

Title: Symmetries of Black Hole Perturbation Theory

Speakers: Adam Solomon

Series: Strong Gravity

Date: November 03, 2022 - 1:00 PM

URL: <https://pirsa.org/22110048>

Abstract: I discuss novel symmetries of perturbation theory around rotating and non-rotating black holes in general relativity, and discuss their origins and implications for gravitational-wave astronomy. This is motivated by two special aspects of black hole perturbations in four dimensions: isospectrality of quasinormal modes and the vanishing of tidal Love numbers. There turn out to be off-shell symmetries underlying each of these phenomena. One is a duality, which on shell reproduces the famous Chandrasekhar duality and therefore underlies isospectrality, and can be thought of as an extension of electric-magnetic duality to black hole backgrounds. The other is a set of "ladder symmetries" relating modes of different angular momentum or spin, which imply the vanishing of Love numbers. This has a geometric origin in the conformal symmetry of low-frequency modes.

Zoom link: <https://pitp.zoom.us/j/93633894223?pwd=cEFRYno5WSt5NUJlOWJldVZHWjE1QT09>

# Symmetries of black hole perturbation theory

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arXiv:2010.00593 (JCAP)

arXiv:2105.01069 (JCAP)

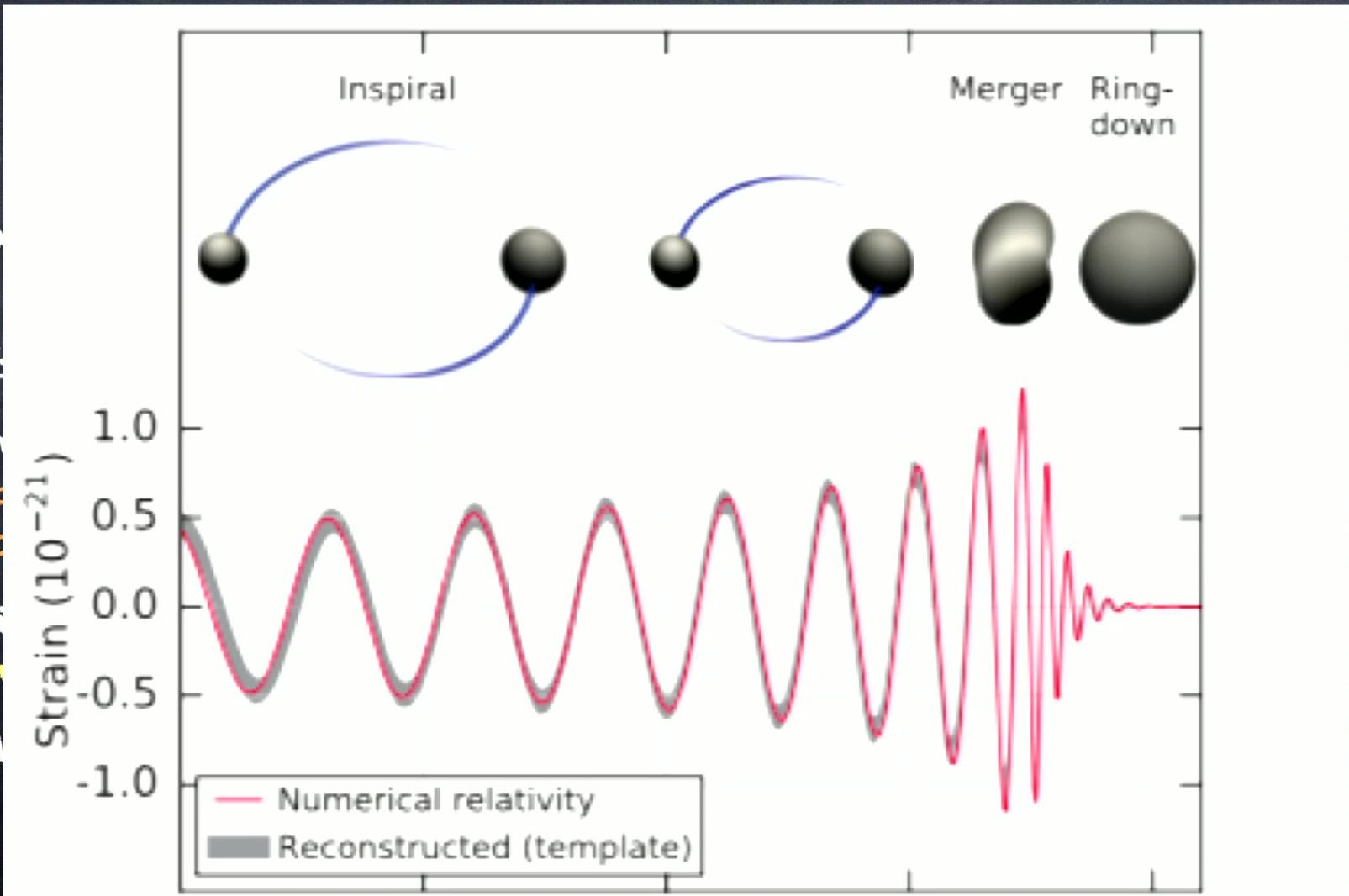
arXiv:2203.08832 (JHEP)

"The black holes of nature are the most perfect macroscopic objects there are in the universe: the only elements in their construction are our concepts of space and time."

