

Title: Higgs inflation, unitarity, and emergence of scalaron

Speakers: Yohei Ema

Series: Particle Physics

Date: January 25, 2022 - 1:00 PM

URL: <https://pirsa.org/22010093>

Abstract: Higgs inflation introduces a large non-minimal coupling between the Ricci scalar and Higgs that makes the cut-off scale well below the Planck scale. In the first part of the talk, we show that unitarity is indeed violated by a resonant production of longitudinal gauge bosons after inflation, calling for UV completion of Higgs inflation. We then show that unitarity is restored after summing over vacuum polarization-type diagrams that are leading-order in the large- $N$  limit. Scattering amplitude develops a pole after the resummation, which we identify as the scalar component of the metric, or the scalaron. This phenomenon can be understood in the language of the non-linear sigma model (NLSM), with the scalaron identified as the sigma-meson that linearizes the NLSM.

Zoom Link: <https://pitp.zoom.us/j/98015814051?pwd=YWdrWTJzbzJkdnFXRUxPWFJnNXVNQT09>

# Higgs inflation

- Higgs inflation (HI): Standard Model Higgs = inflaton.
- Require non-minimal coupling to gravity  $\xi$  :

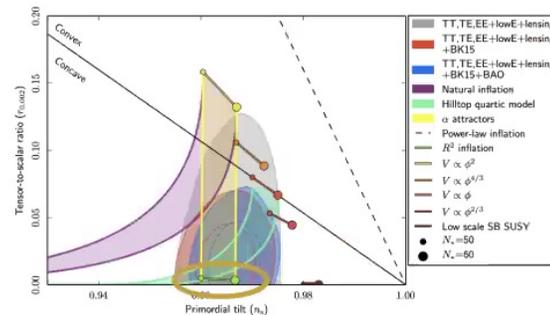
$$\mathcal{L} = \frac{M_P^2}{2} \left( 1 + \frac{\xi \phi_i^2}{M_P^2} \right) R + \frac{1}{2} (\partial \phi_i)^2 - \frac{\lambda}{4} \phi_i^4,$$

where  $\phi_i$  : Higgs with  $i = 1, \dots, N_s (= 4)$ ,  $R$  : Ricci scalar.

- Consistent with CMB observation for  $\xi^2 \simeq 2 \times 10^9 \lambda$ .

[Bezrukov, Shaposhnikov 07; Planck 2018]

➔ Assume  $\xi \gg 1$  in this talk (true unless  $\lambda$ : tiny).



# Higgs inflation, unitarity, and emergence of scalaron

Yohei Ema

Minnesota U.

Seminar @ Perimeter Institute 01.21.2022

Based mainly on [1907.00993](#), [2002.11739](#) and [2102.12501](#)  
with R. Jinno, K. Nakayama, K. Mukaida and J. van de Vis.

See also [1609.05209](#), [1701.07665](#) and [2008.01096](#).



# Higgs inflation

- Higgs inflation (HI): Standard Model Higgs = inflaton.
- Require non-minimal coupling to gravity  $\xi$  :

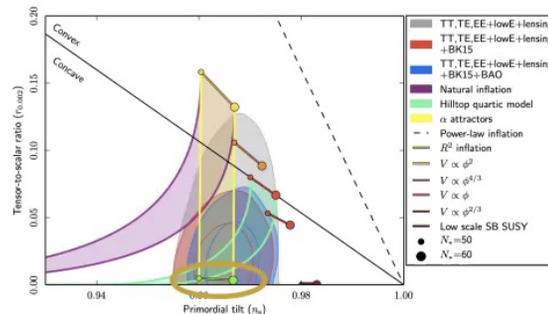
$$\mathcal{L} = \frac{M_P^2}{2} \left( 1 + \frac{\xi \phi_i^2}{M_P^2} \right) R + \frac{1}{2} (\partial \phi_i)^2 - \frac{\lambda}{4} \phi_i^4,$$

where  $\phi_i$  : Higgs with  $i = 1, \dots, N_s (= 4)$ ,  $R$  : Ricci scalar.

