

Title: Floquet quantum criticality

Speakers: Will Berdanier

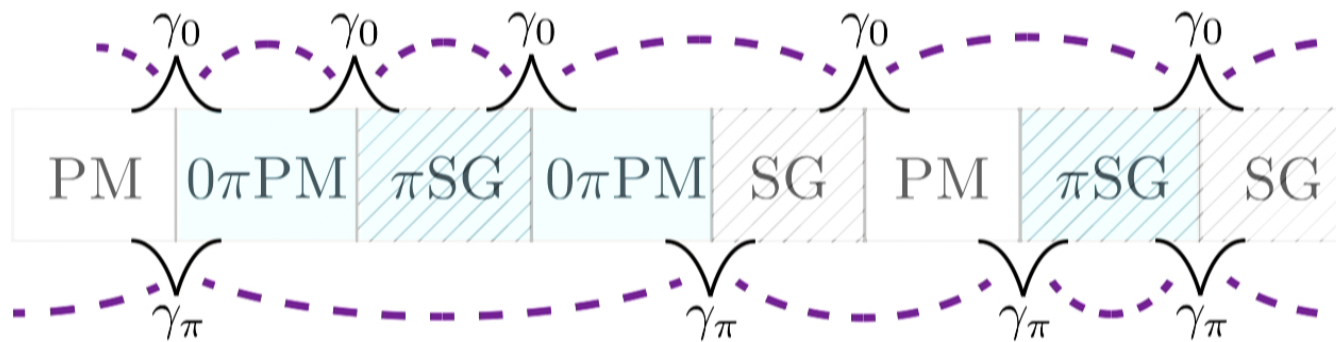
Series: Condensed Matter

Date: November 21, 2019 - 3:30 PM

URL: <http://pirsa.org/19110128>

Abstract: It has recently been shown that quenched randomness, via the phenomenon of many-body localization, can stabilize dynamical phases of matter in periodically driven (Floquet) systems, with one example being discrete time crystals. This raises the question: what is the nature of the transitions between these Floquet many-body-localized phases, and how do they differ from equilibrium? We argue that such transitions are generically controlled by infinite randomness fixed points. By introducing a real-space renormalization group procedure for Floquet systems, asymptotically exact in the strong-disorder limit, we characterize the criticality of the periodically driven interacting quantum Ising model, finding forms of (multi-)criticality novel to the Floquet setting. We validate our analysis via numerical simulations of free-fermion models sufficient to capture the critical physics.

# Floquet quantum criticality



William Berdanier, UC Berkeley

Perimeter Institute CMT Seminar, 21 November 2019



# Acknowledgments



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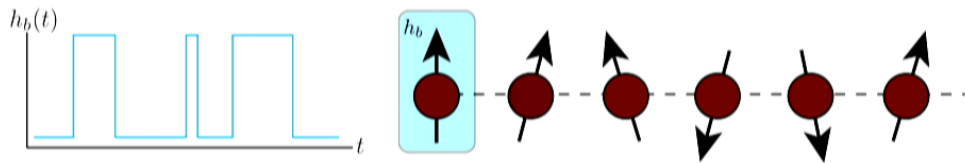
**WB**, MK, SAP and RV, *PNAS* 115 (38) 9491-9496 (2018)  
**WB**, MK, SAP and RV, *PRB* 98,174203 (2018)



# First...some other projects

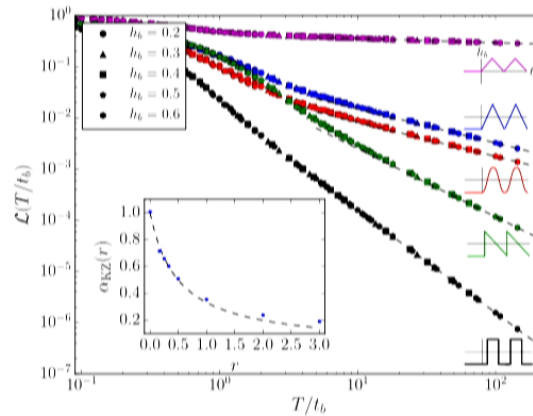
Theme: Universal dynamics in non-equilibrium quantum systems

