

Title: On the string/black hole transition

Speakers: Juan Maldacena

Series: Quantum Fields and Strings

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Abstract:

We discuss aspects of the possible transition between small black holes and highly excited fundamental strings. We focus on the connection between black holes and the self gravitating string solution of Horowitz and Polchinski. This solution is interesting because it has non-zero entropy at the classical level and it is natural to suspect that it might be continuously connected to the black hole. Surprisingly, we find a different behavior for heterotic and type II cases. For the type II case we find an obstruction to the idea that the two are connected as classical solutions of string theory, while no such obstruction exists for the heterotic case. We further provide a linear sigma model analysis that suggests a continuous connection for the heterotic case. We also describe a solution generating transformation that produces a charged version of the self gravitating string. This provides a fuzzball-like construction of near extremal configurations carrying fundamental string momentum and winding charges. We provide formulas which are exact in α' relating the thermodynamic properties of the charged and the uncharged solutions.

Zoom Link: <https://pitp.zoom.us/j/96056818482?pwd=cXdxSGRBTEI5N2d6Z21XbTF1Z1lLQT09>

String scale black holes

Juan Maldacena

Based on work with Yiming Chen and Edward Witten



October 2021

Assumptions

- We will be considering a weakly coupled string theory, $g \ll 1$.
- Set $\ell_s = 1 = \sqrt{\alpha'}$ and $G_N = l_p^2 \sim g^2$
- We can consider the type II or heterotic superstring.
- We work in D=4. Four non-compact dimensions, and six compact dimensions.

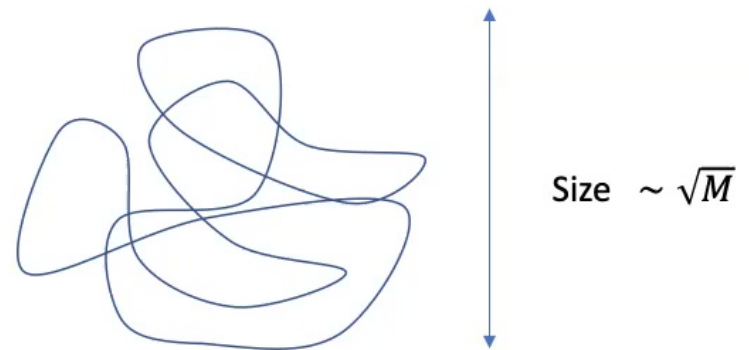
Outline

- Consider black holes in weakly coupled string theory.
- When the black hole size is close to the string scale, they are supposed to turn into highly excited strings.
- We will discuss some aspects of this transition.
- Surprisingly, the situation is different in the heterotic and type II string cases.
- We will discuss the implications for charged black holes.

Highly excited string

$$S = \beta_H M, \quad \beta_H = 2\pi\sqrt{2}l_s$$

β_H = Hagedorn (inverse) temperature.



