Title: Common features in spin-orbit excitations of Kitaev materials

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Abstract: "Magnetic materials with 4d or 5d transition metals have drawn much attention for their unique magnetic properties arising from J\_eff=1/2 magnetic states. Among them, a honeycomb lattice material with unusual bond-dependent interactions called Kitaev interactions is of particular interest due to the potential for realizing the Kitaev quantum spin liquid state. Although much progress has been made in understanding magnetic and spin-orbit excitations in Kitaev materials, such as Na2IrO3 and alpha-RuCl3, using resonant inelastic X-ray scattering (RIXS), there are still many unanswered questions regarding the nature of electronic excitations in these materials. Of particular interest is the sharp peak observed around 0.4 eV in the RIXS spectrum of Na2IrO3, the exact nature of which remains controversial. In this context, it is interesting to note that a similar lower energy "excitonic" peak was observed in our recent RIXS investigation of alpha-RuCl3. Given that the electronic parameters in alpha-RuCl3 are probably very different from those in Na2IrO3 (alpha-RuCl3 has a large bandgap of ~1eV, well above any SO excitation energy scale), the observed similarity is surprising. The RIXS spectra from these two compounds as well as other Kitaev materials will be compared and the origin of common spectral features will be discussed.

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# Spin-Orbit Excitations of Kitaev Materials

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#### Acknowledgements

















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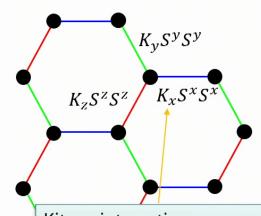
#### **Outline**

- Introduction
  - Kitaev Quantum Spin Liquid
  - Jackeli and Khaliullin mechanism for Kitaev materials
  - RIXS (Resonant Inelastic X-ray Scattering)
    - Previous studies on Na<sub>2</sub>IrO<sub>3</sub>
  - $\alpha$ -RuCl<sub>3</sub>
    - Quantum Thermal Hall effect controversy (?)
- RIXS results
  - Comparison and common features
- Conclusions

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#### Kitaev model – exchange frustration

Alexei Kitaev, Ann. Phys. 2006

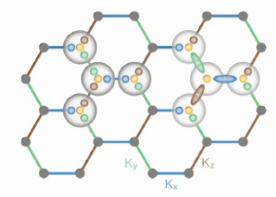


Kitaev interaction

– bond dependent Ising interaction

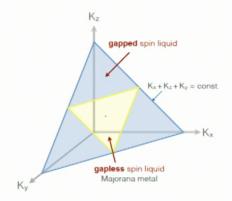
$$\mathcal{H}^{Kitaev} = -\sum_{i,\gamma} K_{\gamma} S_{i}^{\gamma} S_{i+\vec{e}_{\gamma}}^{\gamma}$$

Exactly solvable model



- Low-energy degree of freedom
  - Majorana fermion
- Ground states
  - QSL

Nussinov and van den Brink Rev. Mod. Phys. 2015 Hermanns et al. Annu. Rev. Condens. Matter Phys. 2018 Takagi et al. Nat. Rev. Phys. 2019  There are many QSL ground states depending on the anisotropy and magnetic field

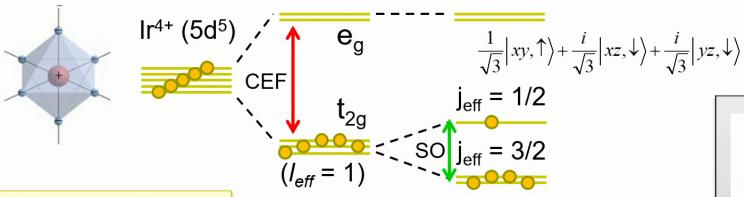


- Interests due to non-abelian anyons useful for topological quantum computing
- S. Trebst, Phys. Rep. 2022
- S. Kim et al. APL Materials 2022

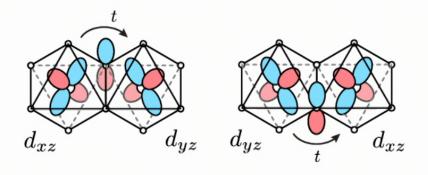
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#### Jackeli-Khaliullin mechanism