## Boundary driving & CFTs



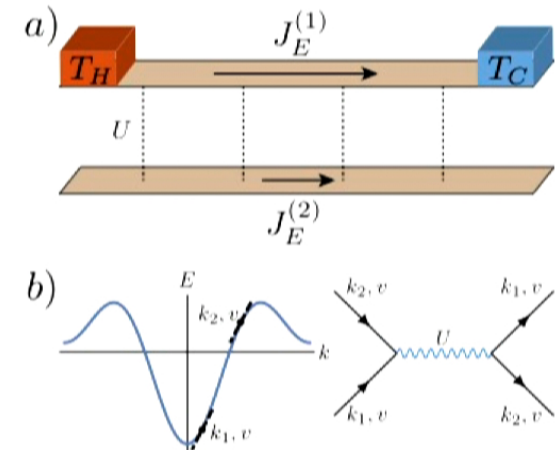
Periodic driving:  
WB et al, *PRL* 118,  
260602 (2017)

Stochastic driving:  
WB et al, *PRL* 2019  
(in press)



## Hydrodynamics & Thermal Coulomb drag

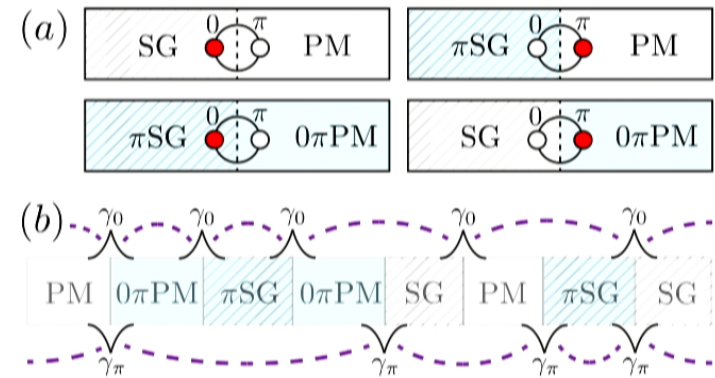
WB et al, arXiv:1909.05251





# Outline

- Motivation & Floquet theory
- Floquet RSRG
  - Argument from domain walls
  - Microscopic RG
  - Numerics
- Conclusions





# Dynamical phases of matter

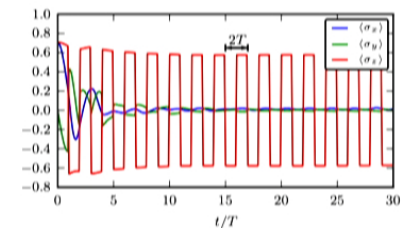
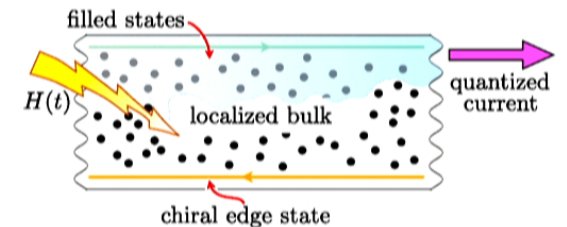
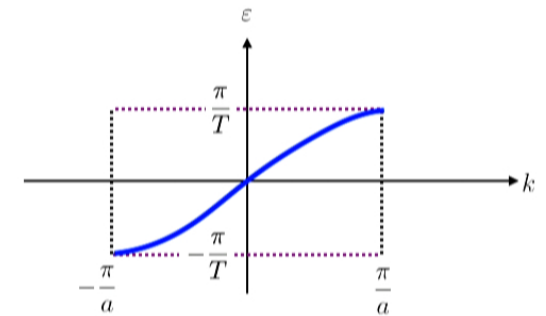
- Central goal of condensed matter physics: classification of phases
- Generally concerned with static  $H$ . Why not  $H(t)$ ?
- Non-equilibrium (**limited tools**)
- “No **eigenstates**” (hence no ground state)
- No conservation of energy, generically expect **destroys order** (entropy maximized)
- Floquet MBL systems sidestep these issues! Allow for **intrinsically dynamical, non-equilibrium phases of matter**.



