

Title: Seminar: Fractons

Speakers: Meng Cheng

Collection: Online School on Ultra Quantum Matter

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# Fractonic U(1) gauge theory

Meng Cheng

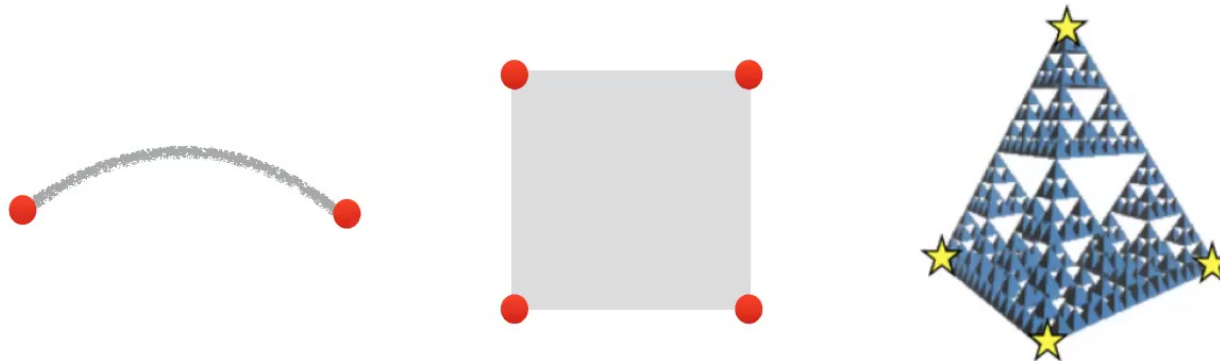
Yale University

UQM Virtual Summer School  
Perimeter Institute, 08/13/2020

# Quantum Phases with Fractionalized Excitations

Low-energy states: well-defined quasiparticles

Quasiparticle excitations are localized, but non-local



Fractonic phases: quasiparticles with restricted mobility



# Fracton Phases and Fractonic Symmetries

Both tied to rigid geometric structures

**U(1) tensor gauge theory**

**U(1) multipole symmetries**

**Type-I fracton model**

**Infinite subsystem symmetries**

**Type-II fracton model**

**Fractal symmetries**

Reviews: R. Nandkishore and M. Hermele, 1803.11196  
M. Pretko, X. Chen and Y. You, 2001.01722