-Chandrasekhar



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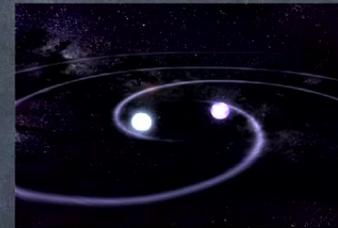
Inspiral  
 Post-Newtonian; EFT;  
 one-body;  
 on theory

Merger  
 numerical relativity

Ring-down  
 on theory

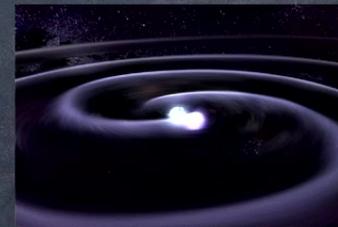
# Motivation

- Black holes are **simple** but **mysterious**
- "A new era of gravitational wave astronomy"<sup>TM</sup>
- Binary BH mergers probe gravity in a **wide variety of regimes** and **techniques**
- This talk: **linear perturbations**;  
**Schwarzschild** for simplicity
- Many/(all?) results apply to **Kerr**



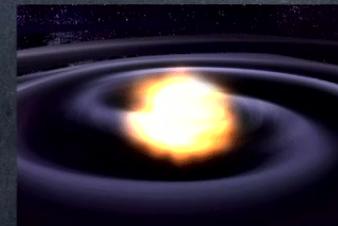
## Inspiral

Post-Newtonian; EFT;  
effective one-body;  
perturbation theory



## Merger

Numerical relativity



## Ringdown

Perturbation theory

Image: NASA

# Hints of Symmetries

(in  $D=4$  GR)

Tidal responses:

Black hole Love numbers = 0

"Fine tuning": vanishing EFT coefficients

Isospectrality:

Both GW polarizations have the same QNM spectrum

Due to a duality of the Einstein equations

# Tidal Responses & Love Numbers

- During inspiral, companion object sources a tidal field
- Gravitational response to tidal deformation encoded in **Love numbers**
  - Static response ( $\omega = 0$ )
  - Observable at **SPN**
  - Measures internal structure

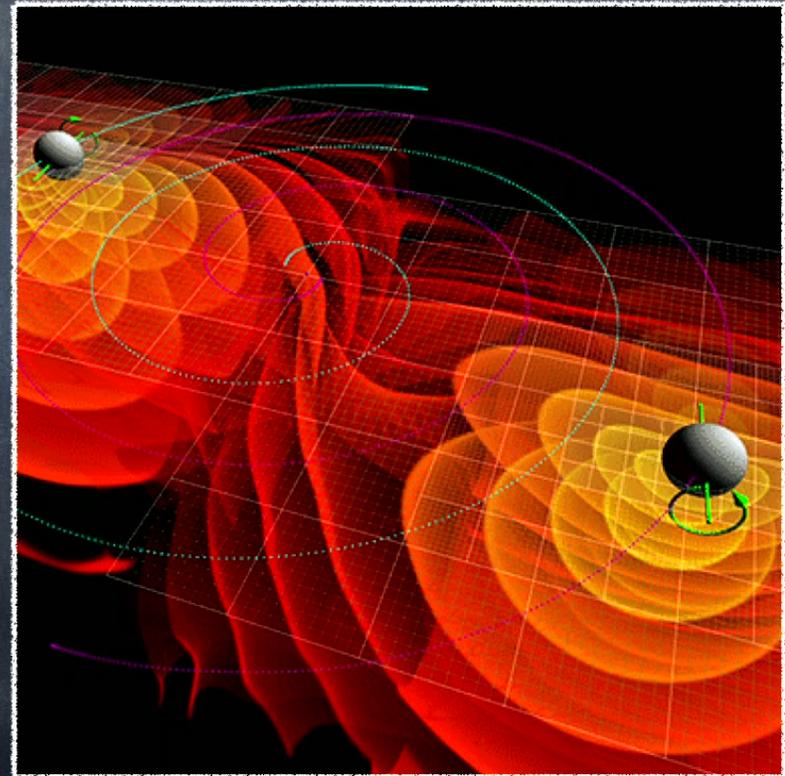


Image: C. Henze/NASA Ames Research Center

# Vanishing Love

- Love numbers are **Wilson coefficients** of point-particle EFT:

$$S_{\text{pp}} = \int d\tau \left( -m + \frac{1}{2} \lambda_E E_{ij}^2 + \frac{1}{2} \lambda_B B_{ij}^2 + \dots \right)$$

- encode effects of finite size/structure
- Standard EFT Logic: Wilson coefficients are  $O(1)$ 
  - Small/vanishing coefficients signals **fine-tuning** unless protected by **symmetry**
- Porto, 1606.08895

