} \hat{\sigma}_a \otimes \hat{\sigma}_b + J_2 \sum_{\langle\langle a,b \rangle\rangle} \hat{\sigma}_a \otimes \hat{\sigma}_b$$

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Systems

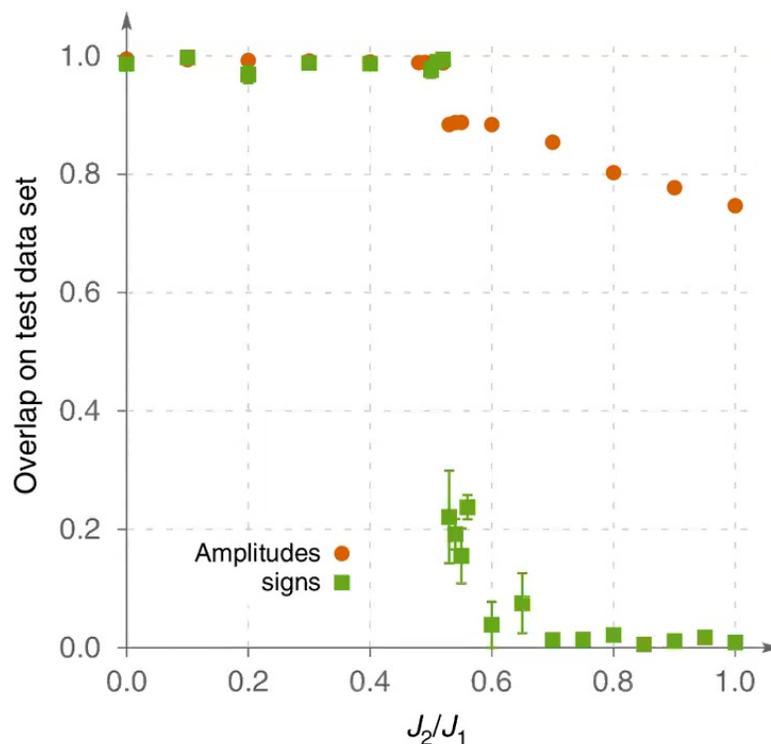


Institute for  
Molecules and Materials  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Amplitudes vs Signs



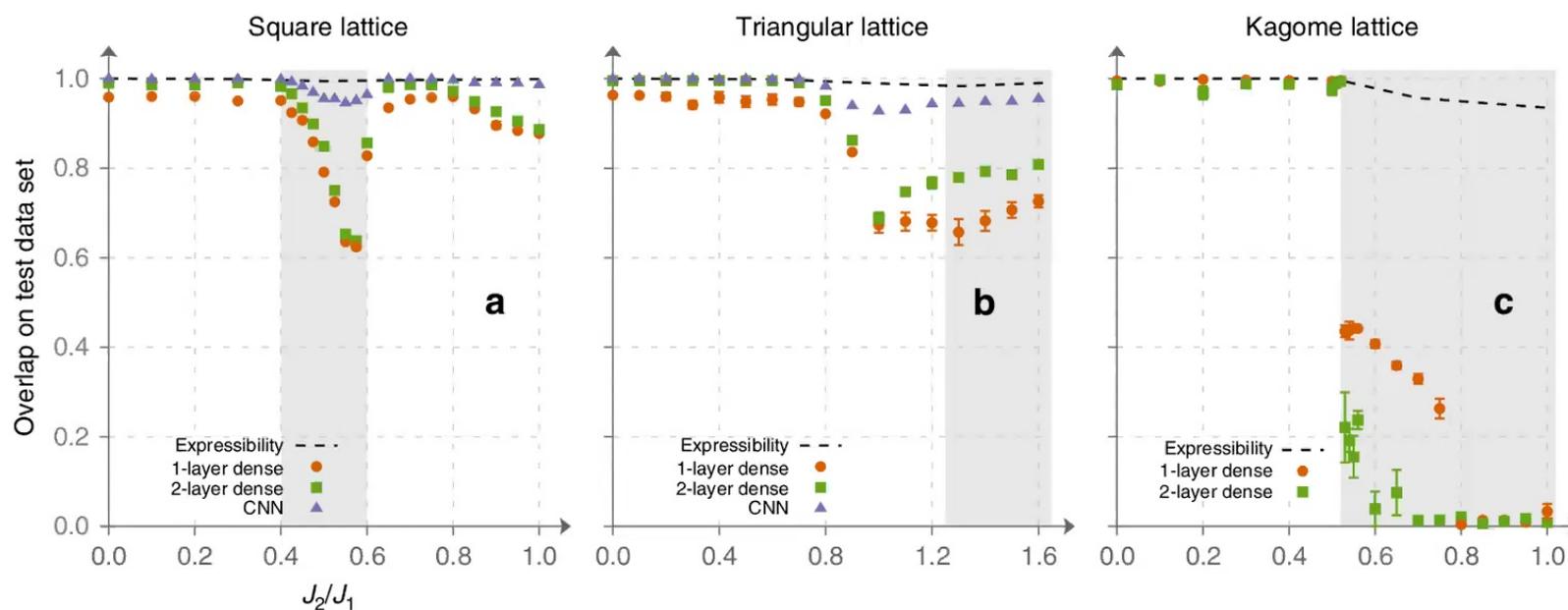
- 24 site Kagome lattice;
- Trained on  $2.7 \cdot 10^4$  out of  $2.7 \cdot 10^6$  basis vectors (i.e. 1%);

Institute for  
Molecules and Materials  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Sign structure generalization



Institute for  
Molecules and Materials  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Recap



- Signs are more difficult to learn than amplitudes;
- This difficulty is correlated with frustration;