# Plan of the talk

Building some fractonic U(1) gauge theories  
from the ordinary Maxwell theory

1. Gauging
2. “Defect network”

3D fractonic phases from planar U(1) Chern-Simons theories

# Gapless Phases with Quasiparticles

3+1 U(1) gauge theory with electric/magnetic charges

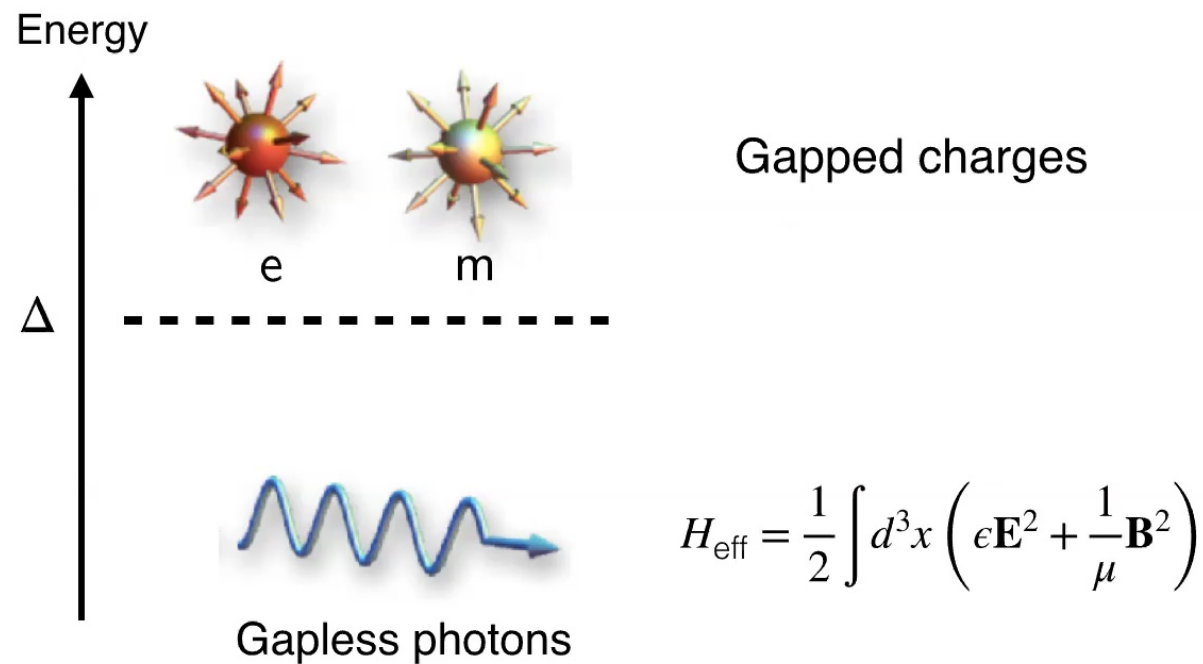
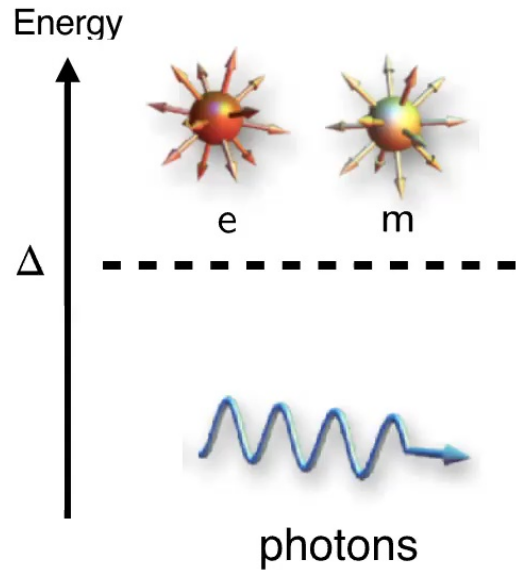


Figure from Balents

# U(1) Gauge Theory



(Compact) U(1) gauge field  $A_i$

Gauge transformation  $A_i \rightarrow A_i + \partial_i \chi$

Gauss's law  $\nabla \cdot \mathbf{E} = \rho$

$$\int d\mathbf{x} \rho(\mathbf{x}) = 0$$

Gapped bosonic electric/magnetic charges

# Fractonic U(1) gauge theories

Gapless photons + gapped **fractonic** charges

Ex: symmetric tensor gauge theory (with scalar charge)

$$A_{ij} \rightarrow A_{ij} + \partial_i \partial_j \alpha$$

$$H = \int d\mathbf{x} \frac{1}{2} (E_{ij} E^{ij} + B_{ij} B^{ij}) \quad \omega \sim k \text{ photon}$$

Generalized Gauss's law:  $\partial_i \partial_j E^{ij} = \rho$

$$\int d\mathbf{x} \rho(\mathbf{x}) = 0, \int d\mathbf{x} \mathbf{x} \rho(\mathbf{x}) = 0$$



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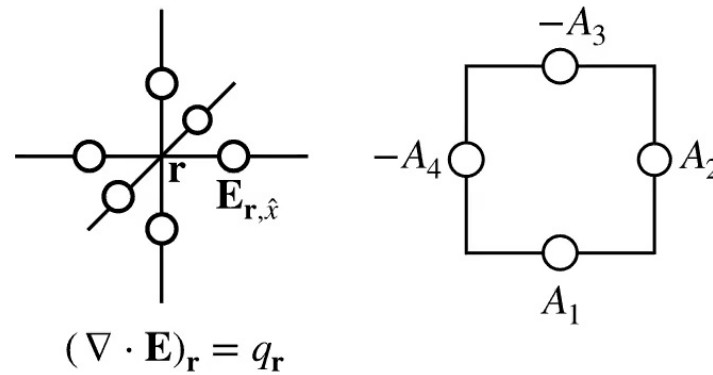
$$\int d\mathbf{x} \rho(\mathbf{x}) = 0, \int d\mathbf{x} \mathbf{x} \rho(\mathbf{x}) = 0$$

Xu, 2006; Rasmussen, You and Xu, 2016; **Pretko 2017**  
Bulmash and Barkeshli 2018; Gromov 2019; ...