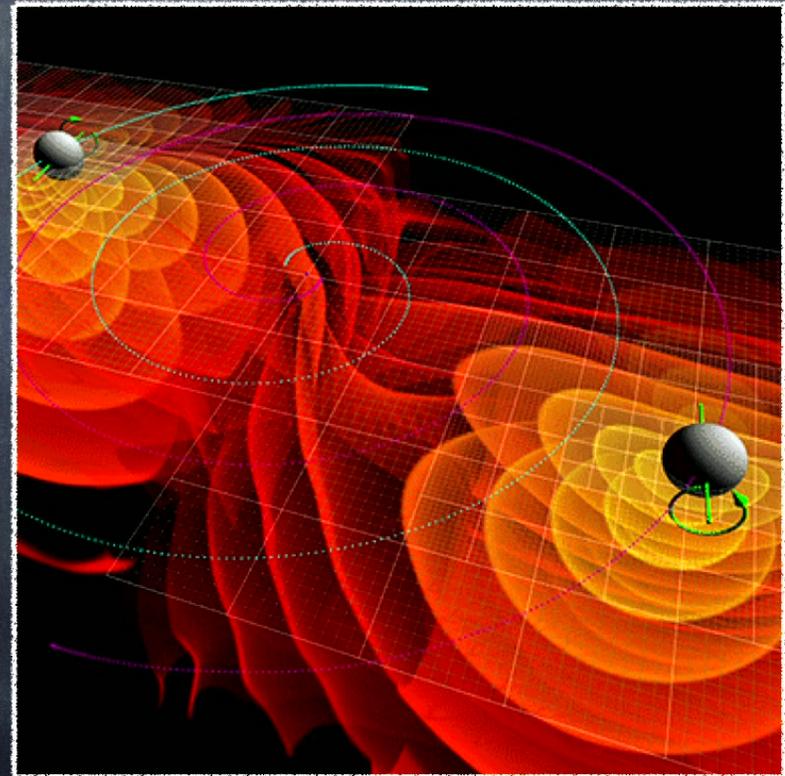


Image: C. Henze/NASA Ames Research Center

For Love numbers, it largely suffices to study **spin-0** dynamics on **Schwarzschild**:

$$\square \phi = 0 = \left[ -\frac{r^4}{\Delta} \partial_t^2 + \partial_r (\Delta \partial_r) + \frac{1}{\sin \theta} \partial_\theta (\sin \theta \partial_\theta) \right] \phi \quad \Delta = r(r - r_s)$$

Separability:  $\phi = \sum_{\ell=0}^{\infty} e^{-i\omega t} \phi_\ell(r) Y_\ell(\theta)$   $Y_\ell(\theta)$ :  $m=0$  spherical harmonics

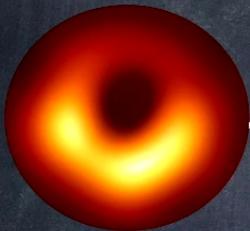
Radial equation:

$$\left[ \Delta \partial_r (\Delta \partial_r) - \ell(\ell + 1) \Delta + \omega^2 r^4 \right] \phi_\ell = 0$$

# Vanishing Love in a nutshell

Static Klein-Gordon:  $[\partial_r(\Delta\partial_r) - \ell(\ell + 1)] \phi = 0$

Shares key features with Regge-Wheeler/Zerilli on Schwarzschild and Teukolsky on Kerr



Horizon

Infinity

regular

$\phi \sim \text{const.}$

growing  $\phi \sim r^\ell$  (tidal field)

~~blowing up~~

$\phi \sim \ln(1 - r_s/r)$

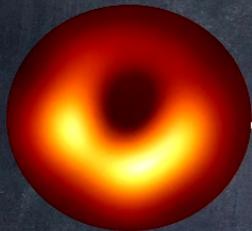
decaying  $\phi \sim \frac{1}{r^{\ell+1}}$  (static response)

Love number: coefficient of decaying term at infinity:  
 $\phi \rightarrow \phi_\infty (r^\ell + \lambda_\ell r^{-\ell-1})$

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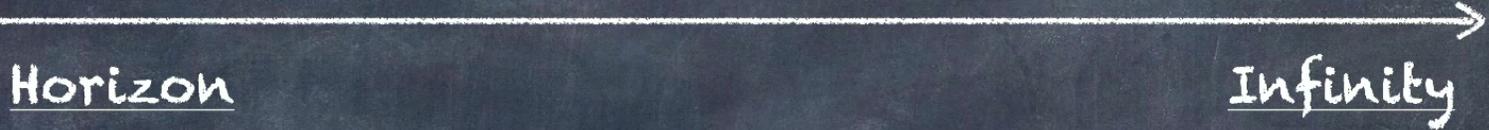
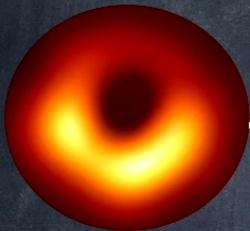
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 Physics: why does D=4 GR give us diff eqs like this?