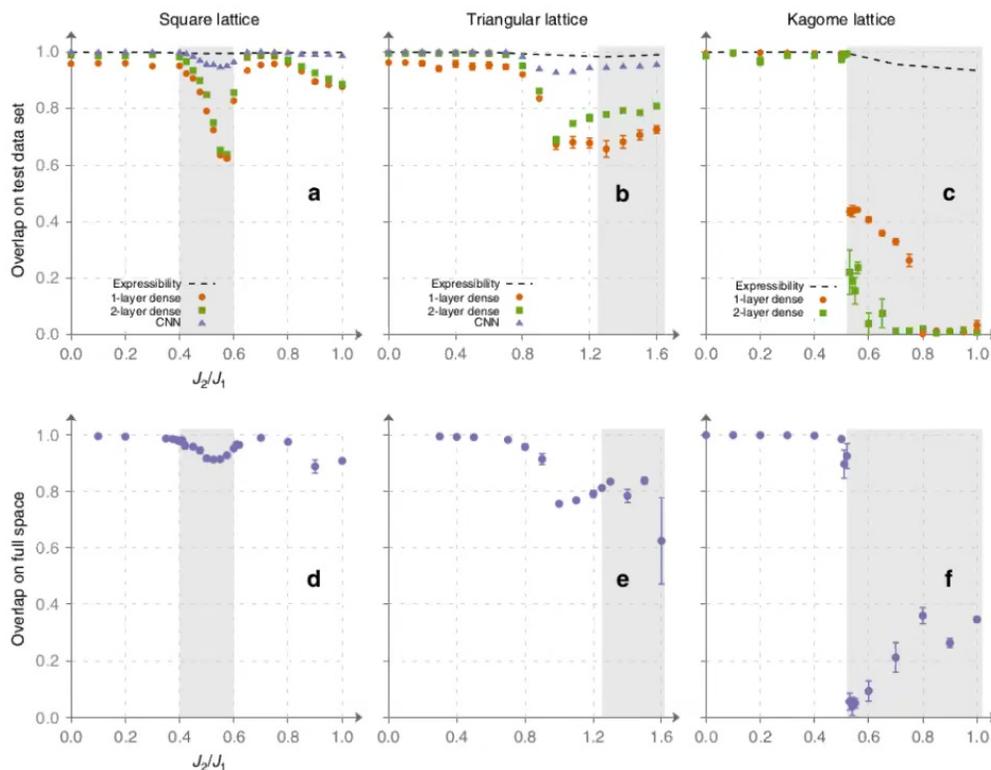
**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

15

# Relation to real VMC simulations?



Institute for  
Molecules and Materials  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Fun facts



- Y. Nomura and M. Imada in [1]:
  - ▷ **0.01%** error for the 6x6 **square** lattice in the QSL and VBS phases.
- C. Roth, Attila Szabó, and A. H. MacDonald in [2]:
  - 0.05%** error for the 6x6 **triangular** lattice in the QSL phase.
- D. Kochkov, T. Pfaff, A. Sanchez-Gonzalez, P. Battaglia, and B.K. Clark in [3]:
  - 1-2%** error for the 36-site **Kagome** lattice in the QSL phase (and overlap is just 60%).

[1] PHYSICAL REVIEW X, 11(3), 031034. DOI:10.1103/PHYSREVX.11.031034

[2] ARXIV:2211.07749.

[3] ARXIV:2110.06390.

Institute for  
Molecules and Materials  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# What to do with the Kagome lattice



Use mVMC 😊

COMPUTER PHYSICS COMMUNICATIONS, 235, 447-462. [10.1016/J.CPC.2018.08.014](https://doi.org/10.1016/J.CPC.2018.08.014)

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Part 2



## Unveiling ground state sign structures of frustrated quantum systems via non-glassy Ising models

Tom Westerhout,<sup>1,\*</sup> Mikhail I. Katsnelson,<sup>1,†</sup> and Andrey A. Bagrov<sup>1,‡</sup>

<sup>1</sup>*Institute for Molecules and Materials, Radboud University,  
Heyendaalseweg 135, 6525AJ Nijmegen, The Netherlands*

- Alternative formalism to describe the sign structures.
- In this formalism, even frustrated magnets don't seem very difficult.

ARXIV:2207.10675.

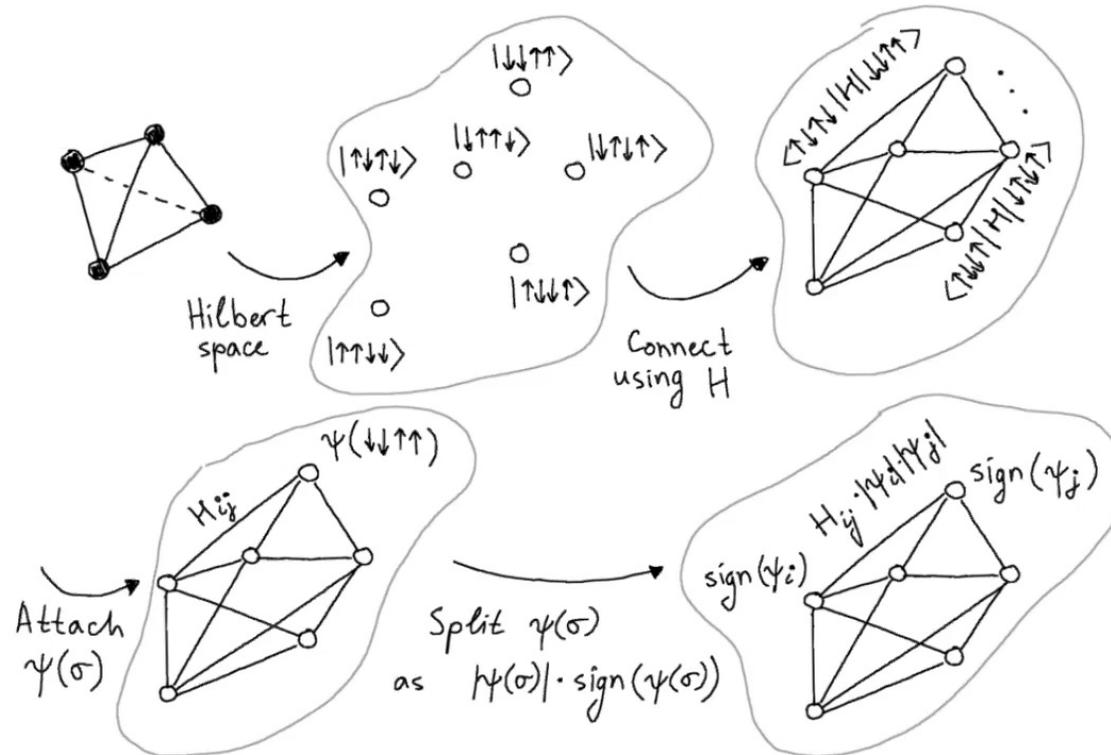
**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

