

Title: Discriminating between theories of the very early universe

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Abstract: There exist various scenarios for the very early universe that could potentially be the explanation for the observed properties of the cosmic microwave background. The current paradigm -- inflationary cosmology -- has rightfully received much attention, but it is not the only theoretically viable explanation. Indeed, several alternative scenarios exist, for example a contracting universe prior to a bounce or a slowly expanding emerging universe. It thus bares the question: how can we discriminate between the various theories, both from a theoretical and an observational point of view? A few pathways to answering this question are discussed in this talk.

# Discriminating Between Theories of the Very Early Universe

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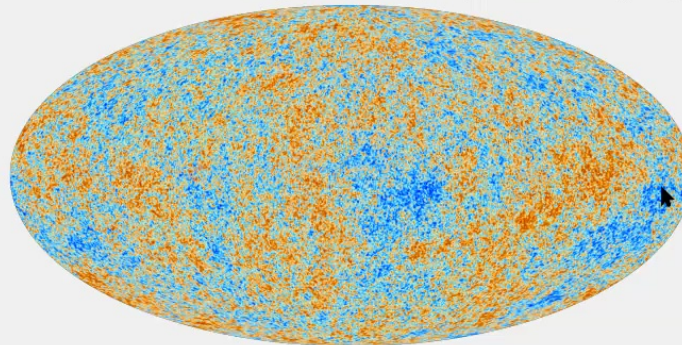


*Perimeter Institute for Theoretical Physics*  
*Cosmology and Gravitation Seminar*  
November 30th, 2021

# What we know of the very early universe with certainty

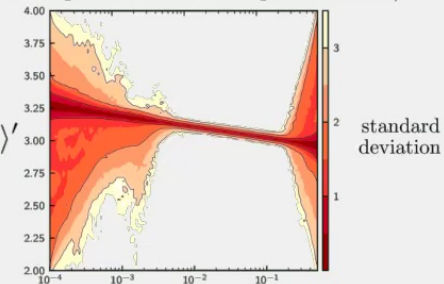
Figures adapted from Planck [arXiv:1502.01582,1807.06211]

Cosmic Microwave Background  $\sim 300,000$  yr after the 'big bang'



temperature fluctuations  $\sim 10^{-4}$

primordial power spectrum  $\sim 10^{-32}$  sec after the 'big bang'  
(variance of spacetime curvature perturbations)



Fourier wavenumber  $k$  [ $\text{Mpc}^{-1}$ ]

$$\langle \zeta_k \zeta_k \rangle' = \underbrace{(2.10 \pm 0.03)}_{A_s = \text{amplitude}} \times 10^{-9} \left( \frac{k}{0.05 \text{ Mpc}^{-1}} \right)^{\underbrace{-0.0351 \pm 0.0042}_{n_s - 1 = \text{tilt}}}$$

- $\Rightarrow$  Nearly scale-invariant, Gaussian, scalar fluctuations
- $\Rightarrow$  Currently no (statistically significant) sign of anything else!  
(e.g., primordial gravitational waves, non-Gaussianities, running of the spectrum, features, etc.)
- $\Rightarrow$  Incredibly rich and complex, yet very simple!

## How can this be explained?

ASSUME initial conditions:  
quantum vacuum on small scales

Evolve  
—————→  
? ? ?

MEASURE 'final conditions':  
 $\langle \zeta_k \zeta_k \rangle' \sim k^0$  on large scales

linearized Einstein equations  $\Rightarrow$

$$\partial_\tau^2(z\zeta_k) + \left( c_s^2 k^2 - \frac{\partial_\tau^2 z}{z} \right) z\zeta_k = 0, \quad z \equiv \frac{a\sqrt{2\epsilon}}{c_s}$$

$\tau$  = conformal time

$a(\tau)$  = scale factor of the universe

$\epsilon(\tau)$  = characterizes the equation of state of the matter content

$c_s(\tau)$  = sound speed

## How can this be explained?

- E.g.,  $c_s = 1$ ,  $\epsilon \approx \text{const.}$ ,  $a(\tau) \sim |\tau|^{1/(\epsilon-1)} \Rightarrow \partial_\tau^2 z/z = \frac{2-\epsilon}{(\epsilon-1)^2 \tau^2}$

Approximate scale invariance is found for  $\partial_\tau^2 z/z \approx 2/\tau^2$

$\Rightarrow$  Inflation:  $\epsilon \ll 1$  (negative pressure, approx. vacuum EoS)

$\Rightarrow$  Fast contraction:  $\epsilon \approx 3/2$  (pressureless matter) Wands [gr-qc/9809062]

- Time-dependent  $\epsilon$  or  $c_s$  or additional fields open up many more possibilities

e.g., Hinterbichler & Khoury [1106.1428], Geshnizjani *et al.* [1107.1241]

E.g.:

- ▶ Slow contraction (a.k.a. ekpyrosis):  $\epsilon > 3$  (ultra-stiff EoS)

e.g., Lehnert *et al.* [hep-th/0702153]

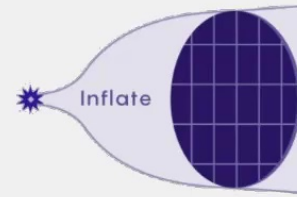
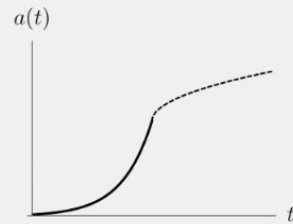
- ▶ Slow expansion (a.k.a. genesis):  $\epsilon < 0$  (ghost-like EoS)

e.g., Creminelli *et al.* [1007.0027]

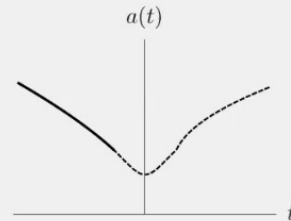
- **Can all be made consistent with the measured  $\langle \zeta_k \zeta_k \rangle'$**

- More scenarios are also possible (e.g., 'beyond semi-classical GR'), but let's keep it simple for today

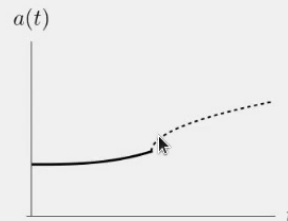
- Inflation (standard paradigm)



- Contraction (alternative)



- Slow expansion (alternative)



## Can we realistically tell them apart?

With the running of the spectral index  $\alpha_s$ , non-Gaussianities ( $f_{\text{NL}}$ ,  $g_{\text{NL}}$ , ...), tensor-to-scalar ratio  $r$ , tensor tilt  $n_t$ , etc.?

Surely. But one can often find models that lead to closely degenerate predictions.

Example:

single-field slow-roll inflation

$$n_s \approx 0.97, \alpha_s \approx -5 \times 10^{-4}$$

$$f_{\text{NL}}^{\text{local}} \approx 0.01$$

$$r \approx 0.01, n_t \approx -0.001$$

two-field ekpyrosis (slow contraction)

$$n_s \approx 0.97, \log_{10}(-\alpha_s) \lesssim -2$$

$$f_{\text{NL}}^{\text{local}} \approx \frac{3}{2} \kappa_3 \sqrt{\epsilon} + 5 \quad (\in [-5, 5])$$

$$r \lesssim 0.06, n_t \gtrsim 0.12$$

*Ijjas et al.* [1404.1265], *Lehners & Wilson-Ewing* [1507.08112],

*Fertig et al.* [1607.05663],

*Ben-Dayan+* [1604.07899,1812.06970]  $\rightarrow$  sourced perturbations

from gauge field production

So are there ways of **discriminating** between those theories,  
in a **model-independent** way,  
both **theoretically and observationally**?

**We need to invent new approaches!**

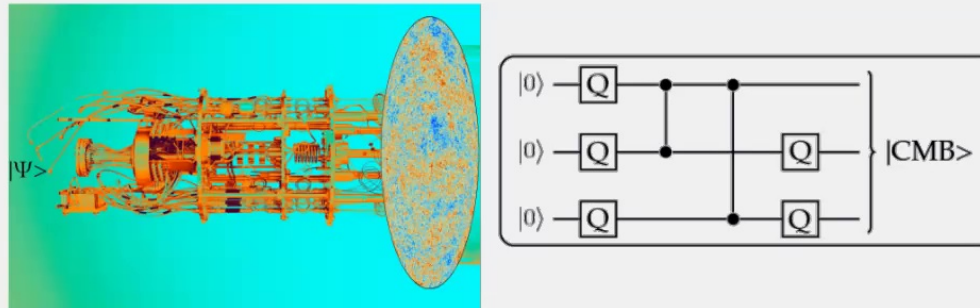
Let me propose a few avenues in that direction for the rest of this talk:

- (1) Primordial quantum circuit complexity
- (2) Primordial quantum transition amplitudes
- (3) Primordial standard clocks



## (1) Primordial quantum complexity

- How **complex** are the various scenarios? If we did a quantum simulation of the early universe, **how many quantum gates** would it require?



$$|\Psi_{\text{Ref}}\rangle \xrightarrow{|\Psi_{\text{Target}}\rangle = \hat{U}|\Psi_{\text{Ref}}\rangle} |\Psi_{\text{Target}}\rangle$$

- How many elementary quantum gates to construct  $\hat{U}$ ?  $\implies$  complexity
- The general idea is that a circuit can have a continuous differential-geometry description  
 $\implies$  optimal quantum simulation  $\equiv$  smallest number of gates  $\equiv$  geodesic in the geometry of quantum gates

Nielsen [quant-ph/0502070], Jefferson & Myers [1707.08570], Camargo *et al.* [1807.07075], Chapman *et al.* [1810.05151],  
 Bhattacharyya+ [1810.02734,2001.08664,2005.10854], Lehnert & JQ [2012.04911]

- Start with a Reference and a Target state, both Gaussian and with respective frequencies  $\omega$  and  $\Omega$  (1-d harmonic oscillators with 'position'  $\zeta$ ):

$$|\Psi_R\rangle = \left(\frac{\omega}{\pi}\right)^{1/4} e^{-\frac{1}{2}\omega\zeta^2}, \quad |\Psi_T\rangle = \left(\frac{\Omega}{\pi}\right)^{1/4} e^{-\frac{1}{2}\Omega\zeta^2}$$

- Example of gate that could constitute the unitary evolution ( $\hat{\Pi} = -i\hat{\partial}_\zeta$ ):

$$\hat{Q} \equiv e^{\frac{\epsilon}{2}} e^{i\epsilon\zeta\hat{\Pi}}, \quad \hat{Q}|\Psi(\zeta)\rangle = e^{\frac{\epsilon}{2}} |\Psi(e^\epsilon\zeta)\rangle$$

- Then  $\hat{U} = \hat{Q}^\alpha$  yields  $|\Psi_T\rangle = \hat{U}|\Psi_R\rangle$  as long as  $2\epsilon\alpha = \ln(\Omega/\omega)$
- Therefore, the # of gates (the complexity) goes as

$$\mathcal{C} = \epsilon\alpha = \frac{1}{2} \ln\left(\frac{\Omega}{\omega}\right) \xrightarrow{\omega, \Omega \in \mathbb{C}} \frac{1}{2} \left| \ln\left(\frac{\Omega}{\omega}\right) \right|$$

- In cosmology,  $|\Psi_R\rangle$  is the Bunch-Davies vacuum, and the late-time correlator is [Lehners & JQ \[2012.04911\]](#)

$$\Omega = z^2 \left( -i \frac{\partial_\tau(z\zeta)^*}{(z\zeta)^*} + i \frac{\partial_\tau z}{z} \right)$$

## Quantum circuit complexity

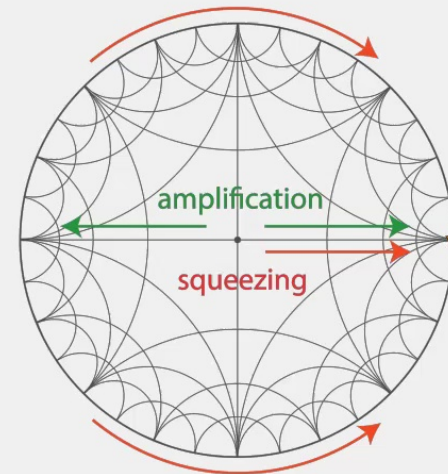
A convenient geometry is the hyperbolic one [it naturally arises when representing the Gaussian wavefunctions as covariance matrices, where elementary gates are elements of  $\text{Sp}(2, \mathbb{R})$ ] [Camargo et al. \[1807.07075\]](#)

Poincaré half-plane:

$$(x_0, y_0) = (0, 1) \longrightarrow (x, y) = \left( -\frac{\text{Im } \Omega}{\sqrt{2} \text{Re } \Omega}, \frac{\omega}{\text{Re } \Omega} \right)$$

Poincaré disk:

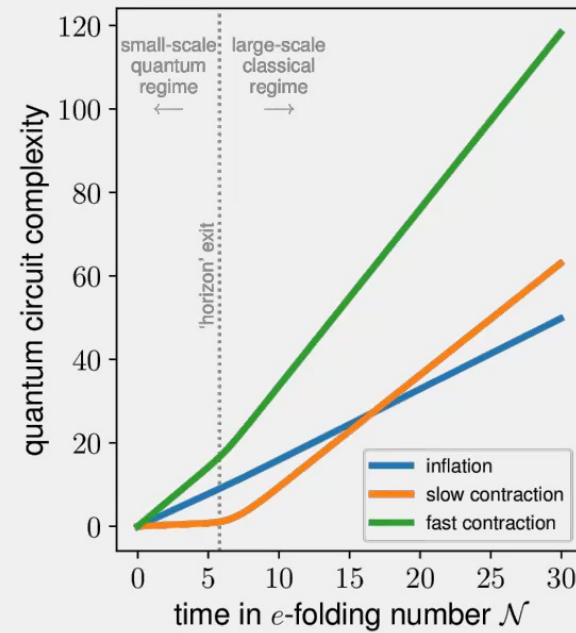
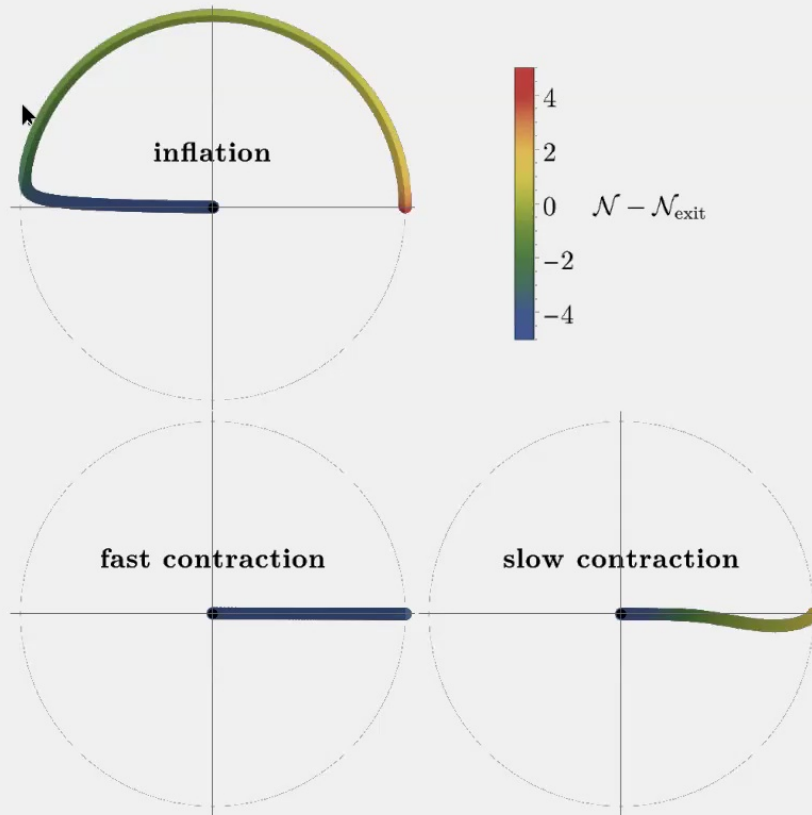
$$z = x + iy \longrightarrow \frac{z - i}{z + i}$$



[Lehners & JQ \[2012.04911\]](#)

- ▶ **amplification**  $\leftrightarrow 1/\text{Re } \Omega \rightarrow \infty \leftrightarrow$  growth of  $\langle \zeta_k \zeta_k \rangle'$
- ▶ **squeezing**  $\leftrightarrow |\text{Im } \Omega / \text{Re } \Omega| \rightarrow \infty \leftrightarrow$  classicalization in the WKB sense
- ▶ **complexity**  $\leftrightarrow$  hyperbolic distance from the origin

# Complexity of early universe perturbations Lehners & JQ [2012.04911]



## Complexity of early universe perturbations Lehners & JQ [2012.04911]

Super-horizon:

$$\text{inflation } (\epsilon < 1) : \Delta\mathcal{C} \simeq \underbrace{\sqrt{2}(1+2\epsilon)}_{\approx 1} \Delta\mathcal{N}$$

$$\text{slow contraction } (\epsilon > 3) : \Delta\mathcal{C} \simeq \underbrace{2\sqrt{2}\left(\frac{\epsilon-3/2}{\epsilon-1}\right)}_{\approx 1} \Delta\mathcal{N}$$

$$\text{fast contraction } (\epsilon \approx 3/2) : \Delta\mathcal{C} \simeq 3\sqrt{2} \Delta\mathcal{N}$$

- ⇒ inflation acts as a ‘simple’ quantum computer compared to its alternatives
- ⇒ **very modest dependence** on specific model realizations
- ✓ Good way to differentiate theories, theoretically speaking
- ▶ How can it be used to discriminate? Interpretation of chaos? Sensitivity to initial conditions?