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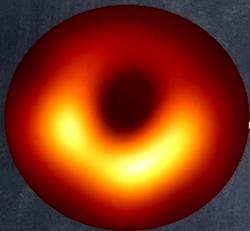
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# Ladder operators

Static Klein-Gordon on Schwarzschild:  $\sum_{\ell} H_{\ell} \phi_{\ell} = 0$

"Hamiltonian":  $H_{\ell} = -\Delta \left[ \partial_r (\Delta \partial_r) - \ell(\ell + 1) \right]$   $\Delta(r) \equiv r(r - r_s)$

Admits **raising** and **lowering** operators:  $D_{\ell}^{+} \equiv -\Delta \partial_r - \frac{\ell + 1}{2} \Delta'$   
 $D_{\ell}^{-} \equiv \Delta \partial_r - \frac{\ell}{2} \Delta'$

in the sense that  $H_{\ell+1}(D_{\ell}^{+} \phi_{\ell}) = 0$

$H_{\ell-1}(D_{\ell}^{-} \phi_{\ell}) = 0$

Note: This example contains the salient features of spin- $s$  on Kerr

# Turning the Ladder Sideways

- The raising/lowering symmetry  $D_\ell^\pm$  is unusual: it relates solutions at different levels  $\ell$
- **Want:** a symmetry for each level individually
- **Strategy:** lower to  $\ell = 0$  and use accidental symmetry

General spin:  $\ell = s$

• Horizontal symmetry:  $\delta\phi_\ell = Q_\ell\phi_\ell$

$$Q_0 \equiv \Delta\partial_r$$

$$Q_1 \equiv D_0^+ Q_0 D_1^-$$

⋮

• **Conserved charge:**  $P_\ell \equiv \Delta\partial_r(D_1^- D_2^- \cdots D_\ell^- \phi_\ell)$

$$P_0 = \Delta\partial_r\phi_0$$

$$Q_\ell \equiv D_{\ell-1}^+ Q_{\ell-1} D_\ell^-$$

See also Compton and Morrison (2003.08023)

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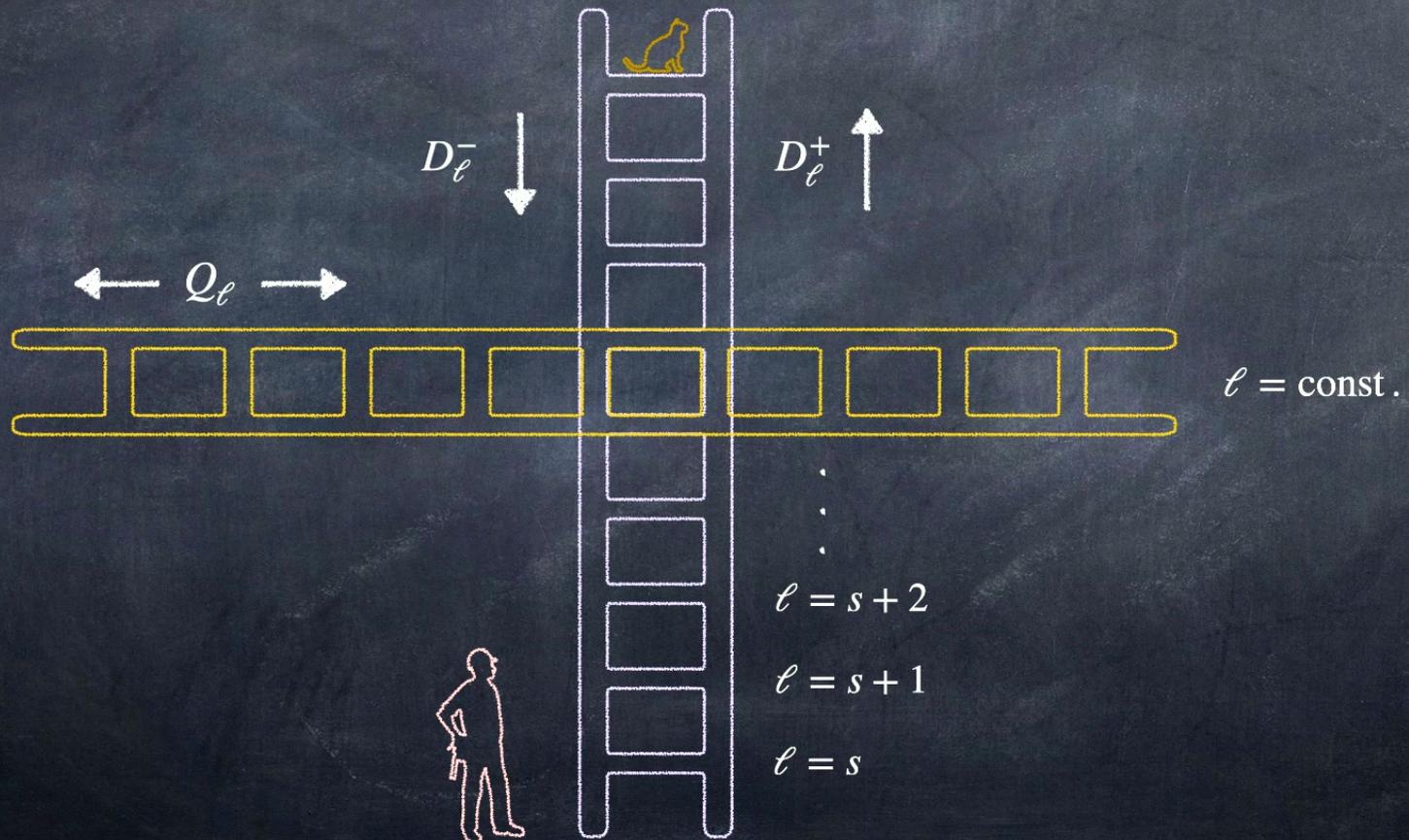
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# Ladders Up and Down