Jackeli and Khaliullin PRL 2009



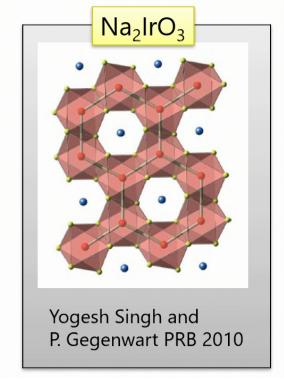
#### Edge-sharing octahedra



Winter et al. J. Phys. Cond. Matt. 2017 (review)

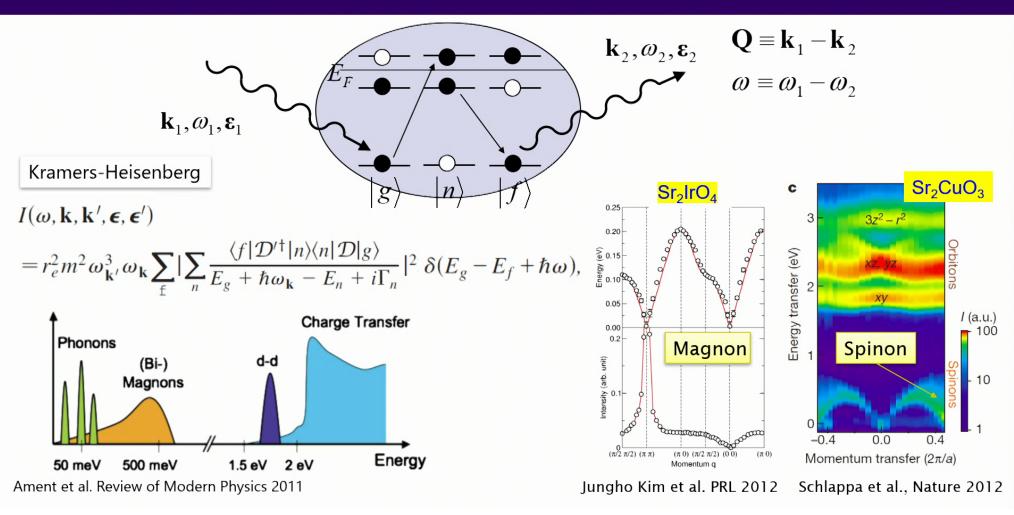
Lowest order superexchange contributions interfere destructively.

Dominant higher order contribution → Kitaev interaction



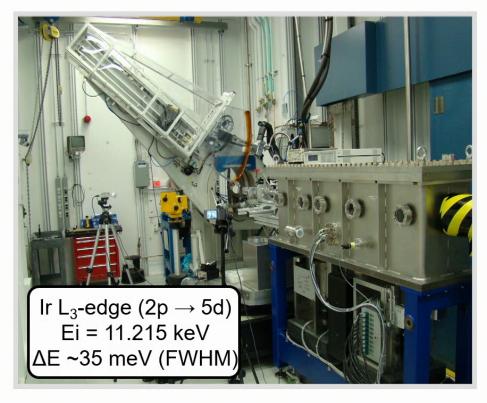
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#### Resonant Inelastic X-ray Scattering

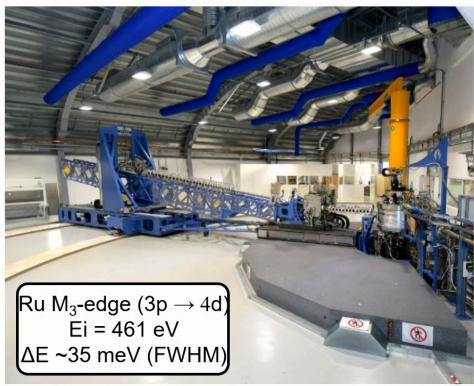


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#### Instrumentation



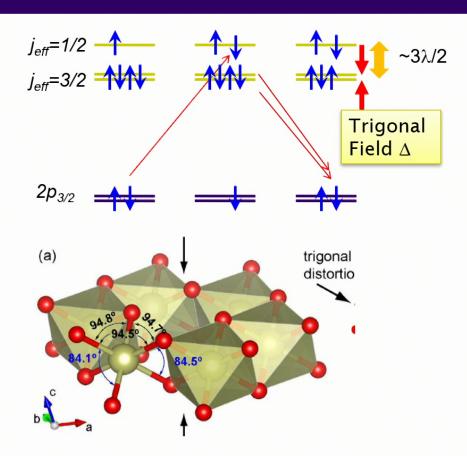
Advanced Photon Source MERIX (27ID) Argonne National Laboratory

