

Title: Quantum Criticality in the 2+1d Thirring Model

Speakers: Simon Hands

Collection: Quantum Criticality: Gauge Fields and Matter

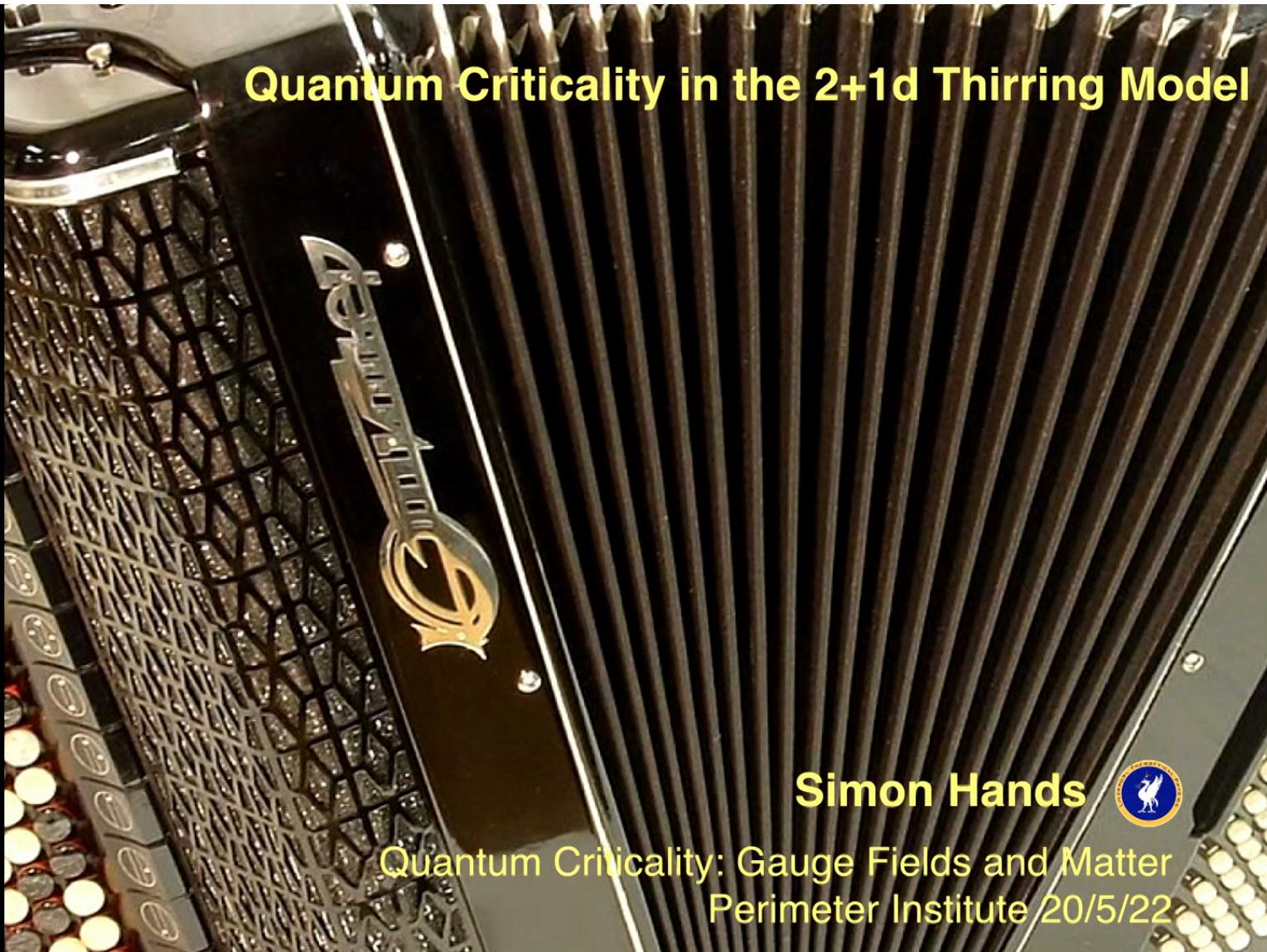
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Abstract: The Thirring Model is a covariant quantum field theory of interacting fermions, sharing many features in common with effective theories of two-dimensional electronic systems with linear dispersion such as graphene.

For a small number of flavors and sufficiently strong interactions the ground state may be disrupted by condensation of particle- hole pairs leading to a quantum critical point. With no small dimensionless parameters in play in this regime the Thirring model is plausibly the simplest theory of fermions requiring a numerical solution.

I will review what is currently known focussing on recent results and challenges from simulations employing Domain Wall Fermions, a formulation drawn from state-of-the-art lattice QCD, to faithfully capture the underlying symmetries at the critical point.



Quantum field theories of particle physics are (for the most part) weakly-interacting at short distances: we have a reasonable idea of how to calculate with them.

But this is not the only possibility...

Inspired by condensed-matter systems eg. **graphene**, I will

- discuss QFTs of relativistic fermions in 2+1d exhibiting **critical behaviour**, signalling a new strongly-interacting QFT
- argue that to characterise the **Quantum Critical Point** it is crucial to capture relevant global symmetries accurately
- present results showing that different lattice discretisations tell very different stories...
- present new results for the model's spectrum



## The Thirring Model in 2+1d

$$\mathcal{L} = \bar{\psi}_i(\not{d} + m)\psi_i + \frac{g^2}{2N}(\bar{\psi}_i\gamma_\mu\psi_i)^2$$

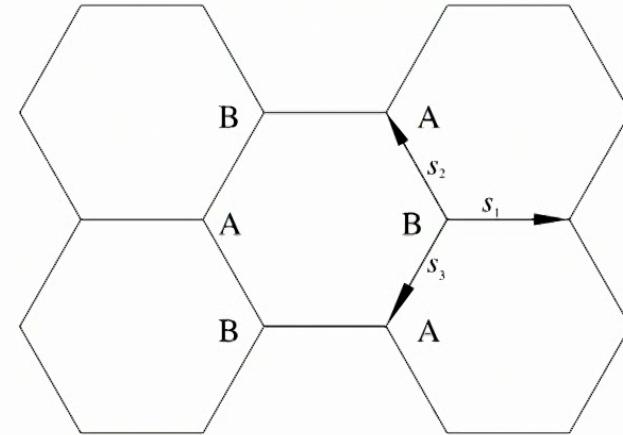
Covariant quantum field theory of  
 $N$  flavors of interacting fermion in 2+1 dimensions.  
Fermions are spinor fields  $\psi, \bar{\psi}$  acted on by 4x4 Dirac matrices  $\gamma_\mu$

Interaction between conserved currents:  
like charges **repel**, opposite charges **attract**

$$\not{d} \equiv \partial_\mu\gamma_\mu \quad \mu = 0, 1, 2 \quad i = 1, \dots, N$$

$$\text{tr}(\gamma_\mu\gamma_\mu) = 4 \quad \{\gamma_\mu, \gamma_\nu\} = 2\delta_{\mu\nu} \quad \gamma_5 \equiv \gamma_0\gamma_1\gamma_2\gamma_3$$
$$\mu, \nu = 0, 1, 2, 3$$

