

Title: Noncommutative Zhu algebra and quantum field theory in four and three dimensions

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Abstract: For any vertex operator algebra  $V$ , Y. Zhu constructed an associative algebra  $Zhu(V)$  that captures its representation theory (more generally, given a finite order automorphism  $g$  of  $V$ , there exists an algebra  $Zhu_g(V)$  that captures  $g$ -twisted representation theory of  $V$ ).&nbsp;

To a 4d  $N=2$  superconformal theory  $T$ , one assigns a vertex algebra  $V[T]$  by the construction of Beem et al. We explain one role of Zhu algebra in this context. Namely, we show that a certain quotient of the Zhu algebra describes what happens to the Schur sector of the theory  $T$  under the dimensional reduction on  $S^1$ . This connects the VOA construction in 4d  $N=2$  SCFT to the topological quantum mechanics construction in 3d  $N=4$  SCFT, with the latter being given by the aforementioned quotient of the Zhu algebra. In the process, we will discuss how to reformulate the VOA construction on an  $S^3 \times S^1$  geometry.

# Noncommutative Zhu algebra and SCFT

(based on M.D.'19, M.D.-Y.Wang'19, & M.D.-MFluder'19)

Q: Is  
3) Nonch

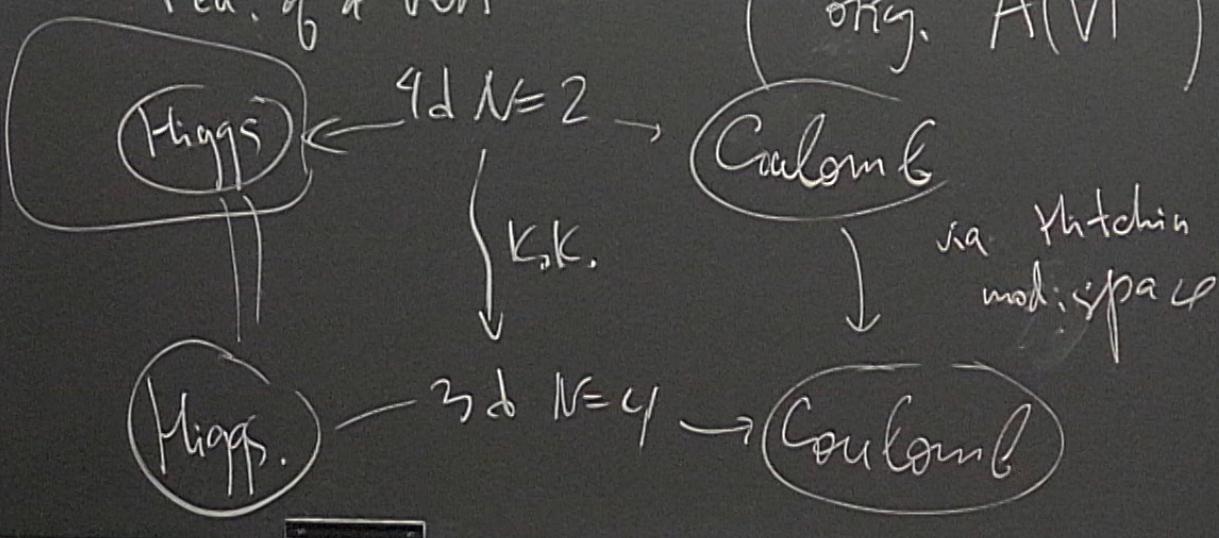
Intro Two algebraic structures

1) BLLPRvR ('13)  $4_d$   $N=2$

2)  $\begin{cases} \text{CPV ('14)} \\ \text{BPR ('16)} \end{cases}$  SCFT  $\supset$  VOA  
1 TQM  $\subset$   $3_d$   
(i.e. assoc. alg.)  $N=4$   
+ trace SCFT

ra | Q: Is there a relation? A: Yes, via:

3) Yongchang Zhu ('96): VOA  $\rightarrow$   
 "describes dim. red. of a VOA"  $\rightarrow$  assoc. alg. Zhu(V)  
 (orig. A(V))



