

Title: Quantum Field Theory for Cosmology - Lecture 10

Speakers: Achim Kempf

Collection: Quantum Field Theory for Cosmology (Kempf)

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# QFT for Cosmology, Achim Kempf, Lecture 10

to Title

## Recall:

- \* Hamiltonian formulations are suitable for quantisation.
- \* Lagrangian formulations are suitable to achieve general relativistic covariance.

(because the Lagrangian framework treats space and time in the same way)

## Strategy:

SR, 1<sup>st</sup> Q  
Hamiltonian  
formalism

step 1  
Legendre transform  
(equivalence)

SR, 1<sup>st</sup> Q.  
Lagrangian  
formalism

GR, 1<sup>st</sup> Q  
Hamiltonian

step 3  
Legendre transform  
(renormalized)

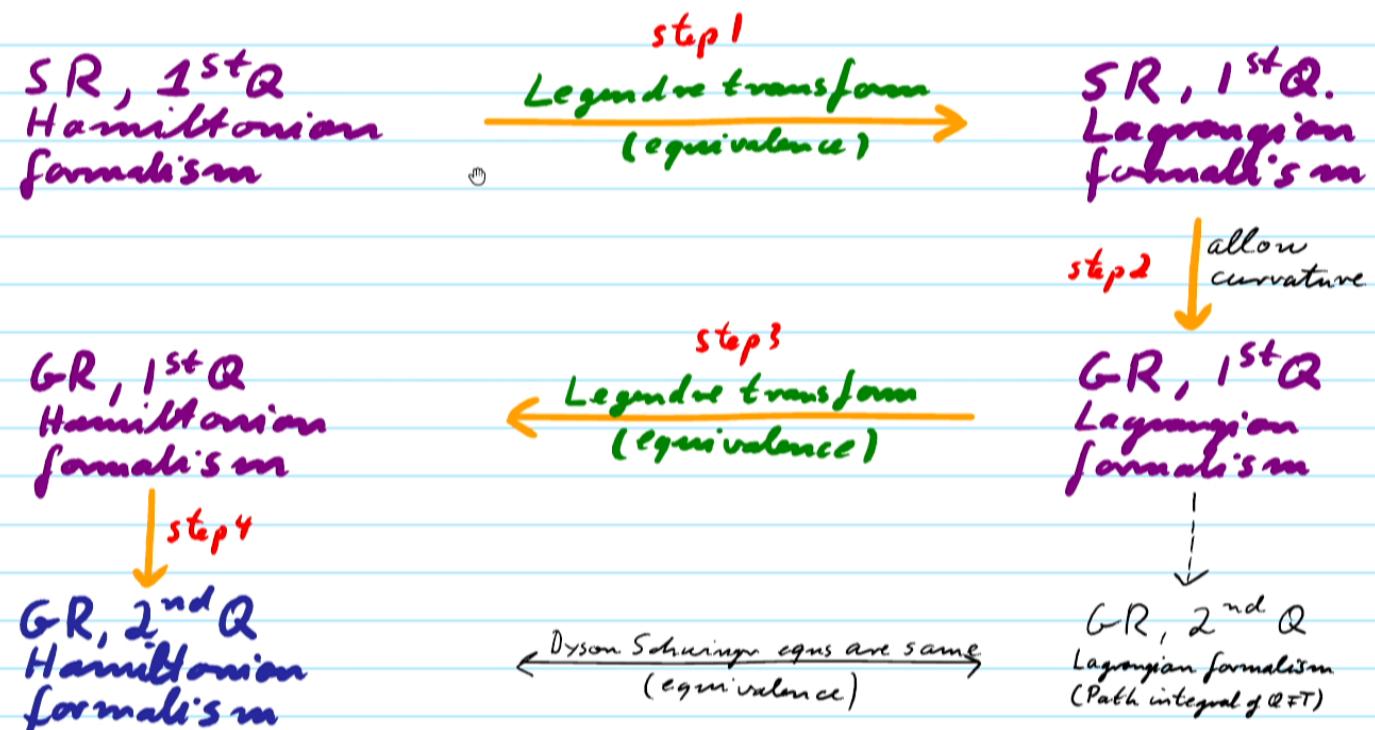
step 2  
allow curvature  
↓  
GR, 1<sup>st</sup> Q  
Lagrangian

## Recall:

- \* Hamiltonian formulations are suitable for quantization.
- \* Lagrangian formulations are suitable to achieve general relativistic covariance.