# Floquet phases

- Recently discovered that periodically driven (“Floquet”) systems can host new types of order:
- chiral lattice models [Kitagawa et al '10]
- Anomalous Floquet Anderson Insulator [Titum et al '16]
- Floquet TIs & symmetry-protected topological phases [Oka & Aoki '09] [Potter et al '16; Roy et al '16; many others]
- “time crystals” (time translation symmetry breaking) [Khemani et al '16; Else et al '16; Yao et al '17]

New to the non-equilibrium setting; **no equilibrium counterparts!**

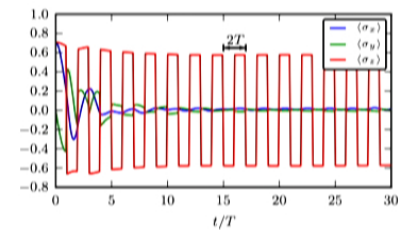
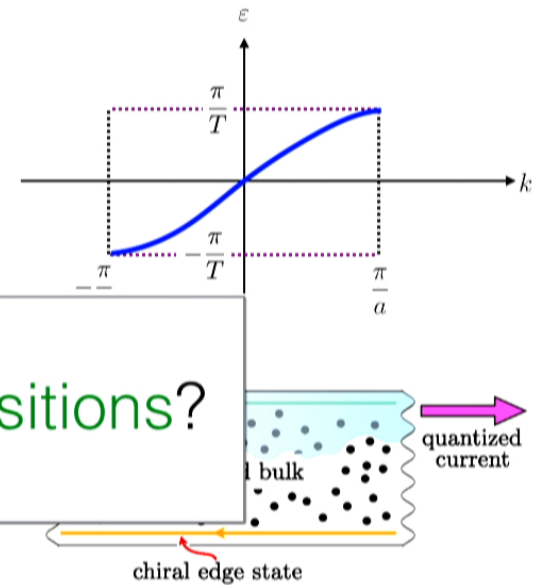




# Floquet phases

- Recently discovered that periodically driven (“Floquet”) systems can host new types of order:
- chiral
- Anom. What is the nature of Floquet phase transitions?
- Floquet TIs & symmetry-protected topological phases  
[Oka & Aoki '09] [Potter et al '16; Roy et al '16; many others]
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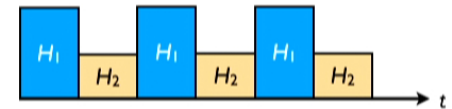
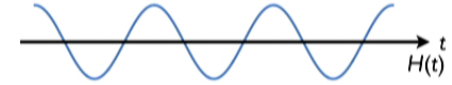
New to the non-equilibrium setting; **no equilibrium counterparts!**







# Floquet systems



- Time-periodic Hamiltonian  $H(t) = H(t + T)$
- Different classes of driving: sinusoid, two-step, ...
- Central object of interest: Evolution operator  $F = U(T) = \mathcal{T}e^{-i \int_0^T H(t) dt}$
- No energy conservation  $\Rightarrow$  **No notion of ground state!** (but *do* have eigs)
- Floquet's theorem:  $|\psi_\alpha(t)\rangle = e^{-i\epsilon_\alpha t} |\phi_\alpha(t)\rangle$  where  $|\phi_\alpha(t)\rangle = |\phi_\alpha(t + T)\rangle$  ( $\sim$  Bloch)

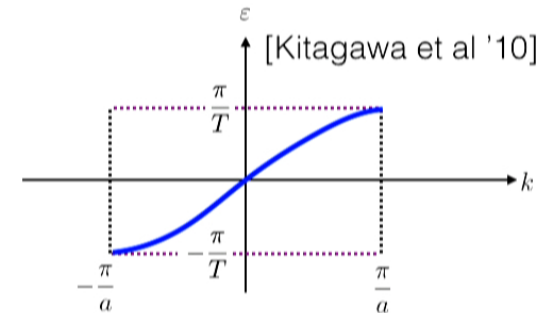
$$\Rightarrow U(T)|\psi_\alpha(t)\rangle = e^{-i\epsilon_\alpha T} |\psi_\alpha(t)\rangle$$

“quasienergy”  
 $F = e^{-iT H_F}$



# Heating and localization

- Periodic driving allows **new possibilities**,  
e.g. chiral phases on 1D lattice, Floquet SPTs, ...  
(note: not interested in Floquet engineering, small T limit)

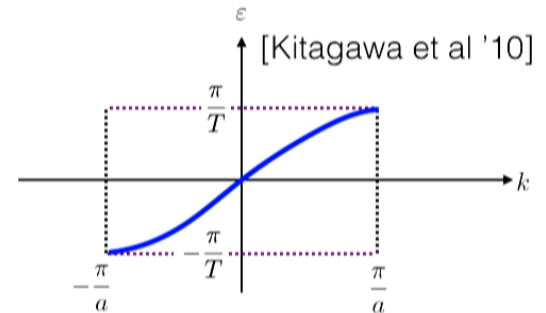




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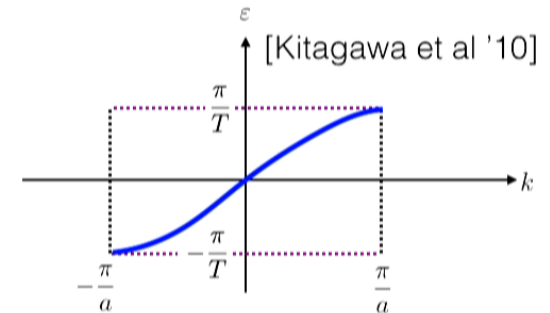
- But what about **heating**? Closed system with interactions, generically expect (under ETH) heating to infinite temperature.





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- But what about **heating**? Closed system with interactions, generically expect (under ETH) heating to infinite temperature.

- 3 ways out (in 1D):

## Integrability

Extensive # of conserved quantities (forms GGE)  
Non-generic (“fine-tuned”)

## Open system

Coupling to bath allows for nontrivial steady state  
Study Lindblad dynamics

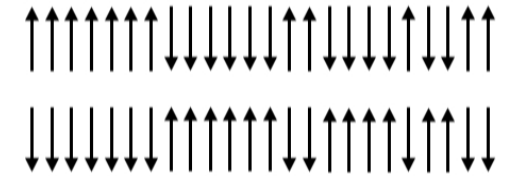
## Disorder

MBL: excitations localize  
Robust to rapid driving & generic perturbations

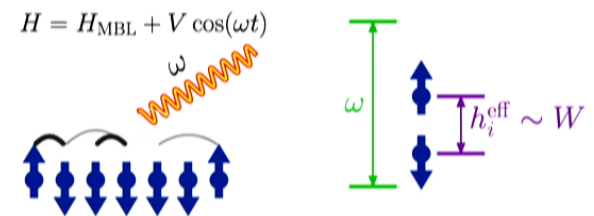


# Floquet MBL

- Localization “protects” of order: e.g., domain walls localize in Ising, forming a spin-glass
- In MBL, all eigenstates “look like” ground states:  
area law entanglement
- Hence, even infinite temperature is nontrivial!  
[Huse et al '13; