

Title: Pivot Hamiltonians: a tale of symmetry, entanglement, and quantum criticality

Speakers: Nathanan Tantivasadakarn

Series: Quantum Matter

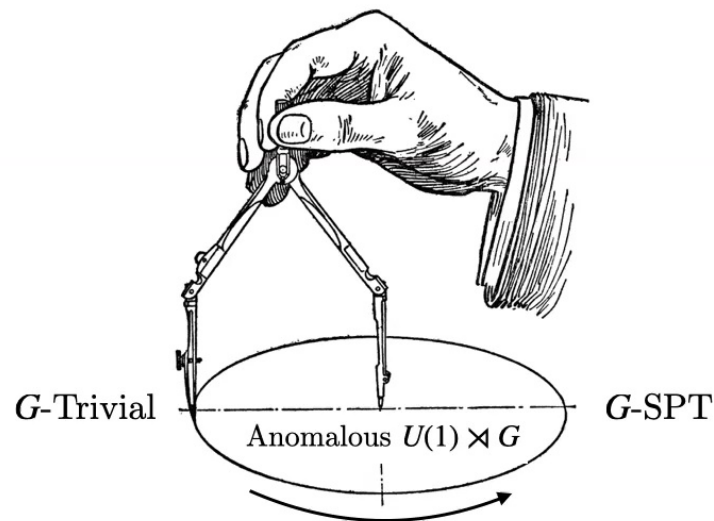
Date: November 29, 2021 - 3:30 PM

URL: <https://pirsa.org/21110042>

Abstract: I will introduce the notion of Pivot Hamiltonians, a special class of Hamiltonians that can be used to "generate" both entanglement and symmetry. On the entanglement side, pivot Hamiltonians can be used to generate unitary operators that prepare symmetry-protected topological (SPT) phases by "rotating" the trivial phase into the SPT phase. This process can be iterated: the SPT can itself be used as a pivot to generate more SPTs, giving a rich web of dualities. Furthermore, a full rotation can have a trivial action in the bulk, but pump lower dimensional SPTs to the boundary, allowing the practical application of scalably preparing cluster states as SPT phases for measurement-based quantum computation. On the symmetry side, pivot Hamiltonians can naturally generate  $U(1)$  symmetries at the transition between the aforementioned trivial and SPT phases. The sign-problem free nature of the construction gives a systematic approach to realize quantum critical points between SPT phases in higher dimensions that can be numerically studied. As an example, I will discuss a quantum Monte Carlo study of a 2D lattice model where we find evidence of a direct transition consistent with a deconfined quantum critical point with emergent  $SO(5)$  symmetry.

This talk is based on arXiv:2107.04019, 2110.07599, 2110.09512

# Pivot Hamiltonians: a tale of symmetry, entanglement, and quantum criticality



Nat Tantivasadakarn  
*Nov 30<sup>th</sup>, 2021*



Ryan Thorngren



Ashvin Vishwanath



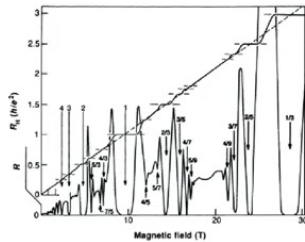
Ruben Verresen

arXiv:2107.04019 *NT, Vishwanath*

arXiv:2110.07599 *NT, Thorngren, Vishwanath, Verresen*

arXiv:2110.09512 *NT, Thorngren, Vishwanath, Verresen*

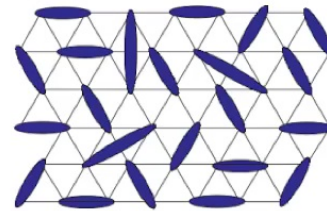
# Topological Phases of matter



Fractional Quantum Hall

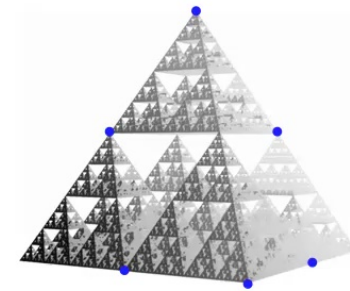
*Laughlin, Störmer, Tsui*

...



Quantum spin liquids

*see Savary, Balents: Rep. Prog. Phys. 80, 016502 (2017)*



Fractons (Haah's code)

*Chamon, Haah*

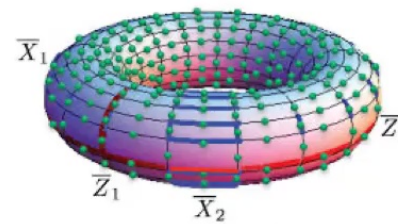
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Majorana chain

*Kitaev*

...

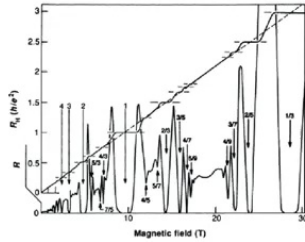


Toric code

Quantum error correcting codes that can perform topological quantum computation

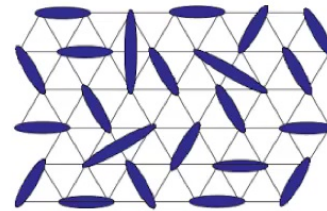
*see Nayak, Simon, Stern, Freedman, Das Sarma: RMP 80, 1083 (2008)*

# Topological Phases of matter



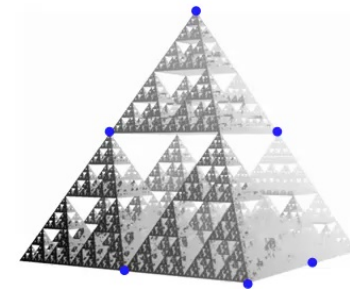
Fractional Quantum Hall

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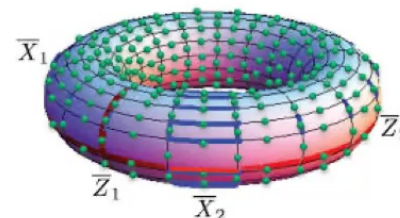
*Chamon, Haah*



Majorana chain

*Kitaev*

...



Toric code

Trivial phase

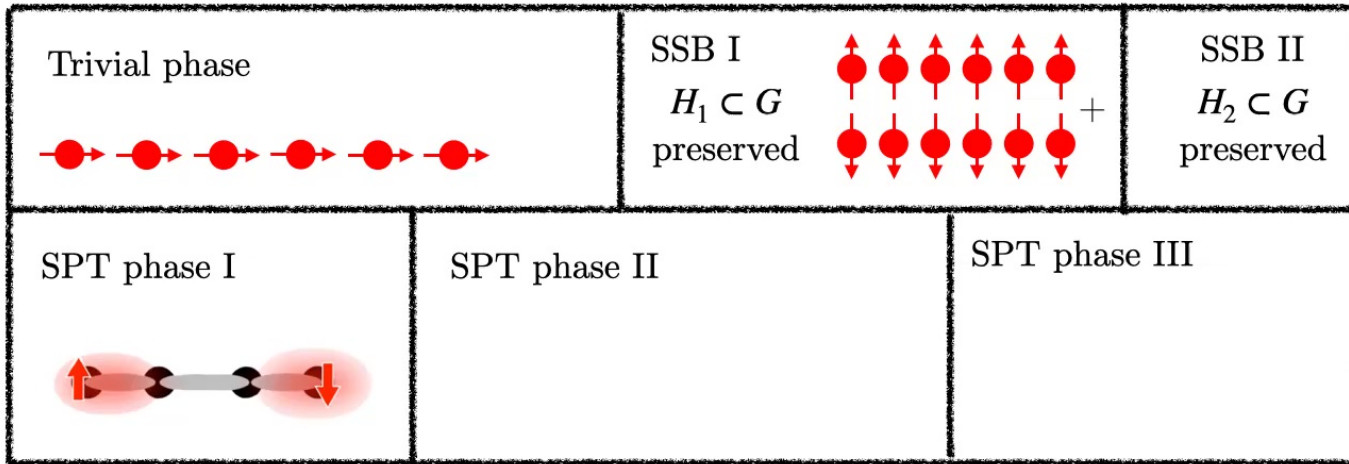
Quantum error correcting codes that can perform topological quantum computation