## (2) Primordial quantum amplitudes Jonas, Lehnert & JQ [2012.04911]

$$\mathcal{A}(\Phi_i \rightarrow \Phi_f) = \int_{\Phi_i}^{\Phi_f} \mathcal{D}\Phi e^{\frac{i}{\hbar} S[\Phi]} \stackrel{\hbar \ll 1}{\simeq} \sum_{\text{saddles}} \mathcal{N} e^{\frac{i}{\hbar} S_{\text{on-shell}}[\Phi_i \rightarrow \Phi_f]}$$

$$\Phi = \{g_{\alpha\beta}, \phi, A_\mu, \dots\}$$

→ this only yields a well-defined (and non-zero) amplitude if the relevant saddle points have finite classical on-shell action

$$S_{\text{on-shell}}[\Phi_i \rightarrow \Phi_f] < \infty$$

(Off-shell contributions are expected to blow up, but this is completely fine quantum mechanically)

→ E.g., in cosmology,

$$S_{\text{on-shell}} \sim \int_{t(\Phi_i)}^{t(\Phi_f)} dt a \dot{a}^2 \quad a \sim |t|^{1/\epsilon} \quad \begin{cases} t^{\frac{3-\epsilon}{\epsilon}} \Big|_{-\infty}^{t(\Phi_f)} & \text{inflation with } \epsilon \ll 1 \\ 0 & \\ (-t)^{\frac{3-\epsilon}{\epsilon}} \Big|_{t(\Phi_i)}^{t(\Phi_f)} & \text{contraction with } \epsilon > 1 \end{cases}$$

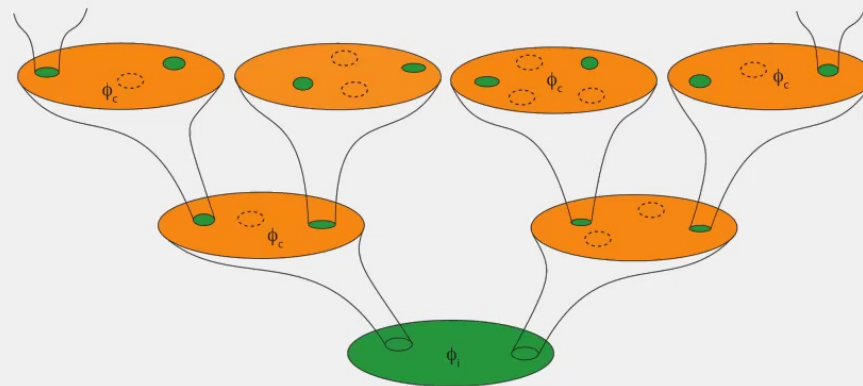
→ Inflation appears to be fine, but contraction converges only if  $\epsilon > 3$  (only slow contraction!)

## But the story is not that simple for inflation

- If inflation really goes all the way back to the big bang singularity ( $a = 0$ ), instabilities in the perturbations arise (interference among different saddle points)  $\Rightarrow$  unviable

Di Tucci, Feldbrugge, Lehnert & Turok [1906.09007]

- If inflation is eternal (potential is so flat that field stochastically jumps up the potential and keeps inflating), action is divergent Jonas, Lehnert & JQ [2102.05550]



$$S \sim \int_0^\infty dt a^3 V(\phi) \underbrace{\text{Prob}[\phi \text{ is inflating at time } t]}_{\text{sol. to Fokker-Planck equation}} \rightarrow \infty \quad \text{if} \quad \frac{|V_{,\phi}|}{V^{3/2}} < \frac{1}{\sqrt{2}\pi}$$

$\rightarrow$  Reminiscent of swampland criteria

e.g., Rudelius [1905.05198], Hamada, Montero, Vafa & Valenzuela [2111.00015]