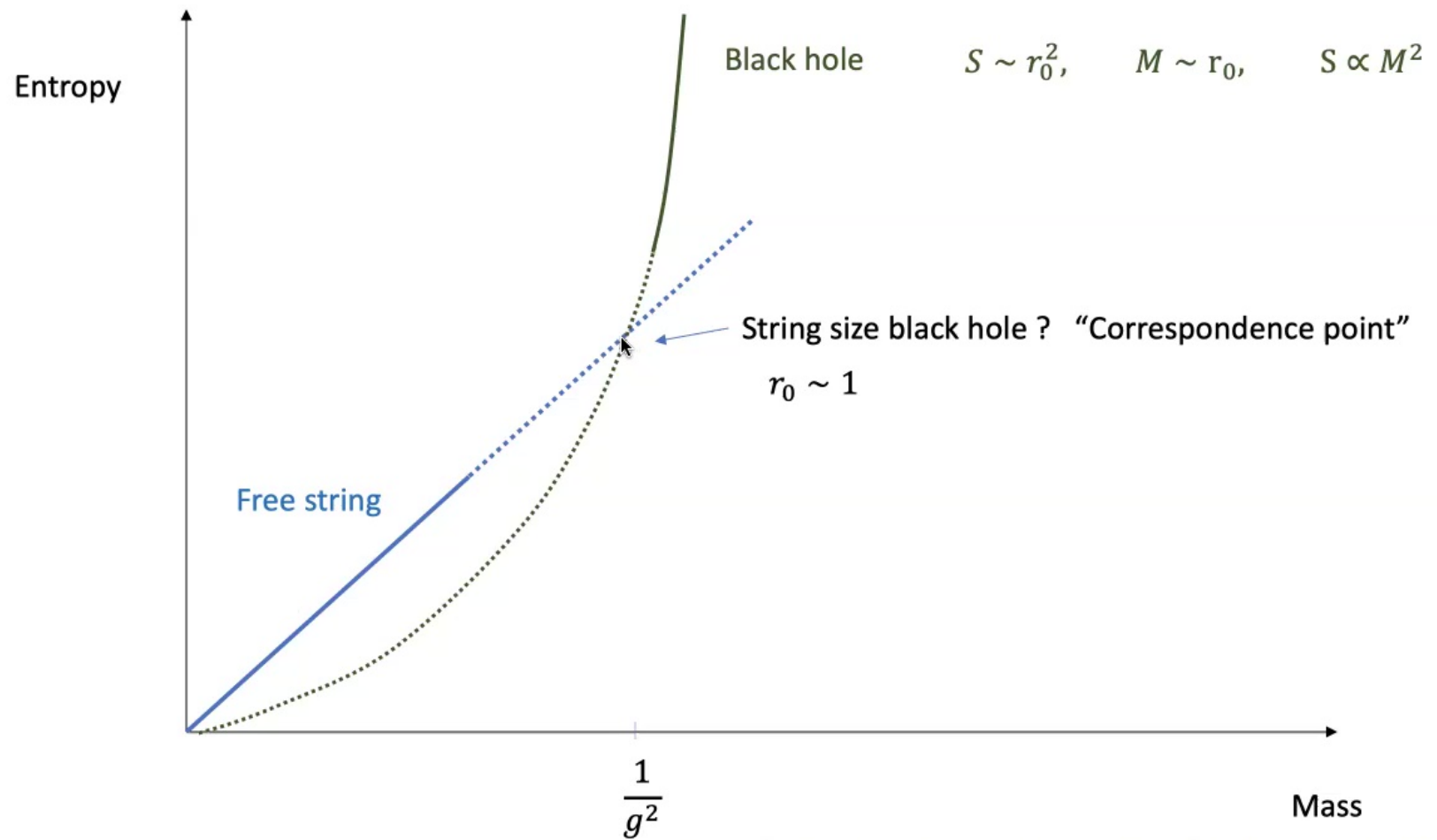
Thermal ensemble is well defined only for $\beta > \beta_H$

$$Z \sim \int dM M^p e^{(\beta_H - \beta)M}$$

Black holes

- Well defined if $r_s \gg 1$
- Leading order α' corrections were computed.
- What happens as they approach the string size?.
- Stringy generalization of the Schwarzschild solution? What is the simplest spherically symmetric solution of classical string field theory?

Callan, Myers, Perry;
Myers, Chen (type II).



Bowick, Smolin, Wijewardhana; Susskind; Horowitz, Polchinski; Damour, Veneziano; ...