- Consistent with CMB observation for  $\xi^2 \simeq 2 \times 10^9 \lambda$ .

[Bezrukov, Shaposhnikov 07; Planck 2018]

➡ Assume  $\xi \gg 1$  in this talk (true unless  $\lambda$ : tiny).

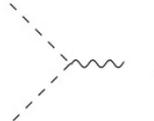


# Tree-level unitarity violation

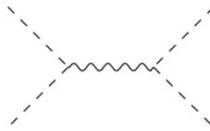
- Large  $\xi$  induces tree-level unitarity violation.

[Burgess+ 09,10; Barbon+ 09; Hertzberg 10; ...]

- Scalar-scalar-graviton vertex:

$$\xi R \phi_i^2 \ni \xi \phi_i^2 \partial^2 h \sim$$


- 4-point scattering amplitude  $\phi_i \phi_i \rightarrow \phi_j \phi_j$ .

$$A_{\text{tree}}^{(ii \rightarrow jj)} =$$


$$= \frac{1}{M_P^2} \left[ \frac{(1 + 6\xi)^2}{6} s - \left( \frac{s}{6} - \frac{tu}{s} \right) \right].$$

► Tree-level unitarity violation at  $\sqrt{s} \sim \frac{M_P}{\xi} \ll M_P$  around  $\phi_i = 0$ .

- Could be fine during inflation, with finite VEV.

[Bezrukov+ 10]

- Problematic after inflation, during (p)reheating epoch.

[YE, Jinno, Mukaida, Nakayama 16]

► Think more about unitarity of HI.



# Outline

1. Introduction

more cosmology-ish

2. Unitarity violation during preheating



3. Unitarity and scalaron in large  $N$



more QFT-ish

4. Summary



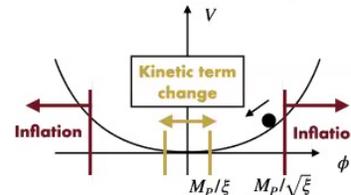
# Spiky oscillation after inflation

- Higgs fields have a non-trivial target space in Einstein frame:

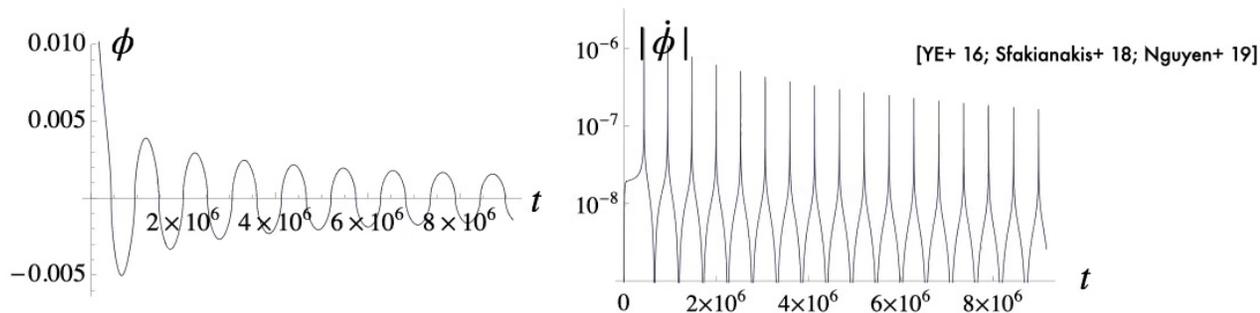
$$S = \int d^4x \sqrt{-g} \left[ \frac{M_P^2}{2} R + \frac{1}{2} h_{ab} g^{\mu\nu} \partial_\mu \phi^a \partial_\nu \phi^b - V(\phi) \right]$$

with  $h_{ab} = \frac{1}{\Omega^4} \begin{pmatrix} \Omega^2 + \frac{6\xi^2\phi^2}{M_P^2} & \frac{6\xi^2\phi\chi}{M_P^2} \\ \frac{6\xi^2\phi\chi}{M_P^2} & \Omega^2 + \frac{6\xi^2\chi^2}{M_P^2} \end{pmatrix}$  with  $\chi$  : NG mode(s) and  $\Omega^2 = 1 + \xi \frac{\phi^2 + \chi^2}{M_P^2}$  for HI.

