

Title: Categorification of the Hecke algebra at roots of unity.

Speakers: Ben Elias

Collection: Geometric Representation Theory

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Abstract: Categorical representation theory is filled with graded additive categories (defined by generators and relations) whose Grothendieck groups are algebras over $\mathbb{Z}[q, q^{-1}]$. For example, Khovanov-Lauda-Rouquier (KLR) algebras categorify the quantum group, and the diagrammatic Hecke categories categorify Hecke algebras. Khovanov introduced Hopfological algebra in 2006 as a method to potentially categorify the specialization of these $\mathbb{Z}[q, q^{-1}]$ -algebras at $q = \zeta_n$ a root of unity. The schtick is this: one equips the category (e.g. the KLR algebra) with a derivation d of degree 2, which satisfies $d^p = 0$ after specialization to characteristic p , making this specialization into a p -dg algebra. The p -dg Grothendieck group of a p -dg algebra is automatically a module over $\mathbb{Z}[\zeta_{2p}]$... but it is NOT automatically the specialization of the ordinary Grothendieck group at a root of unity!

Upgrading the categorification to a p -dg algebra was done for quantum groups by Qi-Khovanov and Qi-Elias. Recently, Qi-Elias accomplished the task for the diagrammatic Hecke algebra in type A, and ruled out the possibility for most other types. Now the question is: what IS the p -dg Grothendieck group? Do you get the quantum group/hecke algebra at a root of unity, or not?

This is a really hard question, and currently the only techniques for establishing such a result involve explicit knowledge of all the important idempotents in the category. These techniques sufficed for quantum \mathfrak{sl}_n with $n \leq 3$, but new techniques are required to make further progress.

After reviewing the theory of p -dg algebras and their Grothendieck groups, we will present some new techniques and conjectures, which we hope will blow your mind.

Everything is joint with You Qi.

Categorification of the Hecke Algebra at a root of unity

Ben Elias (U. Oregon) GRT 2020

jt w/ Yuqi June 2020



The "original goal" of Crane-Frenkel's categorification program was to categorify quantum gps at roots of unity.

A practical approach to catfn at a root was suggested by Khovanov in 2006.

- 1) History ; catfn at root so far
- 2) Conjectures ; moving forward.



Common Homological categories:

KLR Category \mathcal{U}^+

KL category \mathcal{U}

diag. Hecke category \mathcal{H}

$[\]_{\oplus}$

$U_q^+(\mathfrak{g})$

$U_q(\mathfrak{g})$

$H(W)$

These are $\otimes, \oplus, (1)$ categories. Think: Projective modules.

$\{ \} \rightarrow [\]_{\oplus}$ is a $\mathbb{Z}[q, q^{-1}]$ -algebra.

Presented by gens + rehs, so categories have a \mathbb{Z} -form.



They have been studied extensively for last decade.

Describe Ext algebras b/w perverse sheaves on quiver/flag vsp.

SURPRISE: New structure. Secret "differential".

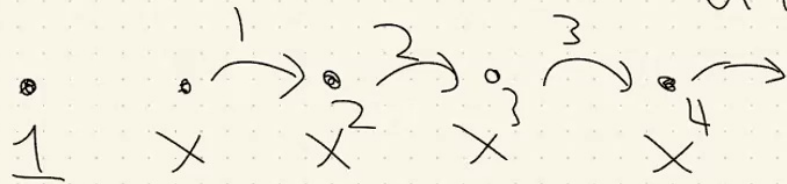
Def: A gaa (short for \mathbb{G}_a -equiv alg) is a graded dg \mathbb{Z} A equipped w/ a derivation d (of degree 2)

St

- $d(fg) = d(f)g + f d(g)$
- in \otimes setting, $d(f \otimes g) = d(f) \otimes g + f \otimes d(g)$ and $d(1 \otimes f) = 1 \otimes d(f)$
- divided powers are defined integrally, $d^{(k)} = \frac{d^k}{k!}$