*see Nayak, Simon, Stern, Freedman, Das Sarma: RMP 80, 1083 (2008)*

# Phases of matter with symmetry

Symmetry group  $G$

Spontaneous symmetry broken (SSB) phases



Symmetry protected topological (SPT) phases

| Symm. group  | d = 0                              | d = 1                              | d = 2                              | d = 3                              |
|--|------------------------------------|------------------------------------|------------------------------------|------------------------------------|
| $\mathbb{Z}_2^T$   | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     |
| $\mathbb{Z}_2^T \times \text{tm}$                        | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     | $\mathbb{Z}_2^2$                   | $\mathbb{Z}_2^2$                   |
| $\mathbb{Z}_8$   | $\mathbb{Z}_8$                     | $\mathbb{Z}_8$                     | $\mathbb{Z}_8$                     | $\mathbb{Z}_8$                     |
| $\mathbb{Z}_2 \times \text{tm}$                          | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     | $\mathbb{Z}_2^2$                   | $\mathbb{Z}_2^2$                   |
| $U(1)$   | $\mathbb{Z}$                       | $\mathbb{Z}$                       | $\mathbb{Z}$                       | $\mathbb{Z}$                       |
| $U(1) \times \text{tm}$                                  | $\mathbb{Z}$                       | $\mathbb{Z}$                       | $\mathbb{Z}^2$                     | $\mathbb{Z}^2$                     |
| $U(1) \times \mathbb{Z}_2^T$                             | $\mathbb{Z}$                       | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     |
| $U(1) \times \mathbb{Z}_2^T \times \text{tm}$            | $\mathbb{Z}$                       | $\mathbb{Z} \times \mathbb{Z}_2$   | $\mathbb{Z} \times \mathbb{Z}_2^2$ | $\mathbb{Z} \times \mathbb{Z}_2^2$ |
| $U(1) \times \mathbb{Z}_2^T$                             | $\mathbb{Z}$                       | $\mathbb{Z}_2^2$                   | $\mathbb{Z}_2^2$                   | $\mathbb{Z}_2^2$                   |
| $U(1) \times \mathbb{Z}_2$                               | $\mathbb{Z}$                       | $\mathbb{Z}_2$                     | $\mathbb{Z} \times \mathbb{Z}_2$   | $\mathbb{Z} \times \mathbb{Z}_2$   |
| $U(1) \times \mathbb{Z}_2 \times \text{tm}$              | $\mathbb{Z}$                       | $\mathbb{Z} \times \mathbb{Z}_2$   | $\mathbb{Z} \times \mathbb{Z}_2^2$ | $\mathbb{Z} \times \mathbb{Z}_2^2$ |
| $\mathbb{Z}_2 \times \mathbb{Z}_2^T$                     | $\mathbb{Z}_2$                     | $\mathbb{Z}_2 \times \mathbb{Z}_2$ | $\mathbb{Z}_2^2$                   | $\mathbb{Z}_2 \times \mathbb{Z}_2$ |
| $\mathbb{Z}_2 \times \mathbb{Z}_2^T \times \text{tm}$    | $\mathbb{Z}_2$                     | $\mathbb{Z}_2 \times \mathbb{Z}_2$ | $\mathbb{Z}_2^2$                   | $\mathbb{Z}_2 \times \mathbb{Z}_2$ |
| $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \text{tm}$      | $\mathbb{Z}_2 \times \mathbb{Z}_2$ | $\mathbb{Z}_2 \times \mathbb{Z}_2$ | $\mathbb{Z}_2 \times \mathbb{Z}_2$ | $\mathbb{Z}_2 \times \mathbb{Z}_2$ |
| $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \text{tm}$      | $\mathbb{Z}_2 \times \mathbb{Z}_2$ | $\mathbb{Z}_2 \times \mathbb{Z}_2$ | $\mathbb{Z}_2 \times \mathbb{Z}_2$ | $\mathbb{Z}_2 \times \mathbb{Z}_2$ |
| $D_8 \times \mathbb{Z}_2^T = D_{16}$                     | $\mathbb{Z}_2^2$                   | $\mathbb{Z}_2^2$                   | $\mathbb{Z}_2^2$                   | $\mathbb{Z}_2^2$                   |
| $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2^T$ | $\mathbb{Z}_2 \times \mathbb{Z}_2$ | $\mathbb{Z}_2 \times \mathbb{Z}_2$ | $\mathbb{Z}_2 \times \mathbb{Z}_2$ | $\mathbb{Z}_2 \times \mathbb{Z}_2$ |
| $SO(2)$  | $\mathbb{Z}$                       | $\mathbb{Z}$                       | $\mathbb{Z}$                       | $\mathbb{Z}$                       |
| $SO(3)$  | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     | $\mathbb{Z}$                       | $\mathbb{Z}$                       |
| $SO(3) \times \text{tm}$                                 | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     | $\mathbb{Z} \times \mathbb{Z}_2^2$ | $\mathbb{Z} \times \mathbb{Z}_2^2$ |
| $SO(3) \times \mathbb{Z}_2^T$                            | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     |
| $SO(3) \times \mathbb{Z}_2^T \times \text{tm}$           | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     | $\mathbb{Z}_2$                     |

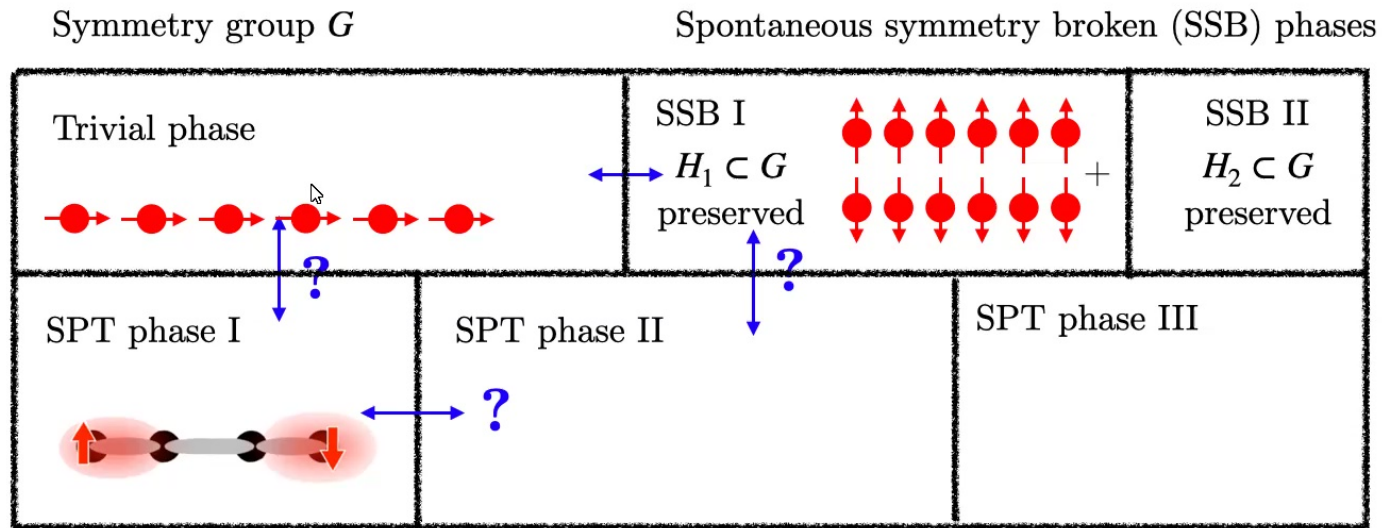


We have a pretty good understanding of what phases can exist given a symmetry  $G$

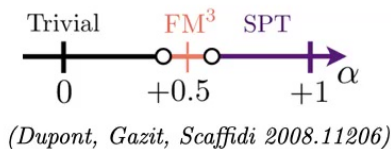
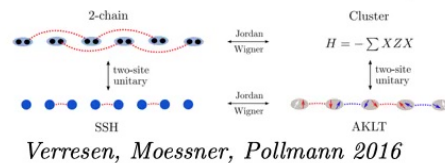
**Q1.** How are SSB and SPT phases related?

Pollmann et al 2010; Fidkowski, Kitaev 2011; Chen et al 2011, Kapustin 2012

# Phases of matter with symmetry



## Symmetry protected topological (SPT) phases

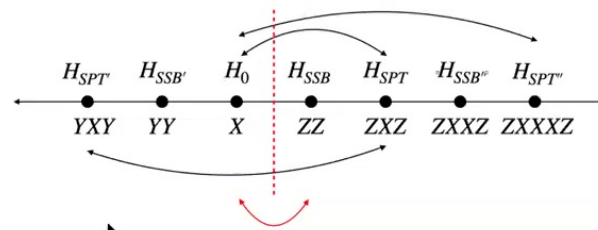


**Q2.** How to systematically study strongly interacting SPT phase transitions?

# Pivot Hamiltonians

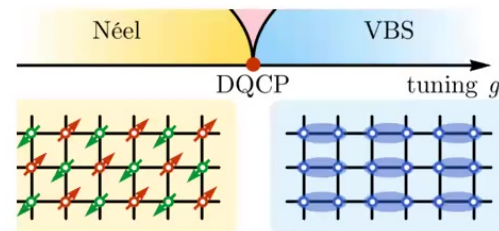
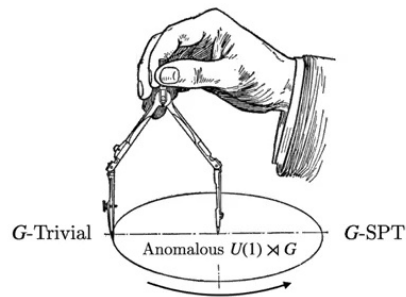
**Q1.** How are SSB and SPT phases related?

**A1.** Evolving with SSB/SPT phases can generate entanglement for other SSB/SPT phases



**Q2.** How to systematically study strongly interacting SPT phase transitions?

**A2.** Continuous symmetries generated from pivot Hamiltonians can help stabilize direct continuous transitions



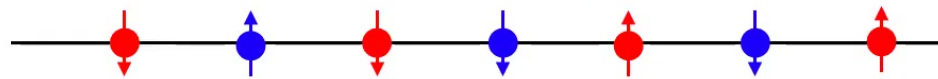
Connections to other unconventional transitions such as deconfined criticality!



# Example: 1D Cluster state

$$G = \mathbb{Z}_2 \times \mathbb{Z}_2$$

$$P_1 = \prod_R X_n \quad P_2 = \prod_B X_n$$



$$H_0 = - \sum_n X_n$$

Trivial (paramagnet)

$$H_{SPT} = - \sum_n Z_{n-1} X_n Z_{n+1}$$

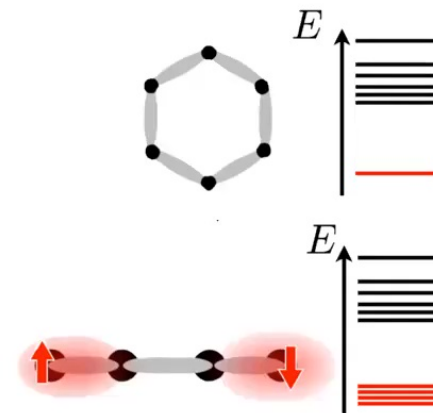
SPT (1D cluster state)

$$H_{Ising} = \frac{1}{4} \sum_n (-1)^n Z_n Z_{n+1}$$

$$H_{SPT} = U H_0 U^\dagger$$

$$U = e^{-\pi i H_{Ising}} = \prod_n CZ_{n,n+1}$$

*Raussenborf, Briegel*



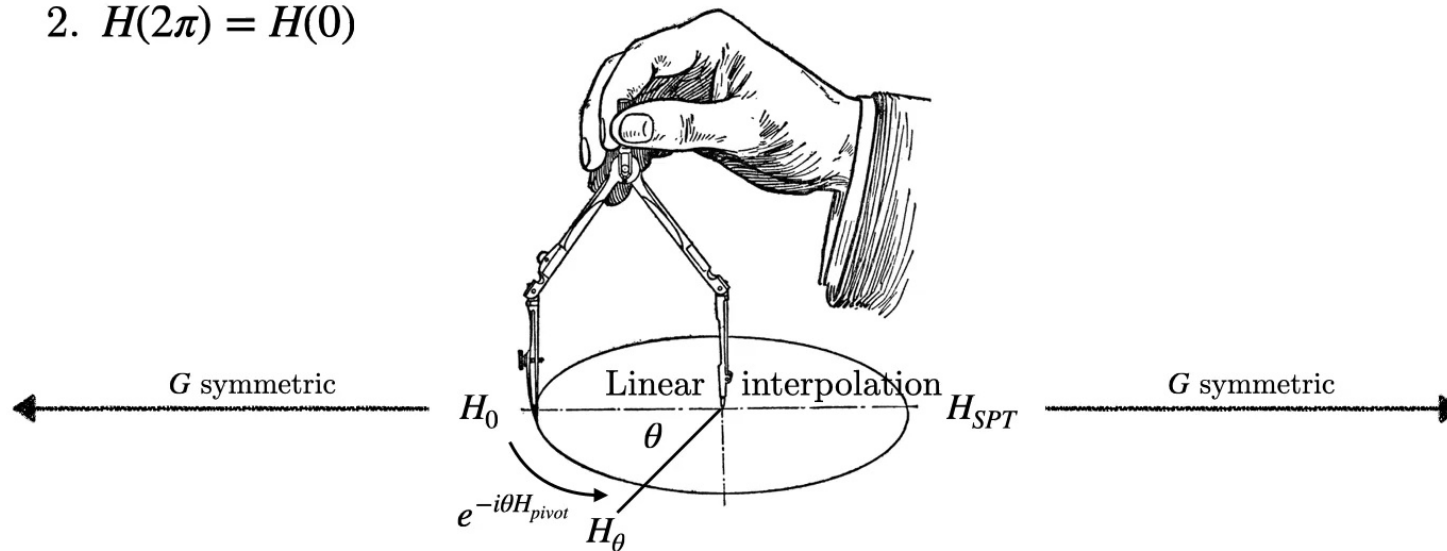
# General Idea of Pivoting

Start with two Hamiltonians:  $H_0$  (typically a  $G$ -paramagnet) and  $H_{pivot}$