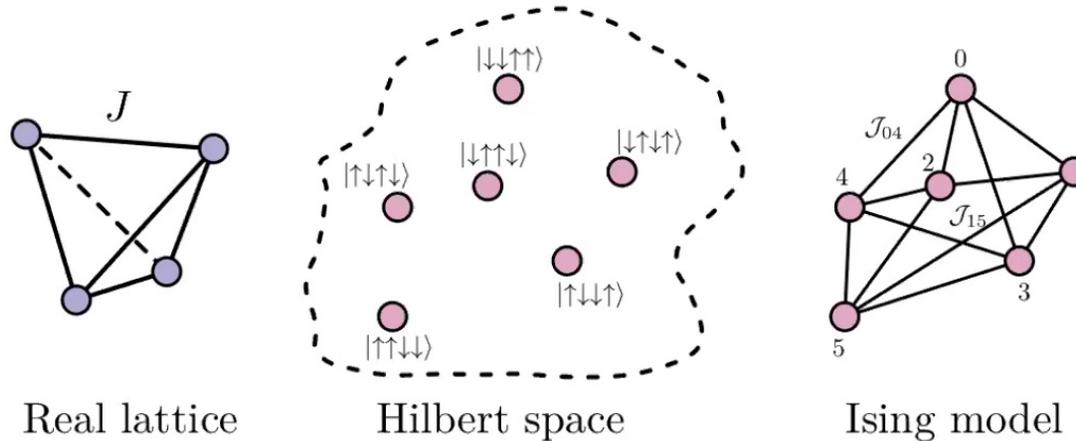
19

# Hilbert spaces and Ising models





# More formally



$$|\psi\rangle = \sum_i \psi_i |i\rangle = \sum_i \mathcal{S}_i |\psi_i| |i\rangle$$

$$E = \langle \psi | H | \psi \rangle = \sum_{i,j} \langle i | H | j \rangle |\psi_i| |\psi_j| \mathcal{S}_i \mathcal{S}_j = \sum_{i,j} \mathcal{J}_{i,j} \mathcal{S}_i \mathcal{S}_j$$

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Unfrustrated systems



- Heisenberg antiferromagnet on the square lattice.
- Sign structure is known — Marshall's sign rule.
- Auxiliary Ising model turns out to be unfrustrated as well!

MARSHALL, W. (1955). ANTIFERROMAGNETISM. PROCEEDINGS OF THE ROYAL SOCIETY OF LONDON. SERIES A. MATHEMATICAL AND PHYSICAL SCIENCES, 232(1188), 48-68.

**Institute for  
Molecules and Materials**  
Radboud University



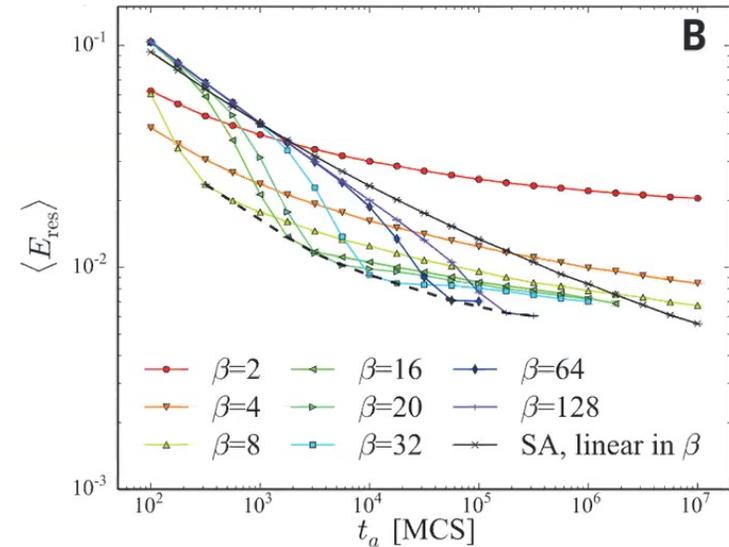
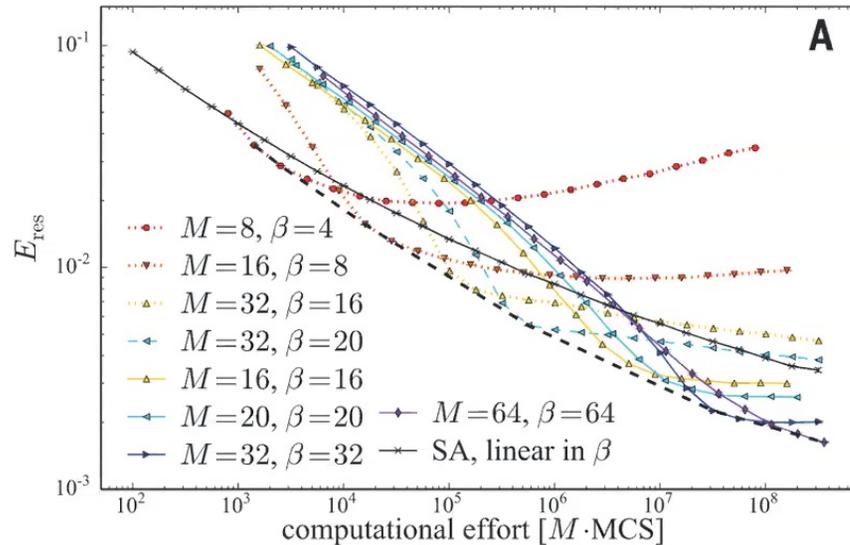
|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

22

# Frustrated systems



Ising model with general interactions — glassy and NP-hard ☹️



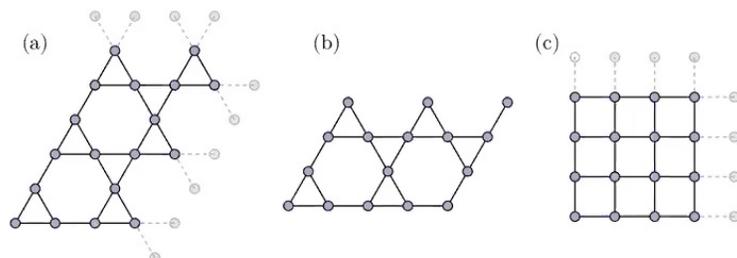
SCIENCE, 348(6231), 215-217. DOI:10.1126/SCIENCE.AAA4170

Institute for  
Molecules and Materials  
Radboud University

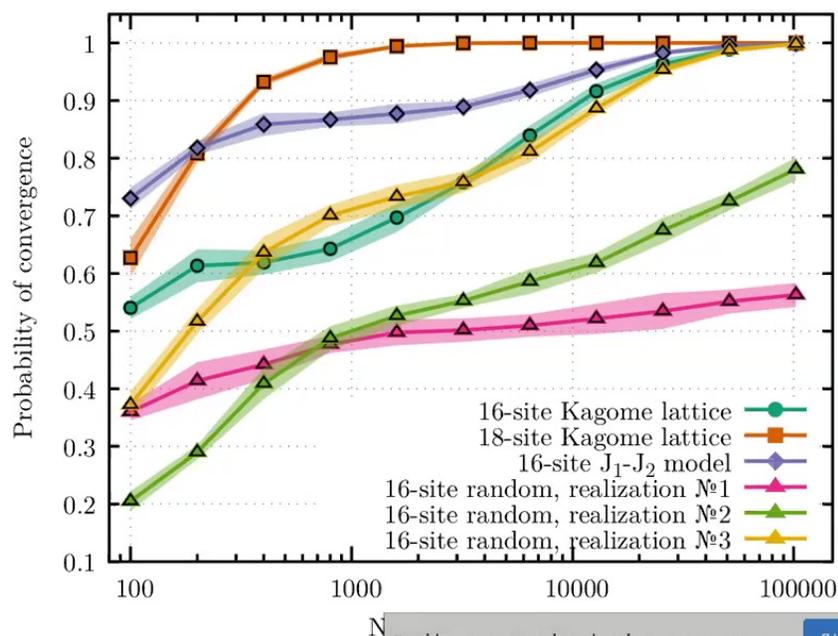


|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Frustrated systems



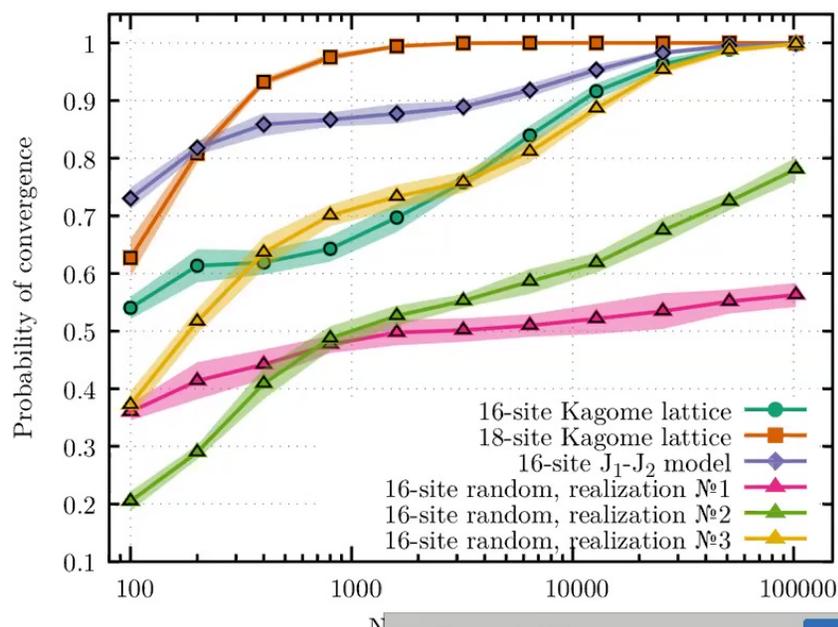
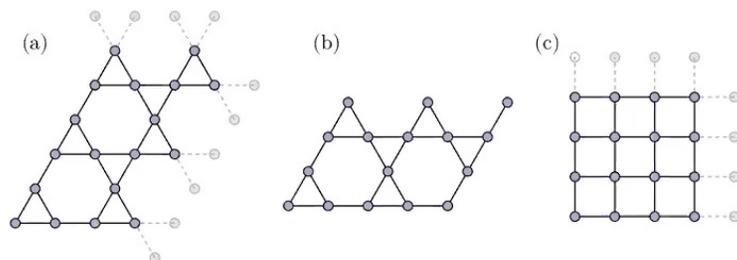
- Solutions from ED;
- Simulated Annealing (SA) on 12870 or 48620 spins;
- Converged if accuracy is at least 99.5%;



Institute for  
Molecules and Materials  
Radboud University



# Frustrated systems



- Solutions from ED;
- Simulated Annealing (SA) on 12870 or 48620 spins;
- Converged if accuracy is at least 99.5%;
- $(1/2)^{20} \approx 10^{-6}$

Institute for  
Molecules and Materials  
Radboud University



pitp.zoom.us is sharing your screen. Stop sharing Hide

# Not glassy, what now?



1. "Great, but how does it help me?"
2. "Great, but why?"