Ex 1: $\mathbb{Z}[x]$ $d(x) = x^2 \Rightarrow d(x^k) = kx^{k-1}$
 $d^{(l)}(x^k) = \binom{k+l}{l} x^{k+l}$



Ex 2: NilHecke alg (i.e. $\text{End}_U(E^n)$)

$d(\uparrow) = \uparrow$ $d(X) = -\cancel{X} - \cancel{X}$

EXERCISE:

$d(\cancel{X}) = -\cancel{\cancel{X}}$

Ex 3: $\bar{d}(\uparrow) = \uparrow$ $\bar{d}(X) = -\cancel{X} - \cancel{X}$

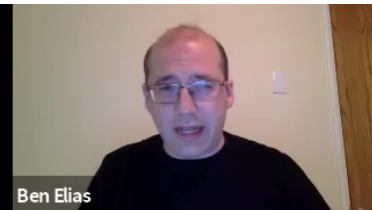
flip upside down (duality)



A gaea is like a dg-algebra but in a weird world
with unusual homological algebra (like ours!)

The Ext algebras described by U, HP are formal, even, no
dg structure, but they are gaeas! **WHY?**

Connection to Khovanov's programme:



Why is $[A]_{\oplus}$ a \mathbb{Z} -module? (By defn, silly!)

If we're over a field k , then $\text{Vect}(k) \subset A$

$$\begin{array}{c} \mathbb{Z} \\ \parallel \\ \text{so } [\text{Vect}] \subset [A] \end{array} \quad k^n \otimes M = M^{\oplus n}$$

Similarly, $k^b(\text{Vect}) \subset k^b(A)$ so $[k^b(\text{Vect})]_{\Delta} \subset [A]_{\Delta}$
" $\mathbb{Z}[t, t^{-1}] / t = -1$



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and in graded setting,

$$\underline{\text{Vect}}_{\mathbb{Z}} \subset A$$

$$[\text{Vect}_{\mathbb{Z}}] = \mathbb{Z} [q, q^{-1}]$$



What base category has groth of $\mathbb{Z}[S]$ for S set of unity?

Khovanov: H a f.l. graded Hopf alg.

$H\text{-gmod}$ - f.g. graded modules

$\underline{H\text{-gmod}}$ - stable module cat. $\coloneqq H\text{-gmod} / H\text{-proj}$

Then $H\text{-gmod}$ is Δ, \otimes . If H graded local then

$$[\underline{H\text{-gmod}}]_{\Delta} \cong \mathbb{Z}[q, q^{-1}] / \text{gdim } H$$

If A is an H -module alg. then $\exists D_H(A\text{-gmod})$ and

$$[\underline{H\text{-gmod}}]_{\Delta} \subset [D_H(A)]_{\Delta}$$



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Ex 1: $H = k[d]/d^2$ w/ $\lambda(d) = d \otimes 1 + 1 \otimes d$.

To get $\Delta(d)^2 = 0$ need to work in Super Vect.

Then $H\text{-gmod} = K^b(\text{Vect}_Z(k))$.

An H -mod alg is just a dg-algebra.

ordinary homological algebra.

$[H] = 1 + t$

Ex 2: $H = k[d]/d^p$ w/ $\lambda(d) = d \otimes 1 + 1 \otimes d$.

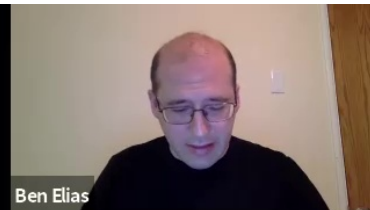
to get $\Delta(d)^p = 0$ need $\text{char } k = p$.

$[H] = 1 + q^2 + \dots + q^{2(p-1)}$

p -homological alg

see Qi

H -mod-alg called a p -dg algebra.



"Ex 3:" $H = U^+(sl_2)_{\mathbb{Z}}$. $H\text{-mod-}alg = gaea$.
but doesn't fit the framework yet.