# Many applications of “Flatland fermions” in condensed matter physics

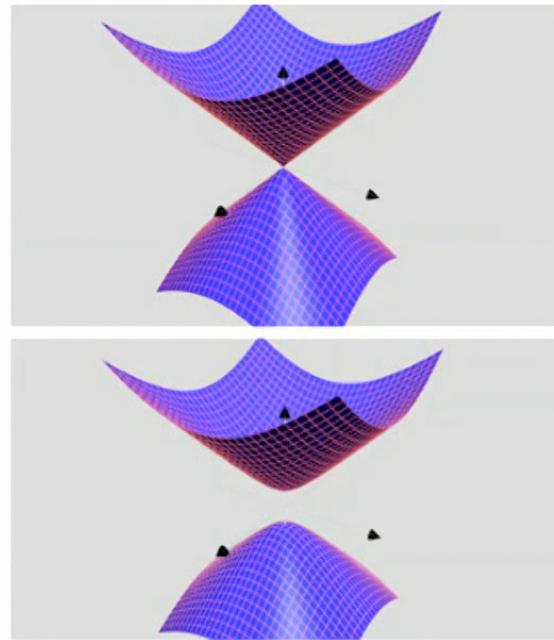


- Nodal fermions in *d*-wave superconductors
- Spin liquids in Heisenberg AFM
- surface states of topological insulators
- graphene

For sufficiently large  $g^2$ , or sufficiently small  $N$ , the Fock vacuum is conceivably disrupted by a particle-hole **bilinear condensate**

$$\langle \bar{\psi} \psi \rangle \equiv \frac{\partial \ln Z}{\partial m} \neq 0$$

resulting in a dynamically-generated mass gap at the Dirac point



Cf. chiral symmetry breaking in QCD

Hypothesis:  
the semimetal-insulator transition at  $g_c^2(N)$  defines a **Quantum Critical Point**: whose universal properties characterise low-energy excitations of graphene

D.T. Son, Phys. Rev. B**75** (2007) 235423

Corresponds to a new strongly-interacting QFT...  
...a priori there are no small dimensionless parameters



## Continuum Symmetries in $d = 2 + 1$

$$\mathcal{S} = \int d^3x \bar{\Psi}(\gamma_\mu \partial_\mu)\Psi + m\bar{\Psi}\Psi$$

For  $m=0$  S is invariant under global U(2N) symmetry generated by

- (i)  $\Psi \mapsto e^{i\alpha}\Psi; \quad \bar{\Psi} \mapsto \bar{\Psi}e^{-i\alpha},$
- (ii)  $\Psi \mapsto e^{i\alpha\gamma_5}\Psi; \quad \bar{\Psi} \mapsto \bar{\Psi}e^{i\alpha\gamma_5}$
- (iii)  $\Psi \mapsto e^{\alpha\gamma_3\gamma_5}\Psi; \quad \bar{\Psi} \mapsto \bar{\Psi}e^{-\alpha\gamma_3\gamma_5}.$
- (iv)  $\Psi \mapsto e^{i\alpha\gamma_3}\Psi; \quad \bar{\Psi} \mapsto \bar{\Psi}e^{i\alpha\gamma_3}$

For  $m \neq 0$ ,  $\gamma_3$  (iv) and  $\gamma_5$  (ii) rotations are no longer symmetries

$$\Rightarrow U(2N) \rightarrow U(N) \otimes U(N)$$

Mass term  $m\bar{\Psi}\Psi$  is **hermitian** & invariant under **parity**  $x_\mu \mapsto -x_\mu$

Two physically equivalent **antihermitian**  $im_3\bar{\Psi}\gamma_3\Psi; im_5\bar{\Psi}\gamma_5\Psi$   
twisted or Kekulé mass terms:

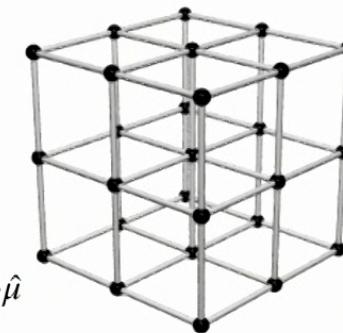
The *Haldane* mass  $m_{35}\bar{\Psi}\gamma_3\gamma_5\Psi$  is *not* parity-invariant

## Numerical Lattice Approach

Early work used staggered fermions

$$S_{latt} = \frac{1}{2} \sum_{x,\mu i} \bar{\chi}_x^i \eta_{\mu x} (\underbrace{1 + iA_{\mu x}}_{\text{non-unitary link fields}}) \chi_{x+\hat{\mu}}^i - \bar{\chi}_x^i \eta_{\mu x} (\underbrace{1 - iA_{\mu x-\hat{\mu}}}_{\text{non-unitary link fields}}) \chi_{x-\hat{\mu}}^i + m \sum_{xi} \bar{\chi}_x^i \chi_x^i + \frac{N}{4g^2} \sum_{x\mu} A_{\mu x}^2$$

vector auxiliary  $A_{\mu x}$  defined on link between  $x$  and  $x+\mu$



symmetry breaking resulting  
from gap generation:  $U(N) \otimes U(N) \rightarrow U(N)$

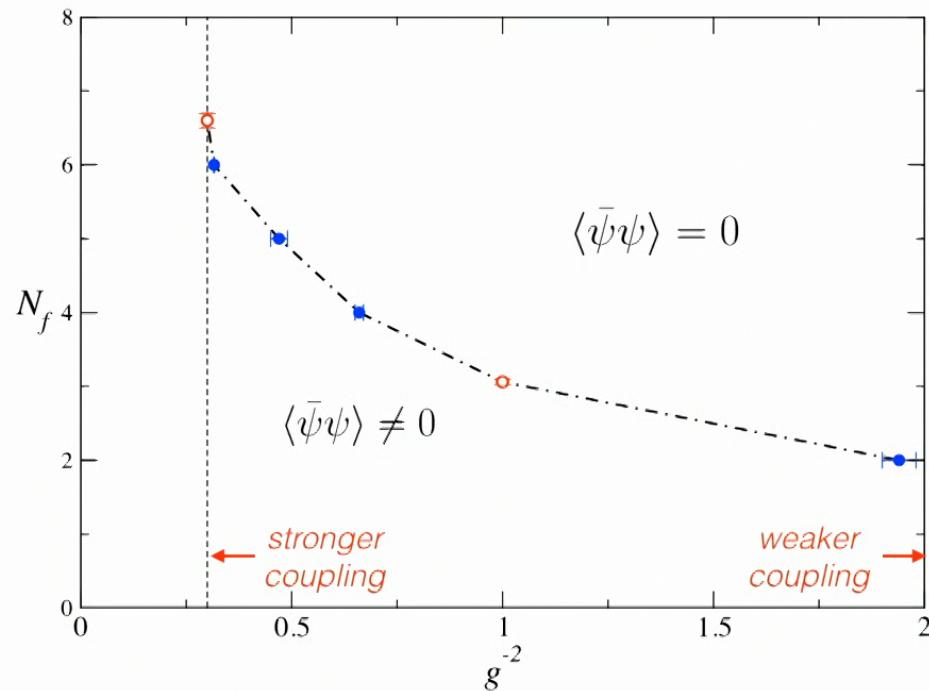
In **weak coupling** continuum limit

$U(2N_f)$  symmetry is recovered, with  $N_f = 2N$

this is an instance of “lattice fermion doubling”

no such expectation **in general** at a QCP

## Phase diagram of the Staggered Thirring Model



Find symmetry broken phase (gapped, insulating)  
for small  $N$ , large  $g^2$

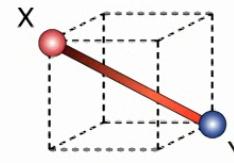
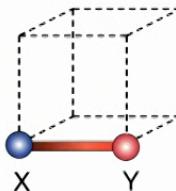
Christofi, SJH, Strouthos PRD75 (2007) 101701