( framework treats space and time in the same way )

## Strategy:



We already started step 1:

$$H[\phi, \pi, t]$$

$$\beta(x, t) := \frac{\delta H}{\delta \pi(x, t)} \quad (T)$$

$$L[\phi, \beta, t]$$

$$\pi(x, t) := \frac{\delta L}{\delta \beta(x, t)} \quad (T^{-1})$$

Proposition: These equations of motion are equivalent:

Hamiltonian eqns. of motion:

$$\dot{\phi}(x, t) = \frac{\delta H[\phi, \pi, t]}{\delta \pi(x, t)} \quad (H1)$$

$$\therefore \dot{\phi} = \frac{\delta H[\phi, \pi, t]}{\delta \pi(x, t)} \quad (\dots)$$

Lagrangian eqns. of motion:

$$\dot{\phi}(x, t) = \beta(x, t) \quad (L1)$$

$$\therefore \dot{\phi} = \beta(x, t) \quad (\dots)$$

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Lagrangian eqns. of motion:

$$\dot{\phi}(x, t) = \beta(x, t) \quad (L1)$$

$$\therefore \dot{\phi} = \frac{\delta L}{\delta \pi}$$

The case " $\Rightarrow$ "

□ Show L1:  $\dot{\phi} \stackrel{(H1)}{=} \frac{\delta H}{\delta \pi} \stackrel{(T)}{=} \beta \checkmark$

□ Show L2:

$$\frac{d}{dt} \frac{\delta L(\phi, \beta, t)}{\delta \beta} \stackrel{(T^{-1})}{=} \frac{d}{dt} \pi$$

$$\stackrel{(H2)}{=} -\frac{\delta H(\phi, \pi, t)}{\delta \phi}$$

$$\begin{aligned} & \stackrel{\text{by def.}}{=} -\frac{\delta}{\delta \phi} \left( \int \beta(\phi, \pi) \pi d^3x - L(\phi, \beta(\phi, \pi), t) \right) \\ & = -\frac{\delta \beta}{\delta \phi} \pi + \frac{\delta L}{\delta \phi} + \frac{\delta L}{\delta \beta} \frac{\delta \beta}{\delta \phi} \checkmark \end{aligned}$$

## Result so far:

□ Legendre transform to Lagrangian formulation

⇒ Eqns of motion can be cast in the form  $L_1, L_2$ , i.e.:

(Notice: Only a time derivative,  
no occurrence of space derivatives?)



$$\frac{\delta L}{\delta \dot{\phi}(x,t)} = \frac{d}{dt} \frac{\delta L}{\delta \dot{\phi}(x,t)}, \quad \dot{\phi}(x,t) = \dot{\phi}(x,t)$$

But: How is that advantageous? These equations still seem to treat time differently than space!

## Analysis of L1, L2:

We notice: \* The term  $\frac{\delta L}{\delta \phi(x,t)}$  is the total derivative with respect to all occurrences of  $\phi$  in  $L$ , including occurrences of  $\frac{\partial}{\partial x_i} \phi(x,t)$  in  $L$ .

\* Why? Because of the definition of  $\frac{\delta}{\delta \phi}$ :

$$\frac{\delta L}{\delta \phi(x,t)} = \lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} \left( L[\{\phi(x',t) + \varepsilon \delta^3(x' - x)\}_{x' \in \mathbb{R}^3}] - L[\{\phi(x',t)\}_{x' \in \mathbb{R}^3}] \right)$$

E.g.:  $F[u] := \int \sin(x) \left( \frac{d}{dx} u(x) \right) dx$  Is  $\frac{\delta F}{\delta u(x)} = 0$  ? No:

$$= - \int \cos(x) u(x) dx \quad (\text{We assume } u(x) \rightarrow 0 \text{ at boundaries})$$



$L_1, L_2$  will contain nontrivial  
time and space derivatives.

\* Is there a systematic way to evaluate the derivatives with respect to  $\frac{\partial \phi}{\partial x_i}$ ?

