

Title: Intrinsically gapless symmetry-protected topology

Speakers: Andrew Potter

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Abstract: While sharply-quantized topological features are conventionally associated with gapped phases of matter, there are a growing number of examples of gapless systems with topologically protected edge states. A particularly striking set of examples are "intrinsically gapless" symmetry-protected topological states (igSPTs), which host topological surface states that could not arise in a gapped system with the same symmetries. Examples include familiar non-interacting Weyl semimetals with Fermi arc surface states, as well as more exotic examples like deconfined quantum critical points with topological edge states. In this talk, I will discuss recent progress in formally understanding the bulk-boundary correspondence of strongly-interacting igSPTs using tools from group cohomology. In these examples, the gapless-ness of the bulk and presence of topological surface states can be understood in a unified way due to the presence of an emergent anomaly. Our formalism allows construction of lattice-models with such emergent anomalies whose topological properties can be deduced exactly.

# Intrinsically gapless SPTs

PI Quantum Matter Workshop  
November, 16 2022  
Andrew C. Potter (UBC)



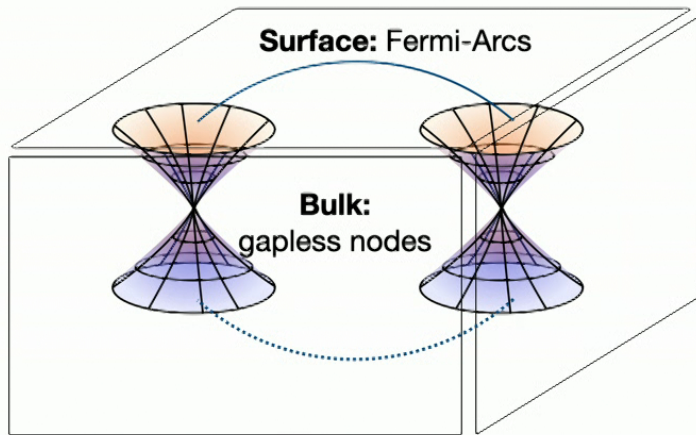
Rui Wen, ACP arXiv:2208.09001



Alfred P. Sloan  
FOUNDATION

## Motivating example: Topological semimetal [Last talk!]

### Weyl Semimetal



- Intrinsically gapless: cannot have Fermi-arcs w/ gapped bulk
- Symmetry protected: Translation + Charge-U(1)
- Emergent anomaly: Valley-U(1) has chiral anomaly
- Bulk Boundary correspondence: surface arcs tied to emergent chiral anomaly

$$\partial_\mu j_\mu^v \sim \frac{e^2}{4\pi} E \cdot B$$

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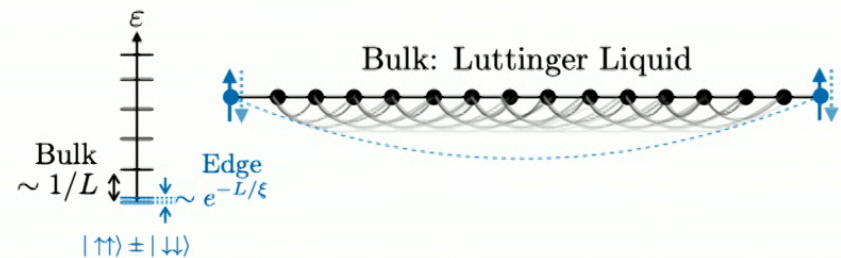
**Quantized!**

**Q: Can we have intrinsically interacting + gapless topological states?**

[E.g. Gapless spin/boson SPTs in Mott insulators?]