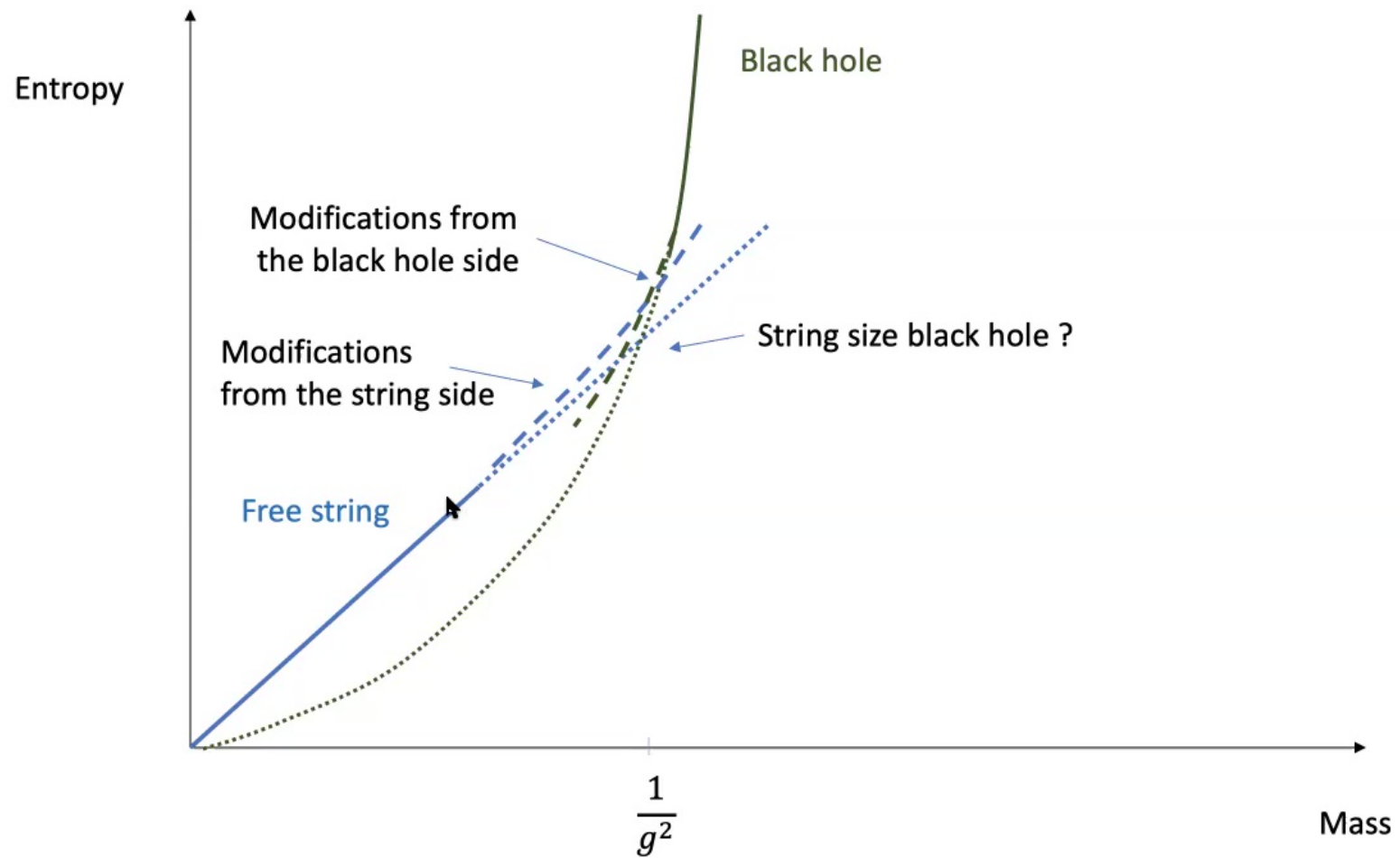


Corrections are important before we can reach the correspondence point.

Is there a smooth transition between black holes and highly excited strings ?

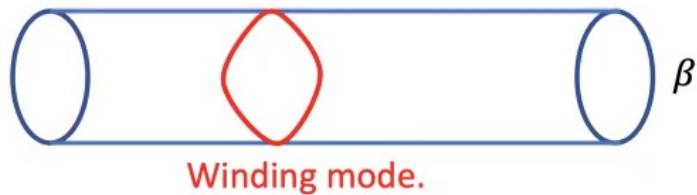
Motivation:

- String picture: Microstates are explicit, but no interior.
- Black holes: there is an interior, but not obvious microstates.
- How does string theory change black hole thermodynamics?



