

Title: Soft modes in quantum gravity

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Abstract: I will review advances for gravity in asymptotically flat spacetimes arising from investigations into their structure in the infrared. The recently-discovered infinite-dimensional symmetries of the scattering problem is the central result underlying much of the progress. Key examples include symmetry-based explanations for the previously-observed universal nature of infrared phenomena including soft theorems and memory effects. Moreover, the appearance of a Virasoro symmetry among the symmetries of four-dimensional gravity has led to a proposal for holography in which the scattering amplitudes in quantum gravity are dual to correlation functions of a two-dimensional conformal theory. The other infinite-dimensional symmetry groups place additional non-trivial constraints on the dual theory.

Soft Modes in Quantum Gravity

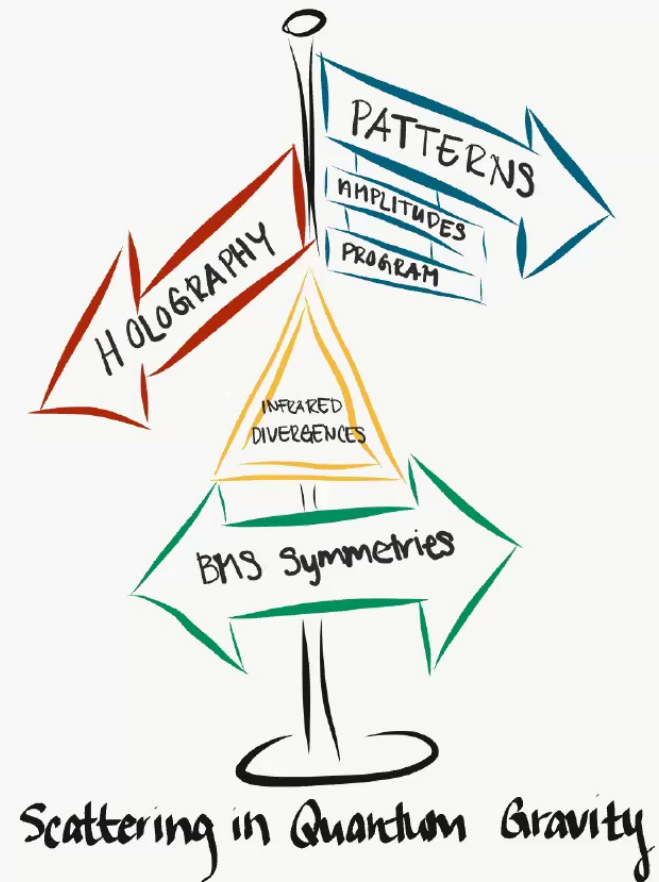
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Focus: soft modes and symmetries in the context of the scattering problem

Probing quantum gravity via scattering

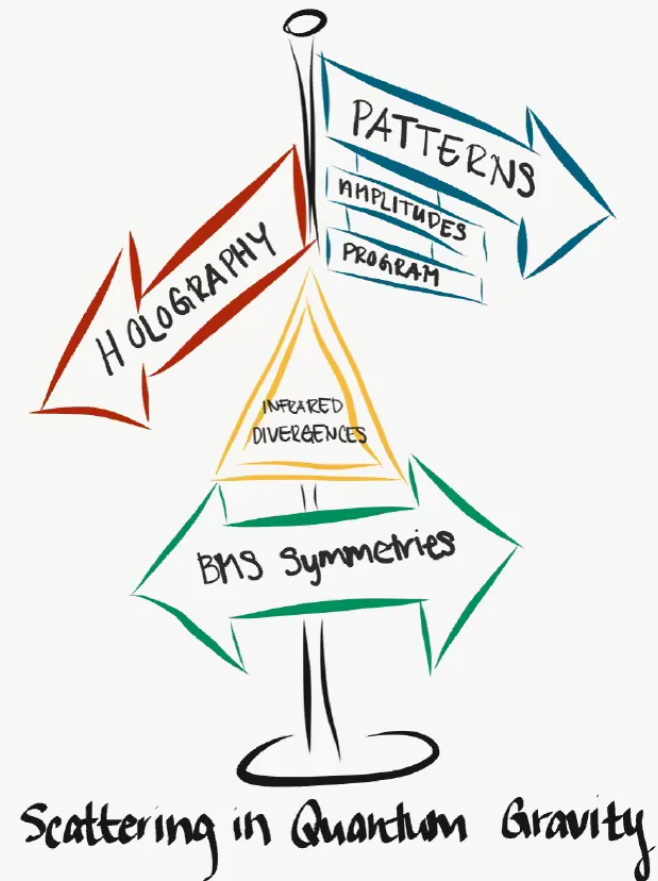
1. **Well-defined question** for quantum gravity in asymptotically flat spacetimes
 - *Amenable to top-down approach*
2. **Answer** with broad implications for quantum gravity
 - *Provides top-down approach to quantum gravity*

Signs, clues,
puzzles...