## Staggered Fermion Bag Algorithm with minimal $N_f = 2$ ( $N=1$ )

Chandrasekharan & Li, PRL 108 (2012) 140404; PRD88 (2013) 021701

Thirring Model:  $v=0.85(1)$ ,  $\eta=0.65(1)$ ,  $\eta_\psi=0.37(1)$  ( $N_f < N_{fc} \approx 7$ )

U(1) GN Model:  $v=0.849(8)$ ,  $\eta=0.633(8)$ ,  $\eta_\psi=0.373(3)$  ( $N_f \rightarrow \infty$ :  $v=\eta=1$ )



Interactions between staggered fields  $\chi, \bar{\chi}$  spread over elementary cubes.  
Only difference between Thirring & GN is body-diagonal term

Staggered fermions not reproducing expected distinction  
between Thirring and GN QCPs

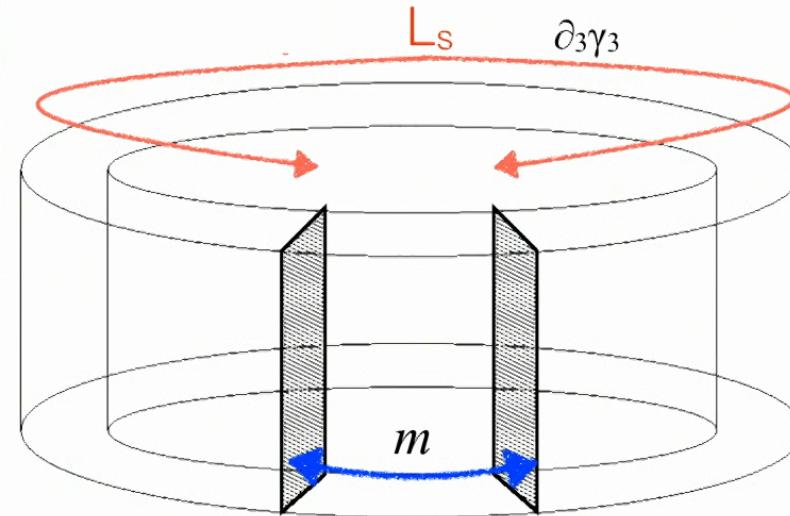
... so we need “better” lattice fermions?



$$\mathcal{L} = \bar{\Psi}(x, s) D_{DWF} \Psi(y, s')$$

Fermions propagate freely along a fictitious third direction of extent  $L_s$  with open boundaries

## Domain Wall Fermions



Basic idea as  $L_s \rightarrow \infty$ :

- zero-modes of  $D_{DWF}$  localised on walls are  $\pm$  eigenmodes of  $\gamma_3$
- Modes propagating in bulk can be decoupled (with cunning)

“Physical” fields  
in 2+1d target space

$$\psi(x) = P_- \Psi(x, 1) + P_+ \Psi(x, L_s);$$

$$\bar{\psi}(x) = \bar{\Psi}(x, L_s) P_- + \bar{\Psi}(x, 1) P_+;$$

with projectors  $P_\pm = \frac{1}{2}(1 \pm \gamma_3)$

## Bottom Up View...

in DWF approach we simulate  
2+1+1d fermions

*Desiderata...*

- Modes localised on walls carry U(2N)-invariant physics
- Fermion doublers don't contribute to normalisable modes
- Bulk modes can be made to decouple

*Claim...*

It appears to work for....

- carefully-chosen “domain wall height”  $M$
- smooth gauge field background





## Top Down View...

write the fermion bilinear:  $\bar{\psi}M\psi = \bar{\psi}(D + m)\psi$

Then U(2N) symmetry can be re-expressed:

$$\{\gamma_3, D\} = \{\gamma_5, D\} = [\gamma_3\gamma_5, D] = 0$$

There is no regular lattice discretisation respecting these relations, while simultaneously describing unitary, local dynamics of a single Dirac fermion species

Nielsen & Ninomiya, 1981

The closest we can get is articulated by the **Ginsparg-Wilson** relations:



$$\{\gamma_3, D\} = 2D\gamma_3D \quad \{\gamma_5, D\} = 2D\gamma_5D \quad [\gamma_3\gamma_5, D] = 0$$

RHS is  $O(aD)$ , so U(2N) recovered in long-wavelength limit if  $D$  local

By construction GW is satisfied by the 2+1d *overlap* operator

$$D_{ov} = \frac{1}{2} \left[ (1 + m_h) + (1 - m_h) \frac{\mathcal{A}}{\sqrt{\mathcal{A}^\dagger \mathcal{A}}} \right]$$

with, eg.  $\mathcal{A} = [2 + D_W - M]^{-1}[D_W - M]$   $D_W$  local;  $Ma = O(1)$

Shamir kernel

$$\gamma_3 \mathcal{A} \gamma_3 = \gamma_5 \mathcal{A} \gamma_5 = \mathcal{A}^\dagger$$

locality of  $D_{ov}$  not manifest  
but confirmed numerically

SJH, Mesiti, Worthy PRD **102** (2020) 094502

DWF provide a  
regularisation of overlap with  
a *local* kernel in 2+1+1d



ie. 
$$\frac{\det D_{\text{DWF}}(m_i)}{\det D_{\text{DWF}}(m_h = 1)} = \det D_{L_s}(m_i)$$
 with  $\lim_{L_s \rightarrow \infty} D_{L_s} = D_{ov}$

SJH PLB **754** (2016) 264



## Formulational issues

By analogy with QCD, formulate auxiliary field  $A_\mu(x)$  throughout bulk and 3-static ie.  $\partial_3 A_\mu = 0$ :

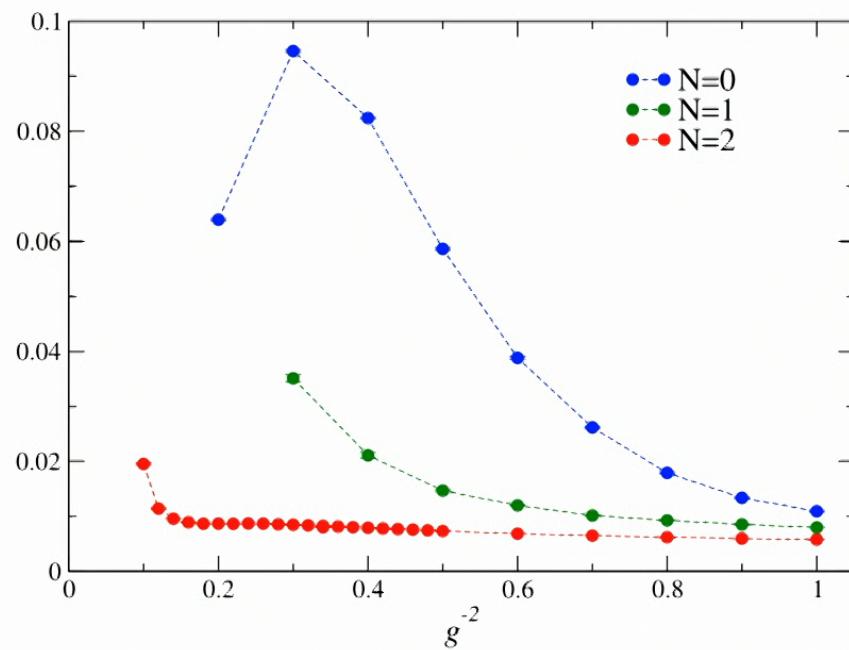
↔  $A_\mu$  couples to conserved DWF fermion current

$$\mathcal{S} = \bar{\Psi} \mathcal{D} \Psi = \bar{\Psi} D_W \Psi + \bar{\Psi} D_3 \Psi + m_i S_i \quad \text{with} \quad D_W = \gamma_\mu D_\mu - (\hat{D}^2 + M); \\ D_3 = \gamma_3 \partial_3 - \hat{\partial}_3^2,$$

