

Title: Blackboard Talk 1 - Virtual

Speakers: Senthil Todadri

Collection: Quantum Criticality: Gauge Fields and Matter

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Qtm criticality of Fermi surfaces

Collaborators: Dominic Else (MIT \rightarrow Harvard \rightarrow PI)

Zhengyan Shi (grad student @ MIT)

Hart Goldman (post doc @ MIT)

Ryan Thurngren (now at KITP)

Plan

lec 1: ① Motivation / context

② Emergent symmetries & 't Hooft anomalies in metals

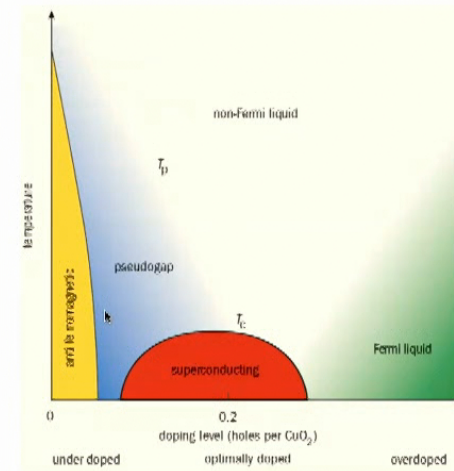
lec 2: The simplest model: Fermi surface + critical Landau order parameter

Strange non-fermi liquid metals

Last 30+ years: Many metals that violate Fermi liquid theory, some down to very low temperature

Prominent examples:

1. Parent metal of many high temperature superconductors
2. Several metals near the onset of magnetism



Conventional metals: Fermi liquid theory

An ordinary metal, eg, Copper.

Effects of inter-electron Coulomb repulsion weakened due to [Pauli exclusion](#).

Low energy theory: “Elementary particles”(a.k.a “quasiparticles”)

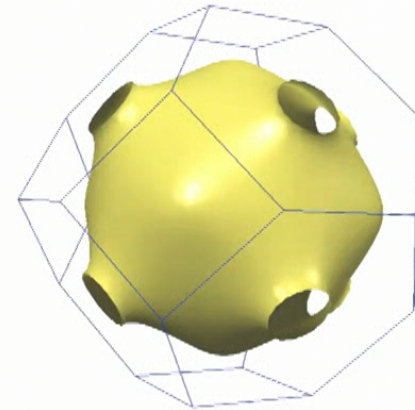
Fermions with electric charge e , spin- $1/2$ that fill up a Fermi sea in momentum space.

Highest occupied momenta form a Fermi surface.

Quasiparticles long lived near Fermi surface, and have well-defined energy-momentum relation.

Shape of Fermi surface: not a sphere.

“Landau Fermi Liquid Theory”



Filled states unavailable for scattering

Strategy of these lectures

Discuss some very general (model-independent) properties of clean metals
i.e focus on the idealized situation where there are no impurities.

Whether or not impurities are central to the essential physics of prominent strange metals, particularly their transport, is not a fully settled question.

Nevertheless it is interesting to see how much we can learn by ignoring the disorder.

We will see that any low energy theory of a metal in such a clean system obeys some severe constraints.

The mystery of strange metals

Grand challenge in contemporary physics:

How should we think about metals where the 'quasiparticle concept' has broken down?

Nature of a 'coarse-grained' low energy effective theory?

Expect such a theory will capture universal aspects of several strange metals irrespective of microscopic origins.

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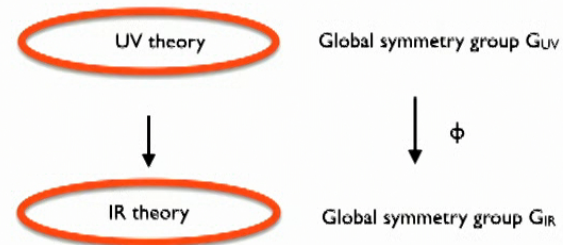
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Global symmetry in quantum many body physics

G_{IR} may be 'bigger' than G_{UV} (the IR theory may have emergent symmetry).

G_{IR} may have a property known as 't Hooft anomaly (eg, chiral anomaly of massless fermions) which will be constrained by the UV theory.



't Hooft anomalies are 'topological' properties of how symmetry is realized - they are robust to deformations within the same phase of matter.

Study of topological phases thus informs study of other non-topological phases of matter (such as non-fermi liquids)

The UV Global symmetry

I will consider UV systems with a global internal $U(1)$ symmetry and (lattice) translation symmetries on a d-dimensional lattice.

(In condensed matter physics the global $U(1)$ symmetry corresponds to electric charge conservation.)

I will not specify the Hamiltonian other than to require that it is 'local' (i.e is a sum of operators that each act on local regions of space).