## Quadratic gravity (and beyond)

- Quadratic gravity is renormalizable [Stelle \[PRD 1977\]](#)

$$S_{\text{quad}} = \int d^4x \sqrt{-g} \left( \frac{M_{\text{Pl}}^2}{2} R + \frac{\omega}{3\sigma} R^2 - \frac{1}{2\sigma} C_{\mu\nu\rho\sigma}^2 \right)$$

- FLRW solutions  $a(t) \sim t^s$  as  $t \rightarrow 0^+$  lead to finite amplitudes only if  $s > 1$   
⇒ accelerating out of the big bang

[Lehners & Stelle \[1909.01169\]](#), [Jonas, Lehners & JQ \[2102.05550\]](#)

- In Bianchi I, only 'bounded anisotropy' solutions satisfy the principle  
e.g., constant-Hubble and constant-shear solution [Barrow & Hervik \[gr-qc/0610013\]](#)

- For some generic higher-curvature theory (up to  $\text{Riem}^n$ ):

$$S_{\text{Riem}^n} = \int d^4x \sqrt{-g} f(R^\mu{}_\nu\rho\sigma)$$

- $a(t) \sim t^s$  solutions need to have  $s > (2n - 3)/3$   
⇒ If there are infinitely many ( $n = \infty$ ), as potentially required, no such solutions respect the principle

- ✓ A finite cosmological amplitude principle is a good theoretical discriminator (but more model dependent)



### (3) Primordial standard clocks

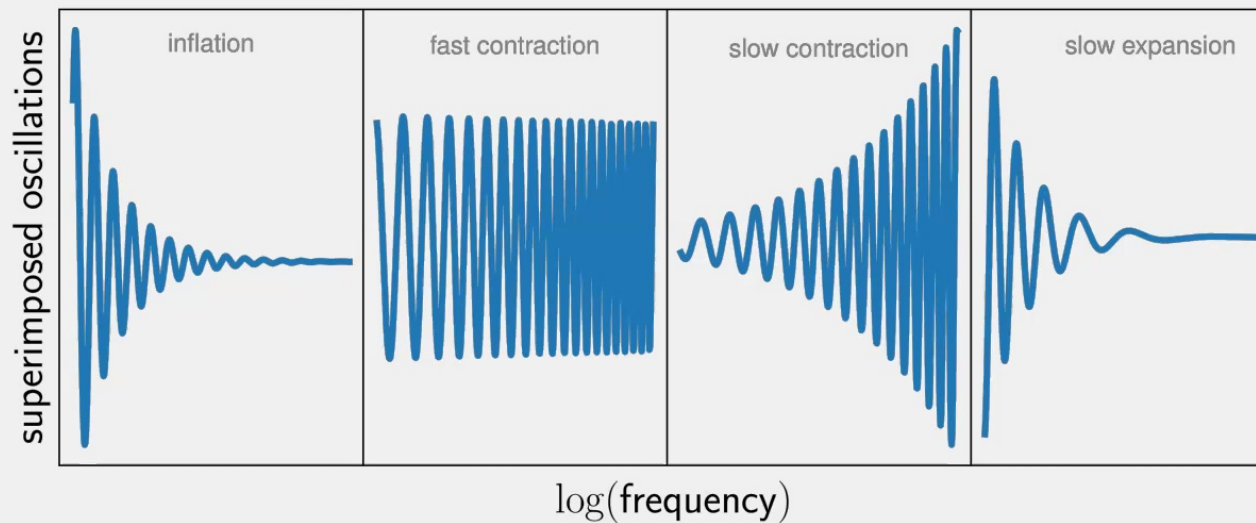
- One generally expects a wealth of heavy spectator fields in the early universe



- These oscillating heavy fields are expected to leave oscillatory signals in the observations
- And the frequency dependence is expected to mainly depend on the background evolution [Chen+ \[1104.1323,1106.1635,1404.1536,1411.2349,1601.06228,1608.01299\]](#)

## Standard clocks

$$a(t) \sim |t|^{1/\epsilon} \longrightarrow \frac{\Delta \langle \zeta_k \zeta_k \rangle'}{\langle \zeta_k \zeta_k \rangle'_{\text{no oscil.}}} \sim k^{(\epsilon-3)/2} \sin \left( \frac{m/H_\star}{\epsilon(1-\epsilon)} k^\epsilon + \text{phase} \right)$$



→ Oscillations superimposed on top of the nearly scale-invariant power spectrum **could tell us about  $\epsilon$  and hence  $a(t)$  in the very early universe!**

→ Expected signals in other windows as well (3-pt function, GWs, etc.)