M.Felder '19

=2

VOA

3d  
 $N=4$

SCFT

## Part 1. SCFT on $S^3 \times S^1$ ( $N=2$ )

SCFT on  $\mathbb{R}^4$   $\xrightarrow{\text{wyl}}$   $S^3 \times \mathbb{R}$

$$\begin{aligned} ds^2_{\mathbb{R}^4} &= dr^2 + r^2 d\Omega^2 \\ &= e^{2\phi/\ell} (dr^2 + \ell^2 d\Omega^2) \\ r &= \ell e^{\phi/\ell} \end{aligned}$$

$$ds^2_{S^3 \times \mathbb{R}} = dy^2 + \ell^2 d\Omega^2$$

$N=2$ )

$\times R$

$S^2 + \ell^2 \Omega^2$

$$O_{\text{lat}} = \left(e^{\frac{y}{2\ell}}\right)^{-E} O_{\text{cyl}}$$

$E = \text{conf. dim.}$

Conf. Kill. sp.  $\xi = \epsilon + i \not{x} \eta$  In Lagr.  
case  
see [MD,  
Fluder 19]

$$\bar{\xi} = \bar{\epsilon} + i \not{x} \bar{\eta}$$

$\delta$  of them  $(\epsilon, \bar{\epsilon}) \sim e^{-y/2\ell}$

$\delta$  of them  $(\eta, \bar{\eta}) \sim e^{y/2\ell}$

Let's "close R":

$$y \sim y + \beta l$$

Preserve

Because of (+): this breaks SUSY.

Solution: for each variable  $f$

$$f(y + \beta l) = e^{-\beta R} f(y)$$

$R$  - gener. of  $U(1)_R \subset SU(2)_L$

breaks SUSY.  
variable  $\mathcal{F}$

$\mathcal{F}(y)$

ener. of  $U(1)_R \subset SU(2)_R$

Preserves 8 superch., commuting  
w/  $H - R$ .

$$\mathcal{S} \subset \overbrace{SU(2,2|2)}^{\text{dilat. gen.}}$$
$$\mathcal{S} = \underbrace{SU(2|1) \oplus SU(2|1)}_{\text{centr. ext. by } H - R}$$

Q: This is the b.g. that  
computes the Schur index.

Ric: This is compatible w/ Beem et al.

i.e.,  $\mathbb{Q}_1, \mathbb{Q}_2 \in \mathcal{S}$

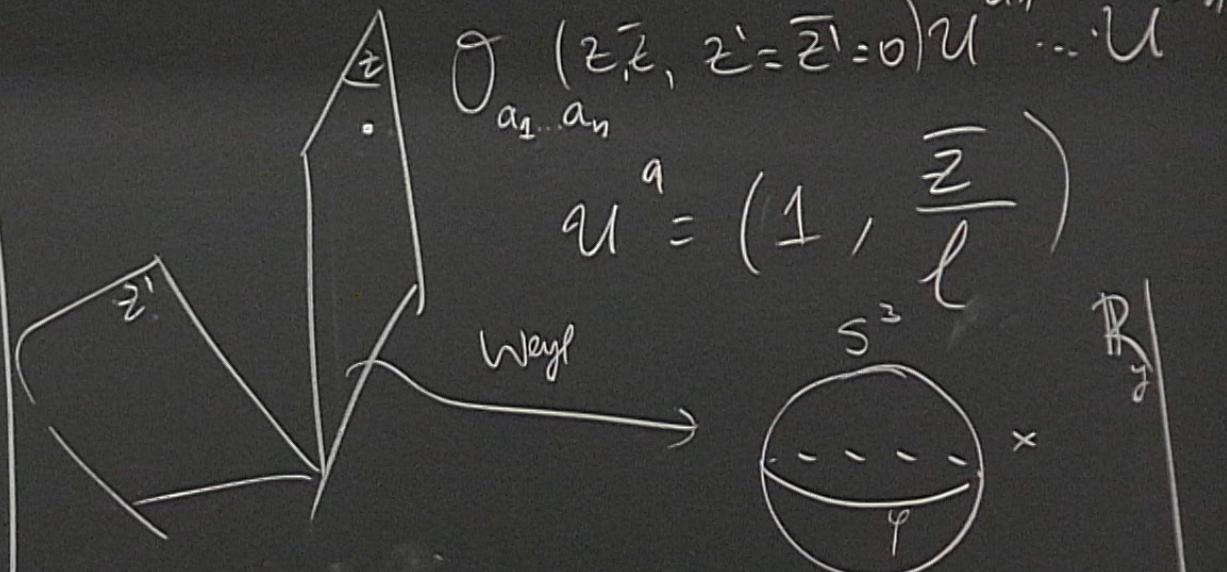
Rb:  $(-1)^{2(R+r)}$  preserves  $\mathbb{Q}_1 \& \mathbb{Q}_2$