**NB**  $D_\mu \propto (1 + iA_\mu)$ , not  $e^{iA_\mu}$ ,  
ie. links are ***non-compact*** and ***non-unitary***

$[\partial_3, D_\mu] = [\partial_3, \hat{D}^2] = 0$  but  $[\partial_3, \hat{\partial}_3^2] \neq 0$  on walls  
obstruction to proving  $\det \mathcal{D} > 0$

**RHMC with measure**  $\sqrt{\det(\mathcal{D}^\dagger \mathcal{D})}$  for  $N = 1$

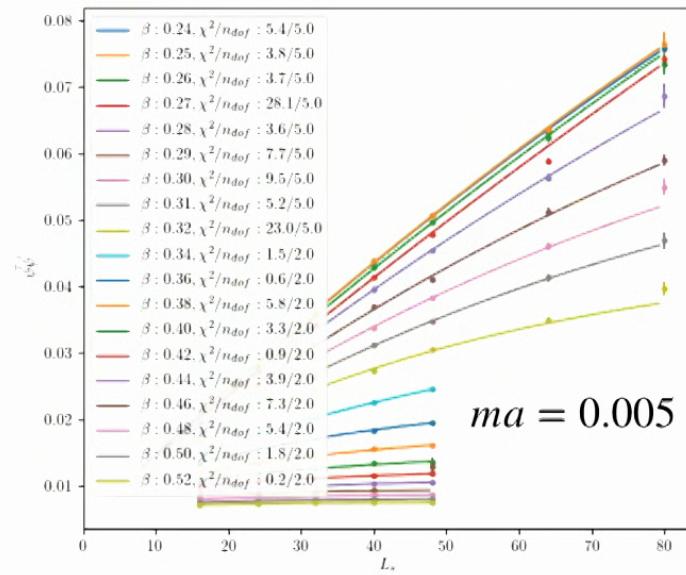


## Exploratory Results with fixed $L_s = 16$

Bilinear condensate  $\langle i\bar{\psi}\gamma_3\psi \rangle$  for  $N = 0, 1, 2, \dots$   
hierarchy consistent with  $1 < N_c < 2$

But to achieve U(2) symmetry we need  $L_s \rightarrow \infty \dots$

## Stress-testing DWF...

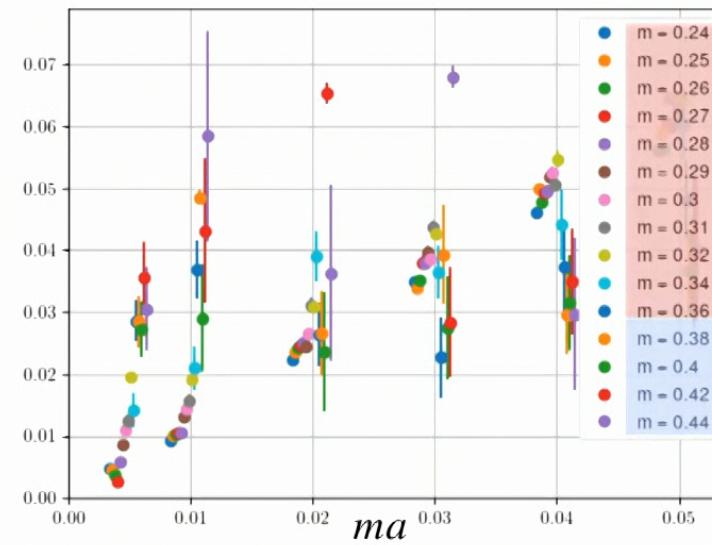


Decay constant  $\Delta(\beta, m)$ :

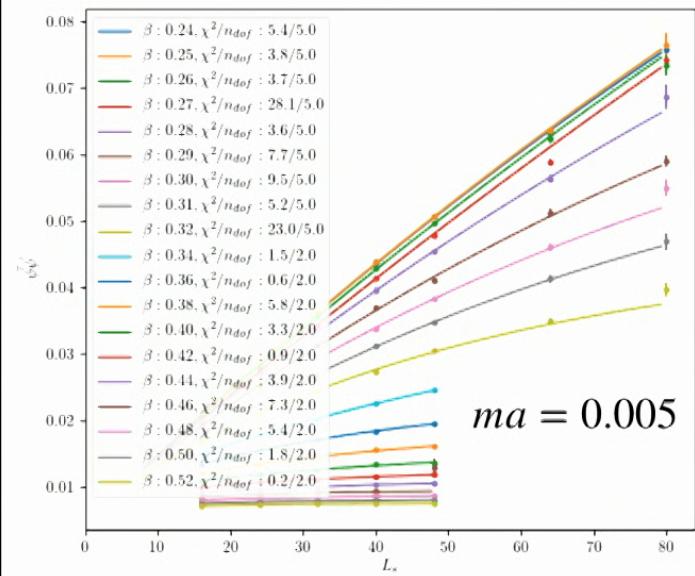
$$\langle \bar{\psi} \psi \rangle_\infty - \langle \bar{\psi} \psi \rangle_{L_s} = A(\beta, m) e^{-\Delta(\beta, m)L_s}$$

Have  $L_s = 8, 16, \dots, 80$

$L_s \rightarrow \infty$  not yet under control  
at lightest masses, strongest couplings



## Stress-testing DWF...



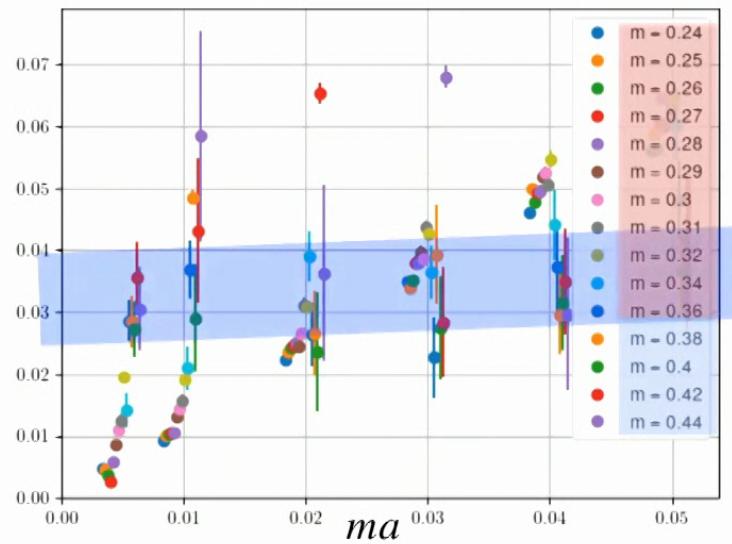
Decay constant  $\Delta(\beta, m)$ :