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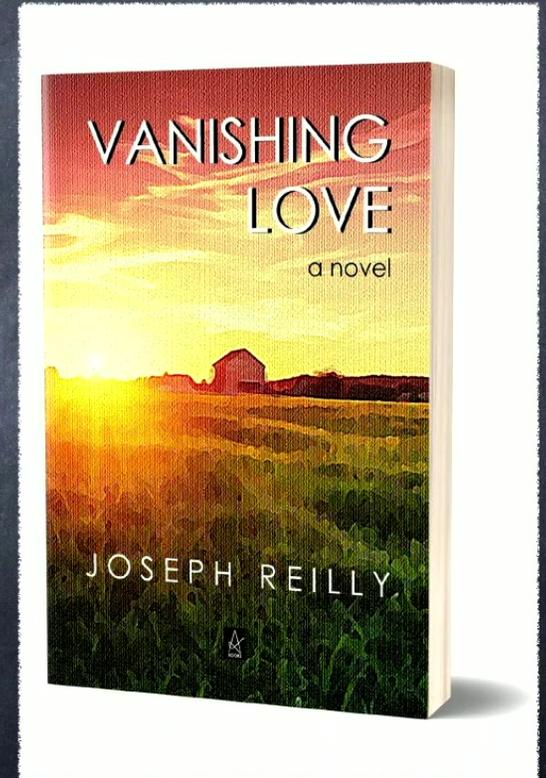
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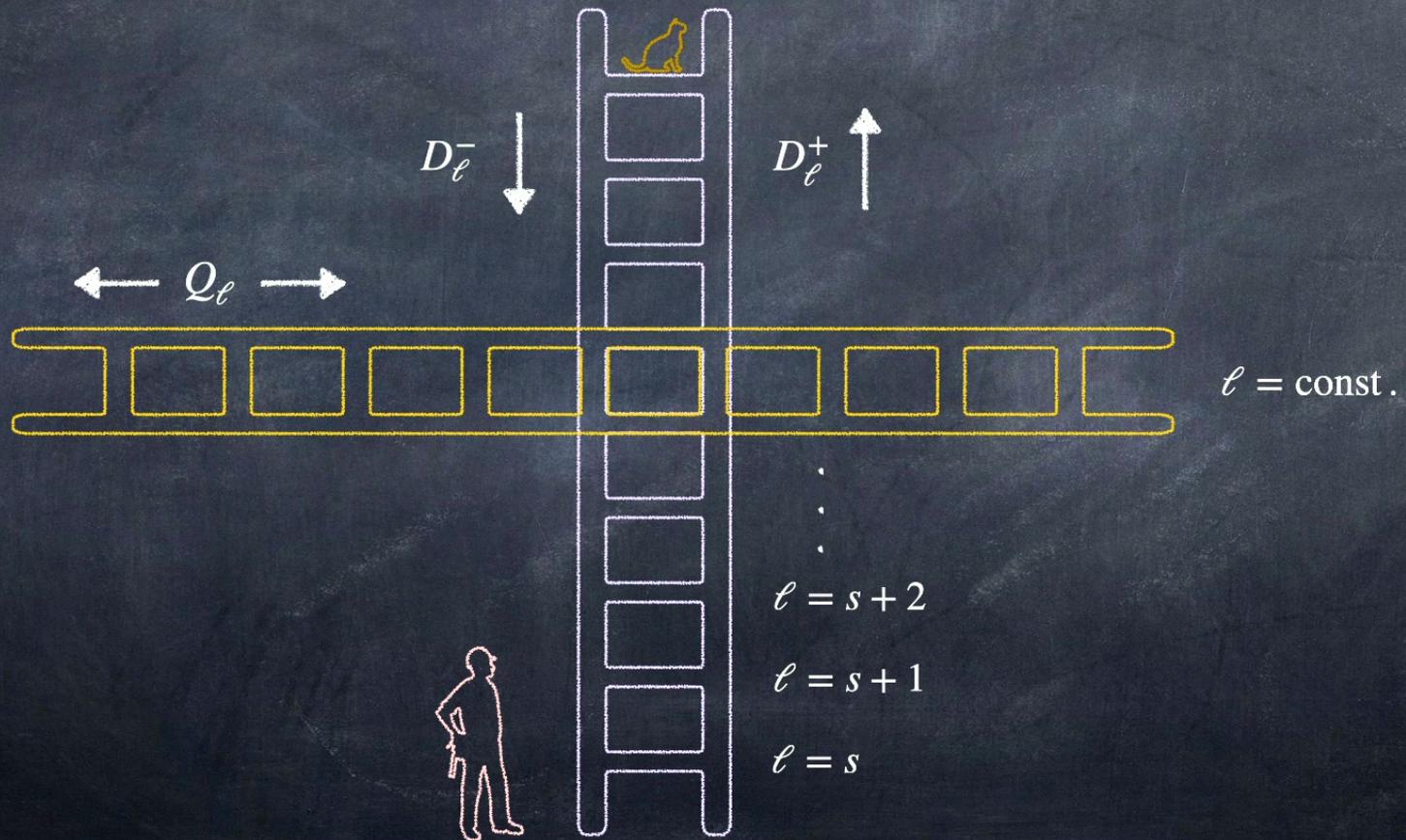
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# Vanishing Love