# Today's talk

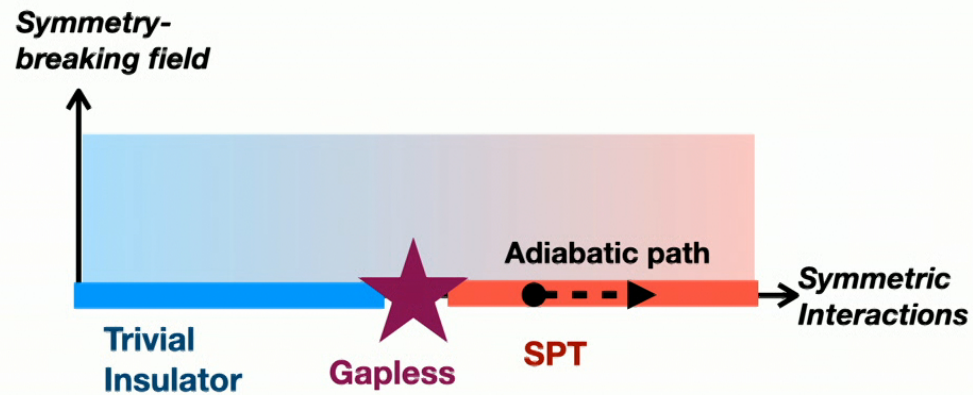
- Gapped Bosonic SPTs and their anomalous surfaces  
[Quick recap]
- Intrinsically gapless SPTs
  - Intrinsic = Edge cannot be cancelled by gapped system (with same symmetries)
  - Emergent Anomalies
  - Bulk-Boundary correspondence
  - Open questions



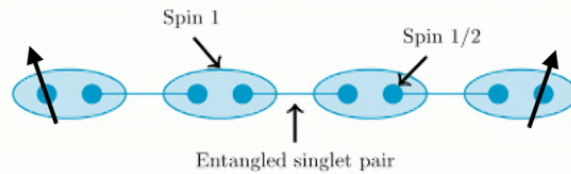


## Reminder: Gapped SPTs

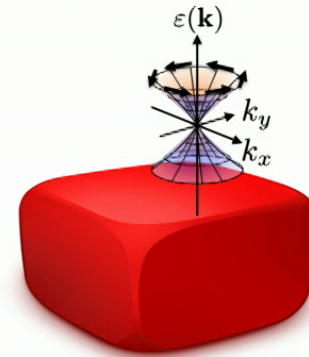
**Definition:**



**Examples:**



**Haldane/AKLT spin-chain**

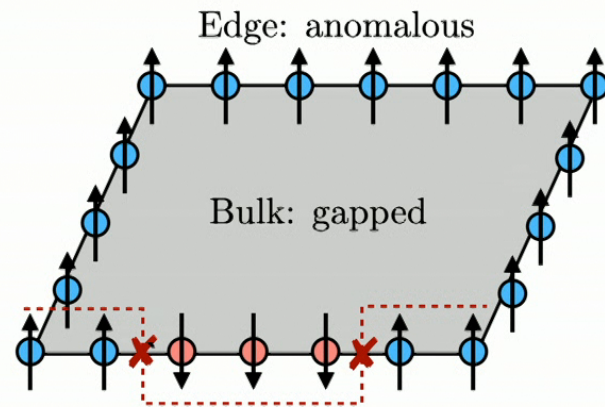


**Electronic topological insulators  
and superconductors**

# Anatomy of a gapped boson SPT (Levin-Gu model)

$$|\Psi_1\rangle = - \text{[Diagram 1]} + \text{[Diagram 2]} + \dots$$

$$G = \mathbb{Z}_2 = \{1, s\} \quad U_s = \prod_i X_i$$



Anomalous symmetry action:

*Chen, Gu, Wen*

$$U_{\text{edge}} = \text{DW-phases} * \prod_{i \in \text{edge}} \tilde{X}_i$$

$\swarrow$   
 $\in H^{d+1}(G, U(1))$

Anomaly = Obstacle to Symmetric+SRE:

$$= (\pm 1) \cdot$$

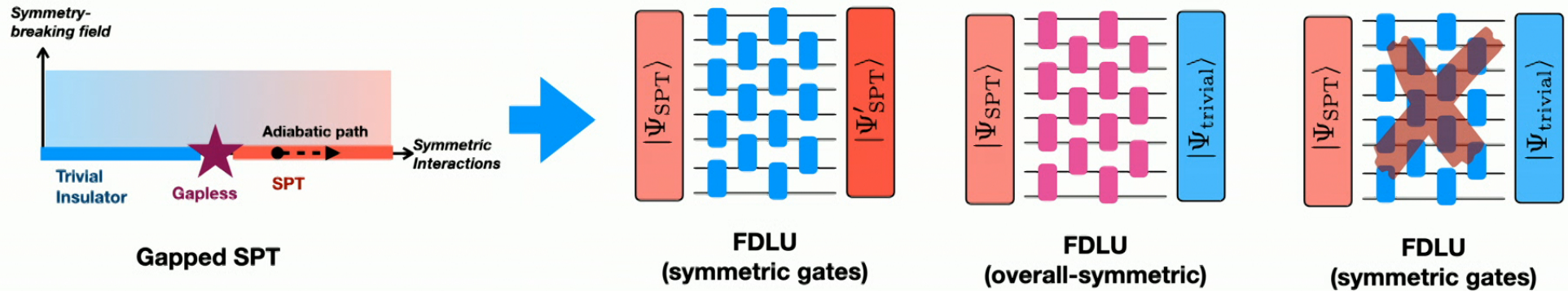
*Else, Nayak; Kawagoe, Levin*

**Possible Edge Fates:**

- Gapless + Symmetric (Luttinger liquid)
- Gapped + SSB
- Gapped + Symmetric + LRE (d>2)



## Discussion: Defining Gapless SPTs (via Circuit Equivalence)



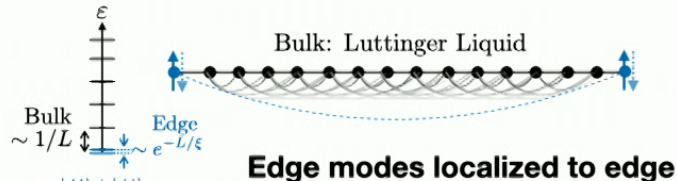
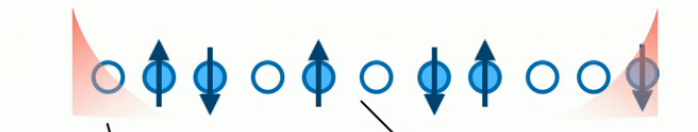


**Def:** Two G-symmetric **gapless** systems are in inequivalent SPT phases if:

- 1) they *can* be related by a overall-symmetric FDLU
- 2) they *cannot* be related by a symmetrically-generated FDLU

**Rmk:** no assumption made about stability, these could be gapless phases or (multi) critical points

# Gapless SPTs: Zoology

Purely Gapless 	No	Yes
<p><b>Intrinsically gapless?</b></p>  <p><b>No</b></p> <p>Can kill edge states by stacking w/ gapped SPT</p>	<div data-bbox="525 438 1050 617"> <p><b>Haldane/AKLT</b> <math>G = \mathbb{Z}_2^A \times \mathbb{Z}_2^B</math></p> <p><b>FM<sub>B</sub></b> <math>G = \mathbb{Z}_2^A \times \cancel{\mathbb{Z}_2^B}</math></p> <p><math>J_B</math></p> <p><b>gSPT</b></p> </div> <div data-bbox="441 633 1113 909">  <p>Bulk: Luttinger Liquid</p> <p>Edge modes localized to edge (Protected by gapped sector)</p> <p><i>Scaffidi, Parker, Vasseur</i></p> </div>	
<p><b>Yes</b></p> <p>No Gapped SPT has this edge</p>	<div data-bbox="441 974 1134 1266">  <p>Topological edge states (Localized by gapped sector)</p> <p>Gapless bulk (Emergent anomaly)</p> <p><i>Thorngren, Verresen, Vishwanath</i></p> </div>	<p>???</p>



# Gapless SPTs: Zoology

Purely Gapless



No

Yes

Intrinsically gapless?



No

Can kill edge states by stacking w/ gapped SPT

Haldane/AKLT

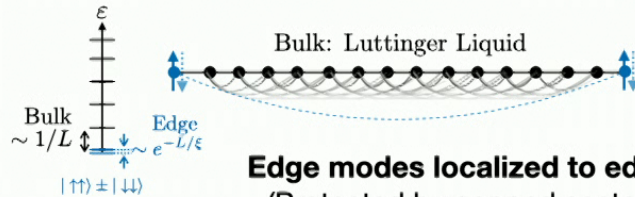
$$G = \mathbb{Z}_2^A \times \mathbb{Z}_2^B$$