15 minutes

However, $gaea \xrightarrow{\text{char } k=p} p\text{-}alg$ since $d^p = p! d^{(p)}$

PUNCHLINE: $[H\text{-}gm]_{\mathbb{Z}} = \mathbb{Z}[S]/S$ is primitive p^{th} root.
(in Ex 2)

Want to know more? QUACKS, August 2020.



So, we take U^+ , equip w/ d , work over char p .

Is $[]_\Delta \cong U_5(q)$? Sometimes! Not for free!

In fact, NH_n has a 1-parameter family of derivations d_λ
but only two (d and \bar{d}) give correct growth gp.

What's the catch?



Ex: $EE \cong E^{(2)} \oplus E^{(2)}$ (ignoring grading)

In additive setting, prove by constructing idempotent decomp of identity

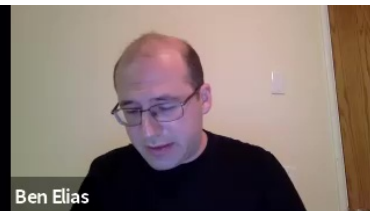
$$\begin{array}{l|l} 11 = \cancel{X} + (-\cancel{X}) & \text{So } \text{Hom}(EE, -) \cong \text{Hom}(EE, -)_e \oplus \text{Hom}(EE, -)_{e_2} \\ 1 = e_1 + e_2 & \end{array}$$

\uparrow
a left A -top U^+ -mod

$\uparrow \uparrow$
both isom to
 $\text{Hom}(E^{(2)}, -)$

Now add d . This is NOT a direct sum
of (U^+, d) -modules.

$$d(e_1) = -\cancel{X} = +x_1 e_2 \in U^+ \cdot e_2 \parallel d(fe_1) = \underline{d(f)}e_1 + x_1 fe_2$$



But it is filtered! $\Delta(e_2) = \cancel{X} = -x_1 e_2 \in U^+ \cdot e_2$

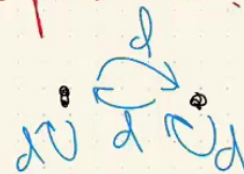
$$U^+ \cdot \text{id}_{EE} \cong U^+ \cdot e_1 \oplus U^+ \cdot e_2$$

UPSHOT: Still get $[EE] = [Im e_1] + [Im e_2]$ in $[D_P(U^+)]_\Delta$

Think: It looks like a "complex" of objects, which is sum of its pieces in Grth gp.

WARNING! Not every temp. decomp. will work.

$$11 = \cancel{X} + (-\cancel{X})$$



not f. Hered!



WARNING CONT: Also need to get right of structure on associated graded or $\text{Hom}(EE, -)_e \cong \text{Hom}(E^{\oplus}, -)$

Def: An FC-filtration is ... on idemp decomp where it all works out. won't respect d !

Moral: To categorify defining rels of $U_2(\mathfrak{g})$ or $H(W)$ need key direct sum decmps to lift to FC filtrations.

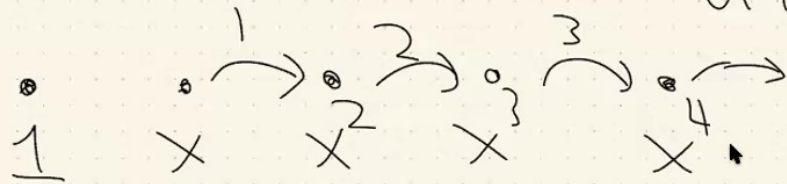
A severe restriction both on d and on idempotents !!

Which is !!
good !!



