

Title: Summer Undergrad 2020 - Numerical Methods (A) - Lecture 3

Speakers: Aaron Szasz

Collection: Summer Undergrad 2020 - Numerical Methods

Date: June 01, 2020 - 3:45 PM

URL: <http://pirsa.org/20060011>

Abstract: Exact diagonalization part 1: solve two-site Ising model, find energies, expectation values, etc.; Find matrices for N-site Ising model



Note Jun 1, 2020 (2)

Jun 1, 2020 at 12:46 PM



Two-site Ising model (cont.)

$$H = -J \underbrace{(\sigma_0^z \otimes \sigma_1^z)}_{\begin{pmatrix} 1 & & & \\ & -1 & & \\ & & -1 & \\ & & & 1 \end{pmatrix}} - h \underbrace{(\sigma_0^x \otimes Id_1 + Id_0 \otimes \sigma_1^x)}_{\begin{pmatrix} 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{pmatrix}}$$

$$\begin{pmatrix} 1 & & & \\ & -1 & & \\ & & -1 & \\ & & & 1 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{pmatrix}$$



1

/

2





Two limits

$h = 0$

$$H = -J \sigma_z^1 \otimes \sigma_z^2$$

eig states: $|\uparrow\uparrow\rangle, |\downarrow\downarrow\rangle, E = -J$
 $|\uparrow\downarrow\rangle, |\downarrow\uparrow\rangle, E = J$

$J = 0$

$$H = h(\sigma_x^1 + \sigma_x^2)$$

eig states: $|\rightarrow\rightarrow\rangle, E = -2h$

$|\rightarrow\leftarrow\rangle$

$|\leftarrow\rightarrow\rangle, E = 0$

$|\leftarrow\leftarrow\rangle, E = 2h$





$$|\epsilon\rangle\langle\epsilon|, E=2h$$

Goal: • Find eigenstates/energies for any J, h

- Find some properties of GS



Expectation values

$$\langle \sigma_0^z \otimes \text{Id}_1 + \text{Id}_0 \otimes \sigma_1^z \rangle : \text{tendency}$$



1

/

2





Expectation values

- $\langle \sigma_0^z \otimes \text{Id}_1 + \text{Id}_0 \otimes \sigma_1^z \rangle$: tendency of spins to point along z in a particular direction
 $\langle \sigma_0^z + \sigma_1^z \rangle$

eg $|\psi\rangle = |\uparrow\uparrow\rangle$

$$\langle \sigma_0^z + \sigma_1^z \rangle = 2$$

$$|\psi\rangle = |\uparrow\downarrow\rangle \quad \langle \sigma_0^z + \sigma_1^z \rangle = 0$$

- $\langle \sigma_0^z \otimes \sigma_1^z \rangle$: measures tendency of





$$\langle \sigma_0^z + \sigma_1^z \rangle = 1$$

$$|\psi\rangle = |\uparrow\downarrow\rangle \quad \langle \sigma_0^z + \sigma_1^z \rangle = 0$$

- $\langle \sigma_0^z \otimes \sigma_1^z \rangle$: measures tendency of the two spins to point // along z

$$\begin{array}{ll} |\uparrow\uparrow\rangle \rightarrow 1 & \left\{ \begin{array}{l} |\uparrow\downarrow\rangle \\ |\downarrow\uparrow\rangle \end{array} \right. \rightarrow -1 \\ |\downarrow\downarrow\rangle \rightarrow 1 & \end{array}$$

$$\langle \sigma_A \sigma_B \rangle \rightarrow \text{"Correlation function"}$$





$\langle \sigma_A \sigma_B \rangle \rightarrow$ "Correlation function"

$$\bullet \langle \sigma_0^x \otimes Id_1 + Id_0 \otimes \sigma_1^x \rangle / 2$$

$$\bullet \langle \sigma_0^x \otimes \sigma_1^x \rangle$$

One more comment

H has two parameters: J, h

But

M





One more comment

H has two parameters: J, h

But M , eig vals λ_i , vects v_i

$\uparrow$
 aM , eig vals $a\lambda_i$, vects v_i
 something

So H really only has one
 parameter: $\frac{h}{J}$





So H really only has one parameter: $\frac{h}{J} \equiv g$

Important: if you have to numerically solve for every parameter pt, one parameter is much better than two!!

$$\frac{H}{J} = -(\sigma_z^1 \otimes \sigma_z^2) - g(\sigma_x^1 \otimes Id_2 + Id_2 \otimes \sigma_x^2)$$





Aaron Szasz

Comments on results

$$\langle \sigma_0^z \otimes I_1 + I_0 \otimes \sigma_1^z \rangle$$

. Why is it 0?

. Why does it jump?

$g=0$: eigstates:

$ \uparrow\uparrow\rangle$	-1
$ \downarrow\downarrow\rangle$	-1
$ \uparrow\downarrow\rangle$	1
$ \downarrow\uparrow\rangle$	



3

/

4





Why does it jump?

$g=0$: eigstates:

$ \uparrow\uparrow\rangle$	-1
$ \downarrow\downarrow\rangle$	-1
$ \uparrow\downarrow\rangle$	1
$ \downarrow\uparrow\rangle$	1

GS: $|\psi\rangle = a|\uparrow\uparrow\rangle + b|\downarrow\downarrow\rangle$

Calculate expc. val:

$$(\sigma_0^z + \sigma_1^z) |\psi\rangle =$$

$$(a|\uparrow\uparrow\rangle - b|\downarrow\downarrow\rangle) +$$



Aaron Szasz



$$\frac{(\sigma_0^z + \sigma_1^z)}{2} |\psi\rangle =$$

$$(a|\uparrow\uparrow\rangle - b|\downarrow\downarrow\rangle) + (a|\uparrow\uparrow\rangle + b|\downarrow\downarrow\rangle)$$

$$\langle\psi| \frac{(\sigma_0^z + \sigma_1^z)}{2} |\psi\rangle$$

$$= \cancel{2} (|a|^2 - |b|^2)$$

Python semi-randomly picks a, b

$g \neq 0$ value is kind-of meaningless

$g \neq 0$ Eigenstates





$g \neq 0$

Eigenstates respect symmetries
of H

All spins: $|\downarrow\rangle \leftrightarrow |\uparrow\rangle$

matrix for H doesn't
change

$\Rightarrow$ ground state has
 $|\uparrow\rangle$ and $|\downarrow\rangle$ parts,
but they're equal

For c .



Aaron Szasz



4

/

5





For comparison:

$$\langle \sigma_0^z \otimes \sigma_1^z \rangle,$$



Cancellation doesn't happen:

$$\langle \uparrow\uparrow | \sigma_0^z \otimes \sigma_1^z | \uparrow\uparrow \rangle$$

$$= \langle \uparrow\uparrow | \sigma_0^z \otimes \sigma_1^z | \uparrow\uparrow \rangle = 1$$

Gets around



Aaron Szasz



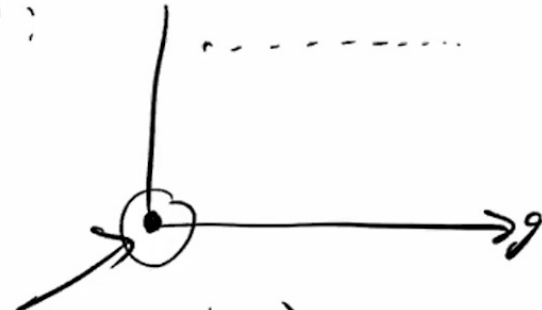


Gets around symmetry problem;
better measure of tendency
of spins to point along $\hat{z}$





$\langle \sigma_x \otimes \sigma_x \rangle$:



picks $|\uparrow\uparrow\rangle$

$$(\sigma_x \otimes \sigma_x) |\uparrow\uparrow\rangle = |\downarrow\downarrow\rangle$$

$$\langle \downarrow\downarrow | \uparrow\uparrow \rangle = 0$$

but if GS is $|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle$





$\langle \sigma_x \otimes \sigma_x \rangle :$

if $\frac{|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle}{\sqrt{2}}$

why is

if $|\uparrow\uparrow\rangle, |\downarrow\downarrow\rangle$

picks $|\uparrow\uparrow\rangle$

$$(\sigma_x \otimes \sigma_x) |\uparrow\uparrow\rangle = |\downarrow\downarrow\rangle$$

$$\langle \downarrow\downarrow | \uparrow\uparrow \rangle = 0$$

but if GS is $\frac{|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle}{\sqrt{2}}$

equal

$$(\sigma_x \otimes \sigma_x) \left| \frac{|\uparrow\uparrow\rangle + |\downarrow\downarrow\rangle}{\sqrt{2}} \right\rangle = \frac{|\downarrow\downarrow\rangle + |\uparrow\uparrow\rangle}{\sqrt{2}}$$



$$(\sigma_0^z \otimes \sigma_1^z) | \uparrow \uparrow \rangle = \frac{(\uparrow \uparrow) + (\uparrow \uparrow)}{\sqrt{2}}$$

Constant? because it's a conserved quantity:

$$[H, \sigma_0^x \otimes \sigma_1^x] = 0$$

flips both spins

but $H = -J(\underbrace{\sigma_0^z \otimes \sigma_1^z}) - h(\sigma_0^x + \sigma_1^x)$

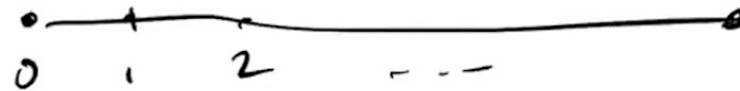
$$\begin{pmatrix} 1 & & \\ & -1 & \\ & & -1 \end{pmatrix} \otimes \begin{pmatrix} 1 & & \\ & -1 & \\ & & -1 \end{pmatrix}$$





N-site Ising model

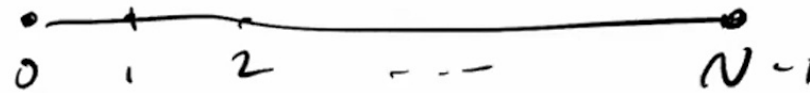
$$\frac{H}{J} = - \sum_i \sigma_i^z \sigma_{i+1}^z - g \sum_i \sigma_i^x$$



Aaron Szasz



$$\frac{H}{J} = - \sum_i \sigma_i^z \sigma_{i+1}^z - g \sum_i \sigma_i^x$$



$\sigma_0^z \sigma_1^z$ means $\sigma_0^z \otimes \sigma_1^z \otimes Id_2 \otimes \dots \otimes Id_{N-1}$
 σ_2^x means $Id_0 \otimes Id_1 \otimes \sigma_2^x \otimes Id_3 \otimes \dots \otimes Id_{N-1}$



σ_z^n means $Id_0 \otimes Id_1 \otimes \sigma_z^x \otimes Id_3 \otimes \dots \otimes Id_{n-1}$

- Steps:
- ① Choose a basis
 - ② Find matrix for H
 - ③ Find G s
 - ④ Calculate stuff (eg $\langle \sigma_0^z \otimes \dots \otimes \sigma_{n-1}^z \rangle$)

① N sites $\rightarrow 2^N$ basis st



① N sites $\rightarrow 2^N$ basis states

$$\begin{aligned}
 0 \rightarrow \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} &= |\uparrow \uparrow \dots \uparrow\rangle \\
 2^N - 1 \rightarrow \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix} &= |\uparrow \uparrow \dots \downarrow\rangle
 \end{aligned}$$





Aaron Szasz

Index 2: $|\uparrow\uparrow \dots \uparrow\downarrow\uparrow\rangle$

Index 3: $|\uparrow\uparrow \dots \uparrow\downarrow\downarrow\rangle$

Index 4: $|\uparrow\uparrow \dots \downarrow\uparrow\uparrow\rangle \dots$

Need: function: Index $\mapsto$ spin list



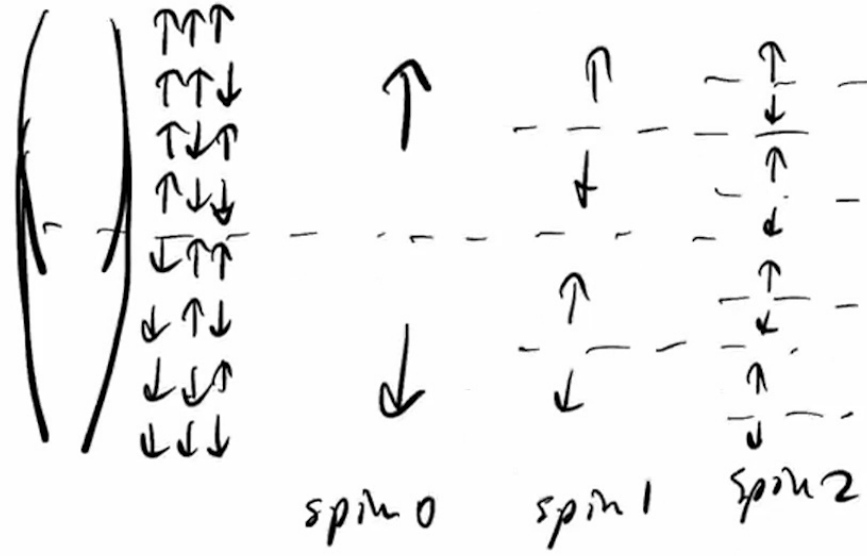
6 / 8

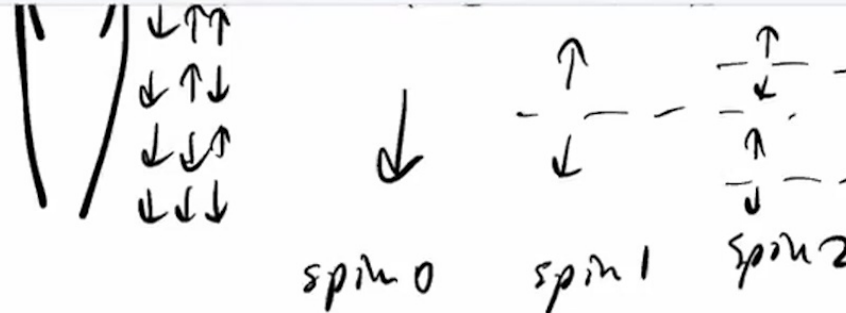




Need: function: index $\rightarrow$ spin list

$N=3$





spin 0: if index is in 1st half, $\uparrow$
2nd half, $\downarrow$

index $\div 2^{N-1}$ throw away remainder
 $\underbrace{\quad}_4$
1st half: index $< 2^{N-1}$
 $\Rightarrow 0$
 2nd.



1st half, $\uparrow$
2nd half, $\downarrow$

index $\div 2^{N-1}$
4 throw away remainder

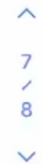
1st half: index $< 2^{N-1}$
 $\Rightarrow 0 \Rightarrow \uparrow$

2nd half: $\Rightarrow 1 \Rightarrow \downarrow$

spin = index $\div 2^{N-1}$

$\uparrow$ divide & throw away remainder

spin 1: index $\div 2^{N-2}$
1st $\frac{1}{4}$: 0
 2^N



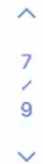


3rd : 2 ↑
4th : 3 ↓

want: $\frac{0}{2} \rightarrow 0$
 $\frac{1}{3} \rightarrow 1$ } we can do this using mod 2

$(\text{index} // 2^{N-2}) \% 2 : \begin{matrix} \% 2 \\ 0 \Rightarrow 1^{\uparrow} \\ 1 \Rightarrow 1^{\downarrow} \end{matrix}$

spin 3 : $(\text{index} // 2^{N-3}) \% 2$





$$\text{spin } 3 : (\text{index} // 2^{N-3}) \% 2$$

etc

overall:

index
↓

$$[\text{index} // 2^{N-1}, (\text{index} // 2^{N-2}) \% 2, \dots, \text{index} \% 2]$$

↑
function





overall:

index



$$\left[\text{index} // 2^{N-1}, (\text{index} // 2^{N-2}) \% 2, \dots, \text{index} \% 2 \right]$$



function: $\text{d2b} \approx$ "decimal to binary"



Aaron Szasz





Aaron Szasz

$$\left[\text{index} // 2^{N-1}, (\text{index} // 2^{N-2}) \% 2, \dots, \text{index} \% 2 \right]$$

function: d2b $\rightarrow$ "decimal to binary"

Reverse: b2d

$$\begin{array}{cccc} \uparrow & \downarrow & \downarrow & \downarrow \\ [0, 1, 1, 0] \\ \downarrow & \downarrow & \downarrow & \downarrow \\ 2^3 \cdot 0 + 2^2 \cdot 1 + 2^1 \cdot 1 + 0 = 6 \end{array}$$



② Find H

Method 1) Kronecker product

$$\sigma_0^x \otimes Id, \quad \begin{pmatrix} 0 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & 1 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \\ 1 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & 0 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \end{pmatrix} = \begin{pmatrix} & 1 \\ 1 & \end{pmatrix}$$

σ_0





$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\sigma_0^x \otimes \sigma_1^z \otimes \sigma_2^x$$

$$\begin{aligned} & (\sigma_0^x \otimes \sigma_1^z) \otimes \sigma_2^x \\ &= \sigma_0^x \otimes (\sigma_1^z \otimes \sigma_2^x) \end{aligned}$$

$$\begin{pmatrix} 0 & \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}$$



$$\left(\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad 0 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right) / \left(\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right)$$



Aaron Szasz

$$\sigma_0^x \otimes \sigma_1^z \otimes \sigma_2^x$$

$$\begin{aligned} & (\sigma_0^x \otimes \sigma_1^z) \otimes \sigma_2^x \\ &= \sigma_0^x \otimes (\sigma_1^z \otimes \sigma_2^x) \end{aligned}$$

$$\begin{pmatrix} 0 & \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}$$

$$\begin{pmatrix} 0 & \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \\ \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} & 0 \end{pmatrix}$$



Aaron Szasz

Ex from H:

$$\sigma_0^z \otimes \sigma_1^z \otimes \text{Id}_2 \otimes \dots \otimes \text{Id}_N,$$

- make a list of operators

$$[\sigma^z, \sigma^z, \text{Id}, \text{Id}, \dots, \text{Id}]$$

$$[\sigma^z, \sigma^z] + [\text{Id}] * (N-2)$$

- repeated Kronecker



Aaron Szasz

$$\sigma_0^z \otimes \sigma_1^z \otimes \text{Id} \otimes \dots \otimes \text{Id}_N,$$

- make a list of operators

$$\text{ops} = [\sigma^z, \sigma^z, \text{Id}, \text{Id}, \dots, \text{Id}]$$

$$[\sigma^z, \sigma^z] + [\text{Id}] * (N-2)$$

- repeated kronecker product

$$\text{mat} = \text{ops}[0]$$

$$\text{for } \text{op} \text{ in } \text{ops}[1:]:$$

$$\text{mat} = \text{np.kron}(\text{mat}, \text{op})$$





Aaron Szasz

Next time: method 2 $\rightarrow$ "column-by-column"

index of basis state $\rightarrow$ spin list
 $\downarrow$ apply term
 vector representation $\leftarrow$ (spin list, coeff)
 $=$ col of matrix

method 3: use the fact that the
 $\sum_i \sigma_i^z \sigma_{i+1}^z$ term is
 diagonal



9

/

11