→ **Potentially observable** with next generation of telescopes!

Chen+ [1605.09364, 1605.09365, 1610.06559, 2106.07546]

→ Explicit particle physics models have been constructed for inflation and the corresponding signals are currently extensively studied

Chen, Namjoo & Wang [1411.2349], Braglia *et al.* [2106.07546, 2108.10110]

and not to mention the cosmological collider program (Arkani-Hamed & Maldacena [1503.08043], Lee, Baumann & Pimentel [1607.03735], Chen, Wang & Xianyu [1610.06597], etc.)

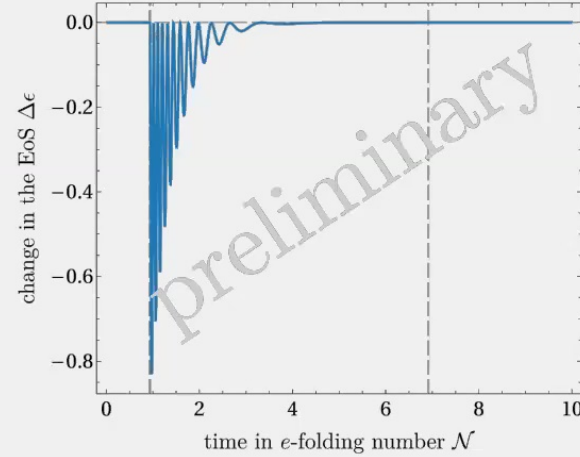
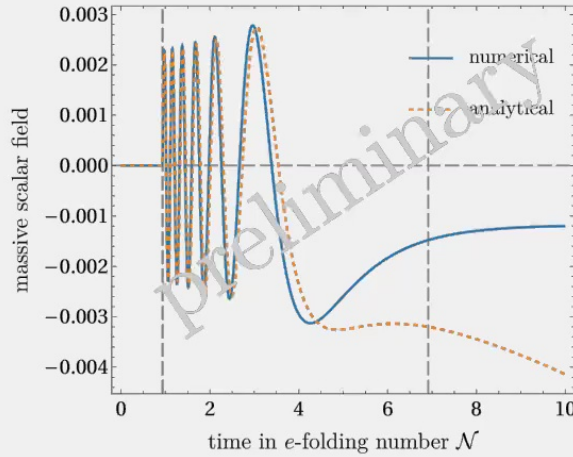
→ **Barely any exploration of the alternatives**

# First classical standard clock model in slow contraction

With Xingang Chen and Reza Ebadi

$$\mathcal{L} = \frac{R}{2} - \frac{1}{2} \mathcal{G}^{IJ}(\Phi_K) \partial_\mu \Phi_I \partial^\mu \Phi_J - V(\Phi_K), \quad \Phi_K = (\phi, \chi, \sigma)$$

$$\ddot{\sigma} + 3H\dot{\sigma} + m^2\sigma = 0 \Rightarrow \sigma(t) \sim (-t)^{-3/(2\epsilon)} \sin(mt + \text{phase})$$



$$\mathcal{L} \supset \sigma(\partial\chi)^2 \longrightarrow \mathcal{H}_{\text{int}}^{(2)} \sim -a^3 \sigma \left( \dot{\zeta}^2 - \frac{(\partial_i \zeta)^2}{a^2} \right) \implies \frac{\Delta \langle \zeta_k \zeta_k \rangle'}{\langle \zeta_k \zeta_k \rangle'_{\text{no oscil.}}}$$

→ **Exact signals currently under investigation, so stay tuned!**

## Conclusions and future directions

- Very different realizations of the very early universe can degenerately predict the same simple nearly scale-invariant primordial spectrum
- We need new ways of discriminating between theories, in the most model-independent way:
  - quantum circuit complexity:
    - ✓ nice description of the quantum-to-classical transition
    - ✓ very modest model dependence
      - applicability?
  - finite quantum cosmological amplitudes:
    - ✓ strong theoretical constraint on allowed models
      - more model dependent
  - standard clocks (heavy spectator fields):
    - ✓ strong potential observational constraints on allowed models
    - ✓ quite model independent
      - a lot more work to be done on the alternatives

**Thank you for your attention!**

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