Winding mode formalism

- Finite temperature \rightarrow compactify the Euclidean time direction



$$S = \frac{1}{g^2} \int |\nabla \chi|^2 + m^2(\beta) |\chi|^2, \quad m^2(\beta) \propto (\beta^2 - \beta_H^2) \quad \text{When } \beta < \beta_H \text{ winding mode is tachyonic } \rightarrow \text{instability}$$

Self interactions \rightarrow most important one is gravity. It is attractive \rightarrow somewhat like a negative χ^4 term.

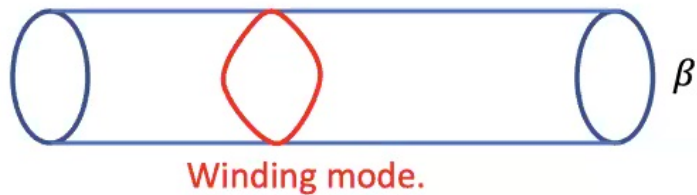
$$S = \frac{1}{g^2} \int \sqrt{g} R + \frac{1}{g^2} \int |\nabla \chi|^2 + m^2(\beta) |\chi|^2,$$

For $\beta \sim \beta_H \rightarrow$ winding mode is light and the field theory approximation is good.

Some comments on strings at finite temperature

Winding mode formalism

- Finite temperature \rightarrow compactify the Euclidean time direction



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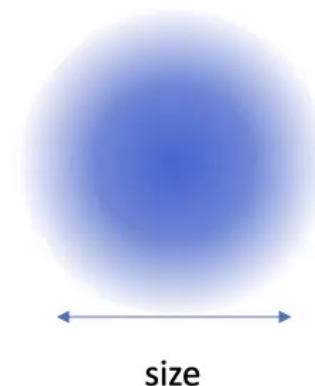
Entropy of the self gravitating string

- We can compute the entropy from the classical action.
- Entropy of order $\frac{1}{g^2}$.

$$S = (1 - \beta \partial_\beta)(-I) = \frac{\beta}{g^2} \int d^{D-1}x (\beta \partial_\beta m^2(\beta)) |\chi|^2 = 2 \frac{\beta}{g^2} \int d^{D-1}x \left(\frac{\beta^2}{4\pi^2} \right) |\chi|^2$$

Only a contribution from the explicit dependence on β .
Non local term in D dimensions.

To leading order this gives $S = \beta M + \dots$



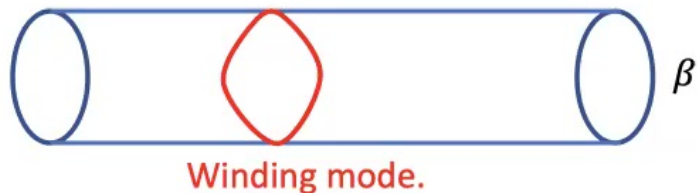
Side comments

- Localized tachyon condensate. $m^2(0) < 0, m^2(\infty) > 0$
- If we view Euclidean time as a spatial circle \rightarrow HP solution is a bubble decay solution. Conceptually related to Witten's bubble of nothing decay of flat space.