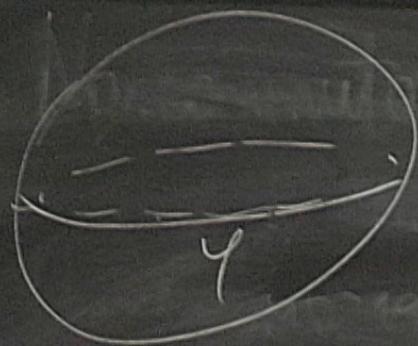
$$\begin{aligned} h &= R + j_1 + j_2 \\ &= R + r + 2j_2 \end{aligned}$$

w/ Beem et.al.

$$h = R + j_1 + j_2 \\ = R + r + 2j_2$$

Chiral alg.





$R_y$

~~also add~~

Close

$O_{cyl}(w, \bar{w})$

$z$  plane maps to  $S^1_\varphi \times \mathbb{R}_y$

$$O_{cyl}(w, \bar{w}) = O(w, w', \theta = \frac{\pi i}{2}) u^{a_1} \dots u^{a_n}$$

$$u = (e^{i\bar{w}/2\ell}, e^{-i\bar{w}/2\ell})$$

$$O_{\text{start}} = \left(e^{\frac{y}{2\ell}}\right)^{-E} O_{\text{cyl}}$$

$E$  = conf. dim.

Cond. Kill. sp.  $\xi = \epsilon + i \propto \eta$  In Lagr.  
 $\bar{\xi} = \bar{\epsilon} + i \propto \bar{\eta}$  case

(\*) 8 of them  $(\epsilon, \bar{\epsilon}) \sim e^{-y/2\ell}$  sep [MD,  
 $\delta$  of them  $(\eta, \bar{\eta}) \sim e^{y/2\ell}$  Flunder ip]

$\Rightarrow$  VOA on  $\mathbb{T}^2 = S^1_\varphi \times S^1_y$   
w/ NS-R spin str- re.

Rk: 1) Turn on  $(-1)^{R(R+r)}$  along  $S^1_y$

$\Rightarrow$  flips  $R \rightarrow NS$ .

2) Can add  $(-1)^{R(R+r)}$  defect

$\Rightarrow$  flips  $NS \rightarrow R$

$O_{\text{grav}}$

Cont. 1

(\*) 8 of

## Part 2. Dimensional reduction.

- re.

$S^1_y$

Problem K.K. reduction in flat

space Breaks conf. symm.

On  $S^3 \times S^1 \subset \text{flat}$ , study  $\beta \rightarrow 0$ .

Properties: 1) In Lagr. case,  $p \rightarrow 0$  gives  
the standard  $S^3$  background.

Preserv

$$\begin{array}{ccc} \mathbb{R}^4 & \xrightarrow{u:} & S^3 \times \mathbb{R} \\ \downarrow & & \downarrow \\ \mathbb{R}^3 \times S^1 & & S^3 \times S^1 \\ \downarrow (k, k) & & \downarrow (k, k) \\ \mathbb{R}^3 & \xrightarrow{w} & S^3 \end{array}$$



gives

2)  $\beta \rightarrow 0 \rightarrow$  divergent. Cardy behavior.

background,

$$\log Z(S^3 \times S^1) \sim \frac{8\pi^2}{\beta} (c_{q_d} - a_{q_d}), c_q > a_q$$

This can be removed by the E.-H. counterterm.

3) Problematic if  $c_{q_d} \leq a_{q_d}$

Only consider  $c_{q_d} > a_{q_d}$ .

4) Lines on  $S^1_\beta$  can "lift"

some Schur op's in  $\beta \rightarrow D$ .

$\sigma$  - local 4d op.,  $\in H(D)$ .

$L_1, L_2$  - lines.

$L_2 = \square L_1$

Chiral

"lift"

$s$  in  $B \rightarrow D$ .

$\in H^*(\mathbb{Q})$

$\sqsubset L_1$

5) As vector spaces.

$$H_{3d}^{\text{Schur}} \subset H_{4d}^{\text{Schur}}$$

Subspace of scalar op's.  
(a.k.a. Higgs).

Algebraic structures?

Captured by correlators!

Part 3. Zhu algebra

Intuitively: VOA  $V$  on:

$$\beta \langle \rangle \quad x \quad x \quad x \quad ; \quad \beta \rightarrow 0$$

close  $R$   
cycl  
 $(w, \bar{w})$

$\deg(a) - 1$

## Part 2. Dimensional reduction.

Problem K.K. reduction in flat  
space breaks conf. symm.

On  $S^3 \times S^1 \leftarrow \beta l$ , study  $\beta \rightarrow 0$ .

$$o(a) \circ o(b) = o(a * b), \quad * : V \otimes V \rightarrow V$$

$$\mathcal{O}(V) =$$

$$u, v \in V,$$

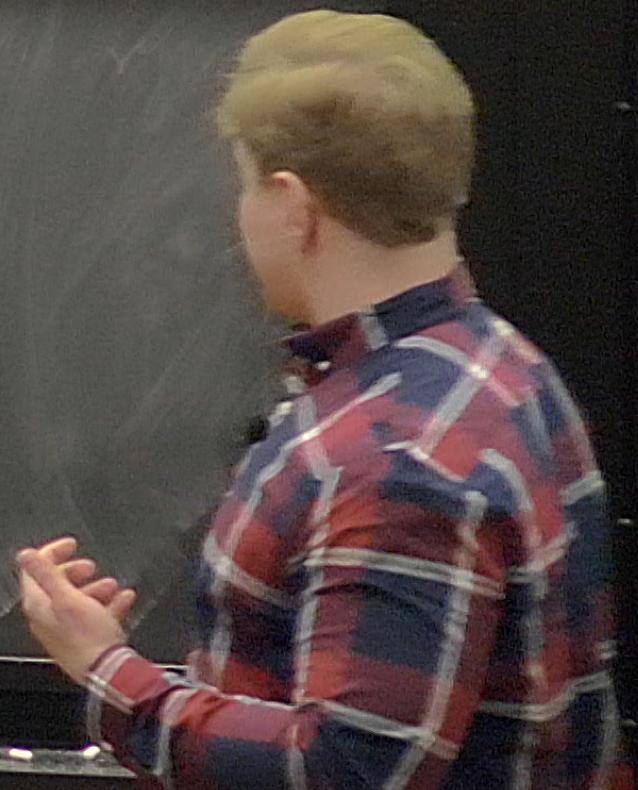
$$u \circ v = \text{Res}_z \frac{(1 + hz)^{\deg(u)}}{z^2} Y(u, z)v$$

$$u * v = \text{Res}_z \frac{(1 + hz)^{\deg(u)}}{z} Y(u, z)v$$

$V \otimes V \rightarrow V$

$\mathcal{O}(V) = V \circ V$  - two-sided ideal  
for  $*$ .

$Zhu(V) = \bigvee \mathcal{O}(V)$   
assoc. alg. w.r.t.  $*$ .



$V \otimes V \rightarrow V$

$\mathcal{O}(V) = V \circ V$  - two-sided ideal  
for  $*$ .

$Zhu(V) = V / \mathcal{O}(V)$

assoc. alg. wrt.  $*$ .

$V$ -modules  $\xrightarrow{1-1}$   $Zhu(V)$ -modules.

Part 4 "T $\rightarrow$ 0" (high-temperature)  
limit of torus correlators.

$$\langle \theta_1(w_1) \dots \theta_n(w_n) \rangle = \frac{\text{Tr}_M q^{\frac{L_0 - c}{24}} \theta_1(w_1) \dots \theta_n(w_n)}{\text{Tr}_M q^{\frac{L_0 - c}{24}}} \quad \text{This}$$

$M = \sqrt{\text{var. module.}}$

$$q = e^{2\pi i \tau}$$

5) As vector spaces.

$$H_{3d}^{\text{Schur}} \subset H_{4d}^{\text{Schur}}$$

subspace of scalar op's.  
(a.k.a. Higgs).