Lemma:

Consider any functional  $Z$  of the form:

$$Z[f] = \int \text{polynomial} \left( \frac{d}{dx} f \right) dx$$

Then:  $\frac{\delta Z}{\delta f(x)} = - \frac{d}{dx} \frac{\delta Z}{\delta (\frac{d}{dx} f)}$

On the right hand side  
we view  $\frac{d}{dx} f$  as an

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Example:

Notation:  $\partial_x f(x) = \frac{d}{dx} f(x)$



$$Z[f] := \int_{\mathbb{R}} (\partial_x f(x))^2 dx'$$



□ If we view  $\partial_x f$  as an independent function, then we obtain of course:

$$\frac{\delta Z[\partial_x f]}{\delta(\partial_x f(x))} = 2 \partial_x f(x)$$

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$$\delta Z[f] = \delta Z[\partial_x f] \dots$$

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↙

- Our lemma claims, therefore:

$$\frac{\delta z[f]}{\delta f(x)} = -\partial_x \frac{\delta z[\partial_x f]}{\delta(\partial_x f(x))} = -\partial_x \partial_x f(x)$$

Indeed:

$$\frac{\delta}{\delta f(x)} \mathcal{Z}[f] = \lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} \left[ \int_{\mathbb{R}} \left( \partial_{x'} (f(x') + \varepsilon \delta(x-x')) \right)^2 dx' - \int_{\mathbb{R}} (\partial_{x'} f(x'))^2 dx' \right]$$

$$= \lim_{\varepsilon \rightarrow 0} 2 \int_{\mathbb{R}} (\partial_{x'} f(x')) (\partial_{x'} \delta(x-x')) dx'$$

$$= \underset{\text{parts}}{\int_{\mathbb{R}} (\partial_{x'}^2 f(x')) \delta(x-x') dx'} + \underset{\text{boundary term}}{\cancel{}}$$

$$= -2 \partial_x^2 f(x) \quad \checkmark$$

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Recall L2:

$$\frac{\delta L[\phi, \beta, t]}{\delta \phi(x,t)} = \frac{d}{dt} \frac{\delta L[\phi, \beta, t]}{\delta \dot{\phi}(x,t)}$$

Use lemma:

$$\frac{\delta L[\phi, \beta, t]}{\delta \phi(x,t)} = \frac{\delta L[\phi, \partial_1 \phi, \partial_2 \phi, \partial_3 \phi, \beta, t]}{\delta \phi(x,t)}$$

$$- \sum_{j=1}^3 \frac{\partial}{\partial x^j} \frac{\delta L[\phi, \partial_1 \phi, \partial_2 \phi, \partial_3 \phi, \beta, t]}{\delta (\partial_j \phi(x,t))}$$

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On the right hand side we view  $\frac{d}{dx} f$  as an independent function.

$$\delta \phi(x,t) = \sum_{j=1}^3 \partial x^j \delta (\partial_j \phi(x,t)) dt = \delta \beta(x,t)$$

Recall also L1:  $\beta(x,t) = \dot{\phi}(x,t)$

$\Rightarrow$  One is tempted to write:

$$\frac{\delta L[\phi, \partial_j \phi, t]}{\delta \phi(x,t)} \stackrel{?}{=} \sum_{\mu=0}^3 \partial_\mu \frac{\delta L[\phi, \partial_\mu \phi, t]}{\delta (\partial_\mu \phi(x,t))} \quad \text{with: } \partial_0 := \frac{d}{dt}$$

However:

Here, we must remember that here the true variable is  $\beta$ , and that we can set  $\beta = \dot{\phi}$  only after functional differentiation.

$$\frac{\delta L[\phi, \partial_i \phi, \beta t]}{\delta \phi(x,t)} - \sum_{j=1}^s \frac{\partial}{\partial x^j} \frac{\delta L[\phi, \partial_i \phi, \beta t]}{\delta (\partial_j \phi(x,t))} = \frac{d}{dt} \frac{\delta L[\phi, \partial_i \phi, \beta t]}{\delta \beta(x,t)}$$

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However:

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→ The "Action functional":

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□ Definition:  $S[\phi] := \int_{\mathbb{R}} L[\phi, t] dt$

$S[\phi]$  is called the "action of the field evolution  $\phi(x, t)$ "

□ Then, the "Lagrange field equations" are

□ Then, the "Euler Lagrange field equations" are

$$\frac{\delta S[\phi, \partial_\mu \phi]}{\delta \phi(x, t)} - \sum_{r=0}^3 \frac{\partial}{\partial x^r} \frac{\delta S[\phi, \partial_\mu \phi]}{\delta (\partial_r^\mu \phi)} = 0$$

or equivalently:

$$\delta S[\phi]$$

"The action"

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or equivalently:

$$\frac{\delta S[\phi]}{\delta \phi(x, t)} = 0$$

"The action principle"

$$S[\phi] := \frac{1}{2} \int_{\mathbb{R}^4} (\partial_0 \phi)^2 - \sum_{j=1}^3 (\partial_j \phi)^2 - m^2 \phi^2 d^4x$$