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

25

# Frustration



The system is frustrated  
if "divide and conquer" approaches don't work,  
i.e. local optimization doesn't work

invert

☐ The system is mildly frustrated,  
is there then hope for local optimization?

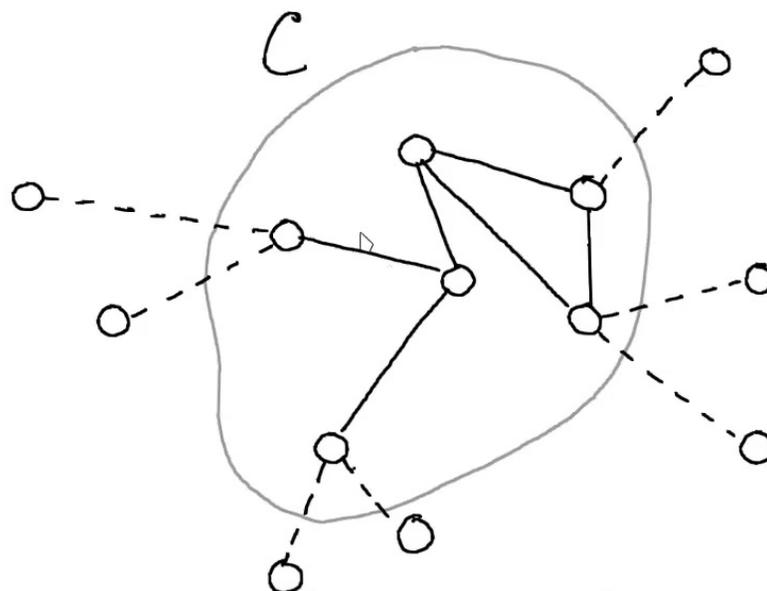
**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

28

# Subset of the Hilbert space



Optimize only  $\mathcal{S}_i$   
for  $i \in \mathcal{C}$

$$H = \sum_{i,j \in \mathcal{C}} \mathcal{J}_{i,j} \mathcal{S}_i \mathcal{S}_j + \sum_{i \in \partial \mathcal{C}} \underbrace{\left( \sum_{j \notin \mathcal{C}} \mathcal{J}_{i,j} \mathcal{S}_j \right)}_{\text{Magnetic field}} \mathcal{S}_i + \sum_{i,j \notin \mathcal{C}} \mathcal{J}_{i,j} \mathcal{S}_i \mathcal{S}_j$$

Institute for  
Molecules and Materials  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Subset of the Hilbert space



Works very well, **but...**

What about "the environment"?

We don't know it, so let's ignore it 😞

Institute for  
Molecules and Materials  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Subset of the Hilbert space



Works very well, **but...**

What about "the environment"?