$\sim \propto m^0$  at weak coupling

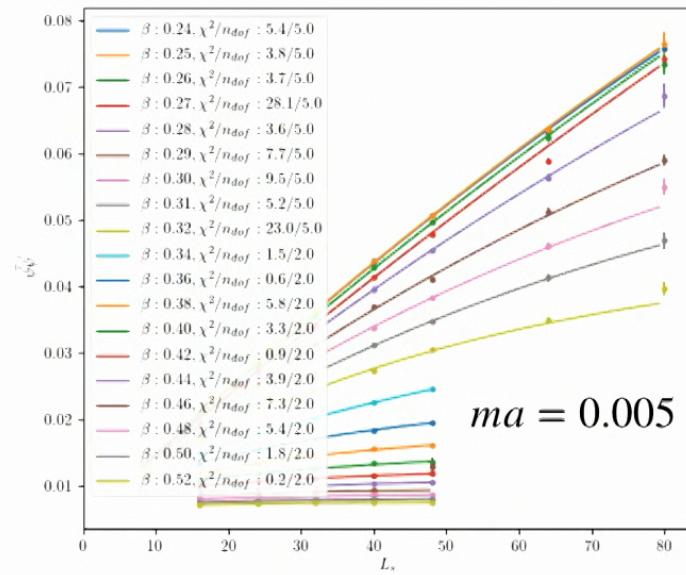
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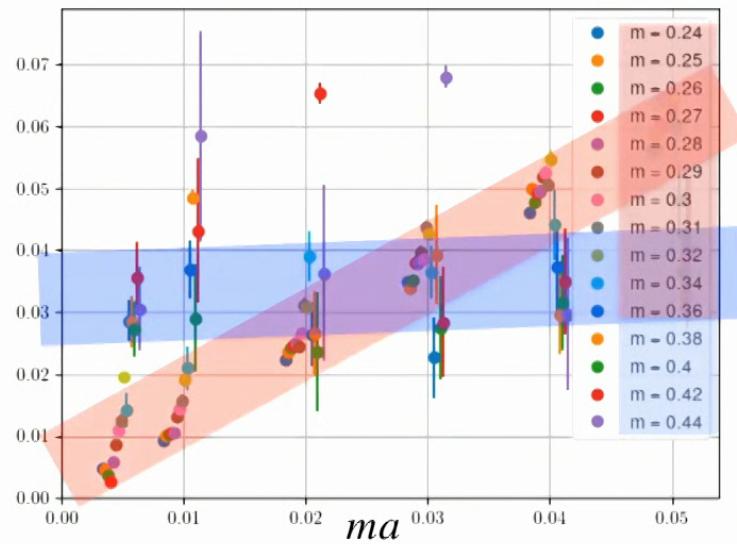
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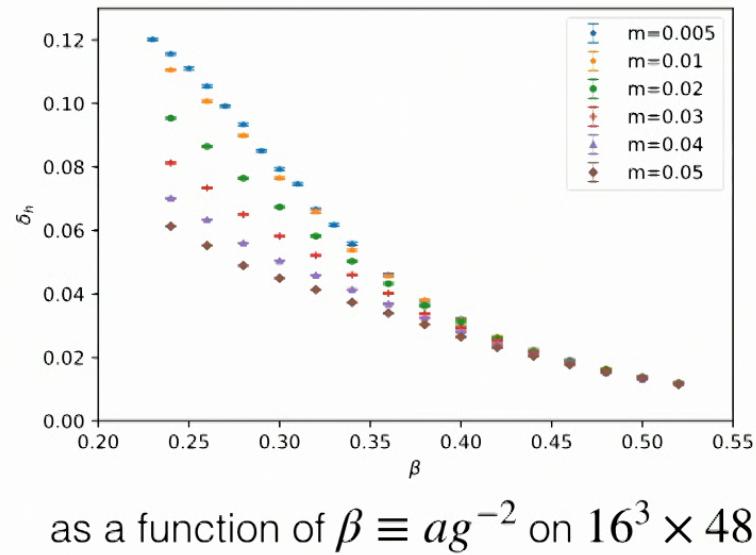
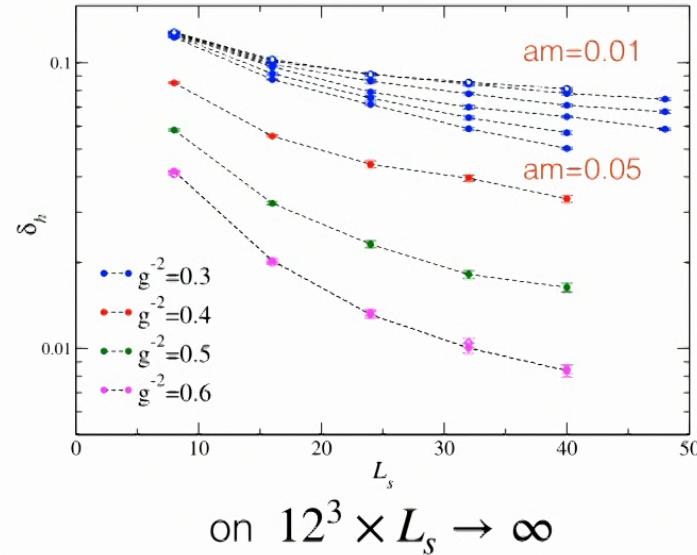
$$\langle \bar{\psi} \psi \rangle_\infty - \langle \bar{\psi} \psi \rangle_{L_s} = A(\beta, m) e^{-\Delta(\beta, m)L_s}$$

Have  $L_s = 8, 16, \dots, 80$

$L_s \rightarrow \infty$  not yet under control  
at lightest masses, strongest couplings



## U(2) symmetry restoration requires residual $\delta_h \rightarrow 0$



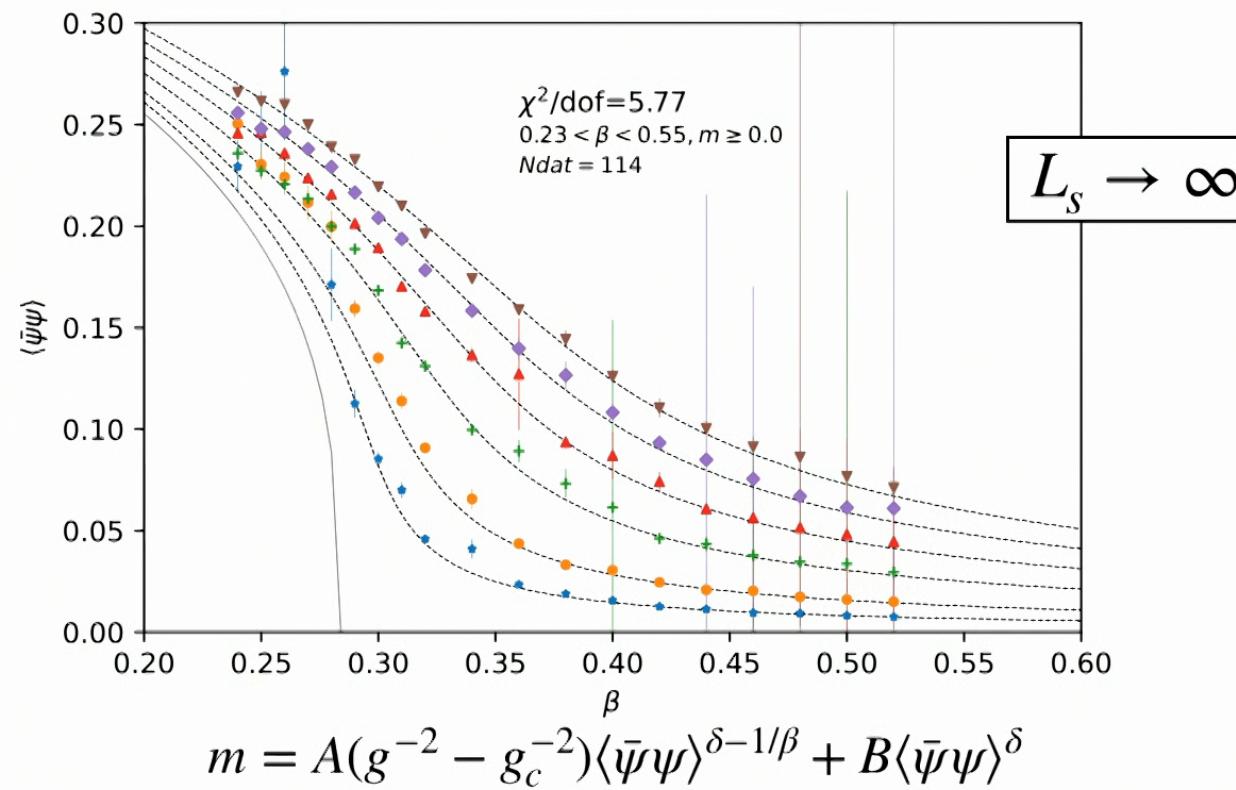
Qualitatively different at strong and weak coupling,  
and slow...