$$H(\theta) = e^{-i\theta H_{pivot}} H_0 e^{i\theta H_{pivot}}$$

$H_{pivot}$  is chosen such that

1.  $H_{SPT} = H(\pi)$  is a non-trivial SPT
2.  $H(2\pi) = H(0)$



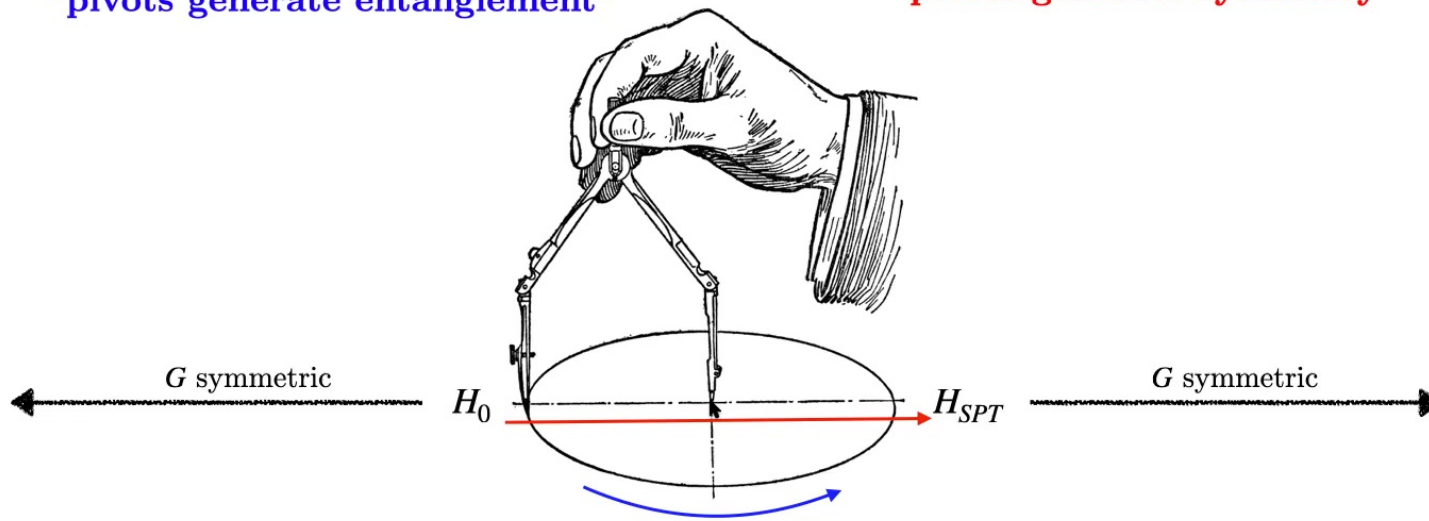
# Outline

## Part I: creating SPT models

“pivots generate entanglement”

## Part II: SPT criticality

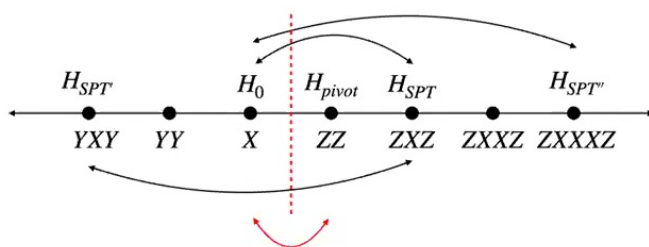
“pivots generate symmetry”