# Compact U(1) Lattice Gauge Theory

Rotors on each edge:  $[A, E] = i$

$$E \in \mathbb{Z}, A \sim A + 2\pi$$



$$H = \Delta \sum_{\mathbf{r}} (\nabla \cdot \mathbf{E})_{\mathbf{r}}^2 - K \sum_p \cos(\nabla \times \mathbf{A})_p + \frac{U}{2} \sum_e \mathbf{E}_e^2$$

Gapless Coulomb phase for small  $U/K$

# Compact U(1) Lattice Gauge Theory

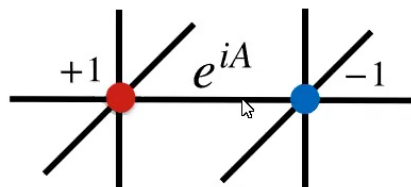
Gauss's law imposed energetically  $\Delta \sum_{\mathbf{r}} (\nabla \cdot \mathbf{E})_{\mathbf{r}}^2$

Gapped charges:  $(\nabla \cdot \mathbf{E})_{\mathbf{r}} = q_{\mathbf{r}} \in \mathbf{Z}$

As written, charges are completely static

= (non-relativistic) electric 1-form symmetry

Electric flux  $\int_{\Sigma} \mathbf{E} \cdot d\mathbf{n}$  is conserved for all closed surfaces  $\Sigma$