- Charge conservation implies  $L_{\text{love}} = 0$
- $P_\ell = 0$  for growing (infinity) and constant (horizon) modes
- $P_\ell \neq 0$  for decaying (infinity) and divergent (horizon) modes
- **Conclusion:** a decaying term diverges at the horizon
- **Aside:** spontaneous symmetry breaking:  
 $Q_\ell \phi_\ell^{(g)} = 0, \quad Q_\ell \phi_\ell^{(d)} \neq 0$



# Ladders Up and Down



# Towards Reality: Spin Ladder

Teukolsky equation:

$$\partial_r \left( \Delta \partial_r \phi_\ell^{(s)} \right) + s(2r - r_s) \partial_r \phi_\ell^{(s)} + \left( \frac{a^2 m^2 + is(2r - r_s)am}{\Delta} - (\ell - s)(\ell + s + 1) \right) \phi_\ell^{(s)} = 0$$

Admits ladders in  $\ell$  and spin!

These extend the  
Teukolsky-Starobinsky  
identities for static  
perturbations

$$E^+ \equiv \partial_r, \quad E_s^- \equiv \Delta \partial_r - s(r_+ + r_- - 2r) - 2iam \frac{r_+ - r_-}{r_+ - r_-}$$

Relates solutions to Klein-Gordon, Maxwell, and Einstein

vanishing scalar Love  $\longrightarrow$  vanishing gravitational Love

NB: also can construct ladder for Regge-Wheeler

# IR symmetries

Point-particle EFT:  
treat BH as a point, encode structure in  
higher-order operators

$\gamma$ : worldline einbein  
 $g$ : (monopole) scalar charge  
 $\lambda_\ell$ : Love numbers

$$S = -\frac{1}{2} \int d^4x (\partial\phi)^2 + \int d\tau \gamma \left[ \frac{1}{2} \gamma^{-2} \dot{x}^\mu \dot{x}_\mu - \frac{\mu^2}{2} - g\phi + \sum_{\ell=0}^{\infty} \frac{\lambda_\ell}{2\ell!} \left( \partial_{(a_1} \cdots \partial_{a_\ell)_T} \phi \right)^2 \right]$$

UV symmetry in flat-space limit:  $\delta\phi = r^2 \cos\theta \partial_r \phi + r \partial_\theta (\sin\theta \phi)$

Punchline: only the bulk  $(\partial\phi)^2$  term is invariant

# Geometric Interpretation

## From Schwarzschild to AdS

Consider a static scalar:  $S = \frac{1}{2} \int d^3x \sqrt{g} \phi \square \phi$

and perform a conformal transformation:

$$\tilde{g}_{ij} = \Omega^2 g_{ij}, \quad \tilde{\phi} = \Omega^{-1} \phi, \quad \Omega^2 \equiv L^2 / \Delta$$

L: arbitrary scale

so that

$$S = \frac{1}{2} \int d^3x \sqrt{\tilde{g}} \left( \tilde{\phi} \tilde{\square} \tilde{\phi} + \frac{r_s^2}{4L^4} \tilde{\phi}^2 \right)$$

The metric  $\tilde{g}_{ij}$  is nothing other than Euclidean  $\text{AdS}_3$

**Punchline:** static massless scalar on Schwarzschild = massive scalar on  $\text{EAdS}_3$

# Beyond the static limit

$$\text{Klein-Gordon: } \partial_r(\Delta\partial_r\phi) - \ell(\ell+1)\phi + \frac{\omega^2 r^4}{\Delta}\phi = 0$$

$$\text{Approximation: } \omega r, \omega r_s \ll 1$$

$$\text{Defines near zone: } \omega^{-1} \gg r \geq r_s$$

Near zone includes near horizon, asymp. flat region

Identity:

$$\frac{\omega^2 r^4}{\Delta} = \omega^2 r^2 + \omega^2 r_s(r + r_s) + \frac{\omega^2 r_s^3}{r} + \frac{\omega^2 r_s^4}{\Delta} \approx \frac{\omega^2 r_s^4}{\Delta}$$

# Effective near-zone metric

- Near-zone Klein-Gordon equation:

$$\partial_r(\Delta\partial_r\phi) - \ell(\ell + 1)\phi + \frac{\omega^2 r_s^4}{\Delta}\phi = 0$$

- This is  $\square_{\text{NZ}}\phi = 0$  in an **effective near-zone metric**:

$$ds_{\text{NZ}}^2 = -\frac{\Delta}{r_s^2}dt^2 + \frac{r_s^2}{\Delta}dr^2 + r_s^2d\Omega^2$$