Outline

- Asymptotic symmetries (BMS)
- Symmetries of scattering & soft theorems
- Infrared divergences
- Flatspace holography
 - *Celestial conformal field theory*



BMS symmetries

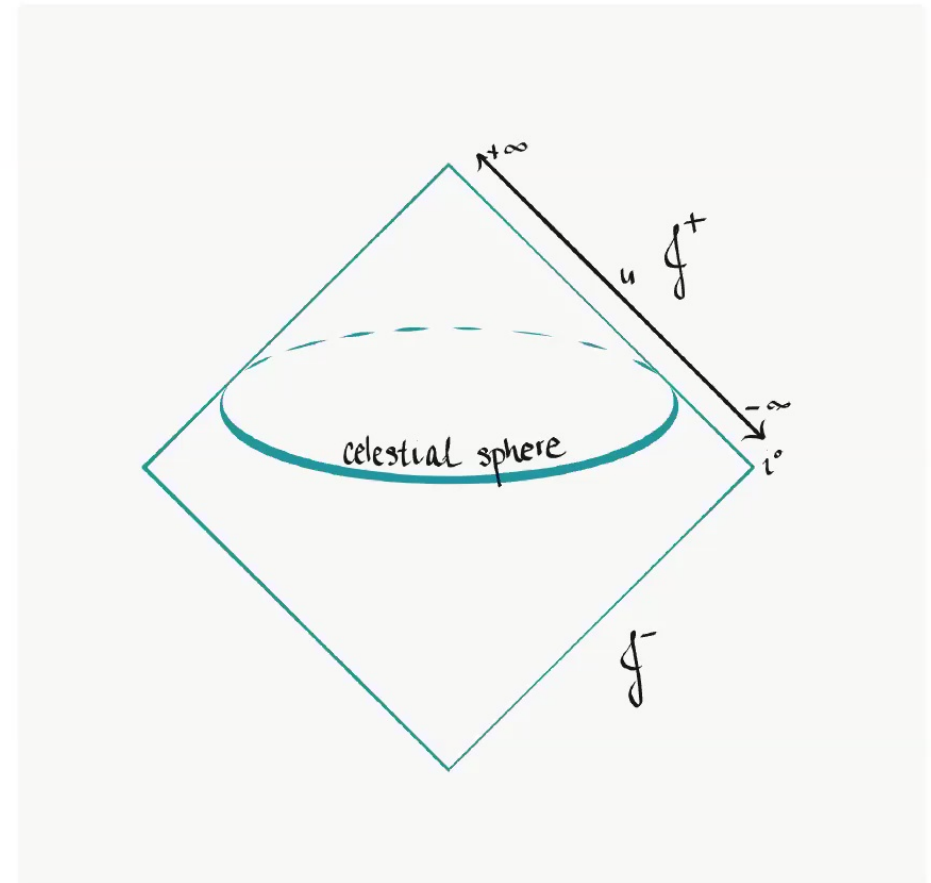
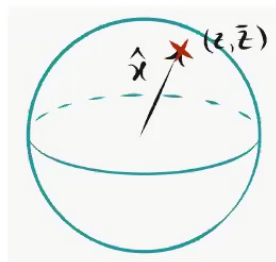
Method: Asymptotic symmetry analysis

asymptotic symmetries = $\frac{\text{allowed diffeomorphisms}}{\text{trivial diffeomorphisms}}$

$$ds^2 = -du^2 - 2dudr + 2r^2\gamma_{z\bar{z}}dzd\bar{z} \\ + \frac{2m_B}{r}du^2 + rC_{zz}dz^2 + rC_{\bar{z}\bar{z}}d\bar{z}^2 \\ + D^z C_{zz}dudz + D^{\bar{z}} C_{\bar{z}\bar{z}}dud\bar{z} + \dots$$

Minkowski:

$$u = t - r, \quad \vec{x} = r\hat{x}(z, \bar{z}), \\ \gamma_{z\bar{z}} = \frac{2}{(1 + z\bar{z})^2}$$