□ Using either the action principle or directly the Euler Lagrange field equations, one obtains

the Klein Gordon equation (Exercise: verify) :

$$\partial_0^2 \phi - \Delta \phi + m^2 \phi = 0, \text{ i.e., } (\square + m^2) \phi(x,t) = 0$$

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□ Definitions:

\* The action's integrand is called the "Lagrange density"  $\mathcal{L}(x, t)$ :

$$S[\phi] = \int_{\mathbb{R}^4} \mathcal{L}(x, t) d^4 x$$

\* One often formally writes:

E.g., equation (2) can be written as:

$$\frac{\partial L}{\partial \dot{\phi}} - \frac{\partial}{\partial x^\mu} \frac{\partial L}{\partial \dot{x}_\mu \phi} = 0$$

c.) One defines the metric tensor  $g_{\mu\nu}(x, t)$ .  
More about it soon. In special relativity in  
inertial rectangular coordinate system, we have:

$$g_{\mu\nu}(x, t) = \eta_{\mu\nu} := \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

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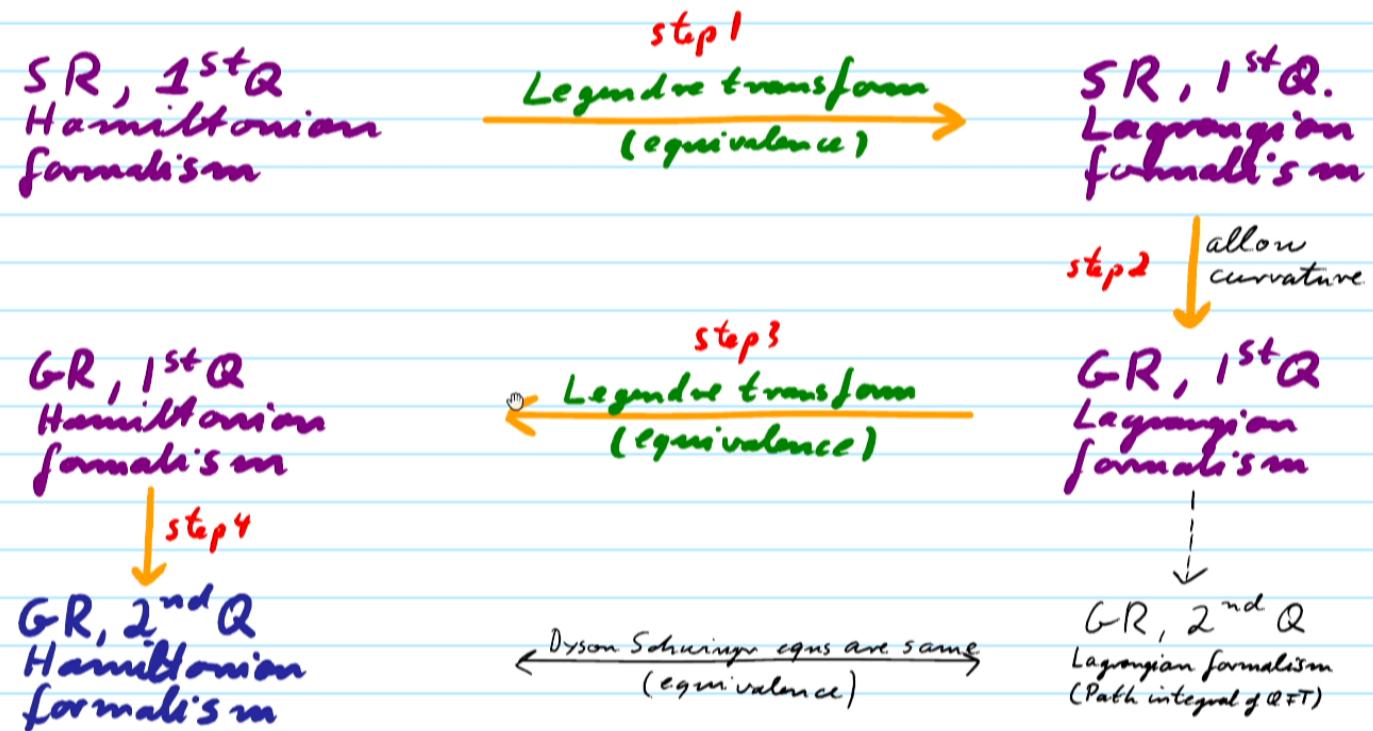
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□ Using these definitions, the K.G. action now reads:

$$S[\phi] = \frac{1}{2} \int_{\mathbb{R}^4} g^{\mu\nu} \phi_{,\mu} \phi_{,\nu} - m^2 \phi^2 d^4x$$

↑ the inverse metric to a ... In crucial

We have now completed Step 1:



Step 2: How to allow for curvature of space-time?

Strategy:

- A. Within special relativity, allow not just inertial rectangular coordinate systems but allow arbitrary coordinate systems.
- B. Allow arbitrary coordinate systems and allow curvature.

□ Reconsider the K.G. action:

$$S[\phi] = \frac{1}{2} \int_{\mathbb{R}^4} g^{\mu\nu} \phi_{,\mu} \phi_{,\nu} - m^2 \phi^2 d^4x$$

□ If we change to arbitrary coordinates

$$x^\mu \rightarrow \tilde{x}^\mu = \tilde{x}^\mu(x)$$

then:  $\phi(x) \rightarrow \tilde{\phi}(\tilde{x}) = \phi(x(\tilde{x}))$

(recall that  
 $\sum_{\nu=0}^3$  is implied)

$$\frac{\partial}{\partial x^\mu} \phi(x) \rightarrow \frac{\partial}{\partial \tilde{x}^\mu} \tilde{\phi}(\tilde{x}) = \left( \frac{\partial}{\partial x^\nu} \phi(x(\tilde{x})) \right) \frac{\partial x^\nu}{\partial \tilde{x}^\mu}$$

□ Therefore, if we transform

$$g^{\mu\nu}(x) \rightarrow \tilde{g}^{\mu\nu}(\tilde{x}) = \frac{\partial \tilde{x}^\mu}{\partial x^\alpha} \frac{\partial \tilde{x}^\nu}{\partial x^\beta} g^{\alpha\beta}(x(\tilde{x}))$$

then we have that this term in the action

$$g^{\mu\nu}(x) \phi_{,\mu}(x) \phi_{,\nu}(x)$$

is numerically the same in all coordinate systems:

$$g^{\mu\nu}(x) \left( \frac{\partial}{\partial x^\mu} \phi(x) \right) \left( \frac{\partial}{\partial x^\nu} \phi(x) \right) \rightarrow \tilde{g}^{\mu\nu}(\tilde{x}) \left( \frac{\partial}{\partial \tilde{x}^\mu} \phi(\tilde{x}) \right) \left( \frac{\partial}{\partial \tilde{x}^\nu} \phi(\tilde{x}) \right)$$

$$= \tilde{g}^{\mu\nu}(x) \frac{\partial \tilde{x}^\mu}{\partial x^\alpha} \frac{\partial \tilde{x}^\nu}{\partial x^\beta} \frac{\partial x^\alpha}{\partial \tilde{x}^\mu} \frac{\partial x^\beta}{\partial \tilde{x}^\nu} \left( \frac{\partial}{\partial x^\alpha} \phi(x) \right) \left( \frac{\partial}{\partial x^\beta} \phi(x) \right)$$

□ Recall:

As  $x^\mu \rightarrow \tilde{x}^\mu(x)$  the integral measure changes by a Jacobian factor:

$$\int f(x) d^4x \rightarrow \int \underbrace{\hat{f}(\tilde{x})}_{f(\tilde{x})} \underbrace{\det\left(\frac{\partial x^\mu}{\partial \tilde{x}^\nu}\right)}_{\text{a coordinate-dependent term!}} d^4\tilde{x}$$

□ A compensating term is needed:

How can we modify the action  $S[\phi]$  so that:

## □ Solution:

Modify the action to include a "Volume factor":

$$S[\phi] := \frac{1}{2} \int_{\mathbb{R}^4} \left( g^{\mu\nu} \phi_{,\mu} \phi_{,\nu} - m^2 \phi^2 \right) \overbrace{\sqrt{-\det(g_{\mu\nu})}}^{\uparrow} d^4x$$

## □ The volume factor:

\* When  $g_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$  then  $\sqrt{-\det(g)} = 1 \checkmark$

\* Lemma: When  $x^\mu \rightarrow \hat{x}^\mu(x)$  then:

$$\sqrt{|g|} \rightarrow \sqrt{|\hat{g}|} = \det \left( \frac{\partial \hat{x}^\mu}{\partial x^\nu} \right) \sqrt{|g|}$$