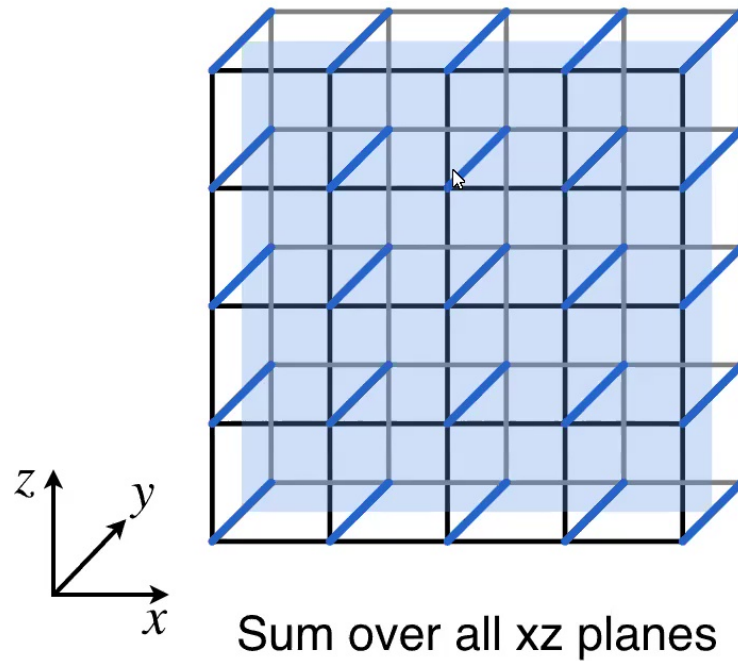
Adding “gauge-symmetry-breaking” term

Charges become dynamical

# Lattice U(1) Gauge Theory

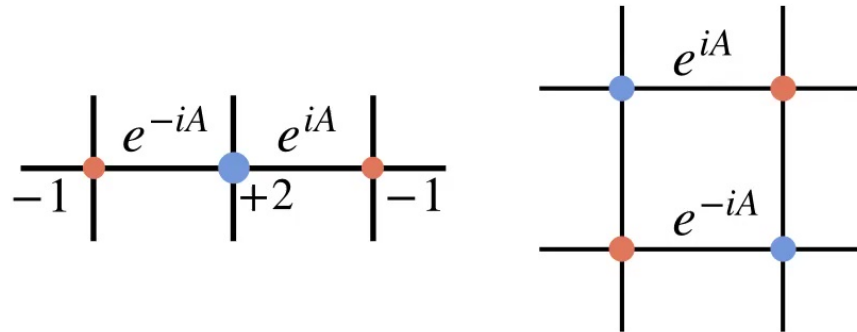
Gauging the full electric 1-form symmetry: confinement

Gauging global (0-form) subgroup generated by  $\sum_{e \parallel \mu} E_e$

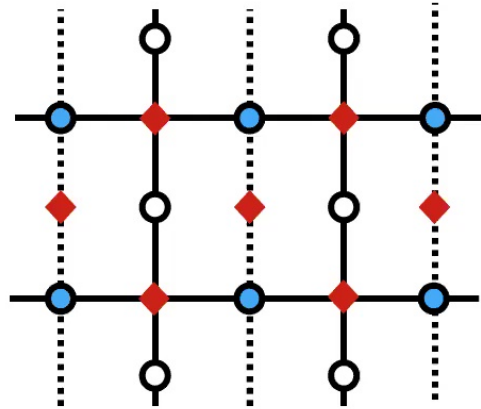


$$\rho(\mathbf{x}) = \nabla \cdot \mathbf{E} \longrightarrow \int d\mathbf{x} E_i(\mathbf{x}) = - \int d\mathbf{x} x_i \rho(\mathbf{x}) = - D_i$$

Conservation of electric dipole moment



# Gauging dipole symmetry in 2D



Site:  $\tilde{A}_{xx}, \tilde{A}_{yy}$

Plaquette:  $\tilde{A}_{p,x}, \tilde{A}_{p,y}$

$$-K \sum_p \cos[(\nabla \times \mathbf{A})_p - \tilde{A}_{p,x} + \tilde{A}_{p,y}]$$

Minimal coupling

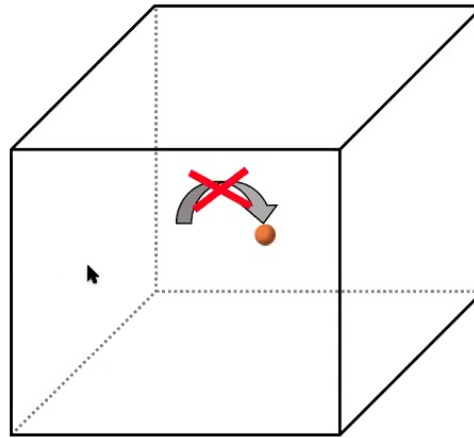
◆ Gauge fields of  $\sum_e E_e^x$

“Higgsing”:  $\tilde{A}_{p,x} = \tilde{A}_{p,y} \equiv \tilde{A}_{xy}$

At low energy:  $\tilde{A}_{xx}, \tilde{A}_{yy}, \tilde{A}_{xy}$

Symmetric tensor gauge field

# Fracton and translation symmetry



$|qp\rangle$  and  $T|qp\rangle$  belong to different superselection sectors

Pai and Hermele, PRB 2019

## Charge and Dipole Moment

$$Q = \int d\mathbf{x} \rho(\mathbf{x}), \mathbf{D} = \int d\mathbf{x} \mathbf{x} \rho(\mathbf{x})$$

Translation:

$$T(\mathbf{a}) : \rho(\mathbf{x}) \rightarrow \rho(\mathbf{x} - \mathbf{a})$$
$$T(\mathbf{a}) : Q \rightarrow Q, \mathbf{D} \rightarrow \mathbf{D} + Q\mathbf{a}$$