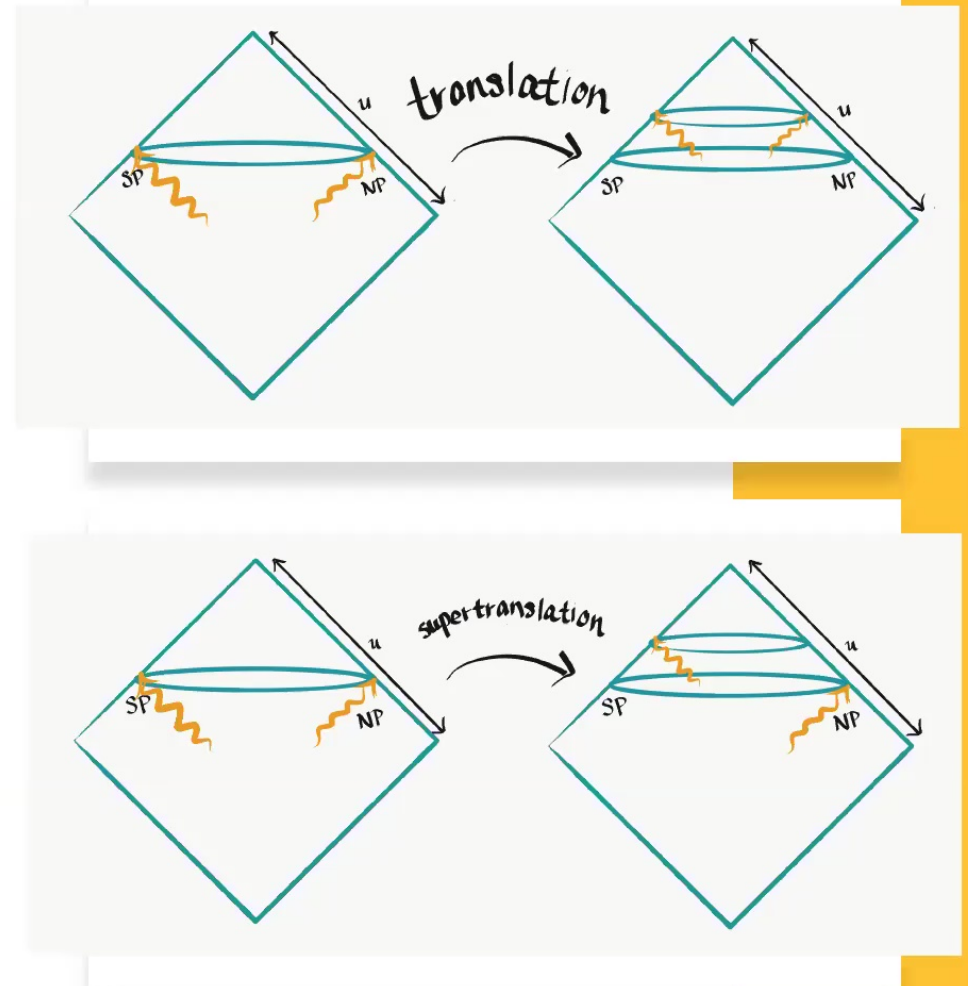
[Bondi, van der Burg, Metzner (1962); Sachs (1962)]

BMS symmetries

Result: Supertranslations + Lorentz transformations

$$\xi = f(z, \bar{z})\partial_u + \dots$$

- Independent translation symmetry at **every angle** on the celestial sphere
- Desynchronization of events at different angles on the celestial sphere
- Infinite-dimensional symmetry group
 \Rightarrow *Infinitely many constraints?*



[Bondi, van der Burg, Metzner (1962); Sachs (1962)]

Symmetries of the S-matrix?

S-matrix:

$$|\Psi_{\text{out}}\rangle = \mathcal{S}|\Psi_{\text{in}}\rangle$$

Symmetry of S-matrix:

$$|\Psi_{\text{out/in}}\rangle \rightarrow |\Psi'_{\text{out/in}}\rangle = U^\pm |\Psi_{\text{out/in}}\rangle$$

$$|\Psi'_{\text{out}}\rangle = \mathcal{S}|\Psi'_{\text{in}}\rangle$$

Puzzle:

- Analysis at past null infinity:
 - *Additional* infinite-dimensional enhancement of translations
 - Symmetry group $\stackrel{?}{=}$ (Future ST) \times (Past ST)
- Scattering problem is **ill-defined**?
 - Example: $U^- = 1$, $U^+ \neq 1$ (arbitrary)

Resolution:

- *Intuition*: only suitably **paired** generators on \mathcal{I}^+ and \mathcal{I}^- generate symmetries of the S-matrix
- Pairing can be determined from **soft theorems**
- Soft theorems are statements of **invariance** under **symmetry**:
$$\langle \Psi_{\text{out}} | Q^+ \mathcal{S} - \mathcal{S} Q^- | \Psi_{\text{in}} \rangle = 0$$

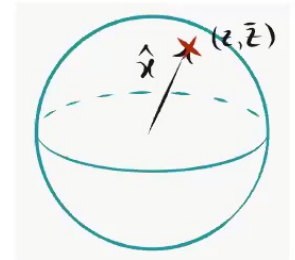
[Strominger, hep-th/1312.2229; He, Lysov, Mitra, Strominger, hep-th/1401.7026]

Soft theorems imply symmetries

- Can always interpret soft theorems as statements of invariance of the \mathcal{S} -matrix under an *infinite-dimensional symmetry*

Weinberg's Soft Graviton Theorem

$$\lim_{\omega \rightarrow 0} \omega \langle \text{out} | a_+(\omega \hat{x}) \mathcal{S} | \text{in} \rangle = \sum_{k=1}^n S_k(\hat{x}) \langle \text{out} | \mathcal{S} | \text{in} \rangle, \quad S_k(z, \bar{z}) = \frac{\kappa}{2} \frac{\varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{\hat{q} \cdot p_k}$$



$$q^\mu = \omega \hat{q}^\mu = \omega(1, \hat{x})$$

- Regard soft factor S_k as eigenvalue of single particle state under operator Q_H

$$S_k(z, \bar{z}) |p_k\rangle = Q_H(z, \bar{z}) |p_k\rangle = \delta_{(z, \bar{z})} |p_k\rangle$$

- RHS gives transformation of single particle states under $\delta_{(z, \bar{z})}$

$$\sum_{k=1}^n S_k \langle \text{out} | \mathcal{S} | \text{in} \rangle = \langle \text{out} | [Q_H, \mathcal{S}] | \text{in} \rangle$$

- Soft theorem implies that the \mathcal{S} -matrix is invariant under the transformation $\delta_{(z, \bar{z})}$ of single particle states provided that *a soft particle is added*.

[He, Lysov, Mitra, Strominger, hep-th/1401.7026; Strominger, hep-th/1703.05448]

Soft theorems imply symmetries (continued)

Weinberg's Soft Graviton Theorem

$$\lim_{\omega \rightarrow 0} \langle \text{out} | \omega a_+ (\omega \hat{x}) \mathcal{S} | \text{in} \rangle = \sum_{k=1}^n S_k \langle \text{out} | \mathcal{S} | \text{in} \rangle = \langle \text{out} | [Q_H, \mathcal{S}] | \text{in} \rangle$$

- Denote operators which **adds soft particles** Q_S

$$Q_S(z, \bar{z}) \sim - \lim_{\omega \rightarrow 0} \omega \left[a_+ (\omega \hat{x}(z, \bar{z})) + a_-^\dagger (\omega \hat{x}(z, \bar{z})) \right]$$

- Then, the LHS can be written as

$$\lim_{\omega \rightarrow 0} \langle \text{out} | \omega a_+ (\omega \hat{x}) \mathcal{S} | \text{in} \rangle = - \langle \text{out} | [Q_S, \mathcal{S}] | \text{in} \rangle$$

- Rearranging the soft theorem

$$\langle \text{out} | [Q, \mathcal{S}] | \text{in} \rangle = 0, \quad Q = Q_H + Q_S$$

⇒ Obtain statement of invariance under symmetry generated by Q

- *Can always interpret soft theorems as statements of invariance of the S-matrix under an **infinite-dimensional symmetry***

[He, Lysov, Mitra, Strominger, hep-th/1401.7026; Strominger, hep-th/1703.05448]

Supertranslations & Weinberg's soft theorem

Construction of local charges

- Parametrize symmetry transformations by functions $f(z, \bar{z})$ rather than points (z, \bar{z})
- Determine differential operator that localizes the soft factor for massless $p_k^\mu = \omega_k(1, \hat{x}(z_k, \bar{z}_k))$

$$\mathcal{D} \sum_{k=1}^n S_k(z, \bar{z}) = \sum_{k=1}^n \omega_k \delta^{(2)}(z - z_k) \qquad S_k(z, \bar{z}) = \frac{\kappa}{2} \frac{\varepsilon_{\mu\nu}^+ p_k^\mu p_k^\nu}{q \cdot p_k}$$
$$\mathcal{D} \sim -\frac{2}{\kappa} D^z D^{\bar{z}}$$

- Obtain charges with *local* action (*i.e.* action only depends on f at point (z_k, \bar{z}_k))

$$\delta_f |p_k\rangle = Q_H[f] |p_k\rangle = \int d^2z \gamma_{z\bar{z}} f \mathcal{D} S_k |p_k\rangle$$

[He, Lysov, Mitra, Strominger, hep-th/1401.7026; Strominger, hep-th/1703.05448]

Supertranslations & Weinberg's soft theorem

Equivalence with supertranslations

➤ When $f = 1$, find total energy

$$\delta_{f=1}|p_k\rangle = \omega_k|p_k\rangle$$

⇒ $Q[f = 1]$ generates ordinary time translations

➤ Generic $f = f(z, \bar{z})$:

$$\delta_f|p_k\rangle = \omega_k f(z_k, \bar{z}_k)|p_k\rangle$$

⇒ f parametrizes amount of translation at every angle

Saddle point approximation

$$e^{ix \cdot p_k} = e^{-i\omega u - i\omega r(1 - \hat{x} \cdot \hat{x}_k)} \stackrel{r \rightarrow \infty}{\sim} \frac{1}{i\omega r} e^{-i\omega u} \gamma^{z\bar{z}} \delta^{(2)}(z - z_k)$$

$$\phi(u, z, \bar{z}) \sim \int d\omega e^{i\omega u} a^\dagger(\omega, z, \bar{z}) + c.c.$$

$$\delta_f \phi \sim f \partial_u \phi \sim \int d\omega e^{i\omega u} \underbrace{f \omega a^\dagger(\omega, z, \bar{z})}_{\text{Transformation of asymptotic state}} + c.c.$$

[He, Lysov, Mitra, Strominger, hep-th/1401.7026;
Strominger, hep-th/1703.05448]

Soft modes & infinite-dimensional symmetries

Local soft charges

- By construction, soft charge is of similar form

$$Q_S[f] \sim \int d^2z \gamma_{z\bar{z}} f \mathcal{D} \lim_{\omega \rightarrow 0} \omega [a(\omega, z, \bar{z}) + a^\dagger(\omega, z, \bar{z})]$$

- For some choices \hat{f} of f ,

$$\mathcal{D}\hat{f} = 0 \quad \Rightarrow \quad Q_S[\hat{f}] = 0$$

- In previous example, soft charge vanishes for four ordinary translations.