Winding mode formalism

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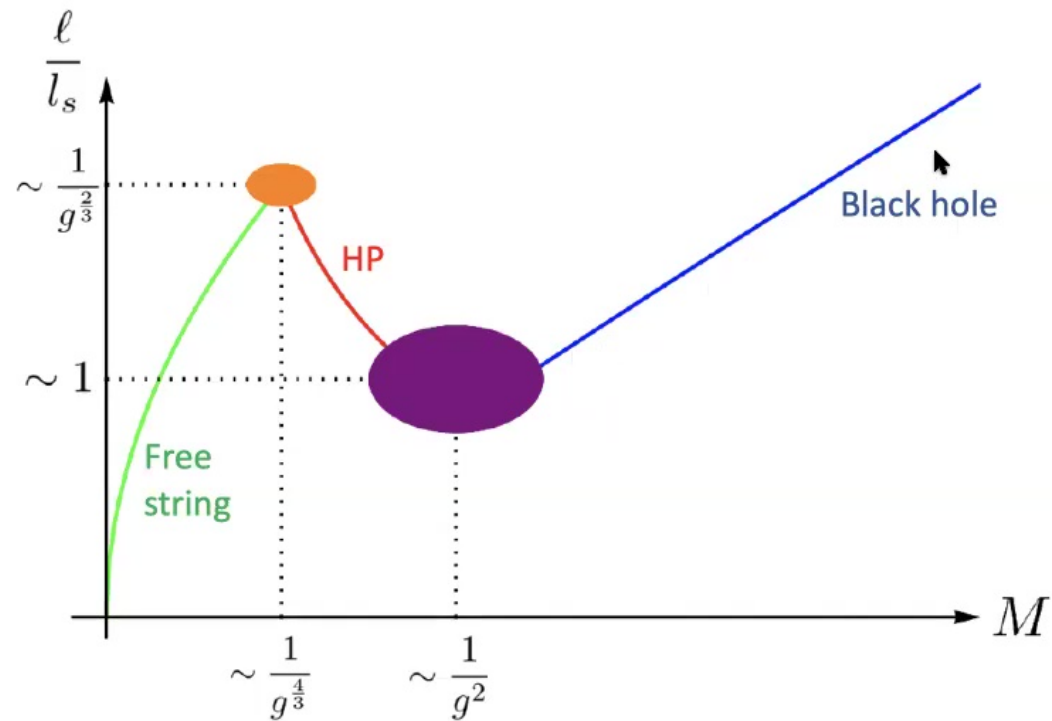
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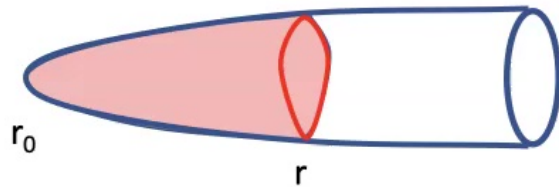
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Size of the configuration



Black holes and winding condensates



Winding one point function \rightarrow computed by a worldsheet wrapping the cigar.

$$\langle \chi(r) \rangle \propto e^{-T A} \sim e^{-\beta(r-r_0)}, \quad \beta \gg \beta_H$$

Breaking of the winding symmetry. Phase of $\chi \rightarrow$ integral of $B_{\mu\nu}$ field over the cigar. Integrating over it \rightarrow restores symmetry. But $|\chi|^2 \neq 0$.



We can view it as a thermal atmosphere of strings.
Strings coming out of the horizon and back in.

It is a classical contribution to the entropy, formally of order $\frac{1}{g^2}$, but not calculable (to my knowledge), since it is concentrated near the horizon.

Susskind, Uglum ; Dabholkar

(Some authors have conjectured, or claimed to prove, it is all the entropy. No convincing argument.)

Comment on the broken symmetries.

- Notice that the winding symmetry is spontaneously broken both on the black hole phase and the highly excited string phase.
- In both cases there is a winding condensate.
- This is consistent with the suggestion that they could be continuously connected.

- The black hole solution is good for $\beta \gg l_s, \beta_H$
- The HP solution is good for $(\beta - \beta_H) \ll l_s$
- Both have a non-zero classical entropy
- Is there an interpolating solution ?
 - Classical solution of string theory
 - Described by a 2d CFT (The worldsheet CFT_4). $\text{CFT}_4 \times \text{CFT}_6$
 - With one parameter: β



No smooth interpolation for type II

- Consider a CFT quantity that is invariant under small deformations.
- The Witten index of the (1,1) supersymmetric worldsheet CFT.
- $I_W = \text{Tr} \left[(-1)^F e^{-\tilde{\beta}H} \right]$
- On the HP side we find $I_W = 0$. We can consider a flat space target space, $S^1 \times R^3$.
- On the Black hole side we find $I_W = 2$. Index for a sigma model is equal to the Euler characteristic of the target space.
- Since they are different, there cannot be a continuous connection.