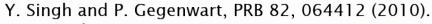


Diamond Light Source I21 Oxford, UK

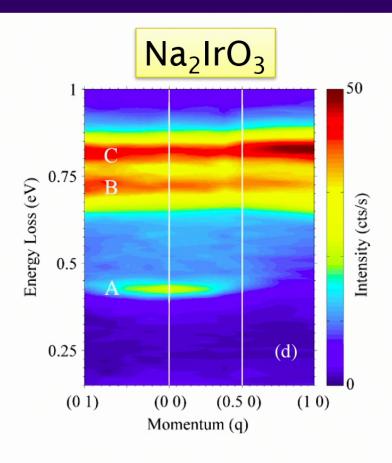
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#### **Measuring SOC with RIXS**





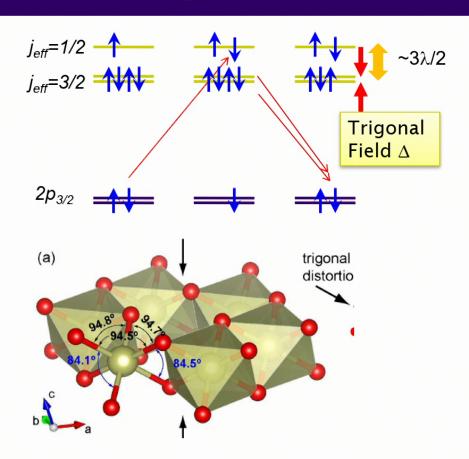
F. Ye et al., PRB 85 180403 (2012)

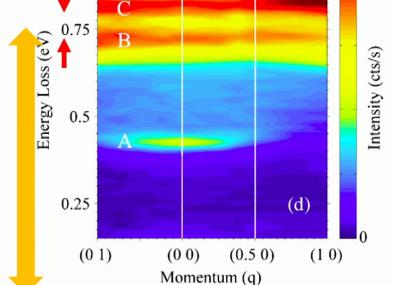


Gretarsson et al. PRL 110, 076402 (2013)

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#### **Measuring SOC with RIXS**





Na<sub>2</sub>IrO<sub>3</sub>

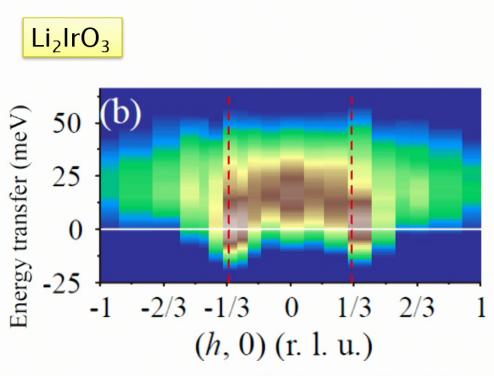
Y. Singh and P. Gegenwart, PRB 82, 064412 (2010).

F. Ye et al., PRB 85 180403 (2012)

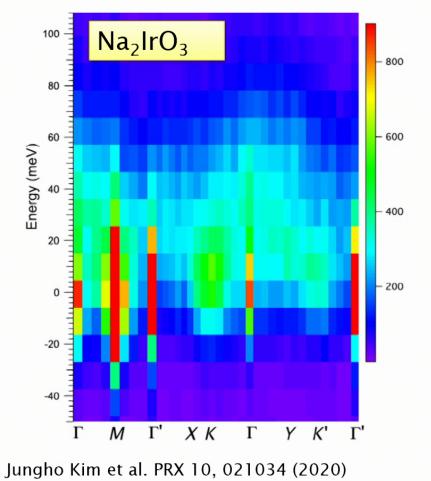
Gretarsson et al. PRL 110, 076402 (2013)

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#### **Magnetic Excitations with RIXS**



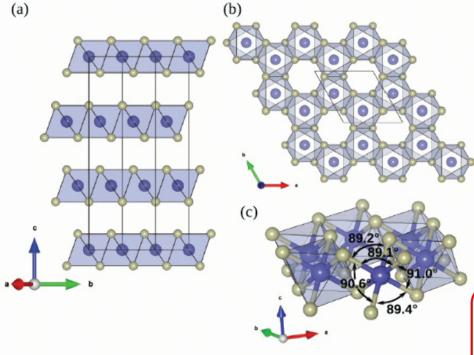
S. H. Chun, YJK et al. PRB 103, L020410 (2021)



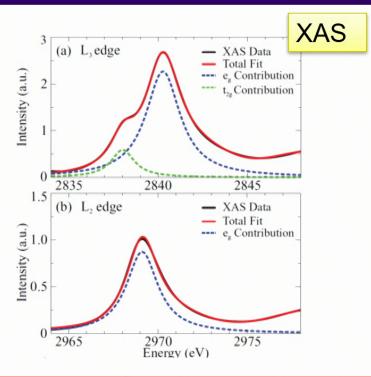
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#### $\alpha$ -RuCl<sub>3</sub> as a candidate Kitaev material

Q: Is Jackeli-Khaliullin mechanism still good for Ru with smaller SOC (about 1/3 of SOC of Ir4+)?



K. W. Plumb et al. Phys. Rev. B 90, 041112 (2014).



$$J_{\text{eff}} = \frac{1}{2} \text{ state}$$

$$\frac{1}{\sqrt{3}} |xy,\uparrow\rangle + \frac{i}{\sqrt{3}} |xz,\downarrow\rangle + \frac{1}{\sqrt{3}} |yz,\downarrow\rangle$$

$$|xy,\uparrow\rangle + \frac{i}{\sqrt{3}} |xz,\downarrow\rangle + \frac{1}{\sqrt{3}} |xz,\downarrow\rangle$$

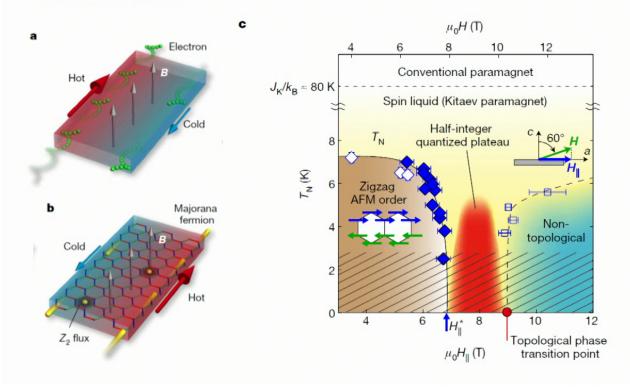
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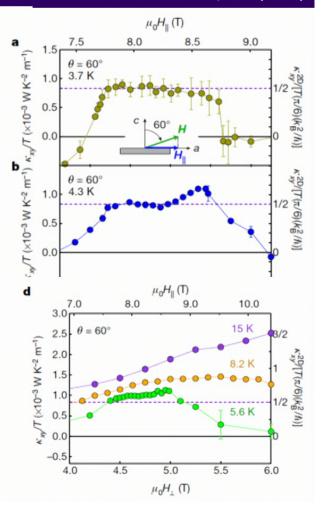
#### **Quantized Thermal Hall effect**

Nature 559, 227 (2018)

## Majorana quantization and half-integer thermal quantum Hall effect in a Kitaev spin liquid

Y. Kasahara<sup>1</sup>, T. Ohnishi<sup>1</sup>, Y. Mizukami<sup>2</sup>, O. Tanaka<sup>2</sup>, Sixiao Ma<sup>1</sup>, K. Sugii<sup>3</sup>, N. Kurita<sup>4</sup>, H. Tanaka<sup>4</sup>, J. Nasu<sup>4</sup>, Y. Motome<sup>5</sup>, T. Shibauchi<sup>2</sup> & Y. Matsuda<sup>1</sup>\*





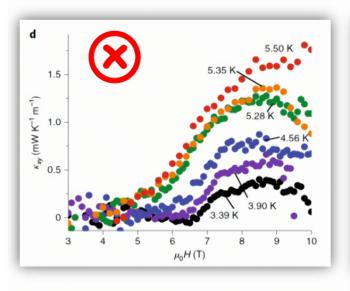
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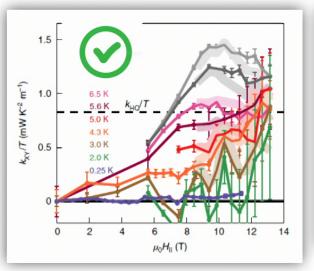
#### **Controversial**