We don't know it, so let's ignore it 😞

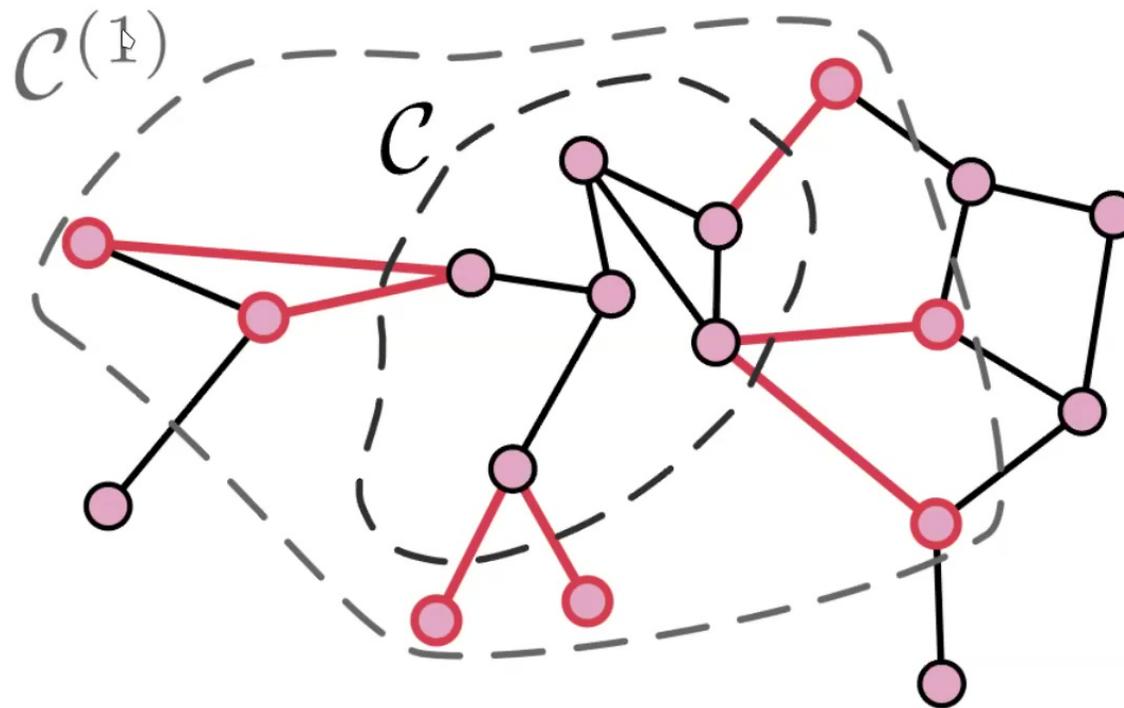
Doesn't work as well

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Cluster extensions



Institute for  
Molecules and Materials  
Radboud University

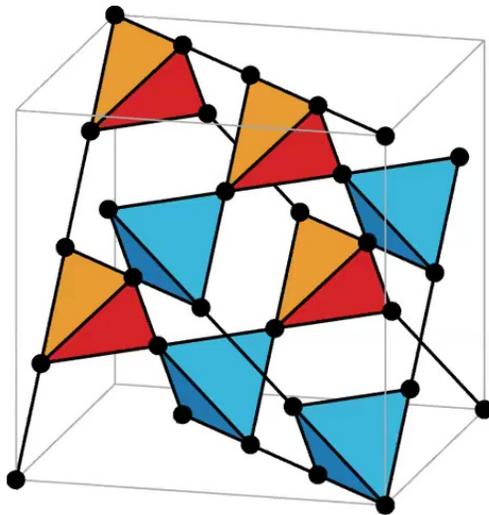


|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

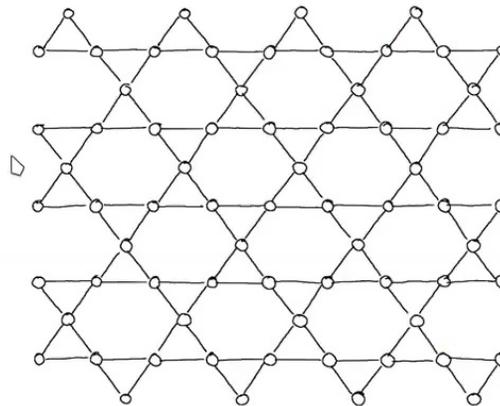


# Quality metrics

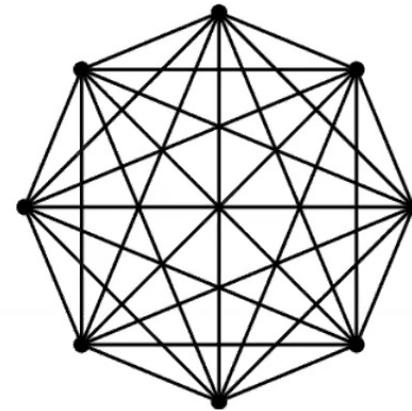
Pyrochlore lattice



Kagome lattice



Random all-to-all



$$O_C = \frac{1}{\sum_{i \in \mathcal{C}} |\psi_i|^2} \cdot \sum_{i \in \mathcal{C}} \overbrace{|\psi_i| S_i}^{\text{Variational}} \underbrace{\psi_i}_{\text{Exact}}$$

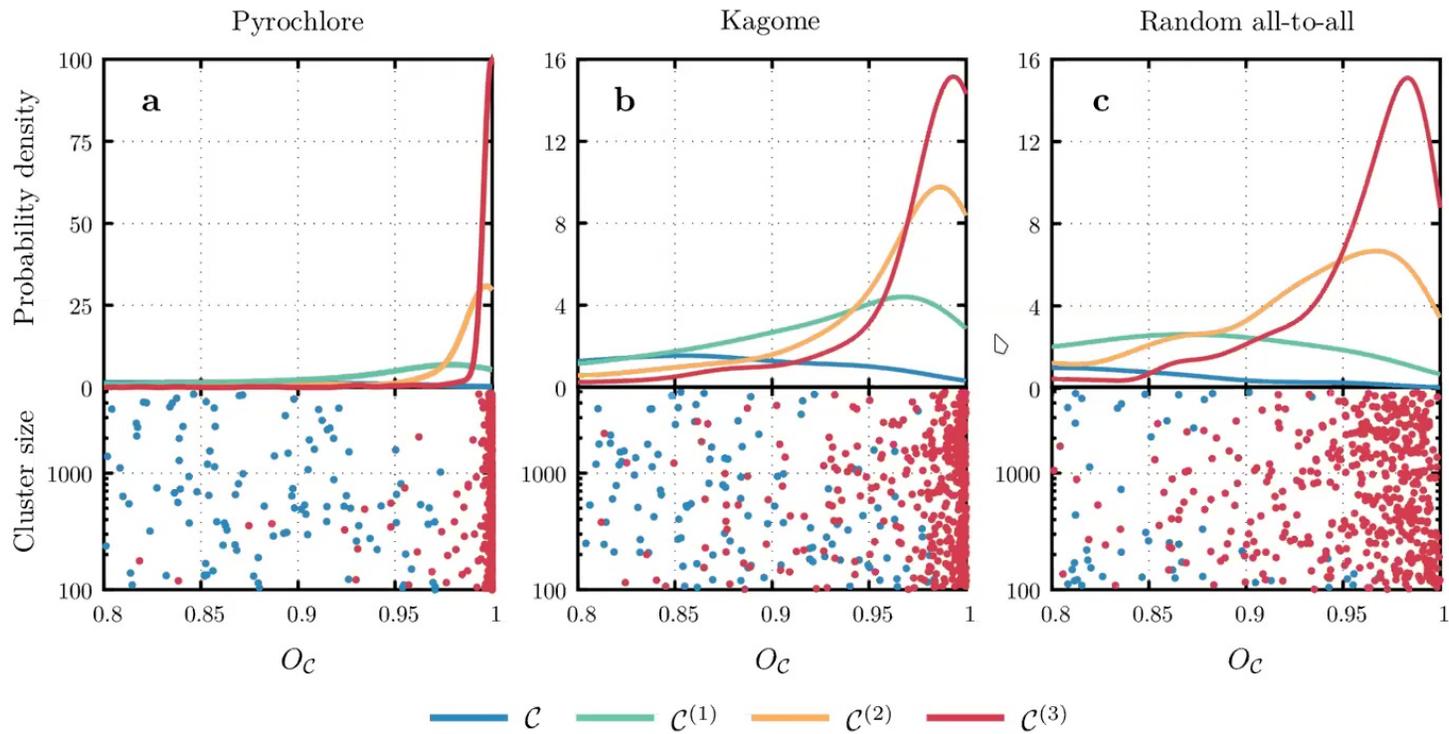
PHYSICAL REVIEW B, 84(14), 144432. [10.1103/PHYSREVB.84.144432](https://doi.org/10.1103/PHYSREVB.84.144432)

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Results



Institute for  
Molecules and Materials  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Recap



- Sign structures are not glassy, i.e. "simple".
- High-quality local optimization is possible in polynomial time,  $\mathcal{O}(M \cdot N^k)$ .

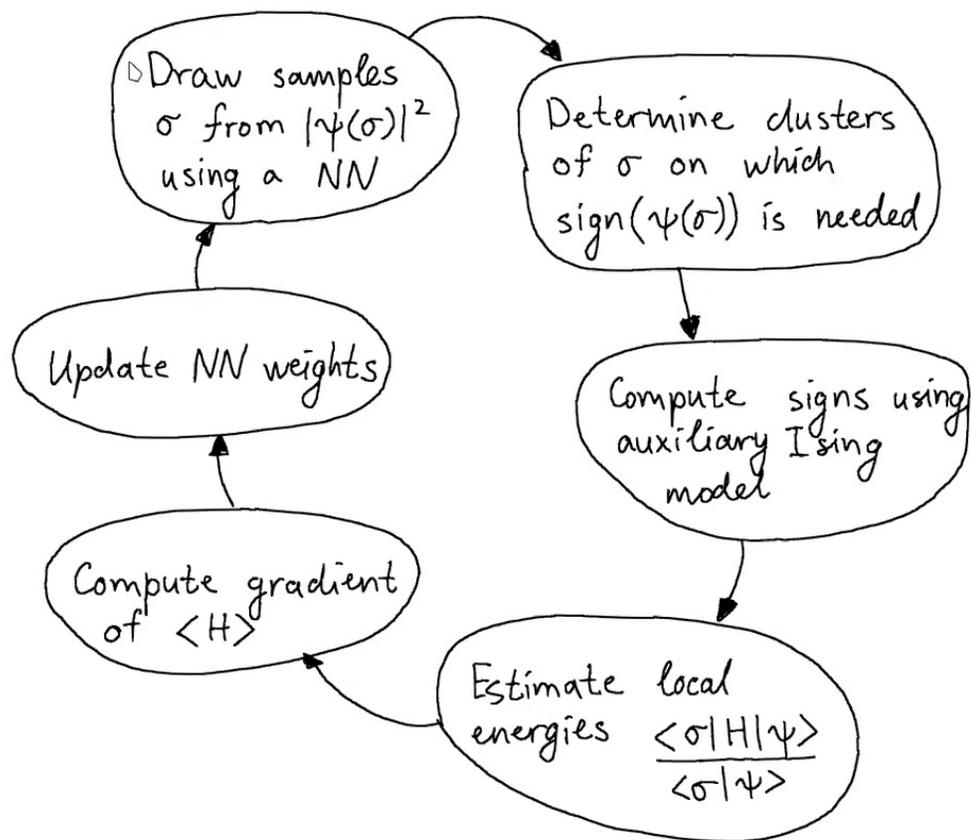
**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

32

# Potential for applications in VMC



- Robustness to noise.
- Better algorithms for building and solving  $C^{(k)}$ .



# "Great, but why?" part



## Disclaimer

The following slides contain unpublished data,  
take them with a grain of salt

**Institute for  
Molecules and Materials**  
Radboud University

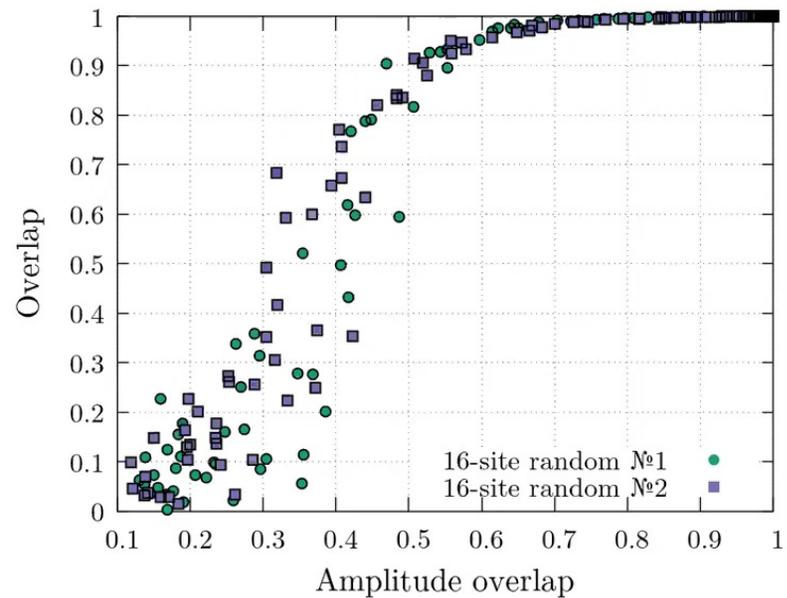
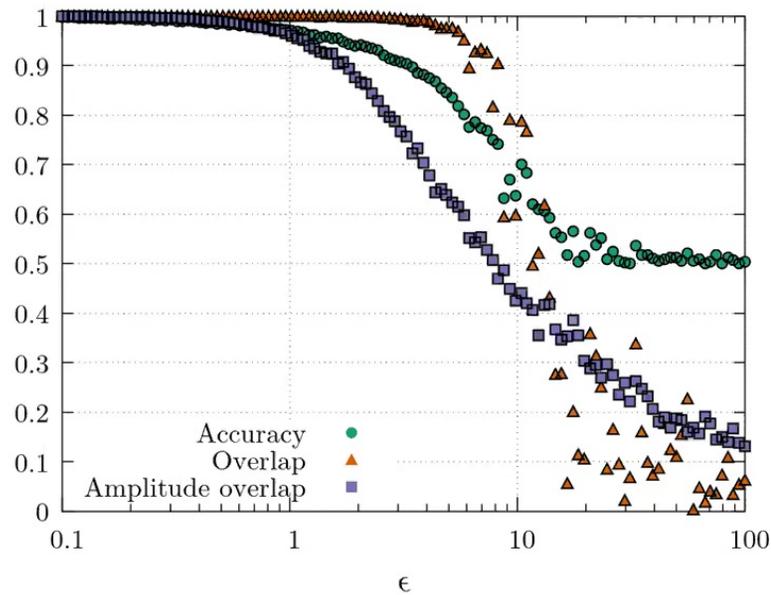