Heterotic linear sigma model

- Construct the worldsheet CFT as the IR limit of a simpler problem involving free fields + a potential.

$$S \sim S_{free} + \int d^2x d\theta_+ \Lambda_- W(X, Y) = S_{free} + \int d^2x W^2$$

$$W = (\vec{X}^2 - b)(\vec{Y}^2 + a) + c \qquad \vec{X} = (X_1, X_2) , \qquad \vec{Y} = (Y_1, Y_2, Y_3)$$

Heterotic theory

- Now we have a $(1,0)$ CFT.
- The index can also be computed and it is equal to zero on both sides.
- Other known invariants are also the same.
- There is a linear sigma model analysis which suggests that they continuously connected.

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$$W = 0 \longrightarrow \vec{X}^2 = b - \frac{c}{\vec{Y}^2 + a}$$

$$b - \frac{c}{a} > 0 \rightarrow \text{HP topology}$$

$$b - \frac{c}{a} < 0 \rightarrow \text{Black hole topology}$$



UV

Free fields + potential



Classical vacuum manifold given by $W = 0$



Flow to a CFT , metric is adjusted. (we did not analyze this in detail)

IR

CFT

3 parameters in the UV theory: a, b, c .

In IR theory we expect:

- One marginal parameter: β .
- One relevant parameter. (This is because both the black hole and the HP solutions have one negative mode.) So one combination of a, b, c should be fine tuned.
- We expect that the third parameter is irrelevant, but we did not check it explicitly.

As we vary the parameters nothing special happens when $b-c/a=0$. There is no new branch appearing there. So we expect that the flow to an IR CFT does not show any surprise.

In the intermediate stage the two theories are continuously connected, after we flow all the way to the IR we expect that to be the case too, but this is not a proof. We view it simply as an indication for a continuous connection.

Type II linear sigma model

- Construct the CFT as the IR limit of a simpler problem involving free fields + a potential.

$$S \sim S_{free} + \int d^2x d^2\theta \mathcal{W} = S_{free} + \int d^2x \sum_i (\partial_i \mathcal{W})^2$$

$$\mathcal{W} = PW \quad \text{P is a new superfield}$$

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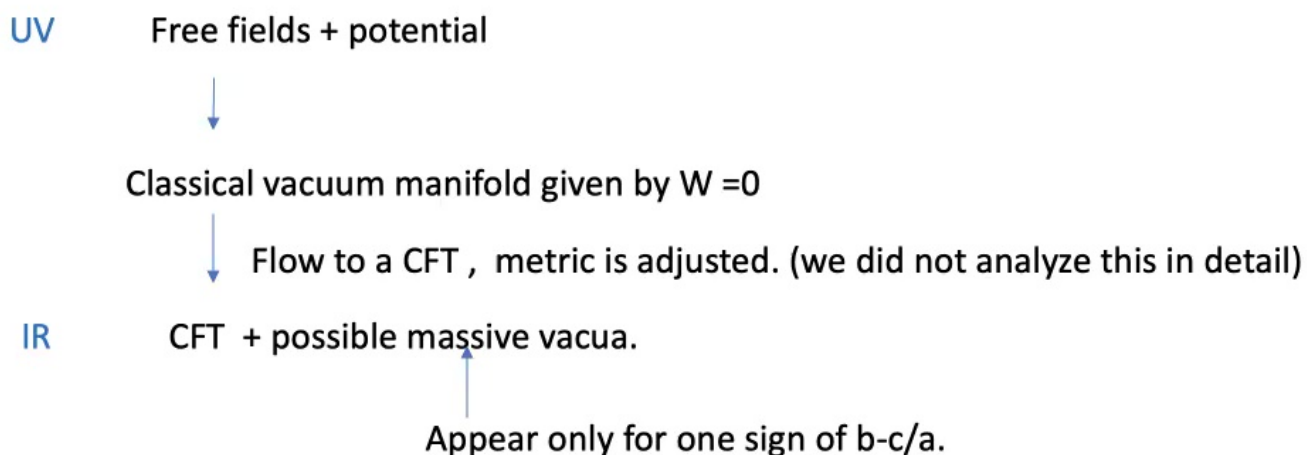
When $b-c/a=0$, a new classical branch appears with $P \neq 0, \vec{X} = \vec{Y} = 0$

On this classical P branch a superpotential is generated. Depending on the sign of $b-c/a$ we do or do not have isolated vacua on this branch.

These isolated vacua are massive.

Now the index has the same value on both sides, in the full UV theory.

What happens is that the UV theory flows in the IR to a CFT + isolated massive vacua



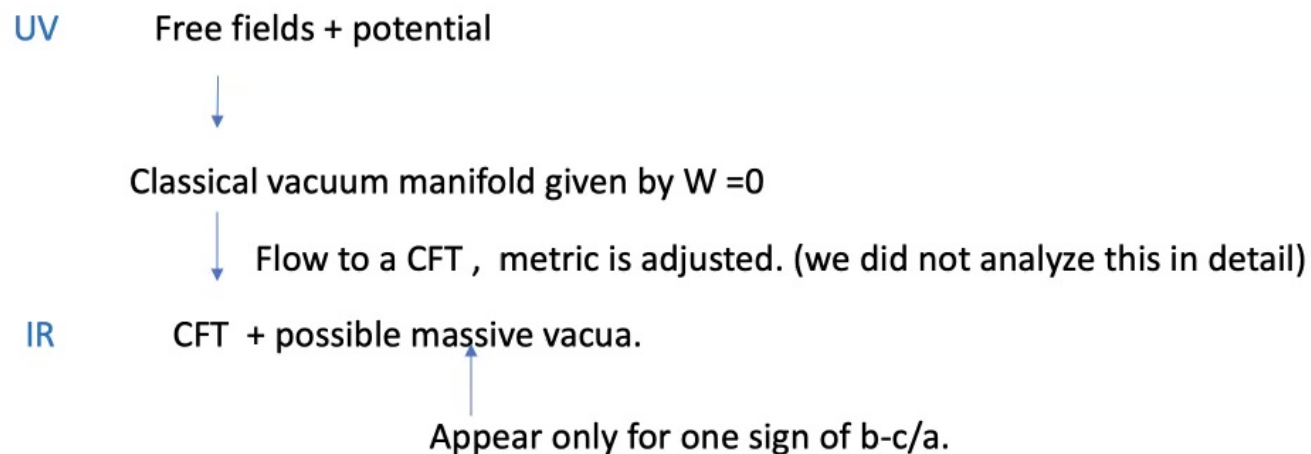
Index of the full theory is the same for both signs of $b-c/a$. But the index of the CFT part in the IR is different due to the extra massive vacua.

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Index and D-branes

There are some D-branes that exist on the HP side but not the BH side and vice versa.

For example, a D0 brane localized at the origin and wrapping the time circle exists on the HP side.

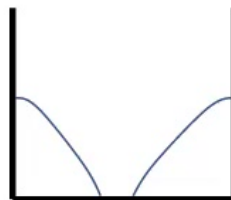
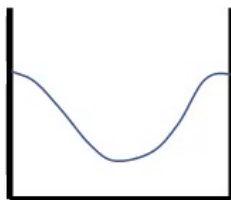
There is no such brane on the black hole side (the Euclidean time circle is contractible)

These branes generate some fluxes at infinity. On the black hole side the flux is carried as an electrically charged black hole, without an explicit brane source.