$16^3 \times L_s = 48$ ,  $am = 0.005$ ,  $ag^{-2} = 0.3$ :

RHMC Hamiltonian step requires  $\sim 20k$   
solver iterations (recall non-unitary links)



Latest data extrapolated with  $L_s = 64,80$  and straddling  $\beta_c$



Fit to renormalisation group-inspired  
equation of state suggests QCP exists for  $N=1$

SJH, Mesiti, Worthy arXiv:2110.03944

## Preliminary EoS fit in $L_s \rightarrow \infty$ limit      $1 < N_c < 2$

Critical parameters  $\left\{ \begin{array}{l} \beta_c \equiv g_c^{-2} = 0.283(1) \\ \delta = 4.17(5) \quad \beta = 0.320(5) \end{array} \right.$

hyperscaling  $\Rightarrow \nu = 0.55(1) \quad \eta = 0.16(1)$

Cf: old result for  $N = 1$  staggered fermion

Del Debbio, SJH, Mehegan  
NPB502 (1997) 269

$\Leftrightarrow N = 1$  Kähler-Dirac fermion

Christofi, SJH, Strouthos  
PRD75 (2007) 101701

$\neq N_f = 2$  Dirac fermions!

SJH Symmetry 13 (2021) 8

$3 < N_c < 4$        $\delta = 2.75(9) \quad \beta = 0.57(2)$   
 $\nu = 0.71(3) \quad \eta = 0.60(4)$

Dirac and Kähler-Dirac fermions have distinct QCPs



## First results for the Thirring Model spectrum

- need spectral quantities to identify correlation length & perform scale setting
- elucidate physical content of both symmetric and broken phases near QCP

For mass term  $im_3\bar{\psi}\gamma_3\psi$ ,  
parity operation:  $\psi \mapsto \gamma_3\psi$ ;  $\bar{\psi} \mapsto \bar{\psi}\gamma_3$

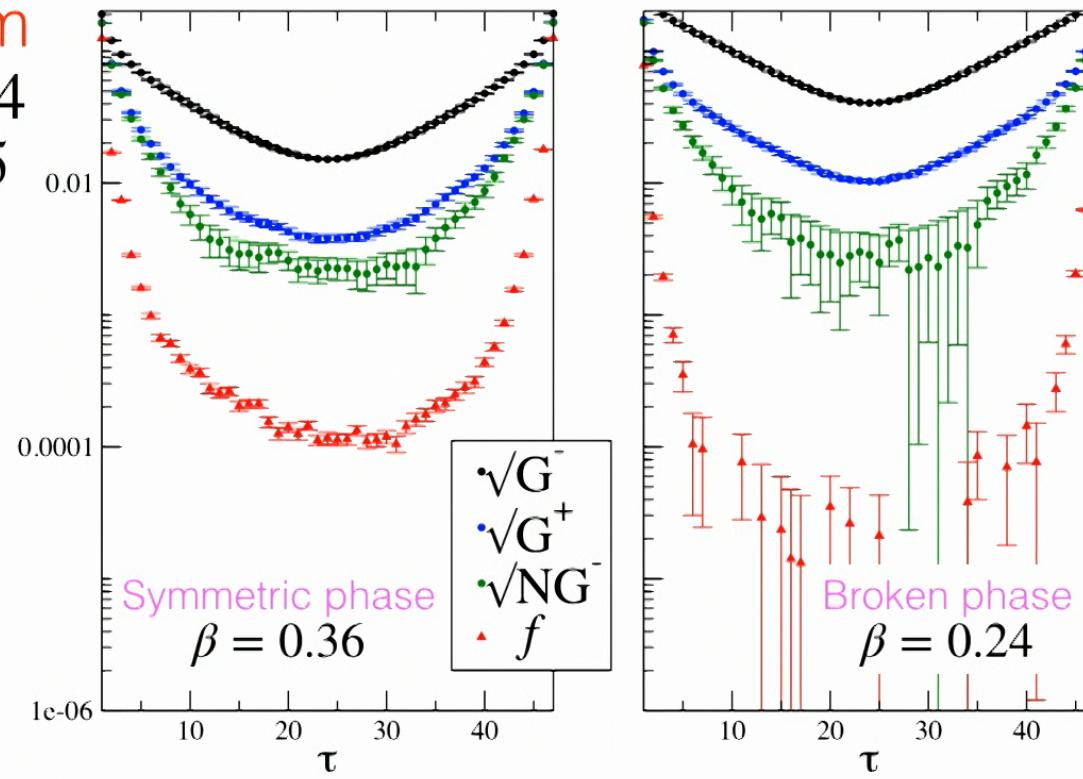
We have examined:

- Spin-0 meson sector  
local sources, requires 2 inversions  $D^{-1}(m_3)$ ,  $D^{-1}(-m_3)$
- Fermion quasiparticle  
wall source

For U(2) symmetry broken by  $\langle\bar{\psi}\gamma_3\psi\rangle \neq 0$ :