|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Noise in amplitudes



$$\log \psi(\sigma) \rightarrow \log \psi(\sigma) + \mathcal{U}\left(-\frac{\epsilon}{2}, \frac{\epsilon}{2}\right)$$

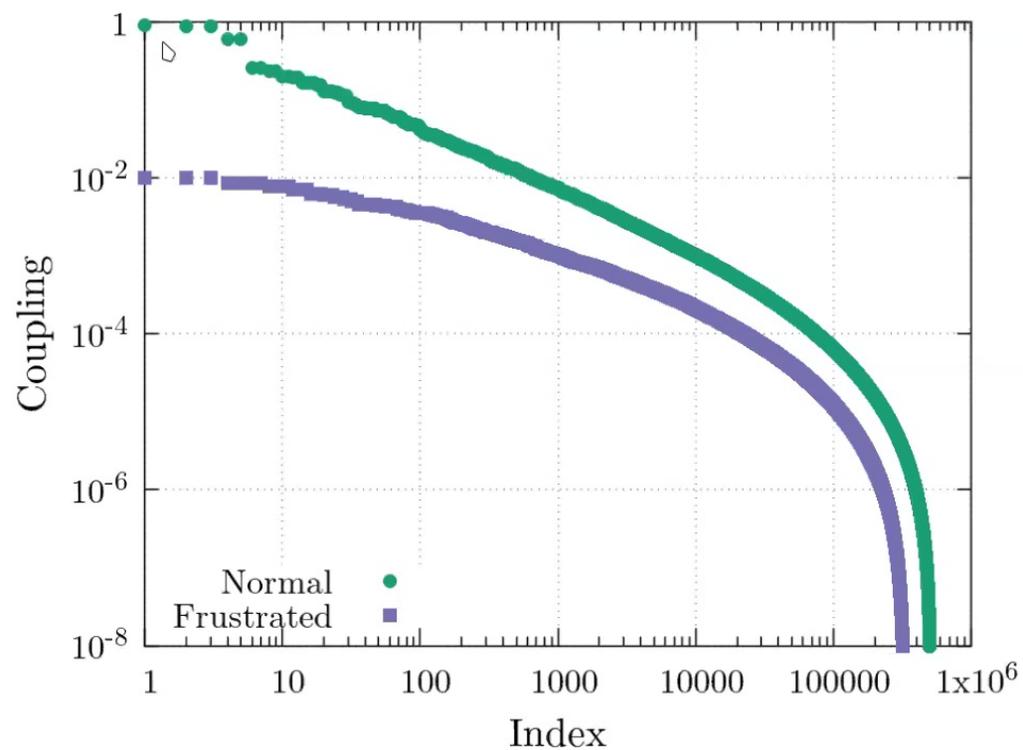


Institute for  
Molecules and Materials  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

# Distribution of $\mathcal{J}_{i,j}$

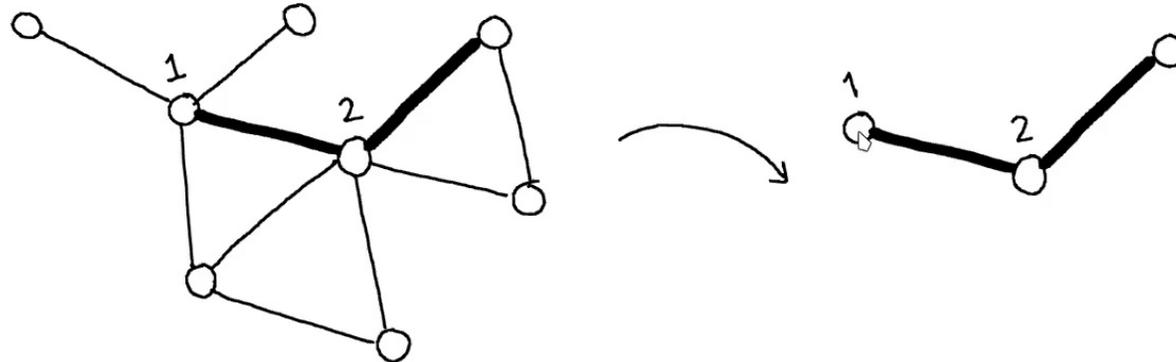


Institute for  
Molecules and Materials  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Largest couplings only



System	Accuracy	Overlap
Kagome, 16	93.4%	99.96%
Kagome, 18	90.5%	99.99%
$J_1$ - $J_2$ , 16	82.5%	99.5%
Random N <sub>01</sub> , 16	76.3%	98.3%
Random N <sub>02</sub> , 16	75.1%	98.2%
Random N <sub>03</sub> , 16	72.7%	96.0%

Institute for  
Molecules and Materials  
Radboud University



|| pitp.zoom.us is sharing your screen. Stop sharing Hide

# Conclusion



- Ground states of frustrated magnets are difficult to learn.
- It's the sign structure that's difficult, not the amplitudes.
- Auxiliary Ising models as an alternative formalism for the sign structures.
- In this formalism, even frustrated magnets don't seem very difficult.

**Institute for  
Molecules and Materials**  
Radboud University



|| pitp.zoom.us is sharing your screen. [Stop sharing](#) [Hide](#)

38