$$\tilde{\tau}^{-\sum h_i S_i} \tilde{\tau}^{\Delta_{\min} - c/24} \times \text{Tr}_{M_j^{(0)} \hat{O}_1 \hat{N}_1 \dots \hat{N}_n \hat{O}_n}$$

$$M = \sqrt{\text{var. module.}} \text{Tr} q^{-\infty} \tau$$

$$q = e^{2\pi i \tau}$$

$$\tau = -\bar{\tau}, w_i = -\bar{\tau}^{(i)}$$

$$q = e^{\frac{2\pi i}{\tau}}$$

$$\tau \rightarrow 0$$

$$\times \text{Tr}_{M_{j_{\min}}^{(0)}} \hat{O}_1 \hat{O}_2 \dots \hat{O}_n$$

$M_{j_{\min}}^{(0)} \leftarrow$  lowest  $L_0$  eigensp. of  $M_{j_{\min}}$

$$a \in V, [a] \in \mathbb{Z}\text{hu}(V)$$

(homogen. cl.)

$$\deg(a) \text{Tr}_{M_{j_{\min}}^{(0)}} o(a)$$

$$[a] \mapsto \hbar \frac{\text{Tr}_{M_{j_{\min}}^{(0)}} 1}{\text{Tr}_{M_{j_{\min}}^{(0)}} 1}$$

$$T: \mathbb{Z}\text{hu}(V) \rightarrow \mathbb{C}[[\hbar]]$$

$$T(\alpha\beta) = B_T(\alpha, \beta)$$

$$N = \ker B_T$$

$$[t] - \# \cdot \frac{t^2}{h}$$

$$A_H = \frac{\mathcal{Z}_{\text{mu}}(V)}{N}$$

$$j_1 = j_2 = \frac{1}{2}$$

$$h - \sum_i j_i + j_1 - j_2 = 2$$

$$(A_H, T_s)$$

zero modes  
with mult  
 $a \in V$

$\mathcal{O}(a)$

Example affine VOA  $V_k(g)$ .

$$j_A = [j_A(z)].$$

$$j_A * j_B = (j_A)B + i\hbar f_{AB}^{\phantom{AB}} j_C$$

$$t = \frac{1}{\psi^2(k+h)} \sum_A (j_A)A$$

$$T(t) = \frac{t^2}{\hbar} \cdot \Delta_{\min}, \quad t - \frac{t^2}{\hbar \Delta_{\min}} \in \mathbb{N}$$

$$j_A + j_B = j_A j_B + \frac{i\hbar}{2} f_{AB}^C j_C + \frac{\hbar^2}{4} \mu \psi \delta_{AB}$$

$$\mu = \frac{4(k+h) \Delta_{\min}}{\dim g}$$

$$\frac{1}{4} \mu \psi^2 \delta_{AB}$$

$$(A_1, D_{2n+1}) \text{ A.D.}$$



$$3d: T(SU(2)) \otimes \left( \begin{array}{c} n-1 \text{ free} \\ \text{twisted} \\ \text{hypers} \end{array} \right)$$

$$S(QED, N=2)$$

$$\frac{1}{4} \mu \psi^2 S_{AB}$$

$$(A_1, D_{2n+1}) \quad A.D.$$

$$\begin{array}{c} SU(2)_R \times U(1)_Y \\ \downarrow \\ SU(2)_H \times SU(2)_C \end{array}$$

$$3d: T(SU(2)) \otimes \left( \begin{array}{c} n-1 \text{ free} \\ \text{twisted} \\ \text{hypers} \end{array} \right) -$$

SQED,  $N=2$

$$\frac{e^2}{4} \mu \psi^2 S_{AB}$$

$$(A_1, D_{2n+1}) \quad A.D.$$

$$SU(2)_R \times U(1)_c$$

$$SU(2)_H \times SU(2)_C$$

$$3d: T(SU(2)) \otimes \left( \begin{array}{c} n-1 \text{ free} \\ \text{twisted} \\ \text{hypers} \end{array} \right)$$

SQED,  $N=2$

Open questions

- 1)  $C_{q_d} \leq a_{q_d}$
- 2) Add surf. defects.
- 3) Free field realizations.
- 4) AdS dual?