Three U(1) charges in 2D:  $Q, D_x, D_y$

$$\begin{pmatrix} Q \\ D_x \\ D_y \end{pmatrix} \xrightarrow{T_x} \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} Q \\ D_x \\ D_y \end{pmatrix}, \begin{pmatrix} Q \\ D_x \\ D_y \end{pmatrix} \xrightarrow{T_y} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix} \begin{pmatrix} Q \\ D_x \\ D_y \end{pmatrix}$$



# Kinematics of $U(1)^N$ Gauge Theory

Suppose there are  $N$  **global**  $U(1)$  charges

$$\mathbf{Q} = (Q_1, Q_2, \dots, Q_N)^T$$

Change of variables:  $\mathbf{Q}' = \mathbf{W}\mathbf{Q}$ ,  $\mathbf{W} \in \text{GL}(N, \mathbb{Z})$

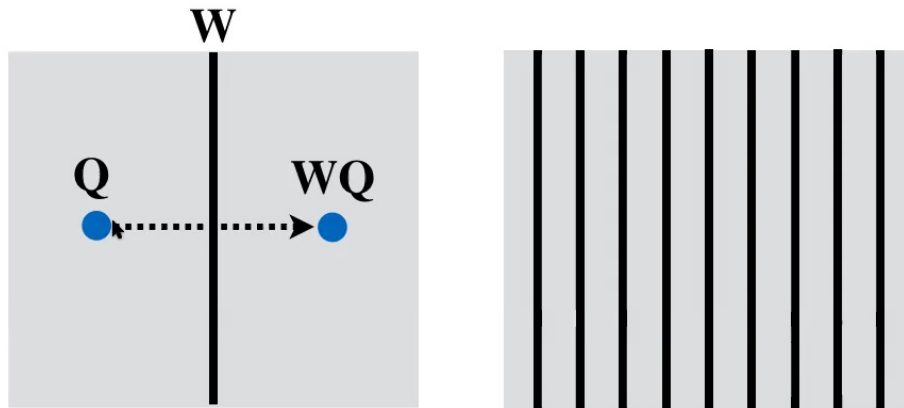
“Symmetries” of the kinematical structure

\*ignore the magnetic sector now

Lattice translations  $T_\mu : \mathbf{Q} \rightarrow \mathbf{W}_\mu \mathbf{Q}$

$\mathbf{W}$  matrices encode mobility

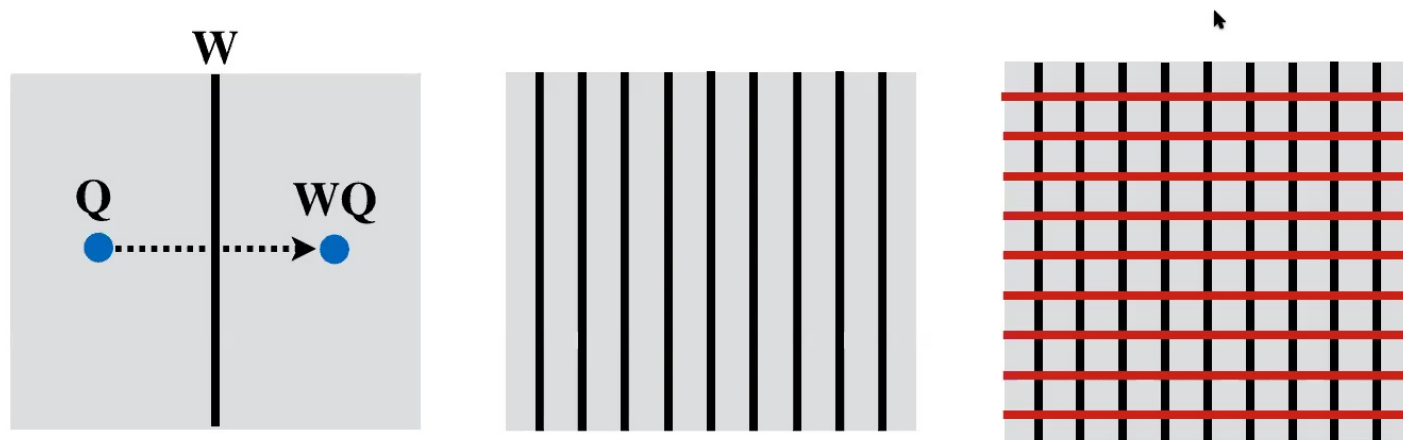
# $U(1)^N$ Gauge Theory with “Defect” Network