# Part I: creating SPT models

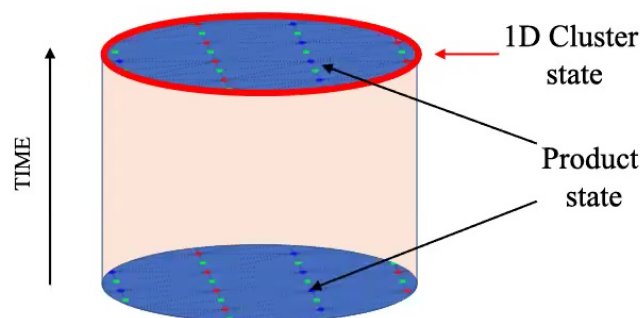
$\pi$  rotation: SPT entanglers

A tool for relating SPT phases via a network of lattice dualities



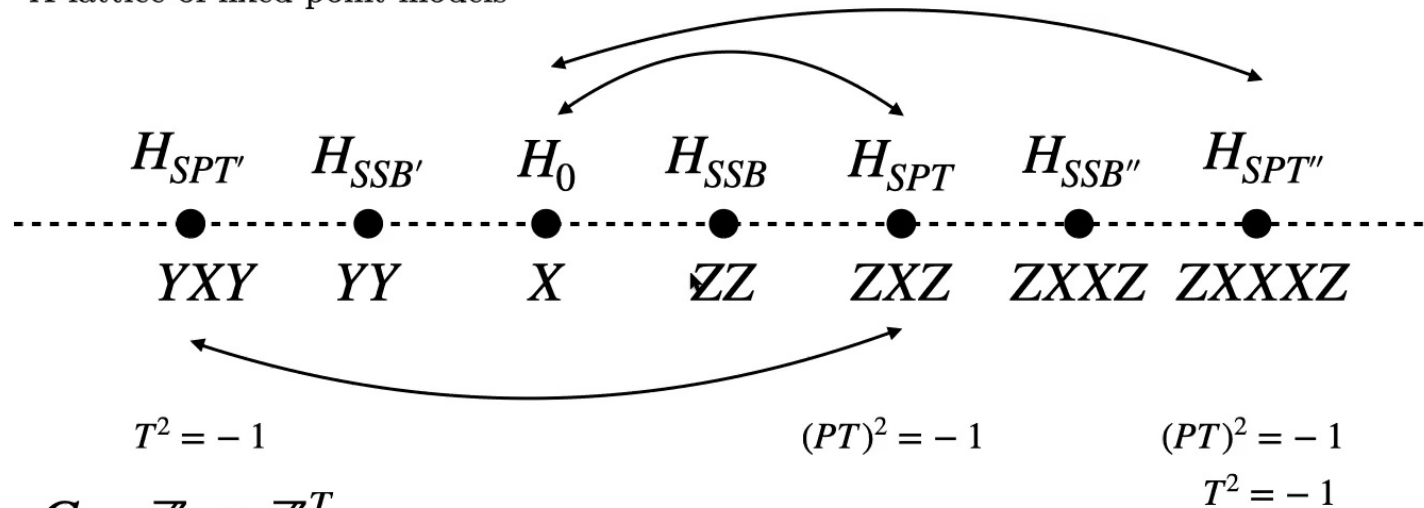
$2\pi$  rotation: Quantum pumps

Preparation of cluster states for Measurement-based quantum computation



# The pivoted becomes the pivot

A lattice of fixed-point models

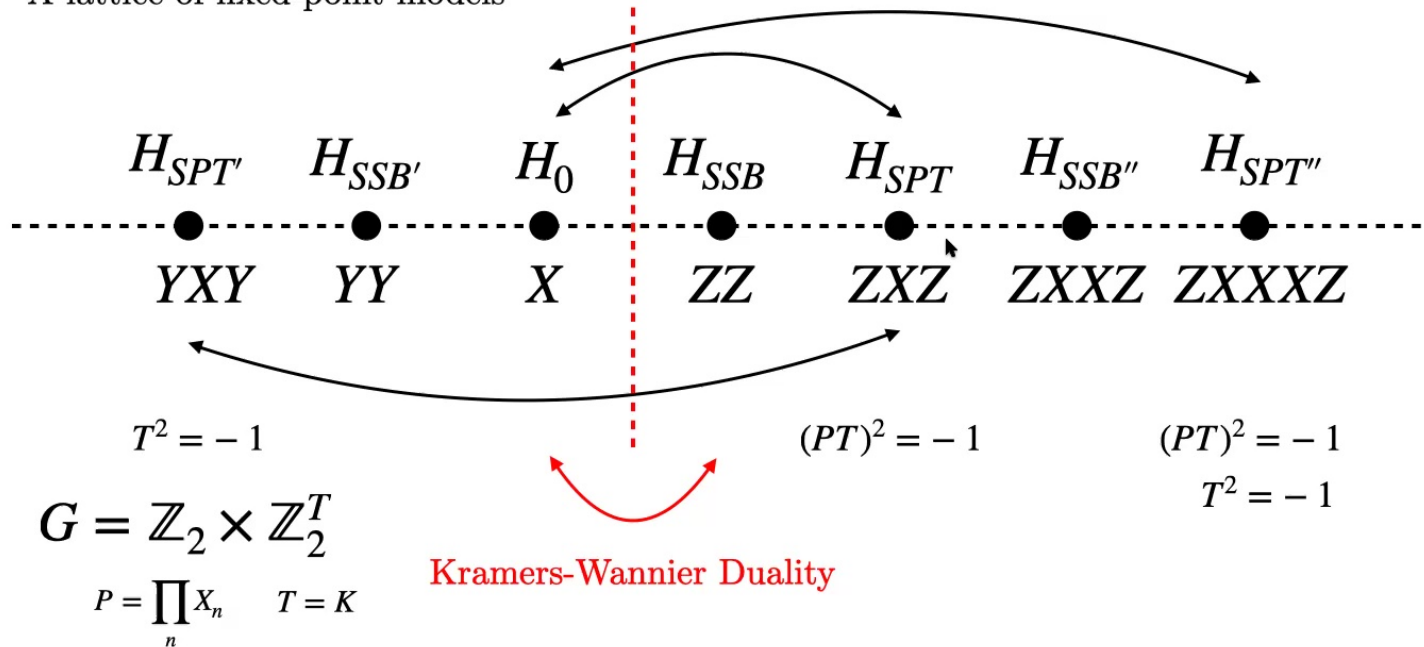


$$G = \mathbb{Z}_2 \times \mathbb{Z}_2^T$$

$$P = \prod_n X_n \quad T = K$$

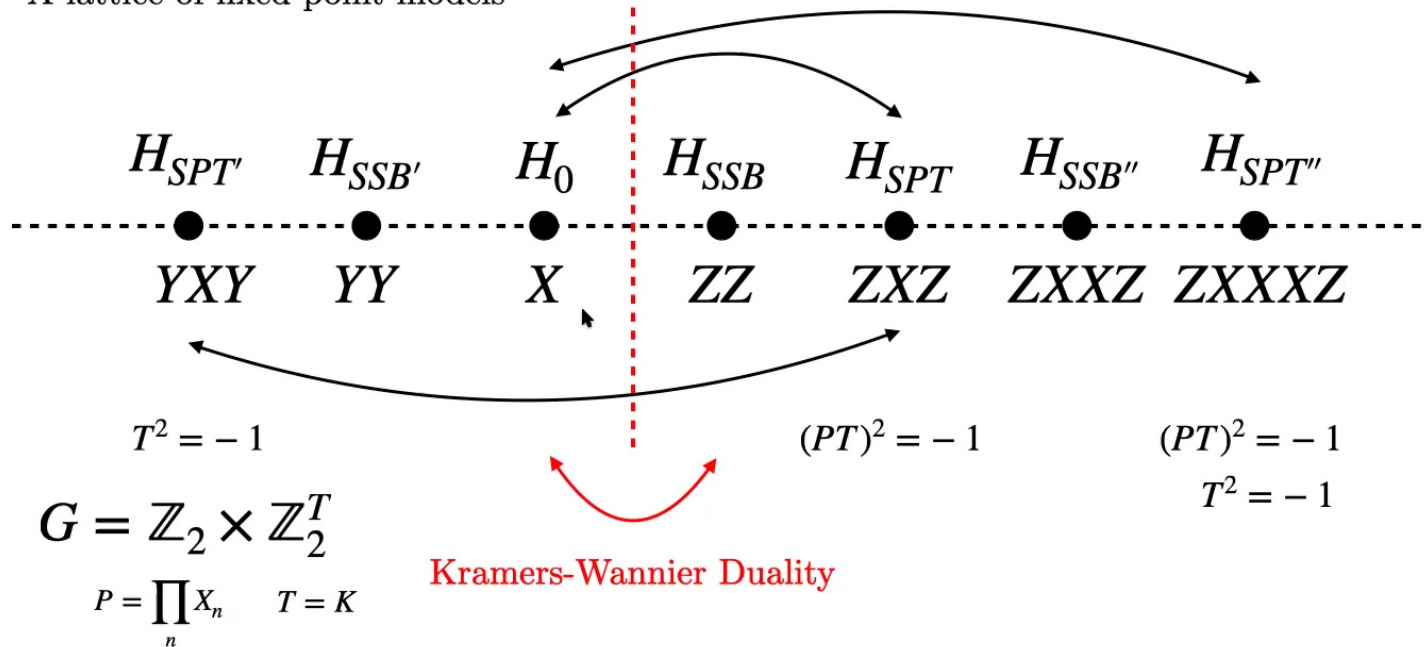
# The pivoted becomes the pivot

A lattice of fixed-point models



# The pivoted becomes the pivot

A lattice of fixed-point models



A network of dualities. Note that  $H_0$ , KW and pivoting can generate the whole sequence of models!

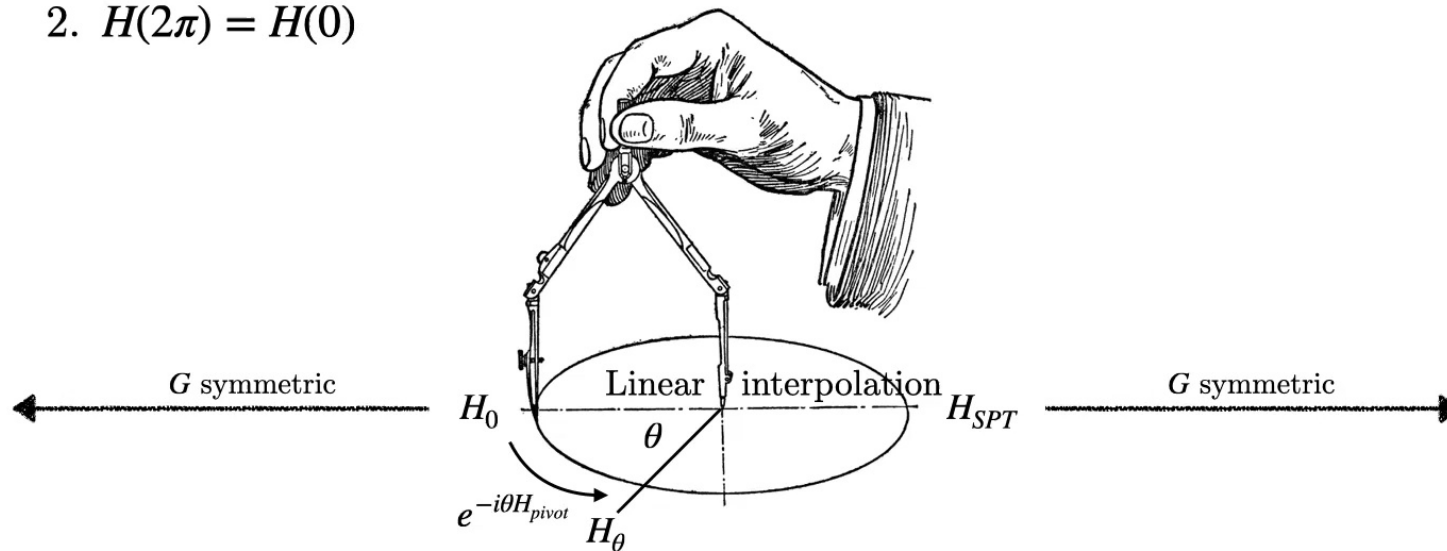
# General Idea of Pivoting

Start with two Hamiltonians:  $H_0$  (typically a  $G$ -paramagnet) and  $H_{pivot}$

$$H(\theta) = e^{-i\theta H_{pivot}} H_0 e^{i\theta H_{pivot}}$$

$H_{pivot}$  is chosen such that

1.  $H_{SPT} = H(\pi)$  is a non-trivial SPT
2.  $H(2\pi) = H(0)$







# Bootstrapping up in dimensions

Given a pivot that creates an 1D  $G$ -SPT of order two, we can construct a pivot that creates a 2D  $\mathbb{Z}_2 \times G$  SPT

$$H_{pivot}^{2D} \sim \sum_{\Delta} \frac{Z}{2} H_{pivot}^{1D}$$

$$H_0 = - \sum_v X_v$$

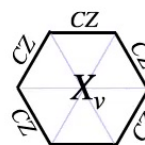
Trivial

$$\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$$

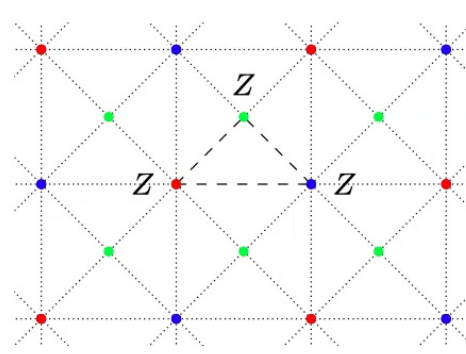
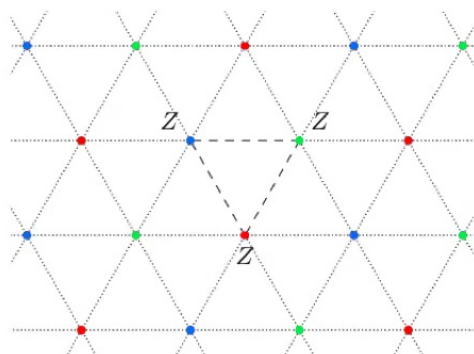
$$\prod_{R} X_v \quad \underbrace{\prod_{G} X_v \quad \prod_{B} X_v}_{1D}$$

$$H_{pivot} = - \frac{1}{8} \sum_{\Delta} (-1)^{\Delta} Z_r Z_g Z_b$$

Pivot

$$H_{SPT} = - \sum_v X_v$$


SPT  
Yoshida 1503.07208

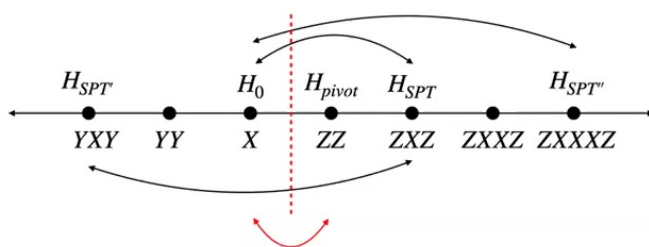


Cluster state decorated on  $\mathbb{Z}_2$  domain walls  
Chen, Lu, Vishwanath 1303.4301