Speculations on the possible transition

- Maybe some 1st order transition...
- If not, what could be the issue at that point?
- In other cases \rightarrow dilaton blows up \rightarrow should include higher loops.
- If we embed this into string/gauge duality \rightarrow could it be related to some phase transition for the holonomy?
 - On both sides winding is broken.
 - Gap develops ? (Gross-Witten-Wadia) transition ?

Alvarez-Gaume, Gomez, Liu, Wadia, Pasu, Marino



Looks appealing.

But I am confused about the vevs of Wilson loops

Which seem to be behaving like e^{-n} in both HP and black hole cases.

A curiosity

- The on shell action of classical string theory solutions is purely given by a boundary term.

Kazakov, Tseytlin

$$I = \frac{1}{8\pi G_N} \int_{\partial\mathcal{M}} dx^{D-1} \partial_n (e^{-2\phi} \sqrt{h})$$

$$\phi \sim \frac{C_\phi}{\rho^{D-3}}$$

$$ds^2 \sim e^{\frac{4\phi}{D-2}} \left[f dt^2 + \frac{d\rho^2}{f} + \rho^2 d\Omega_{D-2}^2 \right], \quad f \sim 1 - \frac{\mu}{\rho^{D-3}}$$

$$I = -\frac{\omega_{D-2}}{8\pi G_N} \beta \left[\mu + 4 \frac{D-3}{D-2} C_\phi \right]$$

μ, C_ϕ depend on the solution.

It is true to all orders in α' , due to the overall $e^{-2\phi}$ dependence of the action on the dilaton.

This is not true for some Einstein gravity + higher derivative corrections, as in M-theory.

Charged black holes

Horne, Horowitz, Steif
Sen. (for leading order in α')

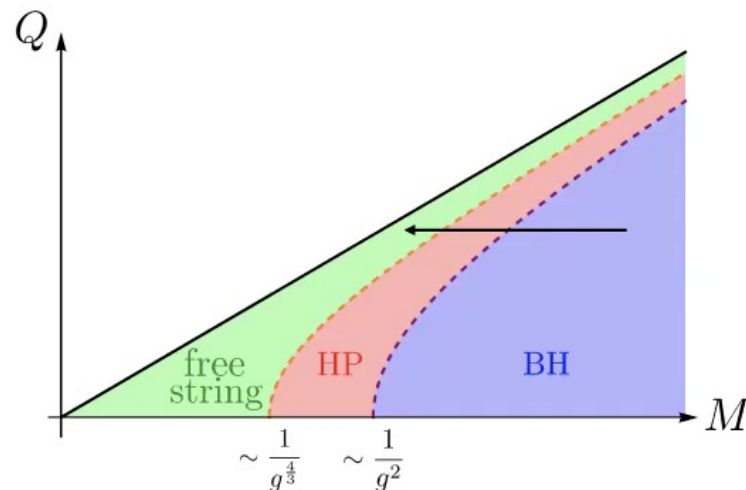
- If the internal theory has a circle \rightarrow we can have black hole with momentum and fundamental string winding charges on this extra circle.
 - These solutions are obtained from a solution generating transformation that is a symmetry of the worldsheet CFT.
 - Given the uncharged seed solution \rightarrow obtain the charged solution.
 - Thermodynamics of uncharged solution \rightarrow thermodynamics of charged solution.
 - We only need to know the asymptotic form of the uncharged solution.
-
- All these statements are to all orders in α' . The transformations are different in the type II vs. the heterotic string.
 - Can be applied both to the HP and the black hole solutions.
 - The entropy of the extremal limit of HP is different depending whether Q_L or Q_R is nonzero.

Charged black holes

- The transitions happen as we approach extremality.
- Go over to a free string phase as we approach extremality.
- Their entropies qualitatively agree (up to a numerical factor)
- The HP or free string phases look a bit like a fuzzball (a configuration whose microstates are very clear)

Sen

Damour Veneziano
Mathur



Questions

- Can we say more about the CFT at intermediate values of β ?
- Can we track the picture of the microstates through this intermediate region?.
- Why is the heterotic and type II picture different ?