What's been done:	Defining F_c	$[\]_{\Delta} = ?$	Explicit isopotents
$U^+(sl_2)$ Khavaran-Qi	✓	✓	✓
U^+ (simply laced) Qi	✓	X	X
$U(sl_2)$ Elias-Qi	✓	✓	✓
$\dot{U}(sl_2)$ Qi	✓	✓	✓
$\dot{U}^+(sl_3)$ Stephens	✓	✓	✓
Some Webster \otimes algs Khavaran-Sussan-Qi	✓	✓ New tool	X
\mathcal{U} (simply laced) Elias-Qi	✓	X New tool needed!	X

Ex 1: $\mathbb{Z}[x]$ $d(x) = x^2 \Rightarrow d(x^k) = kx^{k-1}$
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Ex 2: NilHecke alg (i.e. $\text{End}_U(E^n)$)

$d(1) = 1$ $d(X) = -X - X$

EXERCISE:

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flip upside down (duality)



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In our search for a proof that $[\mathcal{D}_p(\mathcal{H})]_\Delta \cong H(S_n)$, we found some surprising new structure.

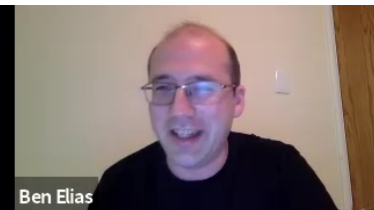
Thm: The algebras $\mathcal{H}, \mathcal{U}^+, \mathcal{U}$, etc have actions of \mathfrak{sl}_2 by derivations!

I.e. there is a degree -2 deriv. Z st both

$(d, \text{deg}, -Z)$ and $(\bar{d}, \text{deg}, -Z)$ are \mathfrak{sl}_2 -triples.

$$d(\phi) = \phi, \quad d(X) = -X - X$$

$$Z(\phi) = 1, \quad Z(X) = 0$$



"Base ring" ex: $R = \mathbb{Z}[x_1, \dots, x_n]$

$$d(x_i) = x_i^2 \leadsto d = \sum_i x_i^2 \frac{\partial}{\partial x_i}$$

$$z(x_i) = 1 \leadsto z = \sum_i \frac{\partial}{\partial x_i}$$

$$\deg(x_i) = 2x_i \leadsto \deg = 2 \sum_i x_i \frac{\partial}{\partial x_i}$$

Witt
algebra

All hom spaces in these categories are simultaneously
 (R, R) -bimodules and sl_2 -modules, compatibly.



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Witt
algebra

Can't separate - derivations are S_n -equiv.

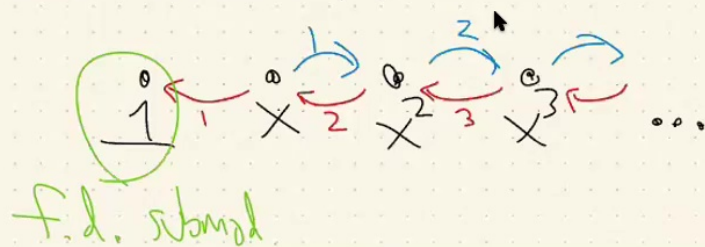
All hom spaces in these categories are simultaneously

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What do (R, d_2) -modules look like?

Ex: $R = \mathbb{Z}[x] \subset M = R$

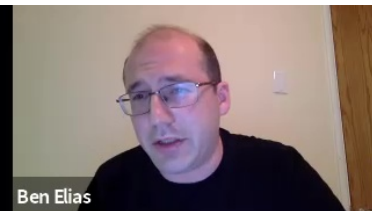


It's the
coverma
 $\nabla(0)!$

Ex: $f = ax \in R$, $M = R\langle f \rangle$ i.e. free rank 1 as

R -mod w/ gen v , and $d(v) = f \cdot v$
 $z(v) = 0$
 $\deg(v) = a$

It's $\nabla(a)$

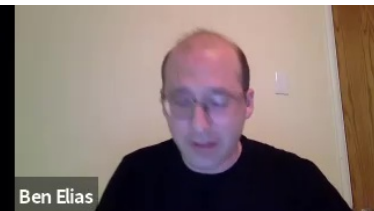


Ex: $R = \mathbb{Z}[x_1, \dots, x_n]$, $f = \sum a_i x_i$, $M = R \langle f \rangle$

Then as \mathbb{Z}_2 -mod, $M \cong \nabla(a_1) \otimes \nabla(a_2) \otimes \dots \otimes \nabla(a_n)$

M has f.d. subrepn iff $a_i \leq 0 \ \forall i$, subrepn is
 $L_{-a_1} \otimes \dots \otimes L_{-a_n}$.

Why do I care? Bear with me...



Previously known: Hom spaces in \mathcal{U}^+ or \mathcal{H} are free
as left R -mod w/ diagrammatic bases

Thm: Z preserves this R -mod decomposition
 d acts in a filtered way wrt known p.o.
Comb formula for shifts $R\langle \underline{f} \rangle$ on subquots.

Rmk 1: Still not easy to determine f.d. subreps.

Rmk 2: Hom spaces live in category " \mathcal{O} " for (R, sl_2)



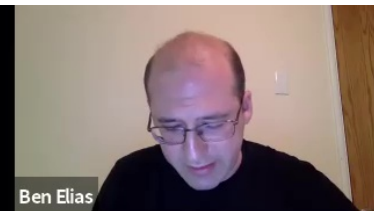
Ex: NH_2 $\left[\begin{array}{c} \boxed{R} \\ \parallel \\ \end{array} \right] \oplus \left[\begin{array}{c} \boxed{R} \\ \text{X} \end{array} \right]$

$$z\left(\begin{array}{c} \boxed{f} \\ \parallel \\ \end{array}\right) = \begin{array}{c} \boxed{zf} \\ \parallel \\ \end{array}, \quad z\left(\begin{array}{c} \boxed{f} \\ \text{X} \end{array}\right) = \begin{array}{c} \boxed{zf} \\ \text{X} \end{array}$$

$$d\left(\begin{array}{c} \boxed{f} \\ \parallel \\ \end{array}\right) = \begin{array}{c} \boxed{df} \\ \parallel \\ \end{array}, \quad d\left(\begin{array}{c} \boxed{f} \\ \text{X} \end{array}\right) = \begin{array}{c} \boxed{f} \\ \parallel \\ \end{array} + \begin{array}{c} \boxed{df - 2xf} \\ \text{X} \end{array}$$

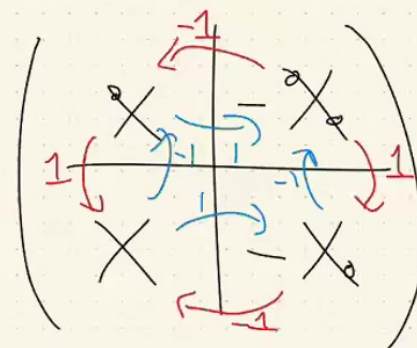
$$\text{get } 0 \rightarrow R\langle 0 \rangle \rightarrow NH_2 \rightarrow R\langle -2x_1 \rangle \rightarrow 0$$

which is (R, z) -split.



Ex: NH_2 $NH_2 \cong Mat_2(R^{S_2})$

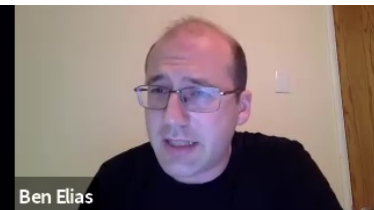
$d(\begin{smallmatrix} \circ & X_a \end{smallmatrix}) = 0 \quad z(X) = 0$



MAX'L FI. SUBREPⁿ OF NH_2 IS

$Mat_2(k) \cong NH_2 / J(NH_2),$

IT'S SEMISIMPLE !!!
ooo



MAX'L FI. SUBREP'N OF NH_2 IS

$$\text{Mat}_2(k) \cong NH_2 / J(NH_2),$$

IT'S SEMISIMPLE !!!

But it's a SUB not a quotient!