FM<sub>B</sub>

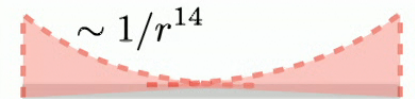
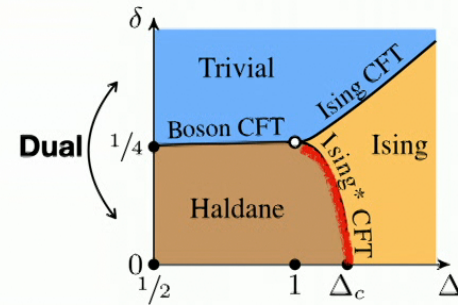
$$G = \mathbb{Z}_2^A \times \cancel{\mathbb{Z}_2^B}$$

$J_B$

gSPT



Scaffidi, Parker, Vasseur

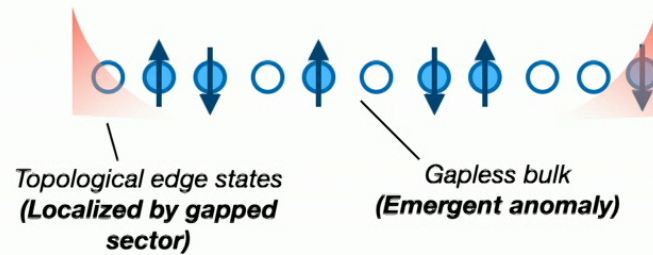


Edge modes algebraically confined  
(Their magnetization decays >> faster than bulk magnetization correlations)

Verresen, Thorngren, Jones, Pollmann

Yes

No Gapped SPT has this edge

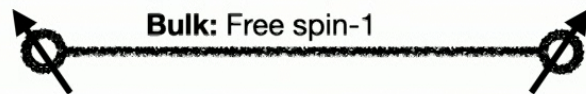


Thorngren, Verresen, Vishwanath

???

## Emergent anomalies (Proto-example in 0d)

### Haldane/AKLT Chain



Edge: Free spin-1/2

Edge anomaly compensated by higher-d bulk

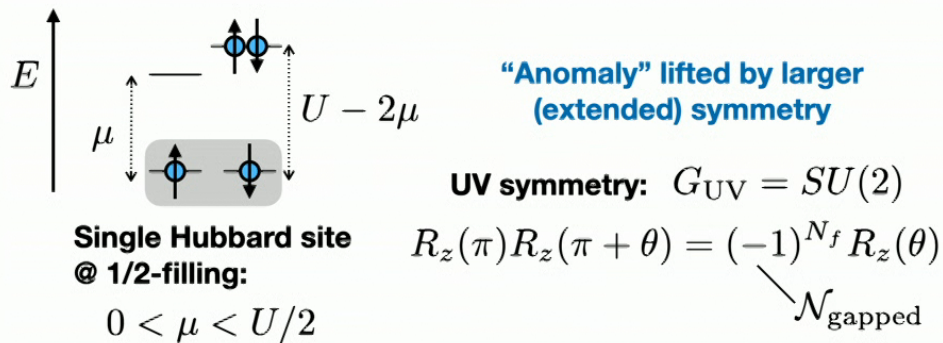
**Formalism:** (Central) Group extensions

$$\mathbb{Z}_2 \xrightarrow{\text{normal subgroup}} SU(2) \xrightarrow{\text{quotient}} SO(3)$$

$$\text{Notation: } \mathcal{N} \rightarrow \Gamma \rightarrow G$$

Extension      UV      IR

### Anomalous edge w/out the bulk



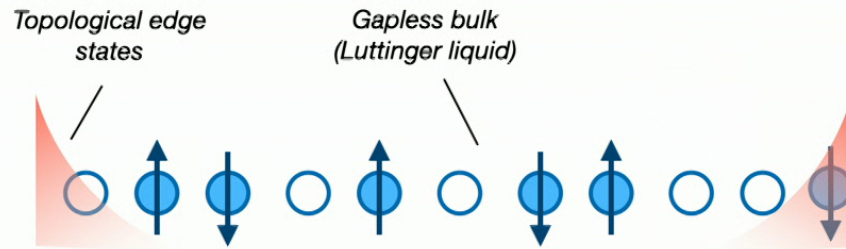
“Twisted” multiplication rules:  $\gamma = (n, g) \in \Gamma$

$$\gamma \cdot \gamma' = (n \cdot n' \cdot e_2(g, g'), g \cdot g')$$

$$\in H^2(G, N) : G \times G \rightarrow \mathcal{N}$$



# 1d Intrinsically Gapless SPT (igSPT) in an Ising-Hubbard Chain

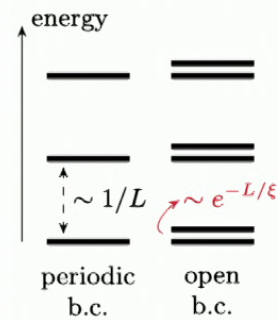


$$H = H_{\text{Hubbard}}(t, \mu, U) + H_{\text{Ising}}(J, h)$$

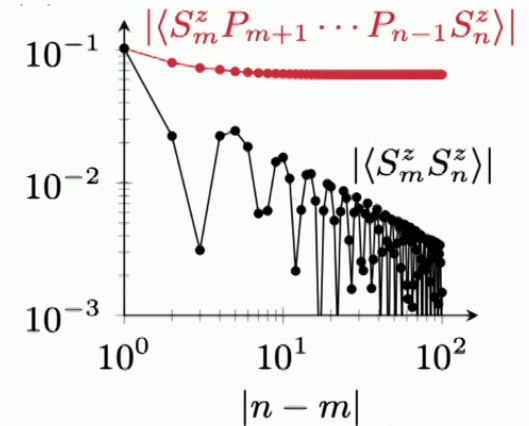
$$H_{\text{Ising}} = \sum_i (JS_i^z S_{i+1}^z - hS_i^x)$$

**igSPT phase:**  $U \gg t$ , partial filling,  $J \gg h$

## Spectrum



## Long Range String Order



**Extreme limit:**  $h=0$

**Bulk:** Frozen AFM hidden in Luttinger Liquid of holes

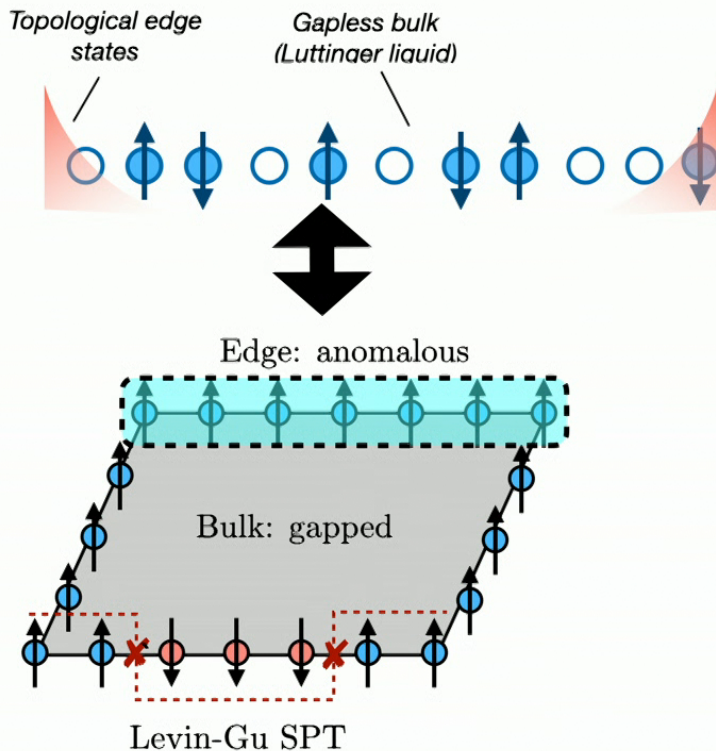
**Periodic BCs:** Unique ground-state

**Open BCs:**

- 1st spin up or down (local to edge)
- Symmetry-breaking field pins this DOF

Thorngren, Verresen, Vishwanath

# Ising-Hubbard Chain: Emergent Anomaly



**UV Symmetry group:**  $\Gamma = \mathbb{Z}_4 = \{1, R_x = e^{i\pi S^x}, R_x^2 = (-1)^{N_F}, R_x^3\}$

**IR Symmetry group:**  $G = \mathbb{Z}_2$  (Odd fermion-parity excitations frozen out)  
 $(R_x^2)|_{\text{IR}} \sim 1$

**Q1: (How) are the symmetry extension, emergent bulk anomaly and edge states related?**

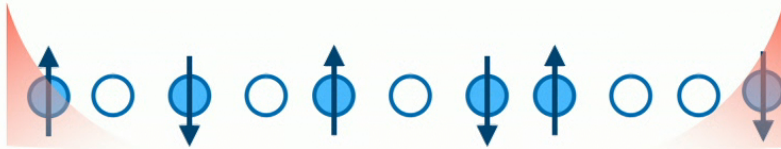
**Q2: Can we use this paradigm to construct general igSPTs?**