- This metric is **AdS<sub>2</sub> × S<sup>2</sup>**

- Possesses a **very rich** symmetry structure

- Same near-zone geometry for Kerr (suitable def of near-zone)

# Beyond the static limit

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# Properties of the near-zone metric

$$ds_{\text{NZ}}^2 = -\frac{\Delta}{r_s^2} dt^2 + \frac{r_s^2}{\Delta} dr^2 + r_s^2 d\Omega^2$$

- Conformally flat ( $C_{\mu\nu\alpha\beta} = 0$ )
  - 6 Killing vectors, 9 conformal Killing vectors
- Ricci scalar vanishes ( $R = 0, R_{\mu\nu} \neq 0$ )
  - Massless scalar is conformally coupled
  - ALL 15 (C)KVs generate symmetries of near-zone action/equations of motion

# Near-zone symmetries

Killing:

$$T = 2r_s \partial_t,$$

$$L_{\pm} = e^{\pm t/2r_s} (2r_s \partial_r \sqrt{\Delta} \partial_t \mp \sqrt{\Delta} \partial_r),$$

$$J_{23} = \partial_{\varphi},$$

$$J_{12} = \cos \varphi \partial_{\theta} - \cot \theta \sin \varphi \partial_{\varphi},$$

$$J_{13} = \sin \varphi \partial_{\theta} + \cot \theta \cos \varphi \partial_{\varphi}.$$

Love symmetry

Conformal Killing:

$$J_{01} = -\frac{2\Delta}{r_s} \cos \theta \partial_r - \frac{\partial_r \Delta}{r_s} \sin \theta \partial_{\theta}, \quad (5a)$$

$$J_{02} = -\cos \varphi \left[ \frac{2\Delta}{r_s} \sin \theta \partial_r + \frac{\partial_r \Delta}{r_s} \left( \frac{\tan \varphi}{\sin \theta} \partial_{\varphi} - \cos \theta \partial_{\theta} \right) \right], \quad (5b)$$

$$J_{03} = -\sin \varphi \left[ \frac{2\Delta}{r_s} \sin \theta \partial_r - \frac{\partial_r \Delta}{r_s} \left( \frac{\cot \varphi}{\sin \theta} \partial_{\varphi} + \cos \theta \partial_{\theta} \right) \right], \quad (5c)$$

$$K_{\pm} = e^{\pm t/2r_s} \frac{\sqrt{\Delta}}{r_s} \cos \theta \left( \frac{r_s^3}{\Delta} \partial_t \mp \partial_r \Delta \partial_r \mp 2 \tan \theta \partial_{\theta} \right), \quad (5d)$$

$$M_{\pm} = e^{\pm t/2r_s} \cos \varphi \left[ \frac{r_s^2}{\sqrt{\Delta}} \sin \theta \partial_t \mp \frac{\sqrt{\Delta} \partial_r \Delta \sin \theta}{r_s} \partial_r \right. \\ \left. \pm \frac{2\sqrt{\Delta}}{r_s} \cos \theta \partial_{\theta} \mp \frac{2\sqrt{\Delta} \tan \varphi}{r_s \sin \theta} \partial_{\varphi} \right], \quad (5e)$$

$$N_{\pm} = e^{\pm t/2r_s} \sin \varphi \left[ \frac{r_s^2}{\sqrt{\Delta}} \sin \theta \partial_t \mp \frac{\sqrt{\Delta} \partial_r \Delta \sin \theta}{r_s} \partial_r \right. \\ \left. \pm \frac{2\sqrt{\Delta}}{r_s} \cos \theta \partial_{\theta} \pm \frac{2\sqrt{\Delta} \cot \varphi}{r_s \sin \theta} \partial_{\varphi} \right].$$

Acting  $J_{01}$  on a single multipole yields our ladder operators

full algebra:  $so(4,2)$

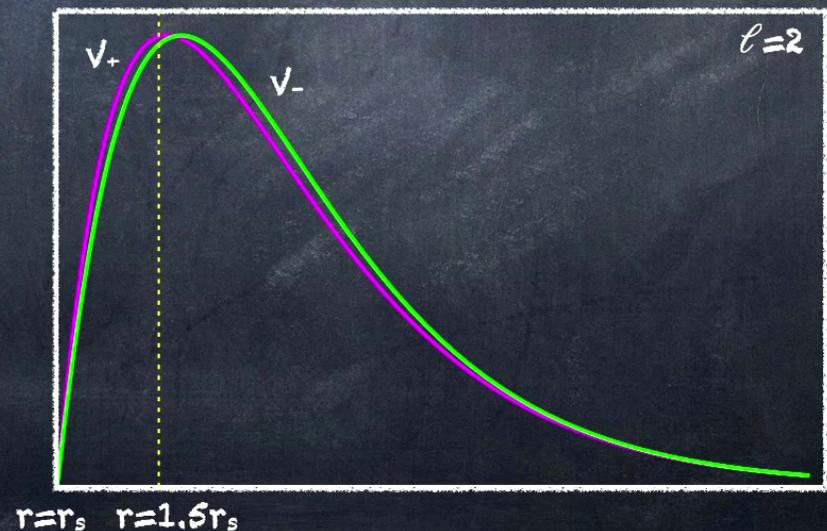
$sl(2)$  subalgebras:  $T$  and  $L_{\pm}, K_{\pm}, M_{\pm}, N_{\pm}$