# Part I: creating SPT models

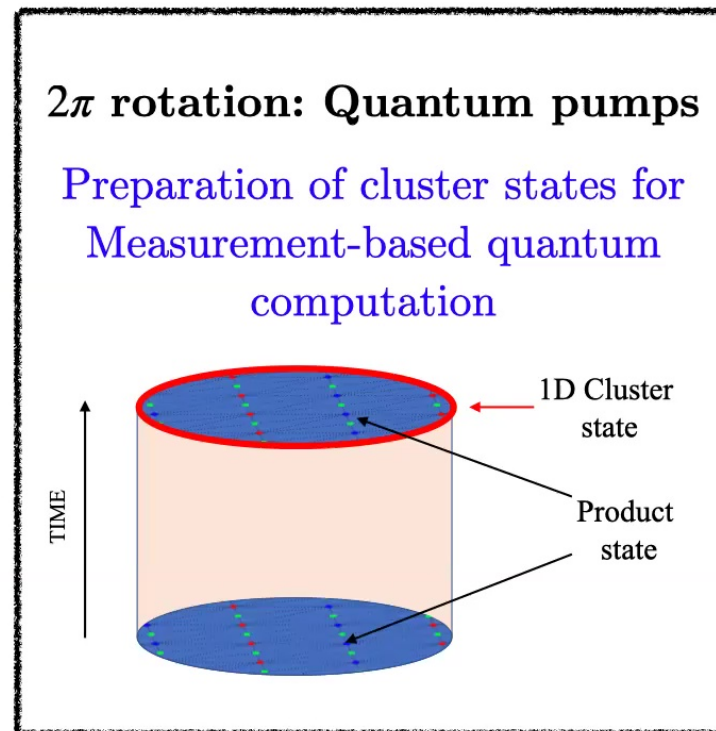
## $\pi$ rotation: SPT entanglers

A tool for constructing SPT phases via a network of lattice dualities



## $2\pi$ rotation: Quantum pumps

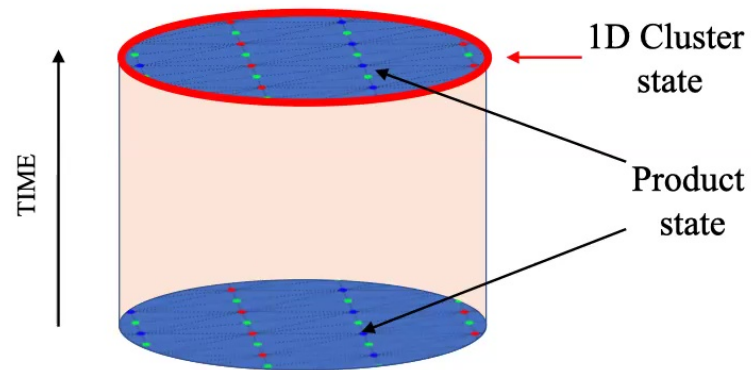
Preparation of cluster states for Measurement-based quantum computation



# Pumps in higher dimensions

Pumps in higher dimensions pump SPT phases to the boundary.  
This has been studied in the context of Floquet SPT phases

*Else, Nayak; Potter, Morimoto, Vishwanath, von Keyserlingk, Sondhi; Roy, Harper...*



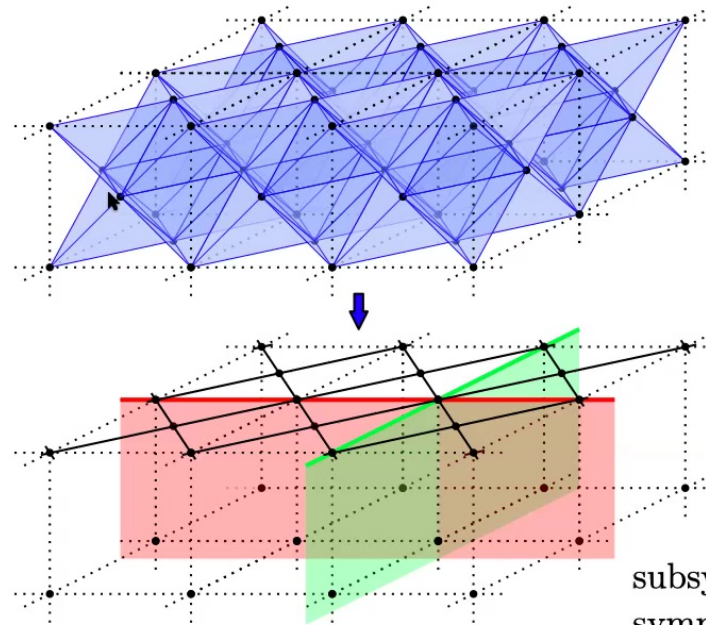
We can use this to prepare cluster states for measurement based quantum computation (MBQC)

Unlike the  $\pi$  evolution, this is robust against preperation errors that respect the symmetry

# 2D Cluster state pump

$$H_{pivot} = -\frac{1}{8} \sum_{\text{tet}} ZZZZ$$

FCC lattice



subsystem  
symmetries

*NT, Vishwanath 2107.04019*

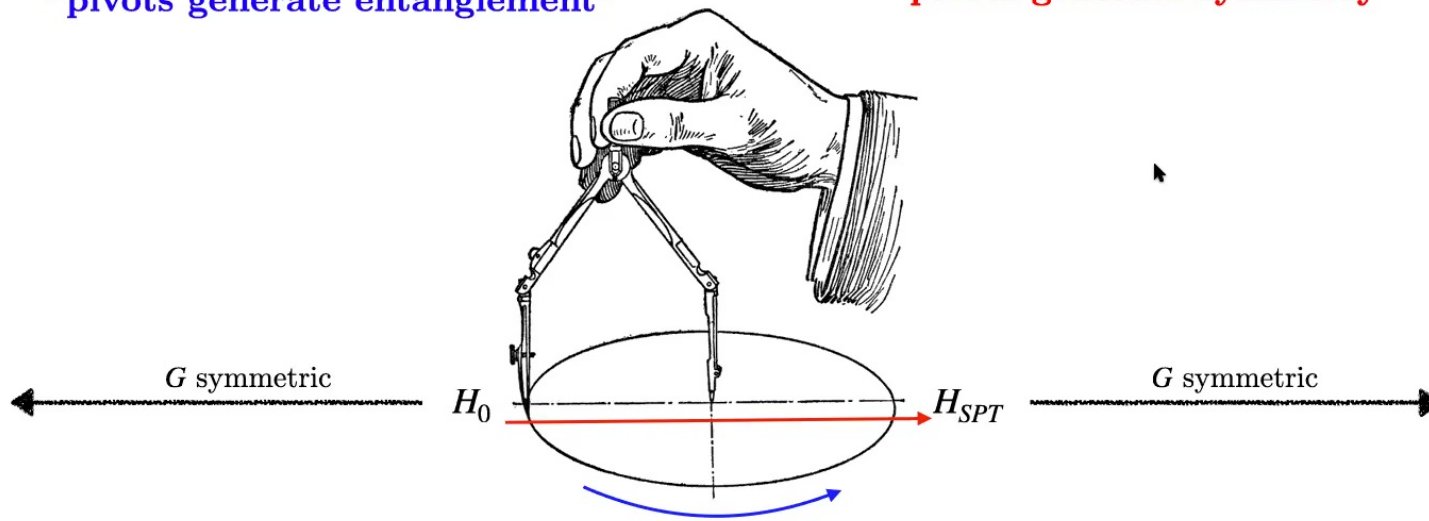
# Questions?

**Part I: creating SPT phases**

“pivots generate entanglement”

**Part II: SPT criticality**

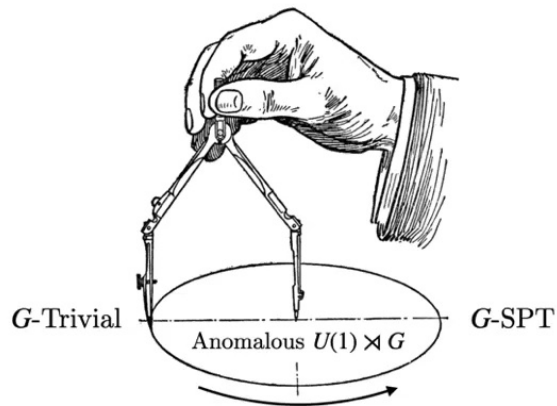
“pivots generate symmetry”



# Part II: SPT criticality

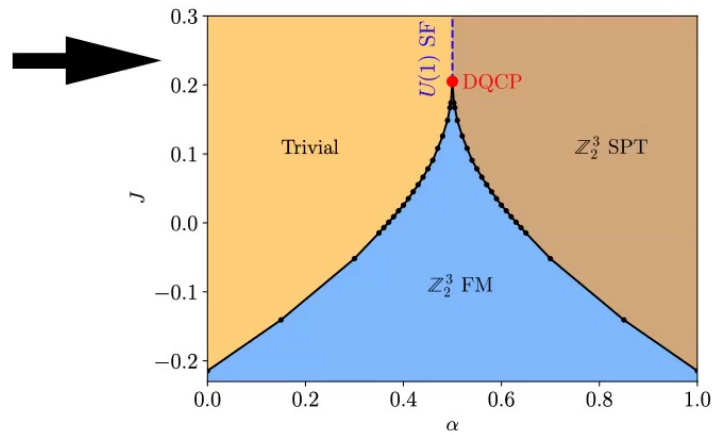
## Symmetries

Anomalous continuous symmetries on the lattice

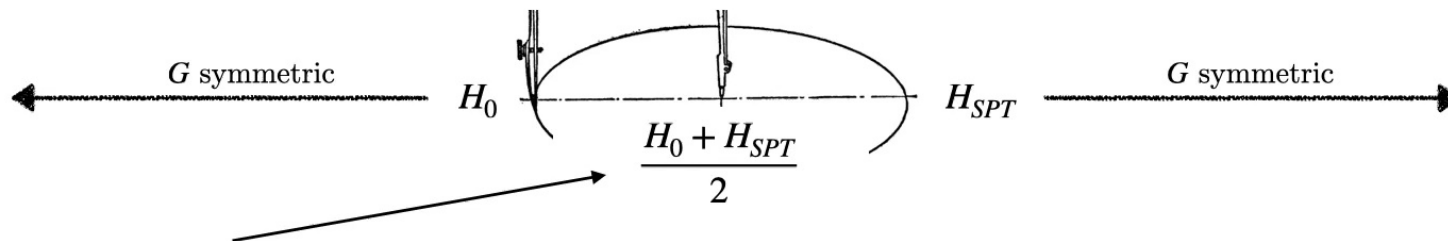


## Criticality

Connecting SPT transitions and deconfined quantum critical points



# $U(1)$ pivot symmetry



Midway point has additional  $\mathbb{Z}_2$  symmetry  $e^{-i\pi H_{pivot}}$  *Bultinck 1905.05790*

But in some cases, it is enlarged to a full  $U(1)$  symmetry!

The pivot generates the symmetry at the midpoint

$$[H_{pivot}, H_0 + H_{SPT}] = 0$$

$U(1)$  is not onsite. Furthermore, there is no basis for which both  $U(1)$  and  $G$  are onsite (if so, no entanglement would be created)

**Mutual anomaly**



# $U(1)$ pivot symmetry in 1D

|                      |   |  |
|----------------------|---|--|
| $H_0 = - \sum_n X_n$ | $H_{pivot} = \frac{1}{4} \sum_n (-1)^n Z_n Z_{n+1}$ | $H_{SPT} = - \sum_n Z_{n-1} X_n Z_{n+1}$ |
| Trivial (paramagnet) | Pivot <sup>n</sup> (Ising)                          | SPT (1D cluster state)                   |

$$[H_{pivot}, H_0 + H_{SPT}] = 0$$

$H_0 + H_{SPT}$  has a conservation of domain wall number Levin, Gu 1202.3120

In fact,  $H_0 + H_{SPT}$  is described by a compact boson CFT ( $c = 1$ )

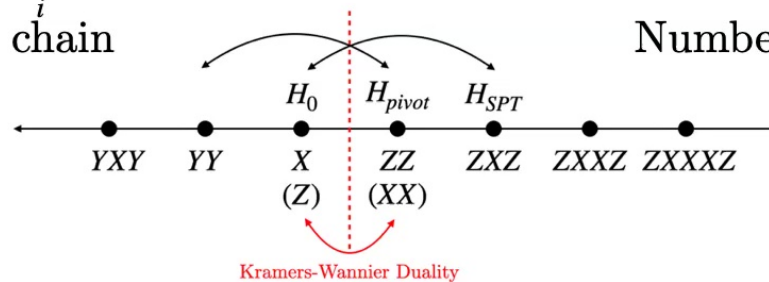
How to see? Use Kramers-Wannier duality (denote dual Ham. with tilde)

$$\tilde{H}_0 + \tilde{H}_{SPT} = \sum_i X_n X_{n+1} + Y_n Y_{n+1}$$

XY chain

$$\tilde{H}_{pivot} = \sum_n Z_n$$

Number conservation



# 2D Pivot on $\Delta$ lattice

$$G = \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$$

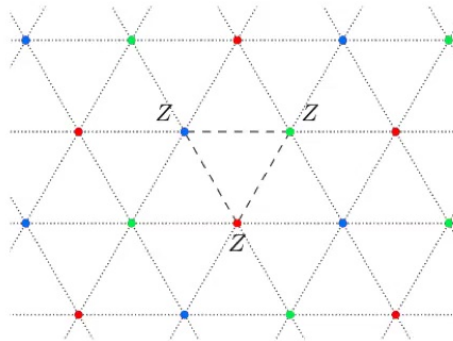
$$\prod_{R} X_v \quad \prod_{G} X_v \quad \prod_{B} X_v$$

$$H_0 = - \sum_v X_v \quad \text{Trivial}$$

$$H_{pivot} = - \frac{1}{8} \sum_{\Delta} (-1)^{\Delta} Z_r Z_g Z_b \quad \text{Pivot}$$

$$H_{SPT} = - \sum_v X_v \quad \text{SPT}$$

*Yoshida 1503.07208*



Cluster state decorated on  $\mathbb{Z}_2$  domain walls  
*Chen, Lu, Vishwanath 1303.4301*

Remarkably, we also find that  $[H_{pivot}, H_0 + H_{SPT}] = 0$  ! How general is this?

# Sufficient criterion for $U(1)$ pivot symmetry

Let  $H_0 = - \sum_v X_v$  and consider pivots of the form  $H_{pivot} = \frac{1}{2^N} \sum (\pm Z_{i_1} \cdots Z_{i_N})$

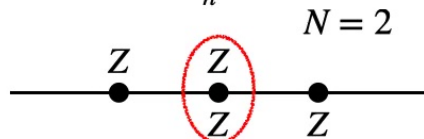
Let  $k$  be the number of times that each vertex  $v$  is included in  $Z_{i_1} \cdots Z_{i_N}$

**Theorem:** if  $k < 2^N$  then  $[H_{pivot}, H_0 + H_{SPT}] = 0$

**Examples:**

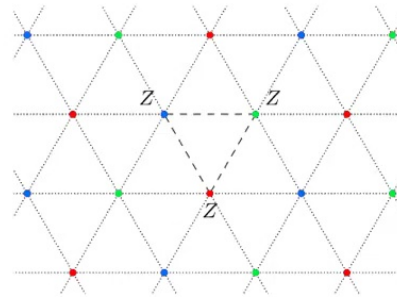
1D chain

$$H_{pivot} = \frac{1}{4} \sum_n (-1)^n Z_n Z_{n+1}$$



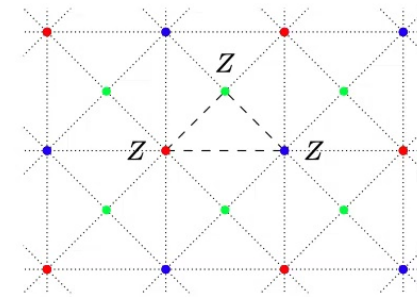
$k = 2 \rightarrow U(1)$  symmetry

*NT, Thorngren, Vishwanath, Verresen*



$$H_{pivot} = -\frac{1}{8} \sum_{\Delta} (-1)^{\Delta} Z_r Z_g Z_b$$

$k = 6 \rightarrow U(1)$

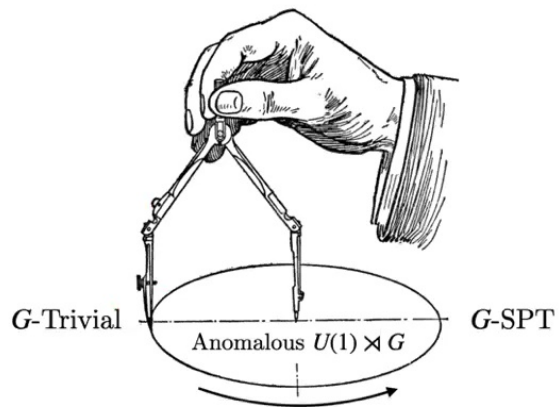


$k = 8 \rightarrow$  no  $U(1)$

# Part II: SPT criticality

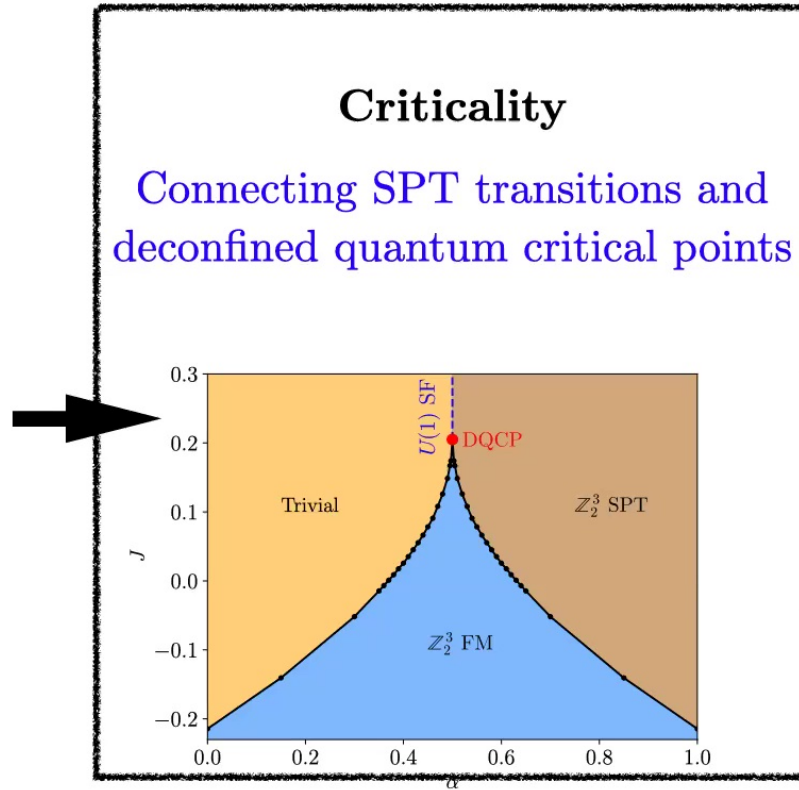
## Symmetries

Anomalous continuous symmetries on the lattice



## Criticality

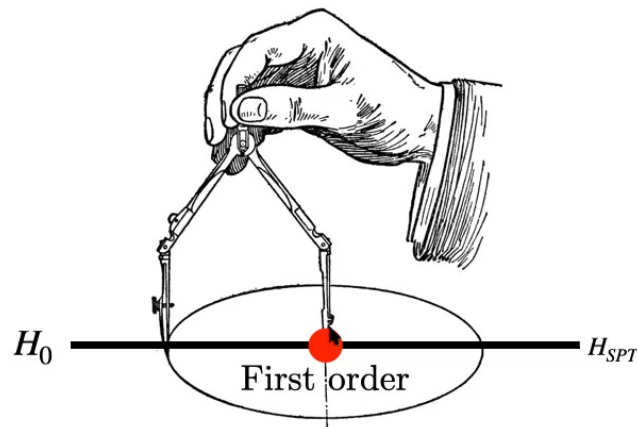
Connecting SPT transitions and deconfined quantum critical points



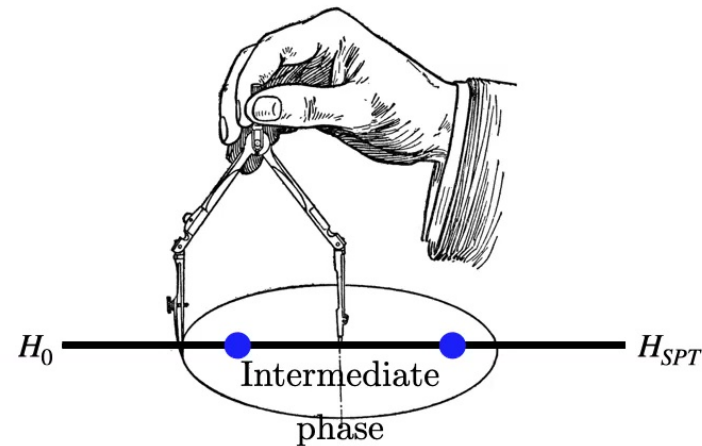
# SPT transitions in higher dimensions

Although phase transitions in 1D are well studied, continuous direct transitions in 2D need fine tuning

Scenario 1:



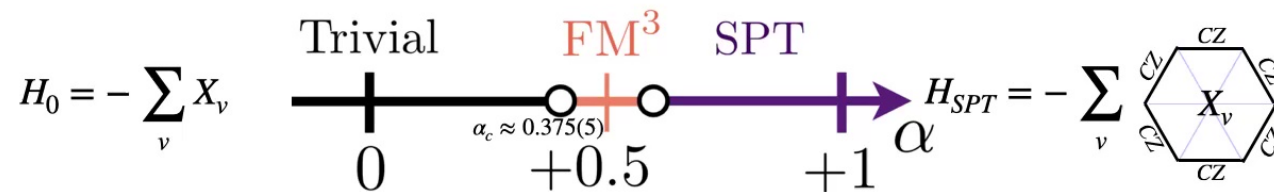
Scenario 2:



The  $U(1) \rtimes G$  anomaly constraints what can happen at the midpoint, helping us to fine-tune to multicriticality!