This includes almost all models of interest in standard discussions of strongly interacting electrons (eg, the Hubbard model and variants)

Compressible quantum matter

Let n (= electrical charge) be the generator of the global $U(1)$ symmetry, and μ the corresponding chemical potential.

The compressibility $\kappa = \frac{d\langle n \rangle}{d\mu}$.

I will be interested in phases of matter where κ is non-zero.

Within such a phase the charge density can be tuned continuously.

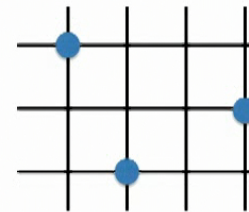
The classic example is a free fermi gas at a non-zero density.

The non-fermi liquid metals we eventually wish to understand are all compressible.

Lattice filling

With a global $U(1)$ and lattice translation symmetries, we can define the lattice filling ν = average charge per unit cell.

In a compressible phase we can tune ν continuously.

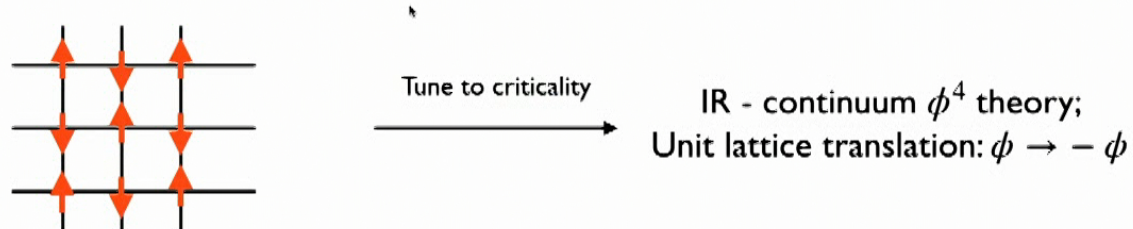


Lattice translations in the IR theory

Unit lattice translation in UV theory \sim infinitesimal translations in the IR theory

More precisely we should allow for action by an internal symmetry of the IR theory.

Example: Ising antiferromagnet



There may be some exceptions to this if the IR does not involve spatial coarse-graining but we will set this subtlety aside as a future worry.

Constraints from the UV on the IR theory: a simple example

Assume IR theory is fully gapped, and is smoothly connected to a band insulator

Only possible if UV theory has lattice filling ν even.

Note that this statement is independent of any Hamiltonian.

Constraints from the UV on the IR theory: A famous example

Luttinger's theorem in Fermi Liquids

Volume of Fermi surface fixed by electron filling: $\frac{2V_F}{(2\pi)^d} = \nu \bmod Z$

Luttinger (1960s): perturbative proof; Oshikawa (2000): nonperturbative argument

Also a **Hamiltonian-independent statement** so long as ground state is a Fermi liquid.

Revisiting Luttinger's theorem

In the next few slides I will revisit, from a modern viewpoint Luttinger's theorem:

Cast as a statement about the emergent symmetry and the property known as the 't Hooft anomaly of the low energy Fermi liquid theory

This viewpoint will allow us to generalize Luttinger's theorem to more general compressible phases, including non-fermi liquid metals.

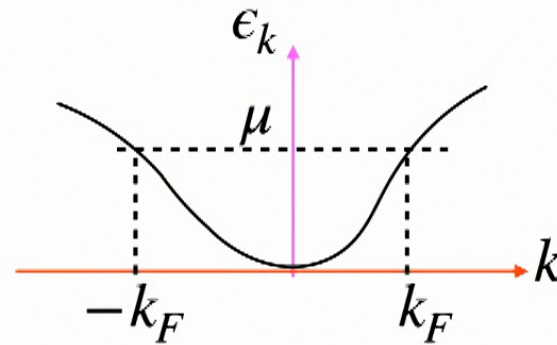
1d compressible matter:
emergent symmetry, chiral anomaly, and Luttinger's theorem

1d compressible matter

Free fermions at non-zero density in 1d:

IR theory - massless Dirac fermion

Global symmetry $U(1) \times U(1)$



Add interactions: marginal perturbation leading to a fixed line
(condensed matter physics: a.k.a Luttinger Liquid)

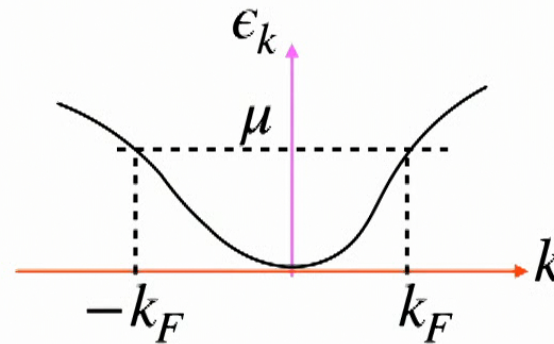
Preserves $U(1) \times U(1)$ symmetry.

1d compressible matter (cont'd)

Total charge $Q \sim n_L + n_R$

Total momentum(*) $P \sim k_F(n_R - n_L)$

(Embedding the G_{UV} into G_{IR})



IR global symmetry $U(1) \times U(1)$ is broken by external gauge fields, eg. turn on electric field E coupling to total charge.

$$\partial_\mu j_L^\mu = -E/2\pi$$

Chiral anomaly (example of t' Hooft anomaly)

$$\partial_\mu j_R^\mu = E/2\pi$$

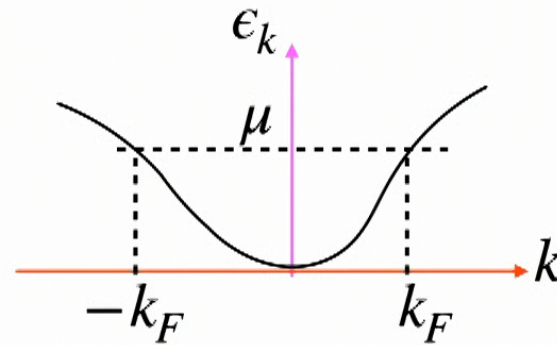
(*) For simplicity, assume continuous translation symmetry in UV; argument can be extended if there is a lattice.

Chiral anomaly and Luttinger's theorem

Total charge $Q \sim n_L + n_R$

Total momentum $P \sim k_F(n_R - n_L)$

In original UV theory: $dP/dt = nE$



In IR theory: (from anomaly) $dP/dt = k_F d(n_R - n_L)/dt = k_F EL/\pi$
(L = length of system)

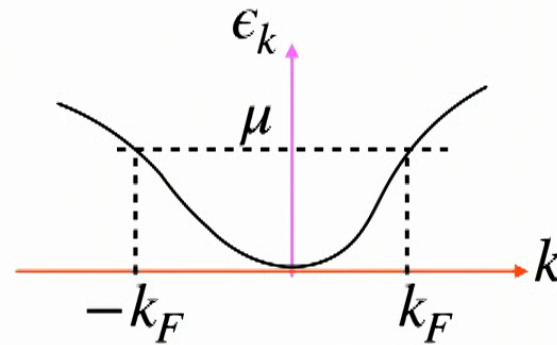
Comparing gives $k_F = \pi n/L$ which is Luttinger's theorem

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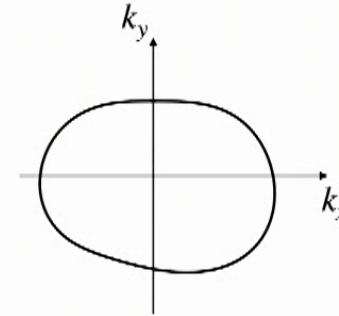
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2d Landau Fermi liquids

The Landau Fermi liquid in $d = 2$

$G_{UV} = U(1) \times \text{lattice translations } (= \mathbb{Z}^2)$

IR theory: Quasiparticles near a sharp Fermi surface



IR Hamiltonian:

$$H = \sum_k \epsilon_k n_k + \frac{1}{2} \sum_{k,k'} F_{kk'} n_k n_{k'}$$

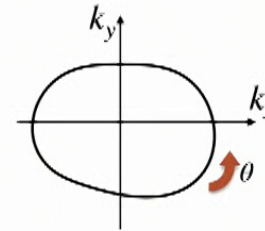
n_k = quasiparticle number at point k near Fermi surface

Emergent symmetry of the Fermi Liquid

“Quasiparticles are separately conserved for each Fermi surface point”

For each point on Fermi surface, there is a conserved charge density n_θ

$n_\theta d\theta$ is the number of quasiparticles between θ and $\theta + d\theta$



General IR symmetry element: $e^{i \int d\theta f(\theta) n_\theta}$ for smooth functions $f(\theta)$.

These define smooth maps from a circle to $U(1)$ which form a group known as the ‘loop group’ $\equiv LU(1)$ (identify as G_{IR})

Embedding microscopic symmetries

$$\text{Total charge } n \sim \int d\theta \, n_\theta$$

Unit lattice translations along $\alpha = (x, y)$ direction : $T_\alpha \sim e^{-i \int d\theta \, k_{F\alpha} n_\theta}$

(setting lattice constants to be 1).

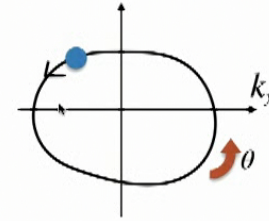
Both U(1) and lattice translations of the UV map to elements of the $U(1) \ltimes \text{IR}$ symmetry.

In fact we can take the action of translations to define the 'Fermi momentum' in the IR theory.

The anomaly: a physical manifestation

Turn on external electromagnetic field

Separate conservation of n_θ destroyed - only total charge is conserved.



Example: External uniform magnetic field

$$\frac{d\vec{k}}{dt} = -\frac{d\epsilon}{d\vec{k}} \times \vec{B}$$

Semiclassical: quasiparticle moves around Fermi surface.

Fully quantum treatment?

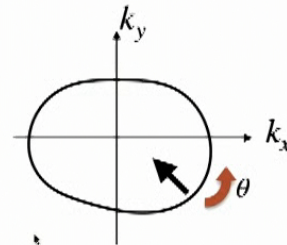
A useful physical picture

The Fermi surface is the boundary of the rigid occupied Fermi sea.

In a magnetic field, the interior of the Fermi sea is rigid but there is a chiral 'edge' state in momentum space.

Can in fact show that in a magnetic field the Fermi sea can be thought of as showing an integer quantum Hall state in momentum space.

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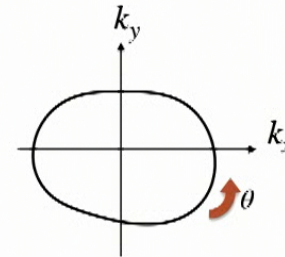
Formal manifestation of the anomaly

Else, Thorngren, TS, 2020

Turn on 2π flux of the electromagnetic field (A_x, A_y)

For the 'chiral' k-space edge state at the Fermi surface the n_θ satisfy an algebra (familiar for integer quantum Hall edge states)

$$[n_\theta, n_{\theta'}] = -\frac{i}{2\pi} \delta'(\theta - \theta')$$

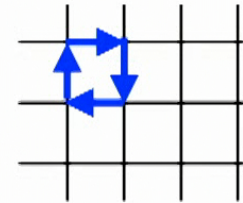


See also Nguyen and Son 2018, Barci, Fradkin, Ribeiro 2018

Luttinger's theorem from the anomaly

UV theory: With 2π flux, the discrete unit translations do not commute:

$$T_x T_y T_x^{-1} T_y^{-1} = e^{2\pi i \nu}$$



IR theory: Use $T_\alpha = e^{-i a_\alpha \int d\theta k_{F\alpha}(\theta) n_\theta}$

and the commutation algebra $[n_\theta, n_{\theta'}] = -\frac{i}{2\pi} \delta'(\theta - \theta')$

$$\Rightarrow T_x T_y T_x^{-1} T_y^{-1} = e^{i V_F a_x a_y / 2\pi}$$

Matching these exactly gives Luttinger's theorem.

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Comments

Apart from Luttinger's theorem, several (but not all) universal properties of the Fermi liquid follow just from knowing its emergent symmetry and anomaly.

Eg: response to electric fields, quantum oscillations,....

These 'kinematic' properties must be distinguished from 'dynamical' properties that require knowledge of details of the IR Hamiltonian, eg, the Fermi velocity.

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Some ``advanced'' comments

A powerful way to think about 't Hooft anomalies:

Couple background gauge fields to G_{IR} .

Theory in D spacetime dimensions with 't Hooft anomaly:

Gauge invariance obtained by extending gauge field action to $D+1$ dimensions with a topological action related to a Symmetry Protected Topological (SPT) phase.

't Hooft anomaly in D spacetime dimensions \leftrightarrow SPT phases in $D+1$ dimensions.

Anomaly of boundary theory canceled by 'anomaly inflow' from higher dimension.

In these terms, how should we think about the 't Hooft anomaly of the 2d Fermi liquid?

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Constraints on more general compressible phases (not necessarily Fermi liquids)

Generalized Luttinger Theorem

Else, Thørngren, TS, 2020

Theorem: For any irrational ν in $d > 1$ with $G_{UV} = U(1) \times$ lattice translations, the IR theory must have a large emergent internal symmetry G_{IR} (precise statement: cannot be a compact Lie group).

Further G_{IR} must have a precise anomaly that is related to the lattice filling.

Fermi liquids satisfy this by way of

- (1) infinite dimensional emergent symmetry
- (2) anomaly of this emergent symmetry \Leftrightarrow Luttinger's theorem

Beyond Fermi liquids: a simple possibility

Emergent **internal symmetry** of a class of non-fermi liquid metals (with $G_{UV} = U(1) \times \text{lattice translations}$):

- Infinite dimensional emergent continuous symmetry - same as Fermi liquid or some variant thereof

“Ersatz Fermi Liquids”

Many examples in literature

Other more exotic structure of emergent symmetries may be possible but the class above is already very rich and poorly understood.