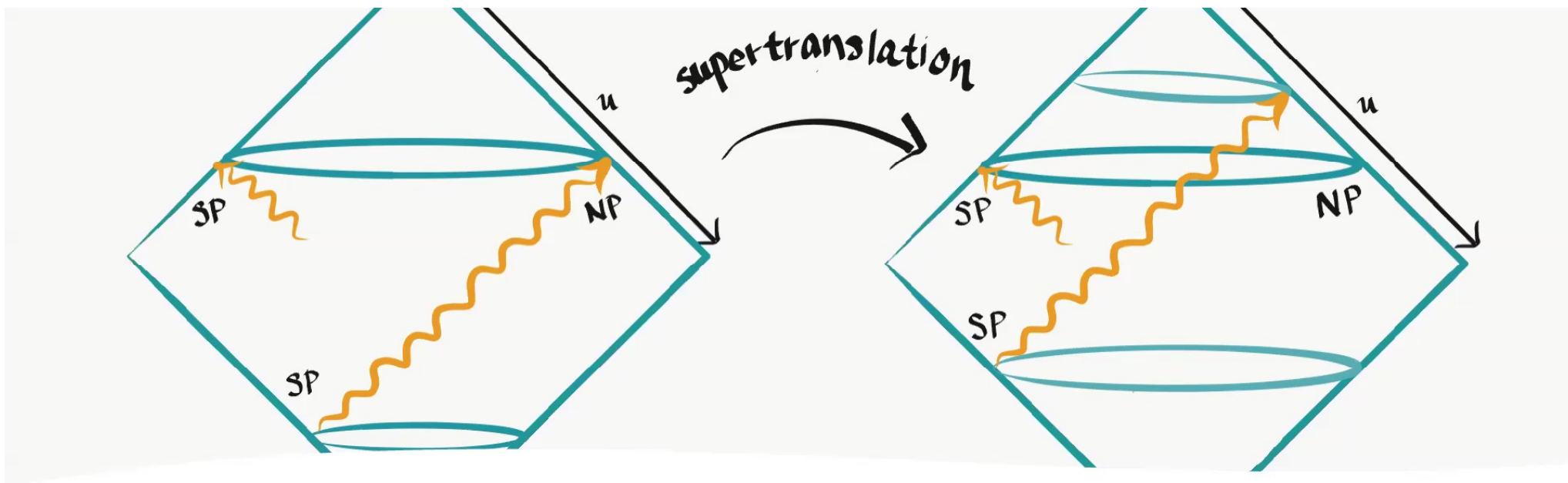
$$\mathcal{D} \sim D^z D^{\bar{z}} \quad \Rightarrow \quad \hat{f} = Y_{\ell m}, \quad \ell = 0, 1.$$

- *Finitely many* symmetry transformations preserve particle number, *infinitely many* require addition of soft particles.

- Addition of soft particles was crucial to obtain *infinite-dimensional symmetry*

- If restrict to charges with no soft contributions, only find four translational symmetries.

[He, Lysov, Mitra, Strominger, hep-th/1401.7026; Strominger, hep-th/1703.05448]



Takeaway points:

- Scattering problem is **constrained** by **infinite-dimensional symmetries**
- **Constraints** (i.e. Ward identities) are the soft theorems
 - Reveals the essential role played by soft modes
- Can identify **infinite-dimensional symmetries** with asymptotic symmetries obeying an **antipodal matching condition**
 - Resolves puzzle as to whether the scattering problem is well-posed

Soft modes & degenerate vacua

- Previously found inclusion of soft charge was essential to obtain infinite-dimensional symmetry
- What is the physical significance?

$$Q_S(z, \bar{z}) \sim - \lim_{\omega \rightarrow 0} \omega \left[a_+ (\omega \hat{x}(z, \bar{z})) + a_-^\dagger (\omega \hat{x}(z, \bar{z})) \right]$$

Action on vacuum state:

$$Q_S(z, \bar{z})|\Omega\rangle = |\Omega'\rangle$$

- Characterize vacua by eigenvalue under Q_S

$$Q_S(z, \bar{z})|\alpha\rangle = \alpha_{zz}|\alpha\rangle$$

Action on asymptotic states:

$$Q_S(z, \bar{z})|\text{out}; \alpha^{\text{out}}\rangle = \alpha_{zz}^{\text{out}}|\text{out}; \alpha^{\text{out}}\rangle$$

[Kapec, Perry, Raclariu, Strominger, hep-th/1703.05448;

Choi, Kol, Akhoury, hep-th/1708.05717;

Choi, Akhoury, hep-th/1712.04551]