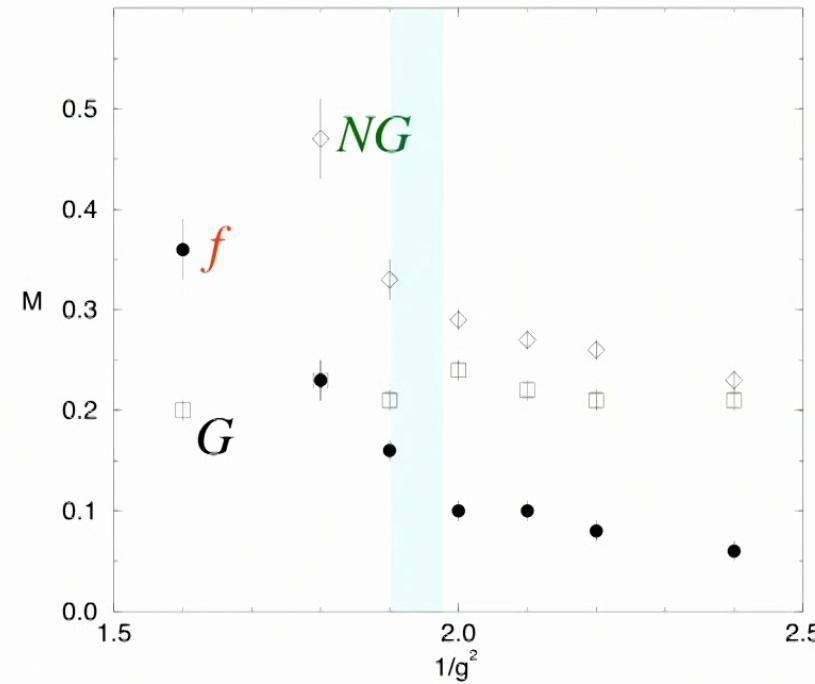
- Goldstones  $G^-\bar{\psi}\gamma_5\psi$ ,  $G^+\bar{\psi}\psi$
- non-Goldstones  $NG^-\bar{\psi}\gamma_3\gamma_5\psi$ ,  $NG^+\bar{\psi}\gamma_3\psi$

# Results from $16^2 \times 48 \times 64$ $m_3 a = 0.005$



- decay over first O(5) time slices dominated by unphysical branch cut
- symmetric phase meson states not obviously pure exponential
- $NG^-$  correlator is difference of two large numbers and hence very noisy
- quasiparticle propagator with  $m_3 \neq 0$  not symmetric under  $\tau \mapsto -\tau$
- extremely noisy in broken phase

## Plus ça change?



Cf. old results with staggered fermions on  $16^3$ ,  $ma = 0.01$

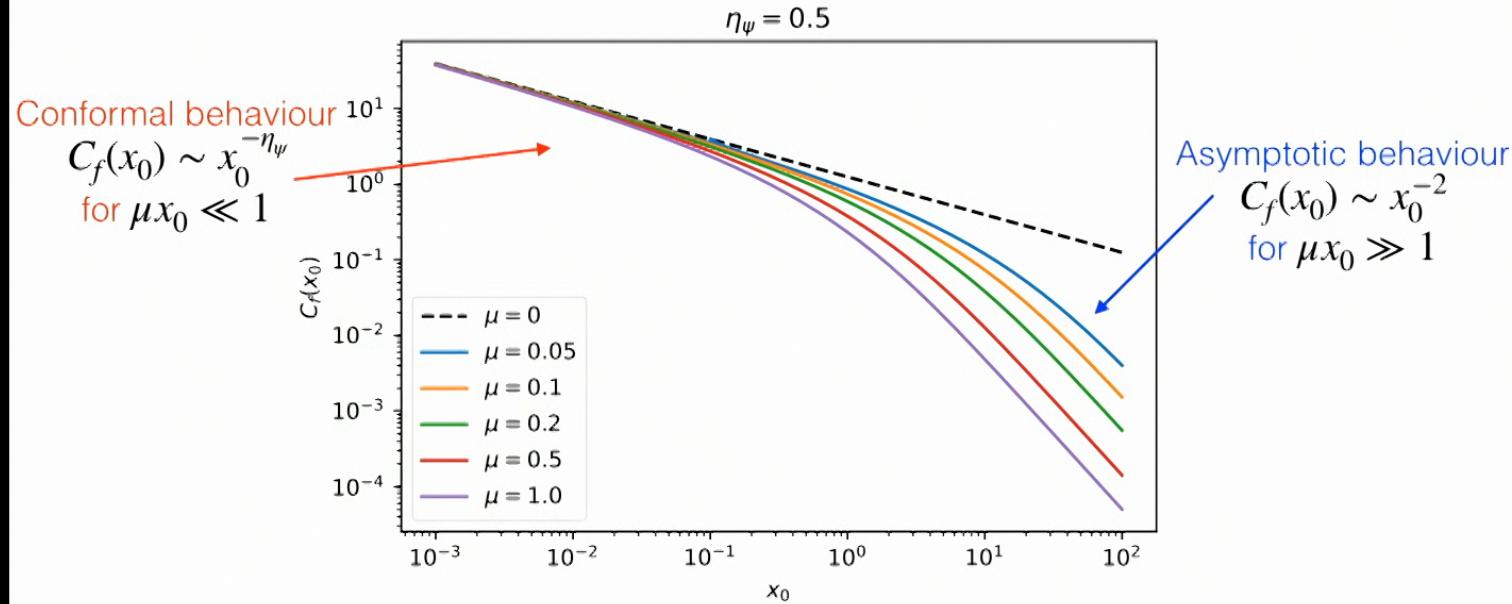
Del Debbio, SJH & Mehegan, NPB**502** (1997) 269

## Fermion propagator at QCP

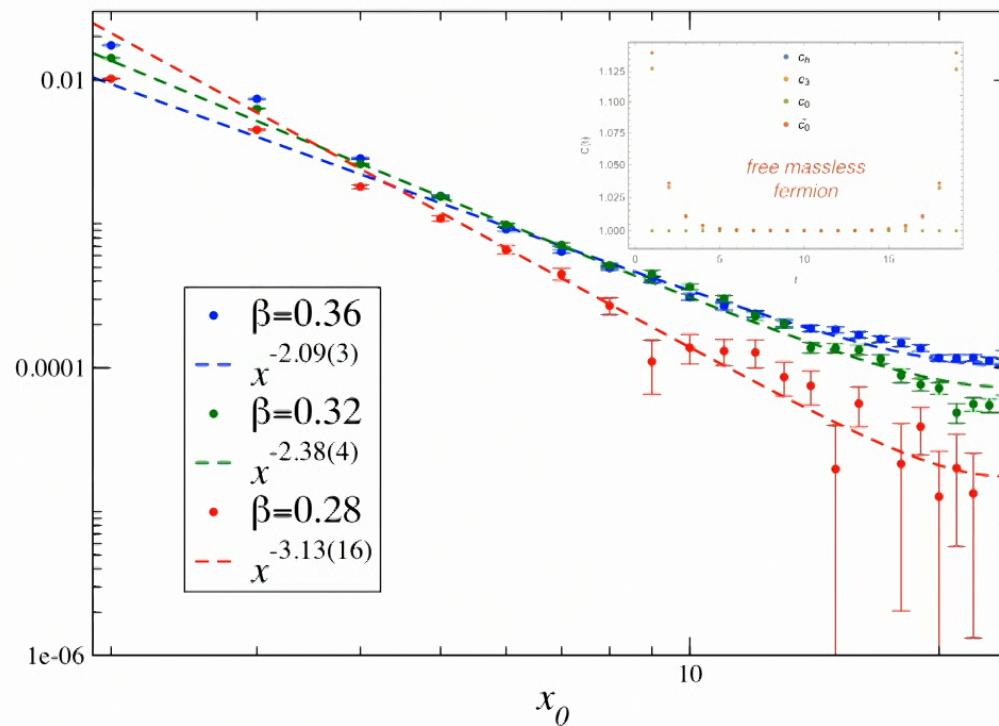
$$C_f(\vec{p}) \propto \frac{\hat{p} \cdot \vec{\gamma}}{|\vec{p}|^{1-\eta_\psi}} \Leftrightarrow C_f(\vec{x}) \propto \frac{\hat{x} \cdot \vec{\gamma}}{|\vec{x}|^{2+\eta_\psi}}$$

In symmetric phase  $g^2 < g_c^2$  **postulate**  $C_f(x_0) \propto \int dp_0 \frac{\cos p_0 x_0}{(p_0 + \mu)^{1-\eta_\psi}}$

$\mu$  is an inverse correlation length,  
but **not** related to a pole on the physical sheet



## Work in Progress on $16^2 \times 48 \times 64$ with $m_3=0$



Data consistent with algebraic decay of  $C_f(x_0)$

$C_f(x_0) \sim x_0^{-2}$  at weak coupling,

inconsistent with flat behaviour of free massless fermions...

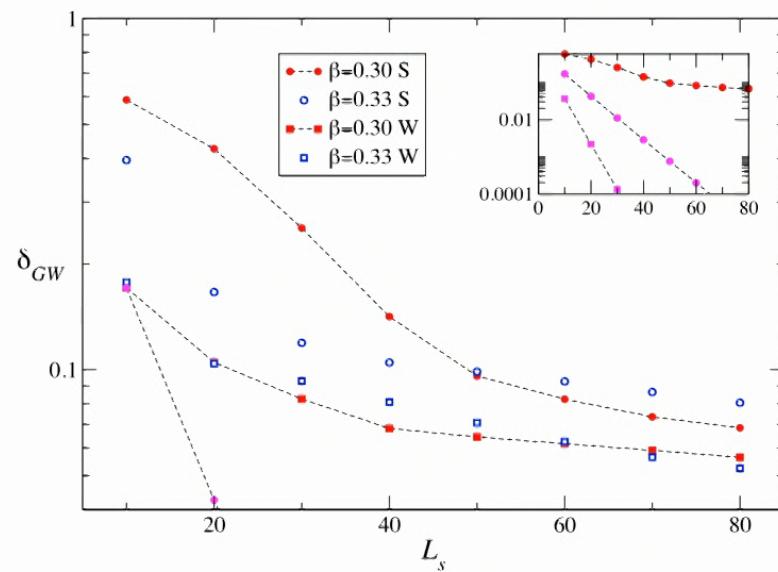
....but also inconsistent with  $0 < \eta_\psi < 1$  closer to QCP

**More work/thought needed**

## Are there “better” DWF formulations?

We have been using *Shamir kernel* throughout  $\mathcal{A} = (2 + D_W)^{-1}D_W$

Have recently experimented with *Wilson kernel* DWF  $\mathcal{A} = D_W$



$$D_{0,DW}^S = \begin{pmatrix} D_W^+ & -P_- & 0 & 0 \\ -P_+ & D_W^+ & -P_- & 0 \\ 0 & -P_+ & D_W^+ & -P_- \\ 0 & 0 & -P_+ & D_W^+ \end{pmatrix}$$

$$D_{0,DW}^W = \begin{pmatrix} D_W^+ & D_W^- P_- & 0 & 0 \\ D_W^- P_+ & D_W^+ & D_W^- P_- & 0 \\ 0 & D_W^- P_+ & D_W^+ & D_W^- P_- \\ 0 & 0 & D_W^- P_+ & D_W^+ \end{pmatrix}$$

with  $D_W^\pm[A_\mu] = \gamma_\mu D_\mu - \hat{D}^2 - M \pm 1$

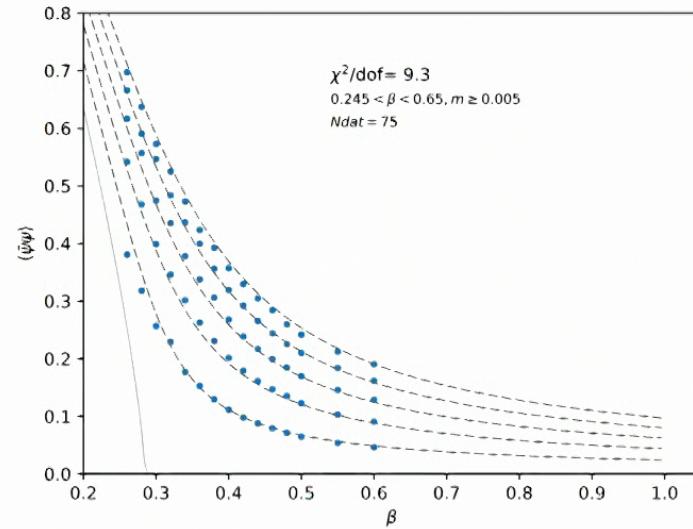
Significant improvement  
eg. in recovery of  
GW symmetry as  $L_s \rightarrow \infty$

$$\delta_{GW} = \|(\gamma_3 D + D\gamma_3 - 2D\gamma_3 D)\phi\|_\infty$$

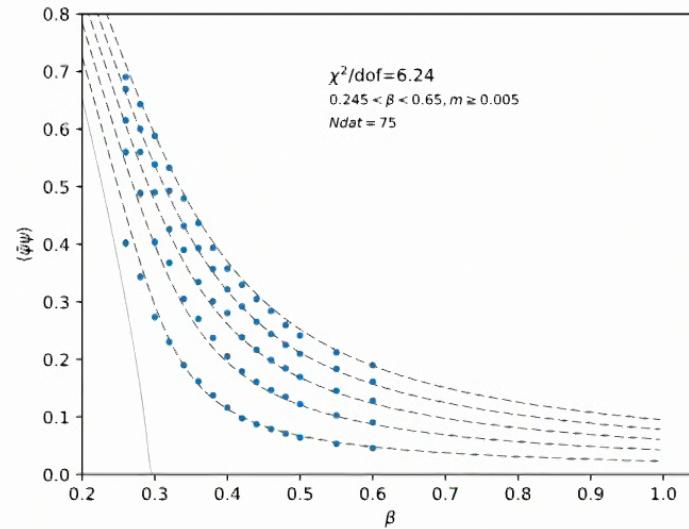
SJH, Mesiti, Worthy PRD**102** 094502  
Worthy, SJH 2112.02988

## Equation of State with Wilson kernel DWF (preliminary)

$L = 28, \beta_c = 0.285(3), \beta_m = 0.75(4), \delta = 2.477(83)$



$L = 36, \beta_c = 0.293(4), \beta_m = 0.76(5), \delta = 2.466(91)$



First results reveal U(2) symmetry-breaking transition at very similar  $\beta_c \sim 0.28 - 0.29$ , with apparent convergence by  $L_s \simeq 36$

Discrepancy with Shamir exponent estimates  $\beta_m \simeq 0.32, \delta \simeq 4.2$

**More work/thought needed**

# Summary



- new QCP for Dirac fermions in 2+1d with  $1 < N_c < 2$
- not everyone agrees:  $N_c \approx 0.8$  with SLAC fermions  
Lenz, Welleghausen & Wipf, PRD100 (2019) 054501
- Dirac / Kähler-Dirac fermions support distinct QCPs
- meson propagators confirm Goldstone/non-Goldstone nature of bound states?
- critical fermion propagators access exponent  $\eta_\psi$  ?
- Wilson kernel DWF smarter way to access  $L_s \rightarrow \infty$  ?



JHEP 1509 (2015) 047  
PLB 754 (2016) 264  
JHEP 1611 (2016) 015  
PRD 99 (2019) 034504  
PRD 102 (2020) 094502  
Symmetry 13 (2021) 8