↙ short for  $\sqrt{-\det(g_{\mu\nu})}$

□ Therefore, we have now in special relativity that the action  $S[\phi]$  of a field  $\phi$  comes out the same number, independently of one's choice of coordinate system:

$$\begin{aligned}
 S[\phi] &\rightarrow \hat{S}[\hat{\phi}] = \int \tilde{\mathcal{L}} \sqrt{\tilde{g}_i} d^4\tilde{x} \\
 &= \int \mathcal{L} \det\left(\frac{\partial \tilde{x}}{\partial x}\right) \det\left(\frac{\partial x}{\partial \tilde{x}}\right) \sqrt{g_i} d^4x \\
 &= \int \mathcal{L} \det\left(\frac{\partial \tilde{x}^\nu}{\partial x^\mu} \frac{\partial \tilde{x}^\lambda}{\partial x^\nu}\right) \sqrt{g_i} d^4x \\
 &- (\mathcal{L} \det(\delta^\nu_\mu) \sqrt{g_{ii}} d^4x) = (\mathcal{L} \sqrt{g_{ii}} d^4x)
 \end{aligned}$$

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$$S[\phi] \rightarrow \tilde{S}[\tilde{\phi}] = \int \mathcal{L} \sqrt{g_i} d^4x$$

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## B. How to allow curvature?

\* The trivial metric  $g_{\mu\nu}(x) = \eta_{\mu\nu} = \begin{pmatrix} 1 & -1 & & \\ -1 & 1 & & \\ & & \ddots & \\ & & & 1 \end{pmatrix}$

can look very nontrivial in generic

coordinate systems:  $g_{\mu\nu}(x) = \begin{pmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{pmatrix}$

\* But: Some metrics  $g_{\mu\nu}(x)$  are not

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we saw that in generic (i.e. arbitrarily chosen) coordinates  $\tilde{x}^r = \tilde{x}^r(x)$ , the metric tensor  $\tilde{g}_{\mu\nu}(\tilde{x})$  is given by:

$$\tilde{g}_{\mu\nu}(\tilde{x}) = \frac{\partial x^\alpha(\tilde{x})}{\partial \tilde{x}^\mu} \frac{\partial x^\beta(\tilde{x})}{\partial \tilde{x}^\nu} \eta_{\alpha\beta} \quad (c)$$

$\Rightarrow$  In special relativity, in arbitrary coordinates, the metric  $g_{\mu\nu}$  is a position-dependent matrix of the form (c).

\* We notice that  $g_{\mu\nu}(x)$  is always symmetric  $g_{\mu\nu}(x) = g_{\nu\mu}(x)$

## Key Question:

(Can any arbitrary function obeying  $g_{\mu\nu}(x) = g_{\nu\mu}(x)$  arise from

$$\eta_{\mu\nu} = \begin{pmatrix} 1 & -1 & -1 & -1 \\ -1 & 1 & 0 & 0 \\ -1 & 0 & 1 & 0 \\ -1 & 0 & 0 & 1 \end{pmatrix}$$

by changing coordinates according to  $g_{\mu\nu}(x) = \frac{\partial x^k(\tilde{x})}{\partial x^\mu} \frac{\partial x^l(\tilde{x})}{\partial x^\nu} \eta_{kl}$  ?

Answer: No ! The others describe "curved" spacetimes.

A given spacetime can be described by any one of an equivalence class  $[g]$  of metric functions  $\{g_{\mu\nu}(x)\}$ , which differ by a mere change of coordinates (i.e. which are related by a diffeomorphism).

Definition: Each equivalence class  $[g]$  is called a Riemannian or Lorentzian Structure, depending on the signature of the metric.

How many Lorentzian or Riemannian structures are there?

Q: How many independent degrees of freedom  $D$  (i.e. independent functions) describe a spacetime fully?

A: In  $n$  dimensions, the metric  $g$  has  $n^2$  component functions  $g_{\mu\nu}(x)$ .

Because of  $g_{\mu\nu}(x) = g_{\nu\mu}(x)$ , only  $n(n+1)/2$  are independent.

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But we can choose  $n$  functions  $\tilde{x}^\mu(x)$  in  $\tilde{g}_{\mu\nu}(x) = \frac{\partial \tilde{x}^\mu(x)}{\partial x^\mu} \frac{\partial \tilde{x}^\nu(x)}{\partial x^\nu} g_{\mu\nu}$ .

A:  $D = \underbrace{n(n+1)/2 - n}_{\rightarrow \text{* of indep elements of a symmetric } n \times n \text{ matrix } g_{\mu\nu}}$   $\rightarrow \text{* of change of coordinate functions } \tilde{y}^\mu = \tilde{x}^\mu(x)$