Soft modes & degenerate vacua

Ward identity:

$$-\langle \text{out}; \alpha^{\text{out}} | [Q_S, \mathcal{S}] | \text{in}; \alpha^{\text{in}} \rangle = \langle \text{out}; \alpha^{\text{out}} | [Q_H, \mathcal{S}] | \text{in}; \alpha^{\text{in}} \rangle$$
$$(\alpha_{zz}^{\text{in}} - \alpha_{zz}^{\text{out}}) \langle \text{out}; \alpha^{\text{out}} | \text{in}; \alpha^{\text{in}} \rangle = \sum_{k=1}^n S_k(z, \bar{z}) \langle \text{out}; \alpha^{\text{out}} | \text{in}; \alpha^{\text{in}} \rangle$$

☞

Options:

$$\langle \text{out}; \alpha^{\text{out}} | \text{in}; \alpha^{\text{in}} \rangle = 0 \qquad (\alpha_{zz}^{\text{in}} - \alpha_{zz}^{\text{out}}) = \sum_{k=1}^n S_k(z, \bar{z})$$

- Assuming a unique vacuum state, symmetry constraint implies all S-matrix elements vanish.
- Allowing for vacuum transitions, the shift between 'in' and 'out' vacua is determined by the soft factor.

[Kapec, Perry, Raclariu, Strominger, [hep-th/1703.05448](#);

Choi, Kol, Akhoury, [hep-th/1708.05717](#);

Choi, Akhoury, [hep-th/1712.04551](#)]

BMS vacua and Fadeev-Kulish states

BMS vacuum states

$$Q_S(z, \bar{z})|\alpha\rangle = \alpha_{zz}|\alpha\rangle$$

- Q_S is zero-frequency component of the radiative gravitational field

$$Q_S(z, \bar{z}) \sim -\lim_{\omega \rightarrow 0} \omega \left[a_+(\omega \hat{x}(z, \bar{z})) + a_-^\dagger(\omega \hat{x}(z, \bar{z})) \right] \sim \int du \underbrace{\partial_u h_{zz}(u, z, \bar{z})}_{N_{zz}}$$

- Eigenstates of Q_S are **coherent states** of gravitons with (classical) amplitude α

$$|\alpha\rangle \sim e^{\int \alpha_{zz}(a_- - a_+^\dagger) + c.c.} |0\rangle \sim e^{\int \alpha_{zz} h_{\bar{z}\bar{z}} + c.c.} |0\rangle$$

- Such states were previously studied by **Fadeev and Kulish** for the purpose of curing infrared divergences which *do* set scattering amplitudes to zero!

Takeaway:

- Symmetry constraints provide a reason for why exclusive scattering amplitudes are IR divergent but states dressed by soft gravitons are not.

Physical intuition:

- Gravitational radiation is emitted in any non-trivial classical scattering process.
- Symmetry constraint in quantum scattering process requires the soft sector to agree with classical result.

Takeaways

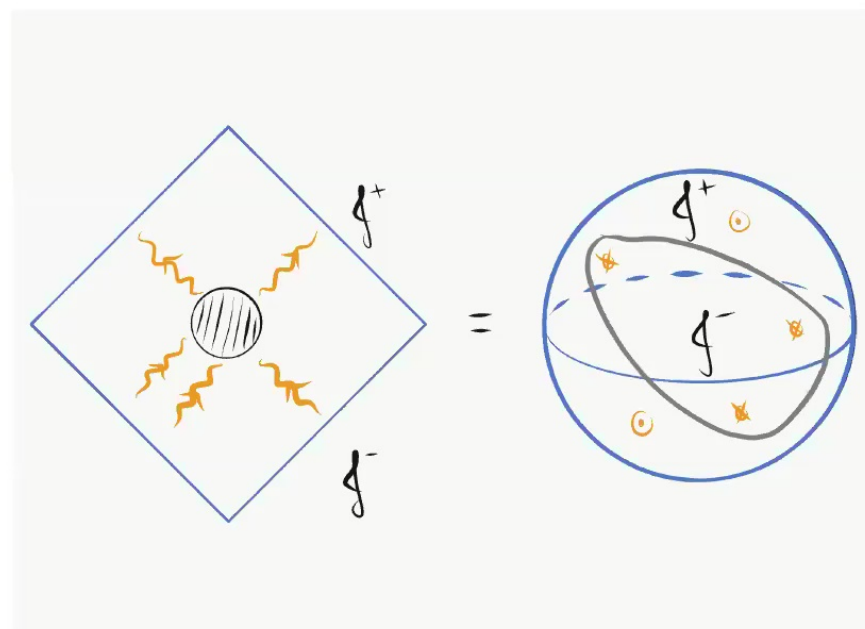
- Symmetry constraints imply the **vacuum** is **infinitely degenerate**.
- Generic scattering process induce **transitions** between inequivalent vacua.
- Infrared divergences arise when **failing** to account for **vacuum transitions**.

Outlook thus far...

- Resolved concerns regarding the scattering problem arising from
 - Independent asymptotic symmetries at \mathcal{I}^+ and \mathcal{I}^-
 - Infrared divergences in scattering amplitudes
- Constraints implied by asymptotic symmetries are soft theorems
 - New soft theorems [Cachazo & Strominger, hep-th/1404.4091]
 - Corrections to soft theorems [Elvang, Jones, Naculich, hep-th/1611.07534; Mitra & Laddha, 1709.03850]

Celestial Amplitudes

- Scattering amplitudes of *boost* (not translation) *eigenstates*
- Single particle states transform like *primary operators* in 2D CFT
- Subleading soft graviton theorem is Ward identity for 2D stress tensor
 - Lorentz symmetries enhanced to Virasoro
- *Holography*
 - Does there exist an intrinsically defined 2D theory whose correlation functions are the 4D scattering amplitudes?
 - How far can the symmetries take us?



[de Boer & Solodukhin, hep-th/0303006; Pasterski, Shao, Strominger, hep-th/1701.00049]

Construction of Celestial Amplitudes (continued)

$$|p, s\rangle = |\omega, z, \bar{z}, s\rangle, \quad p^\mu(\omega, z, \bar{z}) = \frac{\omega}{1 + z\bar{z}} (1 + z\bar{z}, z + \bar{z}, -i(z - \bar{z}), 1 - z\bar{z})$$

➤ Under Lorentz transformations,

$$p^\mu \rightarrow \Lambda^\mu{}_\nu p^\nu, \quad \frac{\omega}{1 + z\bar{z}} \rightarrow \frac{\omega}{1 + z\bar{z}} |cz + d|^2 \quad \rightarrow \quad \text{Seek to trade } \omega \text{ for } SL(2, \mathbb{C})\text{-invariant label } \Delta$$

➤ Boost along p^μ : $p^\mu \rightarrow \lambda p^\mu$

➤ Generated by: $K = \omega \partial_\omega$

➤ K is diagonalized by the *Mellin transform*

$$\mathcal{O}_{\Delta, s}(z, \bar{z}) = \int_0^\infty d\omega \, \omega^{\Delta-1} |\omega, z, \bar{z}, s\rangle$$

Celestial Amplitudes

$$\langle \mathcal{O}_{\Delta_1, s_1}(z_1, \bar{z}_1) \cdots \mathcal{O}_{\Delta_n, s_n}(z_n, \bar{z}_n) \rangle = \left(\prod_{k=1}^n \int_0^\infty d\omega_k \, \omega_k^{\Delta_k-1} \right) \mathcal{A}_{s_1 \cdots s_n}(p_1, \cdots, p_n)$$

[Kapec, Mitra, Raclariu, & Strominger, hep-th/1609.00282; Cheung, de la Fuente & Sundrum, hep-th/1609.00732]

Symmetry Constraints on Celestial Amplitudes

➤ *What is the fate of the asymptotic symmetry constraints (i.e. soft theorems) in this basis?*

1. Soft charges

- Conformally soft theorems characterize poles in conformal dimension

2. Hard charges

- Subleading soft gravitons generate conformal transformations
⇒ act *within* a conformal family (labelled by Δ and s)
- Leading/subsubleading theorems shift conformal dimensions
⇒ relate *different* conformal families

Conformally soft theorems

Conventional soft theorems

- Statements about the universality of coefficients $S_{(i)}$ in an expansion in energy of an external graviton

$$\mathcal{A}_{n+1}(\omega, z, \bar{z}) = \left(\frac{1}{\omega} S_{(0)}(z, \bar{z}) + S_{(1)}(z, \bar{z}) + \omega S_{(2)}(z, \bar{z}) \right) \mathcal{A}_n + \dots$$

Property of Mellin transforms

- Coefficients of an asymptotic expansion map to residues of poles in Mellin space

$$f(\omega) = \omega^{-1} a_0 + a_1 + \omega a_2 + \dots, \quad a_n = \lim_{\Delta \rightarrow -n+1} (\Delta + n - 1) \int_0^\infty d\omega \omega^{\Delta-1} f(\omega).$$

Soft operators

Leading: $\lim_{\omega \rightarrow 0} \omega a^\dagger(\omega, z, \bar{z})|0\rangle = \lim_{\Delta \rightarrow 1} (\Delta - 1) \mathcal{O}_\Delta(z, \bar{z})$

Subleading: $\lim_{\Delta \rightarrow 0} \Delta \mathcal{O}_\Delta(z, \bar{z})$ Subsubleading: $\lim_{\Delta \rightarrow -1} (\Delta + 1) \mathcal{O}_\Delta(z, \bar{z})$

- *Asymptotic symmetries constrain residues of poles in conformal weights*

[Cheung, de la Fuente & Sundrum, 1609.00732; Fan, Fotopoulos & Taylor, 1903.01676; MP, Raclariu & Strominger, 1904.10831; Nandan, Schreiber, Volovich & Zlotnikov, 1904.10940; Adamo, Mason, & Sharma, 1905.09224; Puhm, 1905.09799]

Translations on the celestial sphere

Translations in momentum space

$$\delta|\omega, z, \bar{z}\rangle = \omega|\omega, z, \bar{z}\rangle$$

Translations in boost eigenstate space

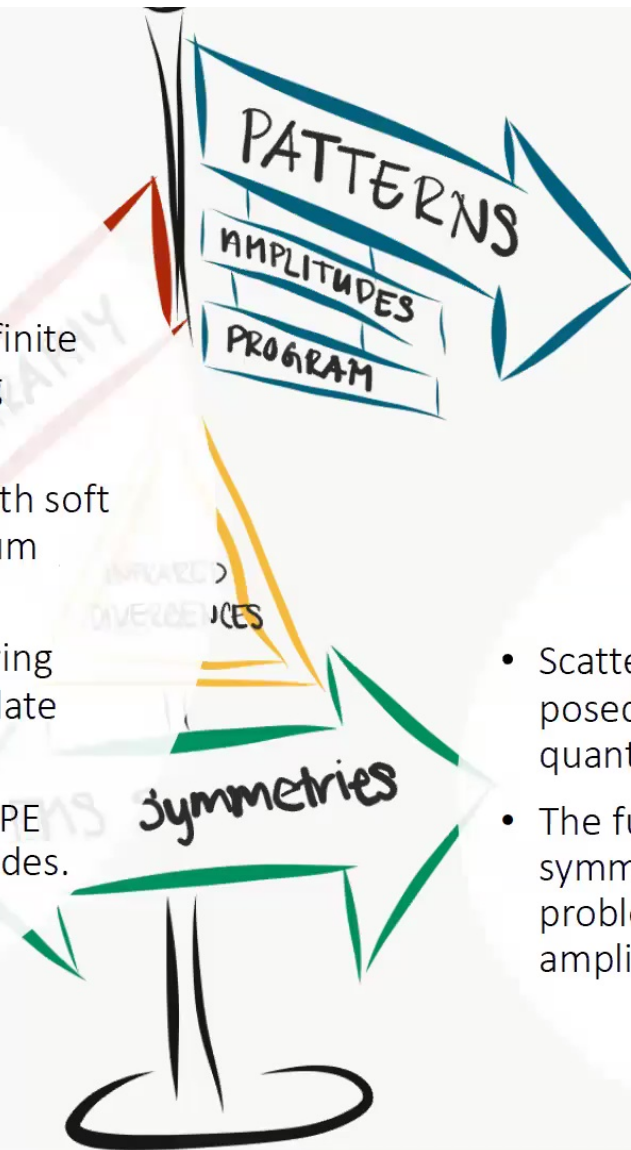
$$\delta\mathcal{O}_\Delta(z, \bar{z}) = \int_0^\infty d\omega \omega^{\Delta-1} \delta|\omega, z, \bar{z}\rangle = \int_0^\infty d\omega \omega^{\Delta-1} \omega|\omega, z, \bar{z}\rangle = \mathcal{O}_{\Delta+1}(z, \bar{z})$$

➤ *Momentum conservation of celestial amplitudes is captured by shifts in dimension*

[Donnay, Puhm, & Strominger, hep-th/1810.05219; Stieberger & Taylor, hep-th/1812.01080]

Summary

- Asymptotic symmetries imply an infinite number of constraints on scattering problem.
- The constraints can be identified with soft theorems in the standard momentum basis.
- Infrared divergences arise in scattering amplitudes between states that violate the symmetry constraints.
- Asymptotic symmetries constrain OPE (collinear limits) of celestial amplitudes.



Outlook

- Scattering problem remains a well-posed and informative question in quantum gravity.
- The full extent to which asymptotic symmetries constrain the scattering problem (recast in terms of celestial amplitudes) is yet to be determined.

OPE coefficients from symmetry

Constraints from translations

$$\delta \mathcal{O}_\Delta = \mathcal{O}_{\Delta+1}$$

$$\mathcal{O}_{\Delta_1}(z_1)\mathcal{O}_{\Delta_2}(z_2) \sim C_{\Delta_1,\Delta_2}(z_{12})\mathcal{O}_{\Delta_1+\Delta_2+n}(z_2)$$

⇒ Recursion relation:

$$C_{\Delta_1+1,\Delta_2} + C_{\Delta_1,\Delta_2+1} = C_{\Delta_1,\Delta_2}$$

+ additional recursion relation from subsubleading soft graviton symmetry

⇒ Graviton-graviton OPE coefficient

$$G_{\Delta_1}^+(z_1, \bar{z}_1)G_{\Delta_2}^+(z_1, \bar{z}_2) \sim B(\Delta_1 - 1, \Delta_2 - 1) \frac{\bar{z}_{12}}{z_{12}} G_{\Delta_1+\Delta_2}^+(z_2, \bar{z}_2)$$

➤ Relations among celestial amplitudes without any soft insertions!

$$B(x, y) = \frac{\Gamma(x)\Gamma(y)}{\Gamma(x+y)}$$



Poles in dimension!

[MP, Raclariu, Strominger & Yuan, hep-th 1910.07424]