# 2D Phase Diagram

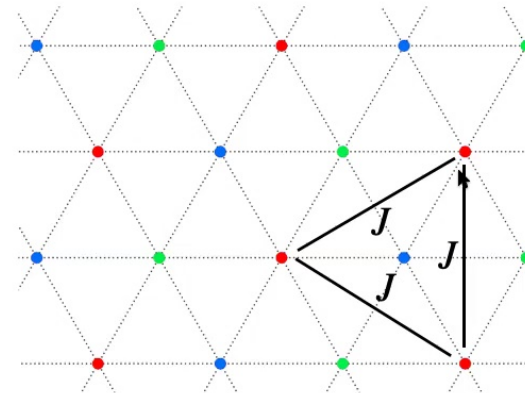
The direct interpolation was recently studied numerically (*Dupont, Gazit, Scaffidi 2008.11206*)



In search of a continuous direct transition, we further add antiferromagnetic Ising coupling  $J > 0$  for each sub-lattice to suppress FM order (which commutes with the pivot)

$$H = (1 - \alpha)H_0 + \alpha H_{SPT} + J \sum_{\langle cc' \rangle \in R, G, B} Z_c Z_{c'}$$

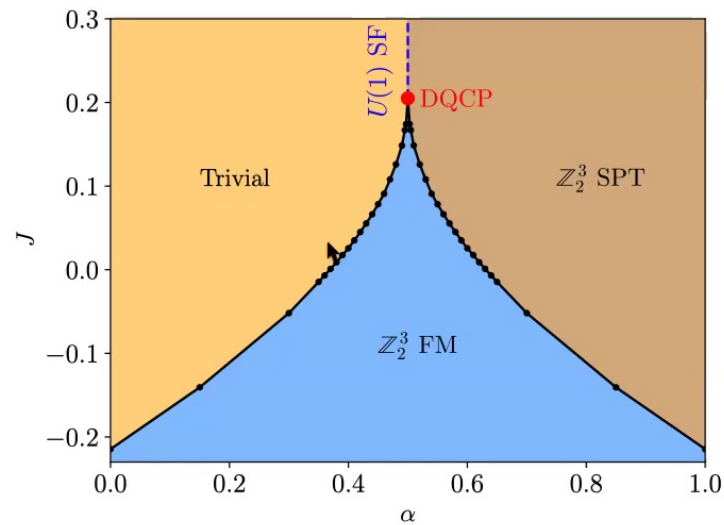
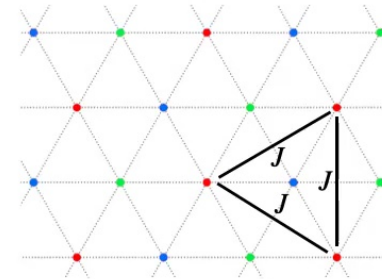
Ising coupling allows us to use efficient Quantum Monte Carlo algorithms, such as non-local cluster updates! *Sandvik PRE 68 056701. Melko et. al.*



# Numerical Study

$$H = (1 - \alpha)H_0 + \alpha H_{SPT} + J \sum_{\langle cc' \rangle \in R, G, B} Z_c Z_{c'}$$

Sign problem free for  $\alpha \leq 0.5$ , but the pivoting by  $\pi$  rotation maps  $\alpha \rightarrow 1 - \alpha$

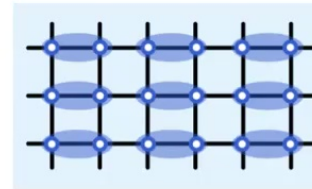
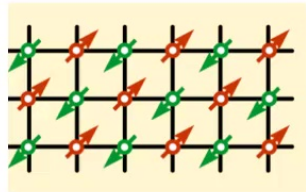


We argue in favor of a multicritical point described by the DQCP

*NT, Thorngren, Vishwanath, Verresen 2110.09512*

# Deconfined criticality

Néel  
 $SO(3)$  SSB



VBS  
 $C_4$  rotation SSB  
( $U(1)$  at DQCP)

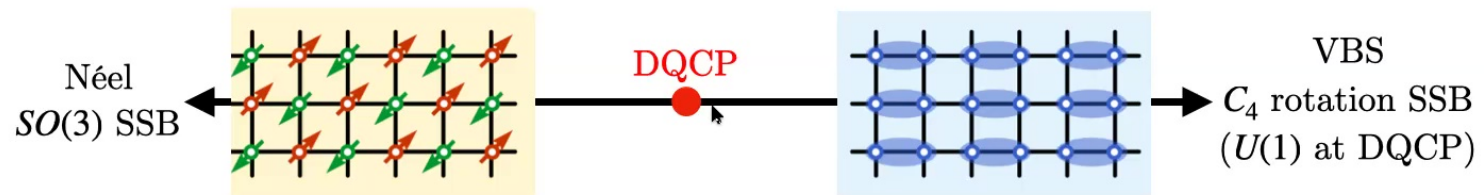
Mutual anomaly between  $SO(3)$  and  $C_4$

Believed to be enhanced to  $SO(5)$  at the DQCP

*Senthil, Vishwanath, Balents, Sachdev, Fisher; Sandvik et. al.; Xu et al; Wang, He et. al.*



# Deconfined criticality

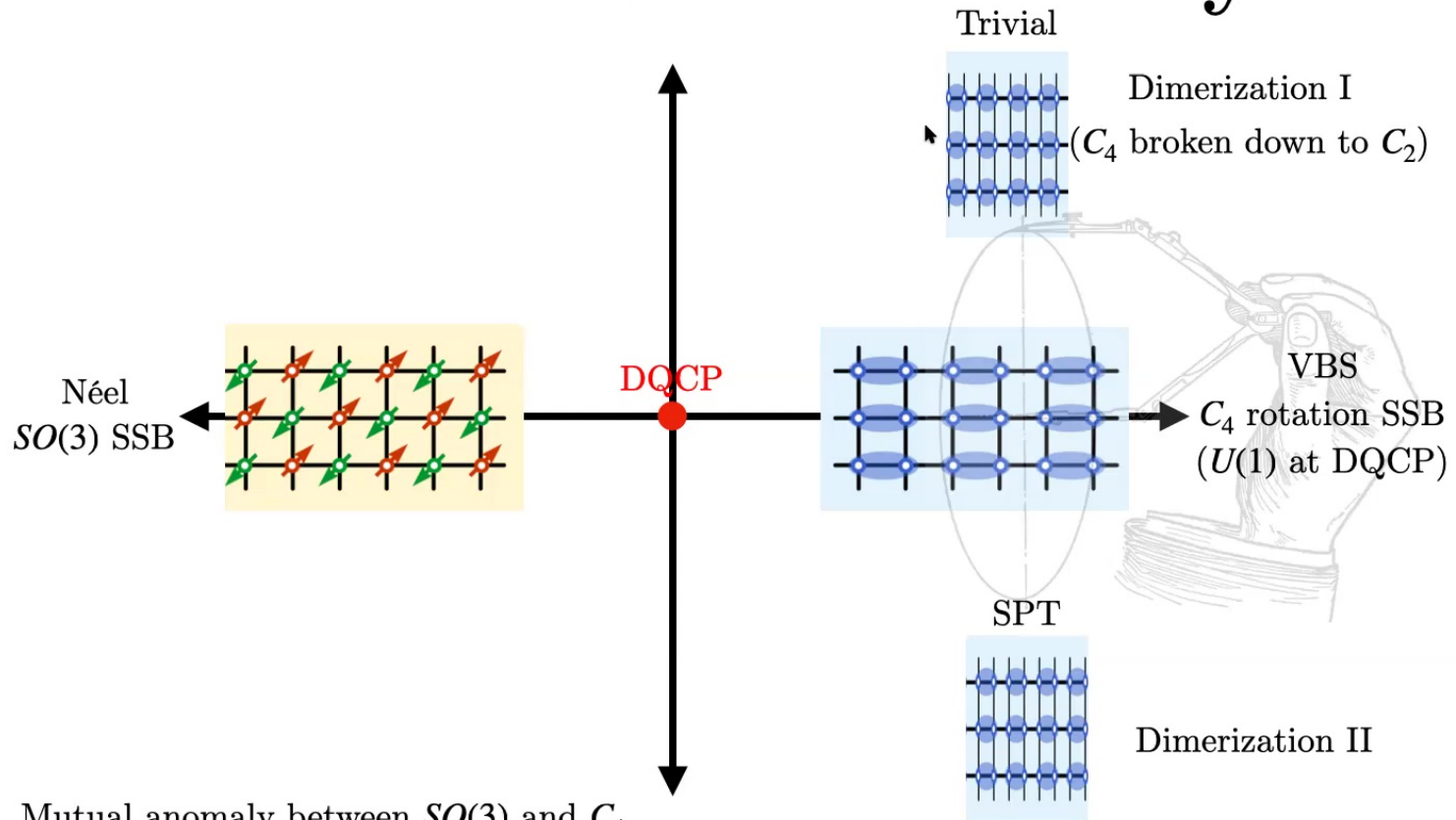


Mutual anomaly between  $SO(3)$  and  $C_4$

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*Senthil, Vishwanath, Balents, Sachdev, Fisher; Sandvik et. al.; Xu et al; Wang, He et. al.*