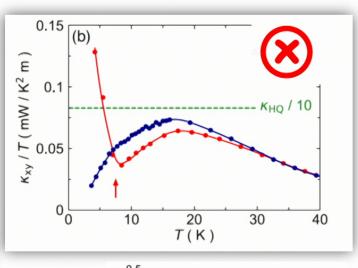


J. A. N. Bruin, H. Takagi, Nat. Phys. 2022

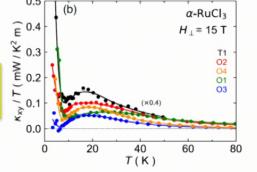
É. Lefrançois, L. Taillefer PRX 2022





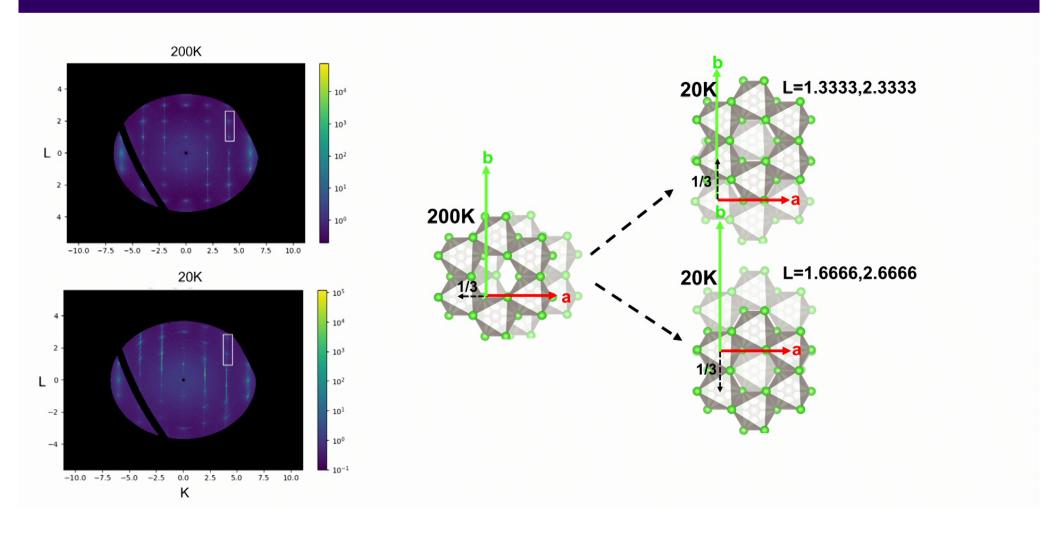


However,
Strong sample dependence



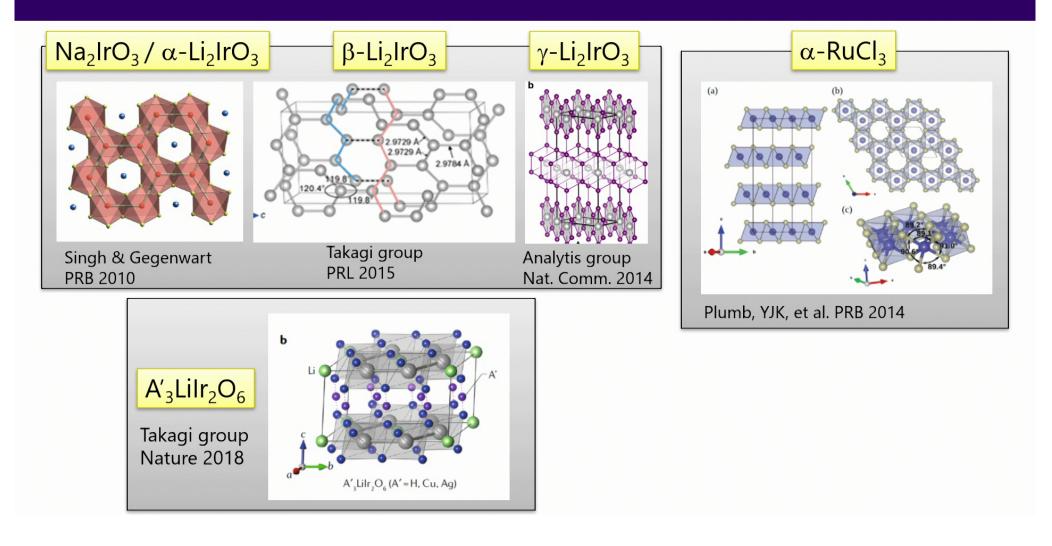
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### **Structural Transition**