# Regge-Wheeler and Zerilli

- Encode dynamical d.o.f. in **master variables**:
- Even: Zerilli  $\Psi_+$
- Odd: Regge-Wheeler  $\Psi_-$
- These obey simple **Schrödinger-like** equations
- Tortoise coordinate:  
$$dr \equiv \left(1 - \frac{r_s}{r}\right) dr_*$$

Master equation:

$$\frac{\partial^2 \Psi_{\pm}(t, r)}{\partial t^2} - \frac{\partial^2 \Psi_{\pm}(t, r)}{\partial r_*^2} + V_{\pm}(r) \Psi_{\pm}(t, r) = 0$$



# Chandrasekhar's Duality

- Secret link between Regge-Wheeler and Zerilli potentials:

$$V_{\pm}(r) = W^2(r) \mp \frac{dW(r)}{dr_{\star}} + \beta$$

with  $W(r)$  the **superpotential** and  $\beta$  a constant

- This directly implies **isospectrality**  
Chandrasekhar (1980s)

$$V_{+} = \frac{1 - \frac{r_s}{r} \left( 9r_s^3 + 12\lambda^2 r_s r^2 + 8\lambda^2 (1 + \lambda) r^3 + 18\lambda r_s^2 r \right)}{r^3 (2\lambda r + 3r_s)^2}$$

$$V_{-} = \left( 1 - \frac{r_s}{r} \right) \left( \frac{\ell(\ell + 1)}{r^2} - \frac{3r_s}{r^3} \right) \quad 2\lambda \equiv (\ell - 1)(\ell + 2)$$

# Linearized Einstein-Hilbert

To study symmetries, we want to work at the level of the **action**:

$$S = \frac{M_{\text{Pl}}^2}{2} \int d^4x \sqrt{-g} R \Big|_{g_{\mu\nu} = \bar{g}_{\mu\nu} + \frac{1}{M_{\text{Pl}}} h_{\mu\nu}}$$

Why? Allows us to calculate e.g. Noether currents

## Procedure

1. Fix gauge
2. Integrate out **non-dynamical** (auxiliary) dofs
3. Canonically normalize: rescale **field** and **coordinates**

parity and spherical harmonics decoupling:

$$S = \sum_{\pm} \sum_{\ell} \int dt dr \mathcal{L}_{\pm}^{\ell}(t, r)$$

# Linearized Einstein-Hilbert

## Canonical normalization

- Coordinate choice:  $r \rightarrow r_\star$
- Field redefinition:  $h_{\mu\nu}^\pm \rightarrow \Psi_\pm$

## End result

$$S = \frac{1}{2} \sum_{\ell=2}^{\infty} \int dt dr_\star \sum_{\pm} \left[ \left( \frac{\partial \Psi_\pm}{\partial t} \right)^2 - \left( \frac{\partial \Psi_\pm}{\partial r_\star} \right)^2 - V_\pm(r) \Psi_\pm^2 \right]$$

# Application: Tidal Response

- Noether current for static solutions:

$$J^{r_*} = \partial_{r_*} \Psi_+ \partial_{r_*} \Psi_- + W(\Psi_+ \partial_{r_*} \Psi_- - \Psi_- \partial_{r_*} \Psi_+) - (W^2 + \beta) \Psi_+ \Psi_- = \text{const.}$$

- Regularity at the horizon:  $J^{r_*} = 0$
- At infinity:  $\Psi_{\pm} \propto r^{\ell+1} + \lambda_{\pm} r^{-\ell}$ ;  $J^{r_*} \propto (\lambda_+ - \lambda_-)$
- Duality implies equal Love numbers
- Ladder symmetry for RW but not Zerilli  $\rightarrow$  even Love = 0

# Minkowski Limit

## Electric-magnetic duality

Duality in the flat limit:  $\delta\Psi_+ = -\Psi_-$   
 $SO(2)$   $\delta\Psi_- = \Psi_+$

implies, on-shell,

Gravitational electric-magnetic duality:  $\delta R_{\mu\nu\alpha\beta} = \tilde{R}_{\mu\nu\alpha\beta}$   $\tilde{R}_{\mu\nu\alpha\beta} = \frac{1}{2}\epsilon_{\mu\nu\rho\sigma}R^{\rho\sigma}{}_{\alpha\beta}$   
 $\delta\tilde{R}_{\mu\nu\alpha\beta} = -R_{\mu\nu\alpha\beta}$

EM duality previously known symmetry of Einstein-Hilbert  
around Minkowski, (A)dS

# Summary

- ◉ Vanishing Love numbers and isospectral QNMs both indicate hidden symmetries of GR (massless fields on Schwarzschild)
- ◉ We find symmetries of Einstein-Hilbert underlying these:
  - ◉ Love = 0: ladder symmetries/conformal symmetry
  - ◉ Isospectrality: EM duality on Schwarzschild