# Deconfined criticality

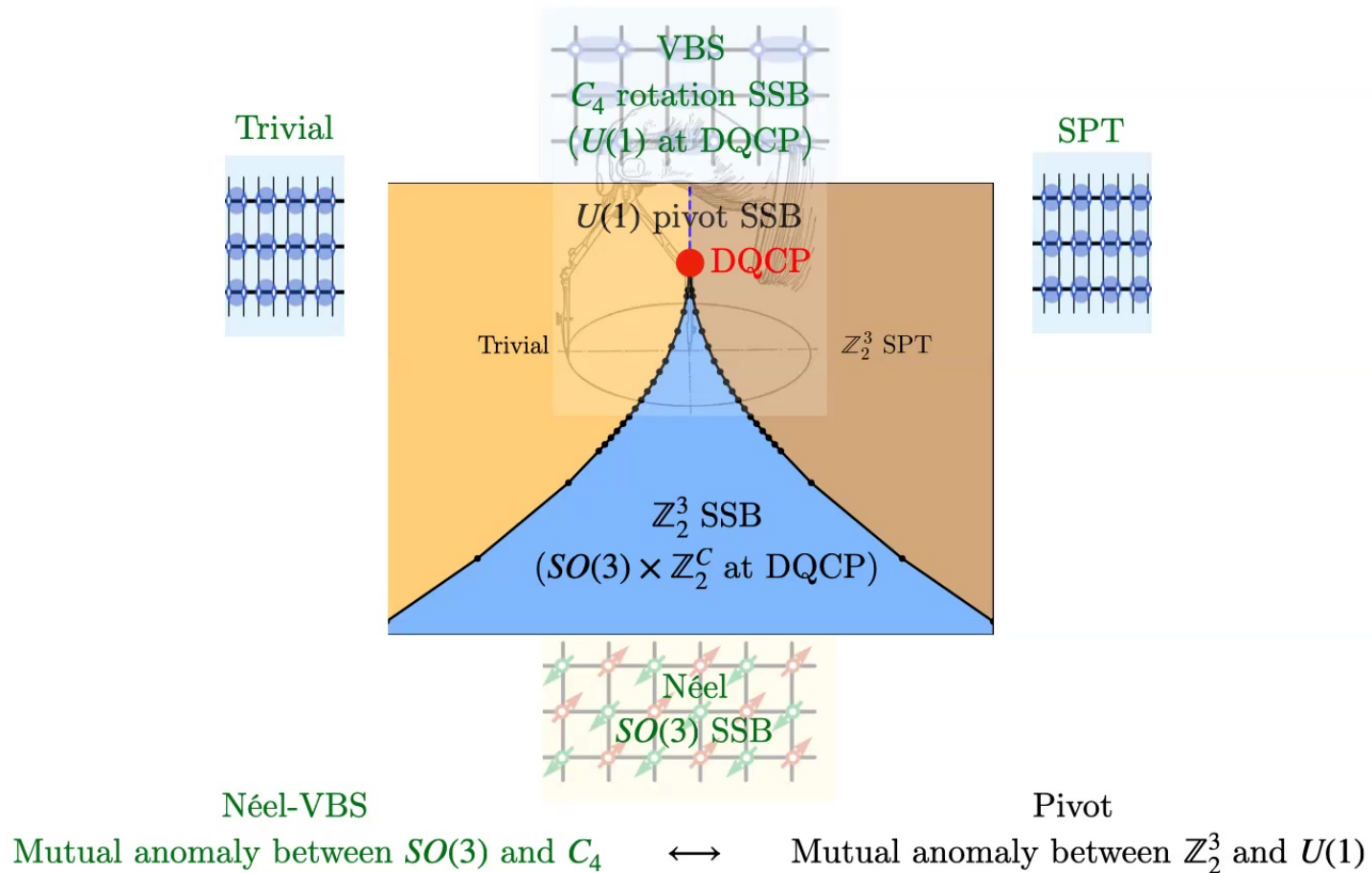


Mutual anomaly between  $SO(3)$  and  $C_4$

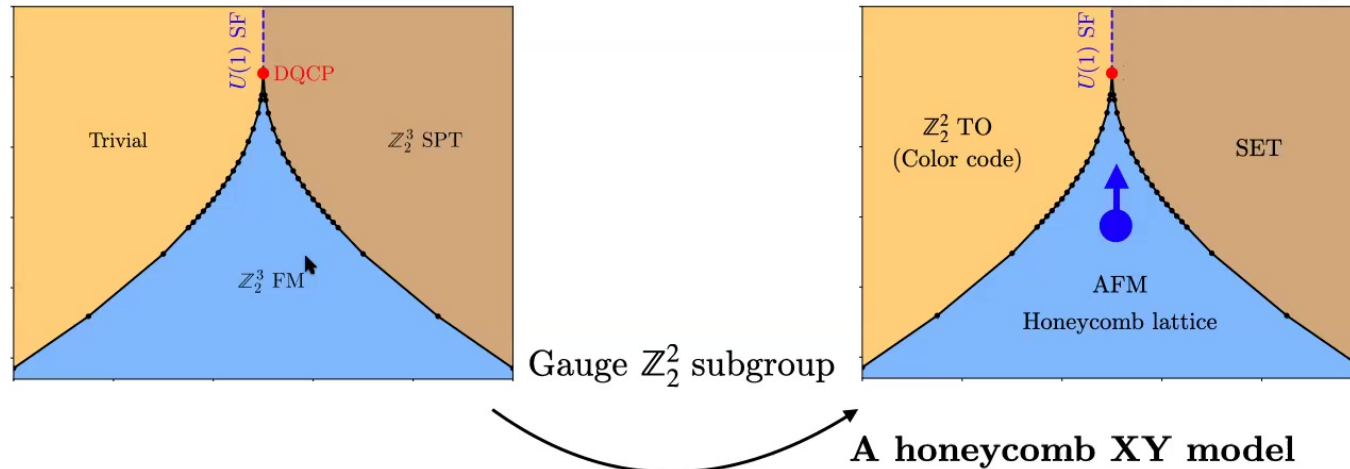
Believed to be enhanced to  $SO(5)$  at the DQCP

*Senthil, Vishwanath, Balents, Sachdev, Fisher; Sandvik et. al.; Xu et al; Wang, He et. al.*

# Another incarnation of DQCP



# General construction of topological criticality



$$H_0 = - \sum_v X_v$$

$$H_{SPT} = - \sum_v \text{[Diagram: A hexagon with vertices labeled CZ and TZ, and a central point labeled X_v.]}$$

$$\tilde{H}_{pivot} = \sum_i Z_i$$

$$\prod_{v \in \diamond} Z_v = -1$$

$$\frac{1}{2}(\tilde{H}_0 + \tilde{H}_{SPT}) = - \sum_{\diamond} \sum_{v_i \in \diamond} \sigma_{v_1}^+ \sigma_{v_2}^+ \sigma_{v_3}^+ \sigma_{v_4}^- \sigma_{v_5}^- \sigma_{v_6}^-.$$

Can drive to the multicritical point using NN Ising interactions

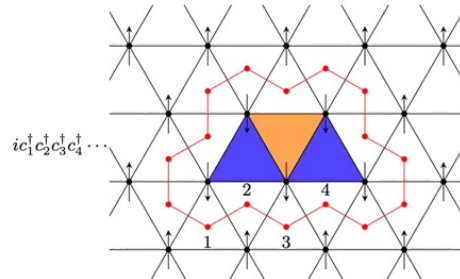
NT, Thorngren, Vishwanath, Verresen

# Outlook

- Web of dualities in higher dimension: what's the total lattice of “space of models from pivoting”?
- Experimental platforms to realize the cluster state pumps.
- Pivot Hamiltonians beyond order two SPT phases
- Studying XY models to reach larger system size and extract critical exponents. Efficient QMC update schemes.
- Exploring higher dimensional versions of DQCP (both global and subsystem symmetry versions)

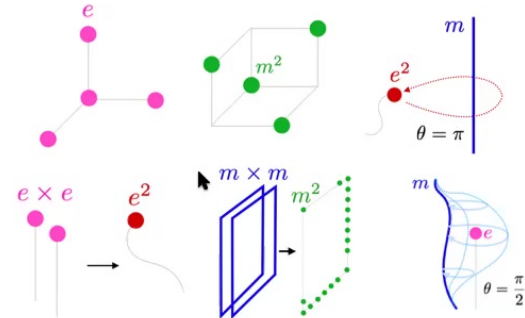
# Other topics I am interested in

## Interacting Fermionic SPT phases



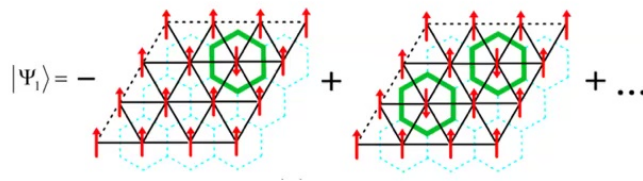
Meng Cheng, NT, Chenjie Wang 1705.08911  
 NT, Ashvin Vishwanath 1806.09709  
 Yu-An Chen, Tyler Ellison, NT 2008.05652

## Topological & Fracton orders



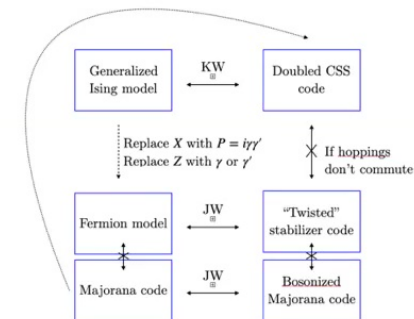
NT, Sagar Vijay 1912.02826  
 NT, Wenjie Ji, Sagar Vijay 2106.03842, 2107.04019s

## Lattice Hamiltonian vs TQFT



Fidkowski, Haah, Hastings, NT 1906.04188

## Bosonization & Dualities in lattice models



NT 2002.11345

# Sufficient criterion for $U(1)$ pivot symmetry

Let  $H_0 = - \sum_v X_v$  and consider pivots of the form  $H_{pivot} = \frac{1}{2^N} \sum (\pm Z_{i_1} \cdots Z_{i_N})$

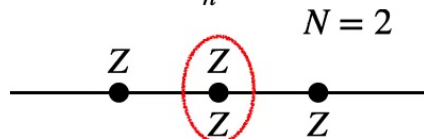
Let  $k$  be the number of times that each vertex  $v$  is included in  $Z_{i_1} \cdots Z_{i_N}$

**Theorem:** if  $k < 2^N$  then  $[H_{pivot}, H_0 + H_{SPT}] = 0$

**Examples:**

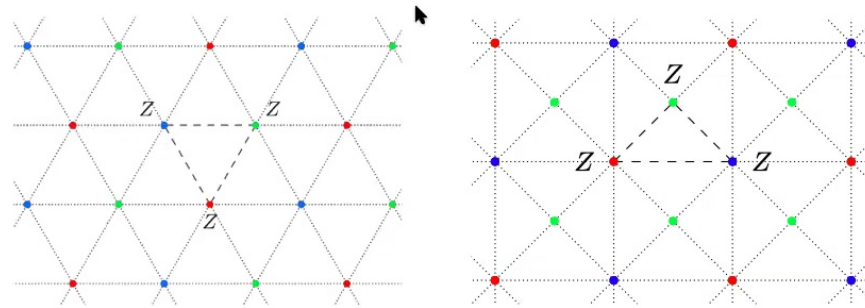
1D chain

$$H_{pivot} = \frac{1}{4} \sum_n (-1)^n Z_n Z_{n+1}$$



$k = 2 \rightarrow U(1)$  symmetry

*NT, Thorngren, Vishwanath, Verresen*



$$H_{pivot} = -\frac{1}{8} \sum_{\Delta} (-1)^{\Delta} Z_r Z_g Z_b \quad N = 3$$

$k = 6 \rightarrow U(1)$

$k = 8 \rightarrow$  no  $U(1)$