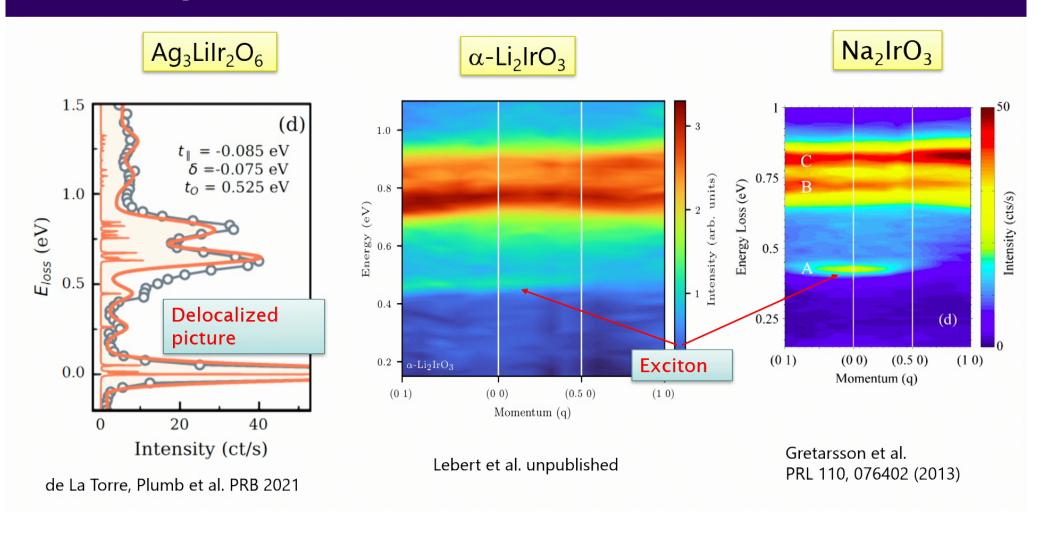
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#### Kitaev materials



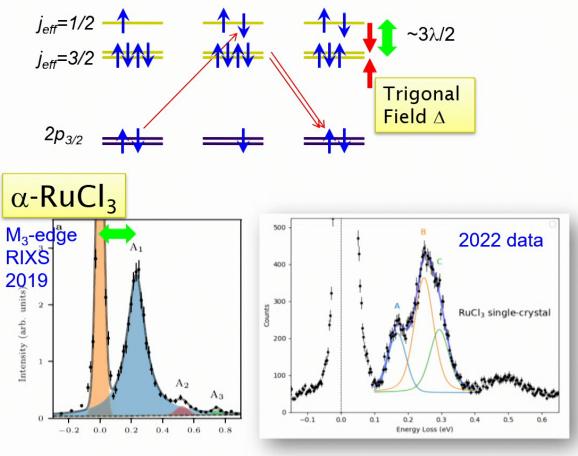
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#### RIXS spectra for other Kitaev materials

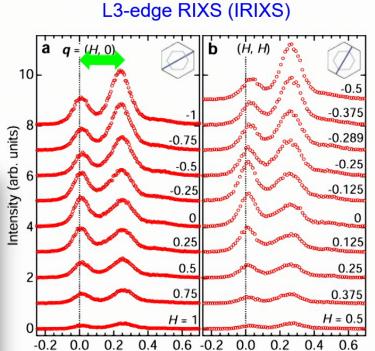


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#### What about $\alpha$ -RuCl<sub>3</sub>



B. Lebert, YJK et al. JPCM 32, 144001 (2020)



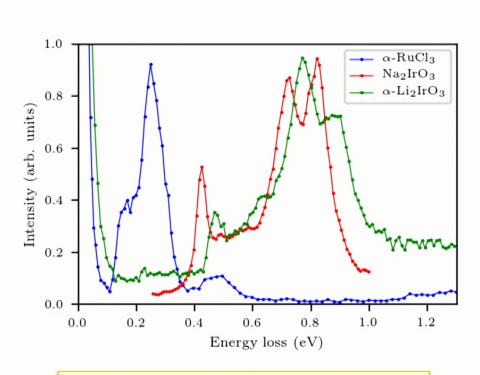
H. Suzuki, Keimer et al. Nat. Comm. 12, 4512 (2021).