Thorngren, Verresen, Vishwanath



# String order $\Leftrightarrow$ Lower-d SPT pumping symmetry

1d: Ising-Hubbard Chain



**UV Symmetry:**  $\Gamma = \mathbb{Z}_4 = \{1, R_x, R_x^2 = (-1)^{N_f}, R_x^3\}$

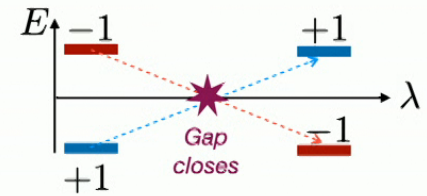
**Gapped sector:**  $\mathcal{N} = \{1, (-1)^{N_f}\}$

**IR symmetry:**  $G = \mathbb{Z}_2 = \{1, [R_x]\}$

**String order:**  $S \approx \sigma_1^z (-1)^{N_f} \sigma_L^z$

**N-transformation**  
(acts trivially on bulk  
since N-DOF are gapped)

**0d SPT pump**  
Changes  $R_x$  quantum  
number of end by  $x(-1)$

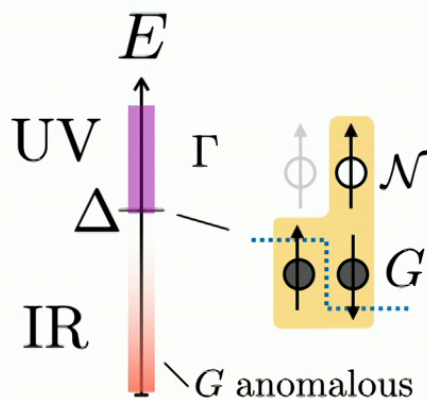


Thorngren, Verresen, Vishwanath

## General Construction

**Thm.** [Tachikawa]: Given:  $G$ -anomaly in  $d$ -dimensions characterized by  $w_{d+2}$  in  $H^{d+2}(G, U(1))$   
 $\exists$  symmetry extension:  $N \rightarrow \Gamma \rightarrow G$  s.t.  $\Gamma$  is anomaly free

**Our construction:** Design  $\Gamma$ -rotor model w/ exactly solvable  $H\Delta$  s.t. emergent  $G$  anomaly in IR



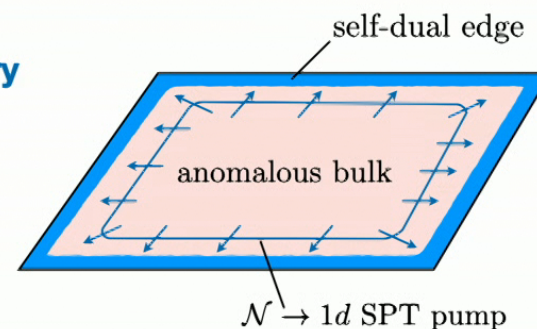
## Bulk-Boundary correspondence

$$\omega_{d+2} = b_d \cup e_2 \in H^d(G, \mathcal{N}) \quad \text{Specifies the group extension}$$

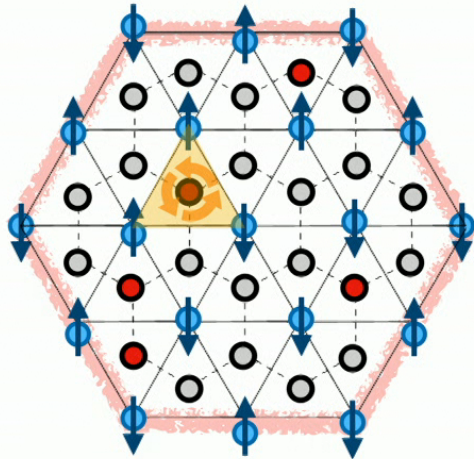
### SPT Pumping Symmetry

$$b_d \in H^d(G, \hat{\mathcal{N}})$$

Map from  $n \in \mathcal{N}$  to  $(d-1)$ -dimensional  $G$ -SPT



## 2d Time-reversal symmetric igSPT



**Sites:** non-Kramers qubits (G)  
**Plaquettes:** Kramers bosons (N)  
**IR:** Bosons bound to spin vortices

### Bulk:

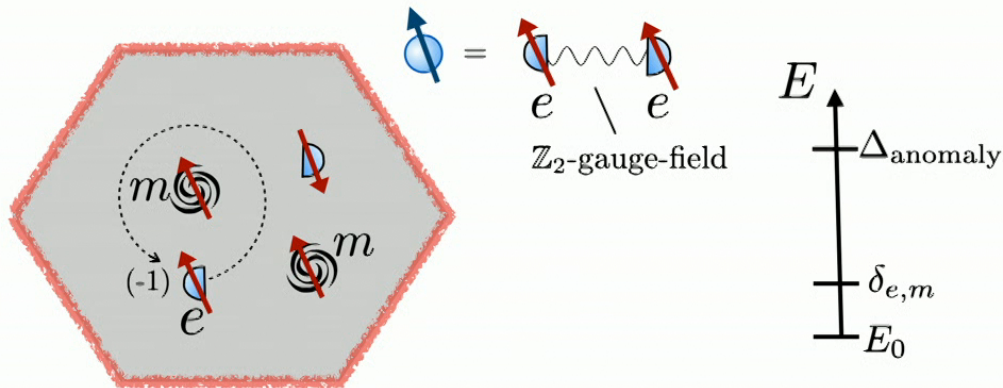
- 3d boson SPT surface anomaly (eTmT)
- Gapless+symmetric option:  $SO(5)$  WZW<sub>1</sub> model (DQCP)

*Senthil, Vishwanath  
Bi, Slagle, Xu*

### Edge:

- Haldane SPT pumping symmetry
- Pure 1d: Self-dual point ( $SU(2)_1$  CFT)
- Edge/bulk decouple? *Ma, Zou, Wang*

## Quotient Symmetry Enriched Topological order (QSET)



**Exactly solvable model:** fractionalization + gauging

**Bulk:** Toric code with Anomalous TRS (eTmT)

**Edge:** Self-dual critical point between Haldane SPT/PM

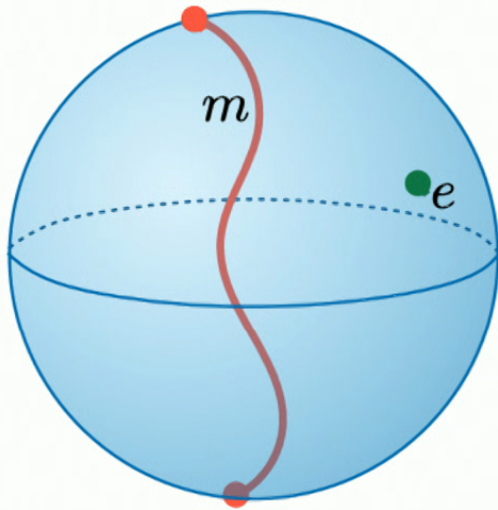
- Sharply defined when Kramers bosons are gapped
- Anomaly can be lifted in the UV (requires edge phase transition)

*R. Wen, ACP arXiv:2208.09001*



## Higher-d Examples:

3d: Fully gapped QSET: anomalous bulk and (even more anomalous) surface topological order



Bulk SET:

- $\mathbb{Z}_2$  gauge theory (toric code)
- Point Charge ( $e$ ): Kramers boson
- Flux line ( $m$ ): Carries 1d igSPT (gapless)



# Outlook

- Classification:
  - Other mechanisms for emergent anomalies besides extended symmetry?
  - igSPTs with beyond group-cohomology anomalies? Fermionic anomalies?
- More physical models of higher-d igSPTs? (Experimental realizations?)
- How do gapless bulk and boundary interplay?
- How do we understand the edge states of “purely gapless”