(R -modules)

$$0 \begin{matrix} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{matrix} \text{Mat}_2(R_+^{S_2}) \begin{matrix} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{matrix} \text{Mat}_2(R^S) \begin{matrix} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{matrix} \text{Mat}_2(k) \begin{matrix} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{matrix} 0$$

(S_2 modules)



Ex: In \mathcal{H} , $B_S B_S \cong B_S(1) \oplus B_S(-1)$.

$$\text{Hom}(B_S B_S, B_S) \times \text{Hom}(B_S, B_S B_S) \xrightarrow{\text{compose}} \text{End}(B_S)$$

is local intersection form.

$$\text{End}(B_S) \xrightarrow{\text{qm}} \mathbb{K}$$

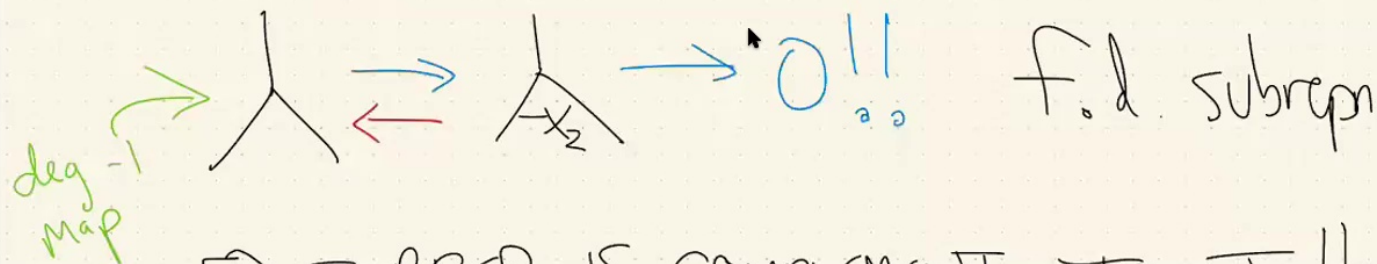
- Dual bases yield ortho inclusion + proj maps.
- Kernel = $\text{Hom} \cap J(\mathcal{H})$
- Hom/Kernel is "multiplicity space"
- Duality makes it a form on $\text{Hom}(B_S B_S, B_S)$.





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$\text{Hom}(B \otimes B_S, B_S)$ has rank $q^1 + 2q + q^3$ over \mathbb{R} .



- FD SUBREP IS COMPLEMENT TO J !!
- A 'CANONICAL' SECTION OF MULT. SPACE, a sub not a quotient.

Hodge-Riemann bilinear relations: $(\text{tree}, d(\text{tree})) = \text{circle with } -x_2 = + \text{Id}_{B_S}$ positive sign

Let's not get too excited.

Ex 2: $X := B_S \oplus B_S \cong B_{Sts} \oplus B_S$

$$V = \text{Hom}(X, B_S) \oplus \text{Hom}(X, B_{Sts})$$

f.d. (V) is spanned by projection to B_S , no map to B_{Sts} .

But now look at $F_1 = \ker \phi$, and $V_1 = V/F_1$.

f.d. (V_1) spanned by (image of) proj. to B_{Sts} .



In conclusion:

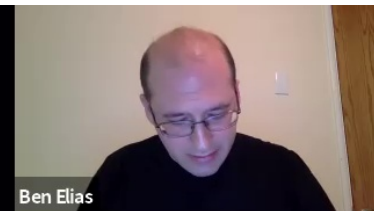
- I have a concrete conjecture which encapsulates this behavior but technical to state.

"f.d. \mathcal{S}_2 pieces are sufficient to construct enough idemp's!"

• Conjecture $\Rightarrow [D_p(H_p)] \cong H_p(S_n)$

Conj

↑ enough to \mathbb{F}_p -fitter anything into d -indecomposables




In conclusion:

- Also conjecture a version of HR.

E-Williamson already proved some mult. spaces have HR wrt "middle mult." Relationship unclear.



Ex 3: Kawasaka-Saito singularity in S_3

$X = \text{some object}$  $y = |XXX|$

In char 0, $X \cong \beta_w \oplus \beta_y$. In char 2, X indecomposable.

Only id_X lives in f.d. subrep. It is 2-indecomposable.

sh_2 DETECTS p -TORSION?



In conclusion:

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Zongzhu Lin