If  $W$  has infinite order, i.e.  $W^n \neq 1 \forall n$

A general  $Q$  becomes immobile  
unless  $W^k Q = Q$  for some nonzero  $k$

# $U(1)^N$ Gauge Theory with “Defect” Network

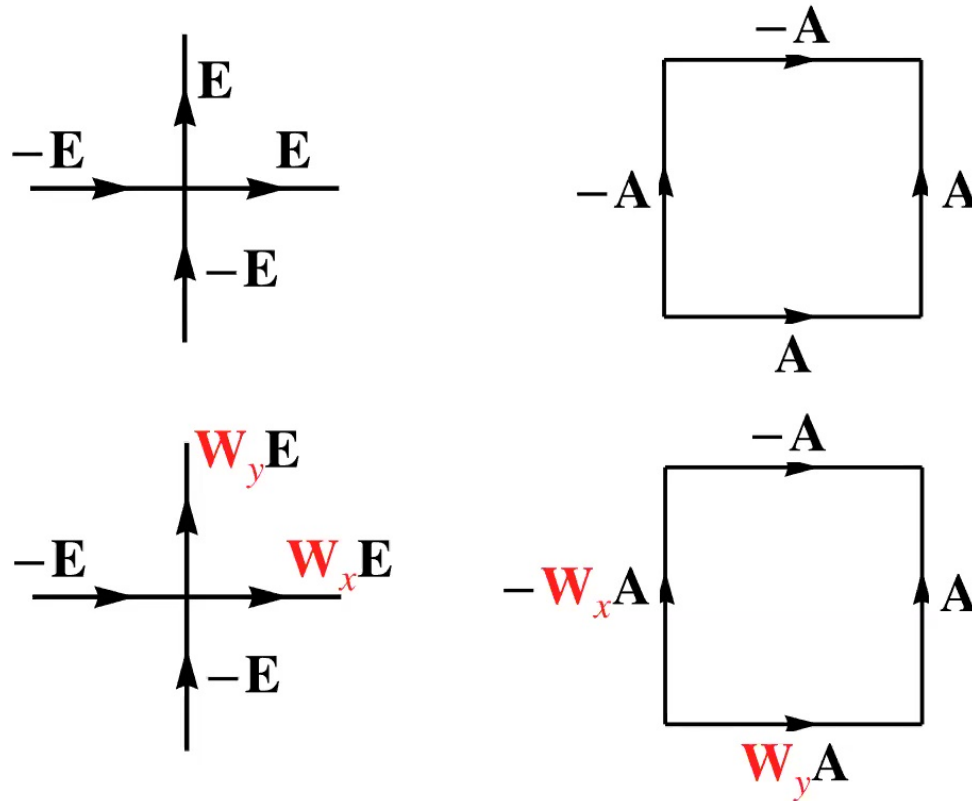


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# Lattice Realization

$$T_\mu : \mathbf{E} \rightarrow \mathbf{W}_\mu \mathbf{E}$$



Qing-Rui Wang and MC, in preparation

For  $N=3$ , choose  $W$  matrices corresponding to  $Q$  and  $D$

$$\mathbf{W}_x = \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \mathbf{W}_y = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix}$$

$$\begin{pmatrix} Q \\ D_x \\ D_y \end{pmatrix} \xrightarrow{T_x} \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} Q \\ D_x \\ D_y \end{pmatrix}, \begin{pmatrix} Q \\ D_x \\ D_y \end{pmatrix} \xrightarrow{T_y} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix} \begin{pmatrix} Q \\ D_x \\ D_y \end{pmatrix}$$