Energy (eV)

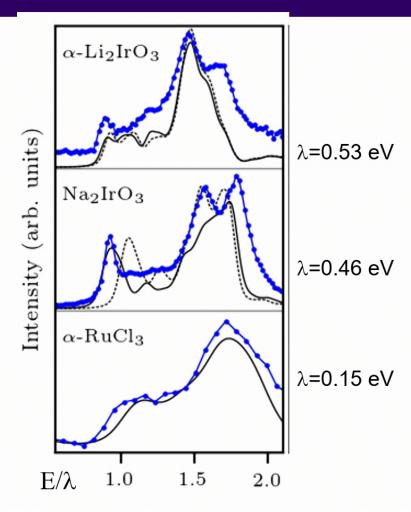
Energy (eV)

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#### Common features of spin-orbit excitations



The charge gap is ~1 eV for RuCl<sub>3</sub> ~0.4 eV for Na<sub>2</sub>IrO<sub>3</sub> and Li<sub>2</sub>IrO<sub>3</sub>

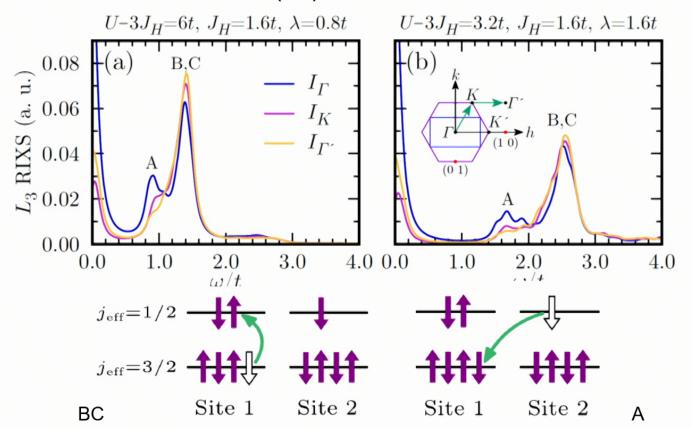


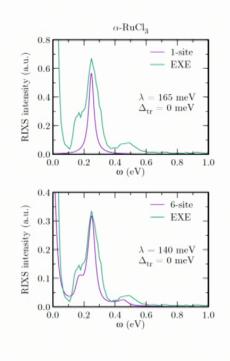
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#### **Non-local effect**

B. H. Kim, T. Shirakawa, and S. Yunoki, PRL 117 187201 (2016)

Cluster calculation (ED) – 3 band Hubbard model



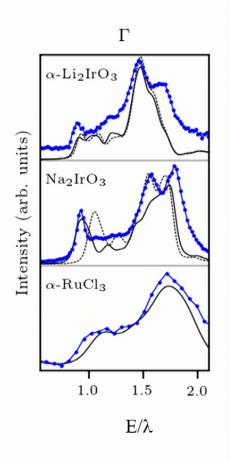


Holon-doublon bound state?

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#### Conclusions

- Spin-orbit excitons in Kitaev materials studied with RIXS
  - α-RuCl<sub>3</sub> (Ru M<sub>3</sub>-edge)
  - Na<sub>2</sub>IrO<sub>3</sub> (Ir L<sub>3</sub>-edge)
  - α-Li<sub>2</sub>IrO<sub>3</sub> (Ir L<sub>3</sub>-edge)
- Characteristic 3 peak features are observed in all three compounds
  - Intensity of these peaks show strong momentum dependence
  - The energy scale is more or less determined by SOC only!
  - Can be captured with ED calculation of 3-band model
    - A-peak is not electron-hole exciton (Charge gap of  $\alpha$ -RuCl<sub>3</sub> is much larger than those of the other two materials)
    - A is due to non-local physics (holon-doublon excitation)



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