- Kinetic term drastically changes for  $|\phi| \lesssim M_P/\xi$ ,



➔ a "spiky" feature for  $|\phi| \lesssim M_P/\xi$ , causing unitarity violation.



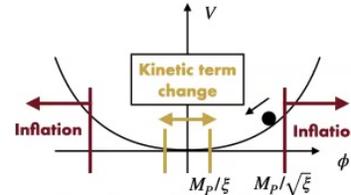
# Spiky oscillation after inflation

- Higgs fields have a non-trivial target space in Einstein frame:

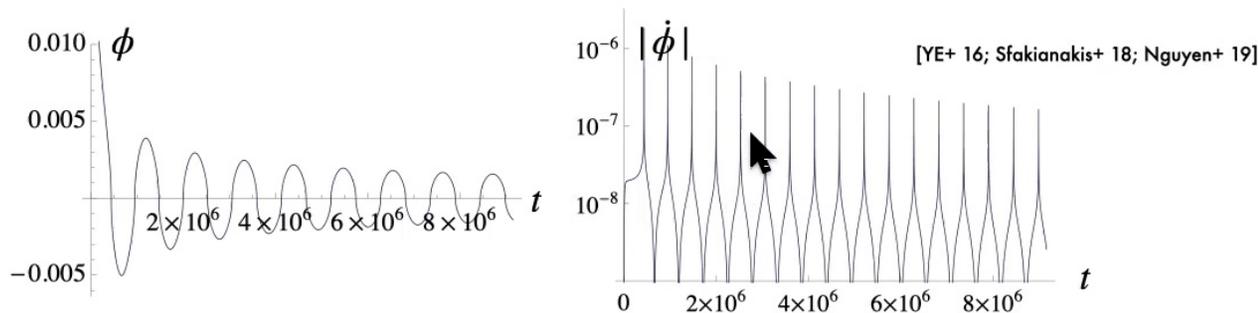
$$S = \int d^4x \sqrt{-g} \left[ \frac{M_P^2}{2} R + \frac{1}{2} h_{ab} g^{\mu\nu} \partial_\mu \phi^a \partial_\nu \phi^b - V(\phi) \right]$$

with  $h_{ab} = \frac{1}{\Omega^4} \begin{pmatrix} \Omega^2 + \frac{6\xi^2\phi^2}{M_P^2} & \frac{6\xi^2\phi\chi}{M_P^2} \\ \frac{6\xi^2\phi\chi}{M_P^2} & \Omega^2 + \frac{6\xi^2\chi^2}{M_P^2} \end{pmatrix}$  with  $\chi$  : NG mode(s) and  $\Omega^2 = 1 + \xi \frac{\phi^2 + \chi^2}{M_P^2}$  for HI.

- Kinetic term drastically changes for  $|\phi| \lesssim M_P/\xi$ ,



➔ a "spiky" feature for  $|\phi| \lesssim M_P/\xi$ , causing unitarity violation.



# Target space and unitarity

An easy-to-use condition of unitarity violation from target space

[YE, Jinno, Nakayama, van de Vis 21]

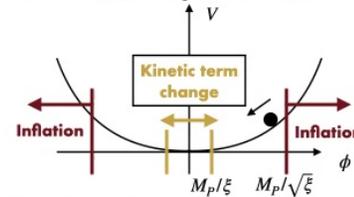


- NG boson has mass from target space curvature → feels spikes:

$$m_\chi^2 = \nabla^\chi V_\chi - \dot{\phi}^2 R^\chi_{\phi\phi\chi}, \quad \text{e.g. } \left(1 + \frac{\chi^2}{\Lambda^2}\right) (\partial\phi)^2 \rightarrow m_\chi^2 = -\frac{\dot{\phi}^2}{\Lambda^2}.$$

- Inflaton motion changes for  $|\phi| \lesssim \Lambda$  with curvature  $R[h] \sim \Lambda^{-2}$  ( $\Lambda \sim M_p/\xi$  fro HI).

➔ typical momentum scale:  $k_{\text{spike}} \sim (\Lambda/\dot{\phi}_{\text{origin}})^{-1}$ .



- Cut-off also  $\sim \Lambda$  since the curvature affects e.g. scattering amplitudes.

- With energy cons.  $\dot{\phi}_{\text{origin}}^2 \sim V_{\text{inf}}$ , unitarity violation  $k_{\text{spike}} \gtrsim \Lambda$  translates to

$V_{\text{inf}} \gtrsim \Lambda^4$ : simply compare inflation energy scale and cut-off.

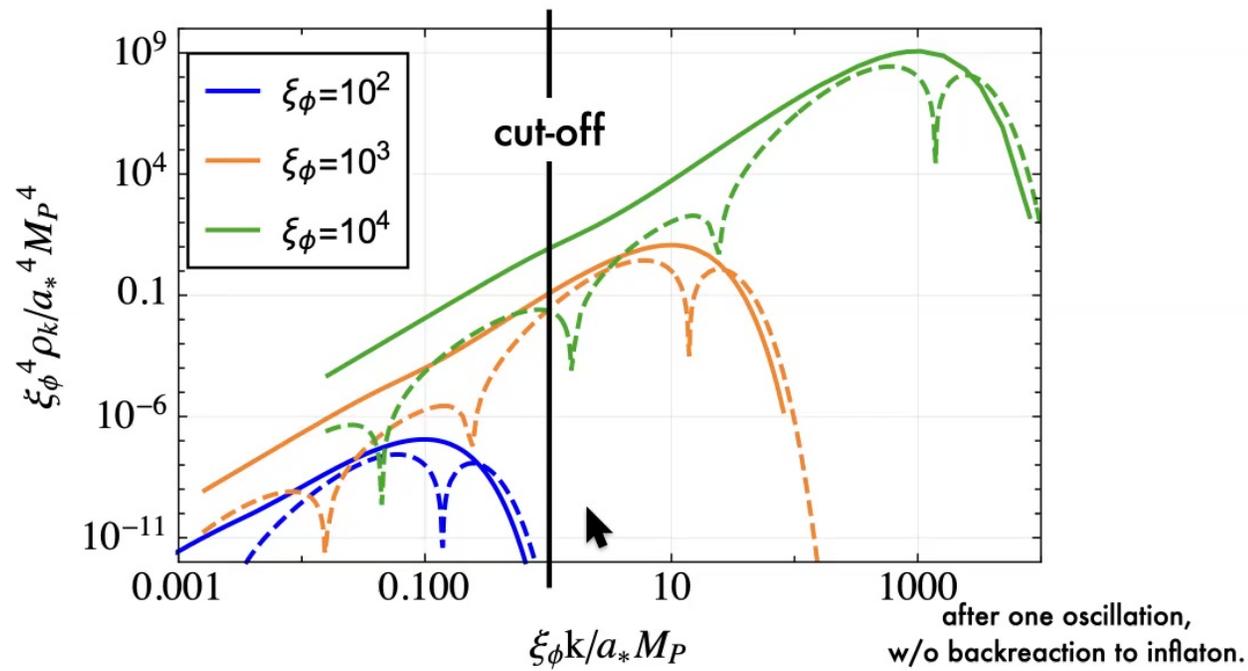
e.g.  $V_{\text{inf}}/\Lambda^4 \sim \lambda\xi^2 \sim 10^{-9}\xi^4$  for HI → unitarity violation for  $\xi \gtrsim 10^2$ .

- Applicable to other inflation models (can see e.g. running kinetic inflation violates unitarity).

# Numerical result

Numerical result confirms the previous estimation.

[YE, Jinno, Nakayama, van de Vis 21]



$$\rho_\chi \sim k_{\text{spike}}^4 \sim V_{\text{inf}}^2 / \Lambda^4 > V_{\text{inf}} \text{ for } V_{\text{inf}} > \Lambda^4 \rightarrow \text{this production is fatal.}$$



# Outline

1. Introduction

2. Unitarity violation during preheating

**3. Unitarity and scalaron in large  $N$**

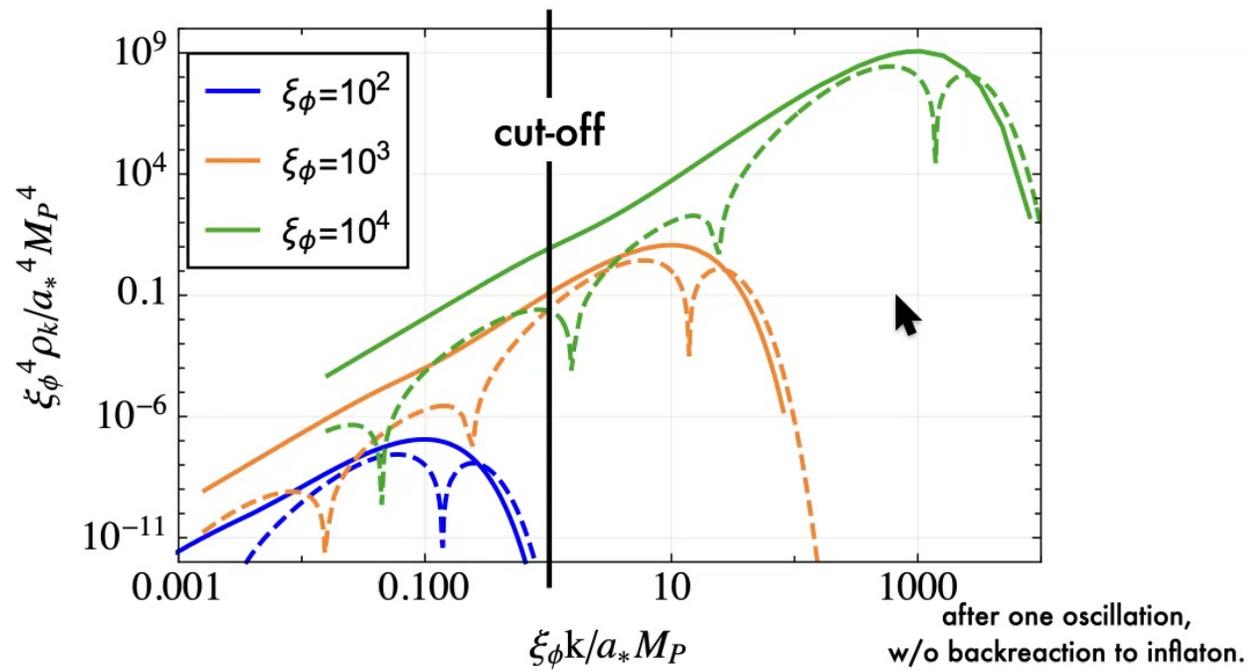
4. Summary



# Numerical result

Numerical result confirms the previous estimation.

[YE, Jinno, Nakayama, van de Vis 21]



$$\rho_\chi \sim k_{\text{spike}}^4 \sim V_{\text{inf}}^2 / \Lambda^4 > V_{\text{inf}} \text{ for } V_{\text{inf}} > \Lambda^4 \rightarrow \text{this production is fatal.}$$



# Main question

- Tree-level unitarity of Higgs inflation is violated at  $\sim M_P/\xi$ .

**BUT**

- Renormalization group equations:

$$\beta_\alpha \equiv \frac{d\alpha}{d \ln \mu} = -\frac{N_s}{1152\pi^2} (1 + 6\xi)^2, \quad \mathcal{L}_{\text{c.t.}} = \alpha R^2.$$

\* Scalaron mass:  $m_s^2 = \frac{M_P^2}{12\alpha}$ .

➔  $\alpha = \mathcal{O}(\xi^2) \gg 1$  inevitably induced, implying light scalaron.

- Scalaron lifts the cut-off scale to  $M_P$ .

[YE 17; Gorbunov, Tkareva 18]

➔ **quantum correction to the rescue?**

Study quantum correction in a controllable way → **large  $N_s$  limit.**



# Large $N_s$ analysis

- Take  $N_s \rightarrow \infty$  with  $N_s \xi^2 s / M_p^2$  fixed.

- Leading: vacuum polarization diagrams ("dressed amplitude")

$$A_{\text{dressed}}^{(ii \rightarrow jj)} \equiv \text{[tree diagram]} + \text{[tree with 1 loop]} + \text{[tree with 2 loops]} + \dots$$

- Study forward scattering of flavor-singlet state:  $|1\rangle \equiv \frac{1}{\sqrt{N_s}} \sum |\phi_i \phi_i\rangle$ .

$$\Rightarrow A^{(1 \rightarrow 1)} = N_s A_{\text{dressed}}^{(ii \rightarrow jj)} \text{ at the leading order.}$$

- Partial wave expansion:  $A^{(1 \rightarrow 1)} = 32\pi \sum a^{(l)} P_l(\cos \theta)$ .

$$\Rightarrow a^{(0)} = a_{\text{tree}}^{(0)} \left[ 1 + a_{1\text{-loop}}^{(0)} / a_{\text{tree}}^{(0)} + \left( a_{1\text{-loop}}^{(0)} / a_{\text{tree}}^{(0)} \right)^2 + \dots \right] = \frac{a_{\text{tree}}^{(0)}}{1 - a_{1\text{-loop}}^{(0)} / a_{\text{tree}}^{(0)}}.$$

$$\text{Im} \left[ \text{[tree with 1 loop]} \right] = \left| \text{[tree]} \right|^2 \Rightarrow \text{Im} \left[ a_{1\text{-loop}}^{(0)} \right] = \left| a_{\text{tree}}^{(0)} \right|^2.$$

$$\Rightarrow \text{Im} \left[ a^{(0)} \right] = \left| a^{(0)} \right|^2 : \text{unitarity satisfied.}$$

phrased "self-healing mechanism" in [Aydemir+12; Calmet, Casadio 13]



# New degree of freedom

Question: how to understand this “physically”?

- Dressed amplitude develops a pole.

$$A_{\text{dressed}} \sim \frac{A_{\text{tree}}}{1 - A_{1\text{-loop}}/A_{\text{tree}}}, \quad A_{1\text{-loop}}/A_{\text{tree}} \propto s \text{ (non-renormalizable).}$$


$$A_{\text{dressed}} \sim \frac{1}{1 - s/m^2}.$$

A new DOF emerges due to resummation, unitarizing the theory.

- Similar phenomena observed for other models within large  $N$ .

e.g. 4-fermi  $\rightarrow$  pions,  $O(N)$  NLSM  $\rightarrow$   $\sigma$ -mesons,  $CP^{N-1}$  NLSM  $\rightarrow$   $\rho$ -meson, EWChPT  $\rightarrow$  Higgs  
[Nambu, Jona-Lasinio 61] [Bardeen+ 76; Brezin+ 76] [D’Adda+ 78,79; Bando+ 85,88; ...] [Dobado+ 90, 00]

- Identified as **scalaron** in the case of Higgs inflation. [YE 19]



# Emergence of scalaron

[YE 19]

- Dressed amplitude:

$$a^{(0)} = -\frac{N_s (1 + 6\xi)^2 s}{2304\pi\alpha} \left[ s \left( 1 - \frac{i\pi}{\ln(s/\Lambda_\alpha^2)} \right) - \frac{M_P^2}{12\alpha} \right]^{-1}$$

$$\text{where } \alpha = -\frac{N_s (1 + 6\xi)^2}{2304\pi^2} \ln\left(\frac{s}{\Lambda_\alpha^2}\right) : \text{coefficient of counter term,}$$

$\Lambda_\alpha$ : parameter choice of the theory, or "dimensional transmutation" (the same as  $\Lambda_{\text{QCD}}$ ).

- Consider a theory with scalaron:  $\mathcal{L} = \bar{\alpha}R^2 + \xi R\phi_i^2/2$ .

$$\Rightarrow \mathcal{L} = -\frac{1}{144\bar{\alpha}} \left[ \frac{3M_P^2}{2} - \left( \sigma + \frac{\sqrt{6}M_P}{2} \right) - \frac{6\xi + 1}{2} \phi_i^2 \right]^2 \text{ in conformal frame with } \sigma: \text{ scalaron.}$$

- Compute 4-point amplitude  $\phi_i\phi_i \rightarrow \phi_j\phi_j$  with the  $R^2$  term.

$$\bar{A}_{\text{tree}} = \text{diagram with scalaron exchange} + \text{diagram with graviton exchange} + \text{diagram with contact} .$$

- We obtain  $A_{\text{dressed}} = \bar{A}_{\text{tree}}$  by identifying  $\alpha = \bar{\alpha} \rightarrow$  new DOF = scalaron.



# Correspondence

[YE, Mukaida, van de Vis 20]

O(N) NLSM	Higgs inflation
pions $\pi_i$	Higgs fields $\phi_i$ conformal mode of metric $\Phi$
target space: $\pi_i^2 + h^2 = v^2, (\pi_i, h) \in \mathbb{R}^{(N+1)}$	target space: $\frac{6\xi + 1}{2}\phi_i^2 + \left(h + \frac{\Phi}{2}\right)^2 = \frac{\Phi^2}{4}, (\Phi, \phi_i, h) \in \mathbb{R}^{(1, N+1)}$
sigma meson $\sigma$	scalaron $\sigma$

$$\mathcal{L} = \frac{M_P^2}{2} \left( 1 + \frac{\xi \phi_i^2}{M_P^2} \right) R + \alpha R^2 + \frac{1}{2} (\partial \phi_i)^2 - \frac{\lambda}{4} \phi_i^4.$$

Auxiliary field introduction + Weyl transformation

$$\mathcal{L} = \frac{M_P^2}{2} \left( 1 - \frac{\sigma^2}{6M_P^2} - \frac{\phi_i^2}{6M_P^2} \right) R + \frac{1}{2} \left[ (\partial \phi_i)^2 + (\partial \sigma)^2 \right] - \frac{\lambda}{4} \phi_i^4 - \frac{1}{144\alpha} \left[ \frac{3M_P^2}{2} - \left( \sigma + \sqrt{\frac{3}{2}} M_P \right)^2 - \frac{6\xi + 1}{2} \phi_i^2 \right]^2.$$

Flat kinetic term in the conformal frame!



# Outline

1. Introduction

2. Unitarity violation during preheating

3. Unitarity and scalaron in large  $N$

4. Summary



# Summary

- Higgs inflation violates unitarity after inflation, during preheating.
- Higgs inflation “self-heals” unitarity at large  $N$ .

$$A_{\text{dressed}} \equiv \text{[diagram: sum of diagrams with wavy and dashed lines]} + \dots$$

- Interpreted as emergence of scalaron.

$$A_{\text{dressed}} \sim \text{[diagram: wavy line with dashed lines]} = -\frac{(1+6\xi)^2 m_s^2}{6 M_P^2} \frac{s}{s - m_s^2}, \quad m_s^2 = \frac{M_P^2}{12\alpha_1}.$$

1. Higgs inflation is actually a “Higgs- $R^2$ ” model (at least in large  $N$ ).
2. (P)reheating can be studied without unitarity issue.

Scalaron smears spiky features, see [He+ 18; Bezrukov+ 19; ...].