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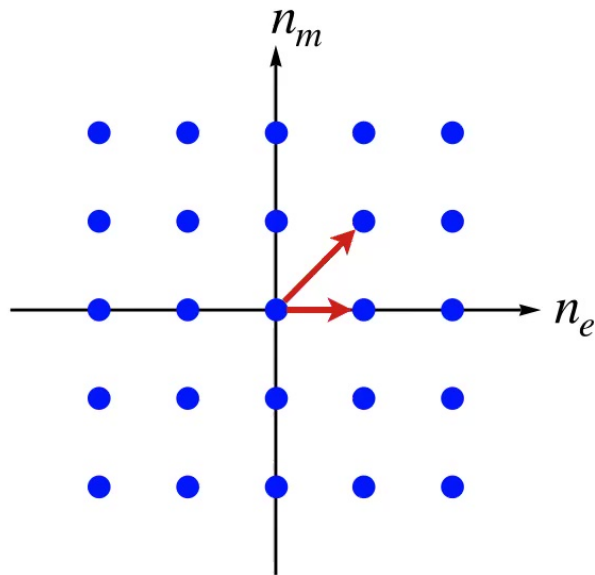
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The model is exactly equivalent to the gauged  $U(1)$  model

For many choices of  $W$ , the photons are completely gapped

# Electromagnetic Duality



Charge lattice

Basis change of the charge lattice preserves the kinematics (e.g. statistics)

$$\mathbf{S} : \begin{pmatrix} n_e \\ n_m \end{pmatrix} \rightarrow \begin{pmatrix} n_m \\ -n_e \end{pmatrix}$$

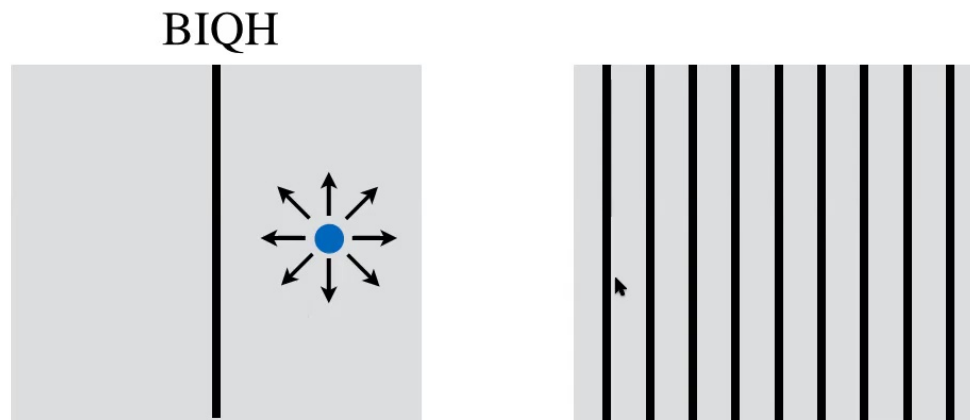
$$\mathbf{T} : \begin{pmatrix} n_e \\ n_m \end{pmatrix} \rightarrow \begin{pmatrix} n_e + n_m \\ n_m \end{pmatrix}$$

Generate  $SL(2, \mathbf{Z})$

For  $U(1)^N$  gauge theory, the duality group is  $Sp(2N, \mathbf{Z})$

$\mathbf{T}$  has infinite order

$\mathbf{T}^2$  wall is a bosonic integer quantum Hall state  $\sigma_H = \frac{2e^2}{h}$



Gauging 3D U(1) in a stack of BIQHs

$$\omega(\mathbf{k})^2 = v^2 \mathbf{k}_{\parallel}^2 + v_z^2 k_{\perp}^4$$



# Summary

1. Fractonic U(1) gauge theory obtained from gauging a global dipole symmetry in an ordinary U(1) gauge theory

Refs: D. Williamson, Z. Bi and MC, PRB 2019  
L. Radzihovsky and M. Hermele, PRL 2020  
M. Pretko, PRB 2018; N. Seiberg, 1909.10544



2. Fractonic U(1) gauge theory obtained from inserting defect network in an ordinary U(1) gauge theory

Refs: D. Williamson, Z. Bi and MC, PRB 2019  
Q. R. Wang and MC, in preparation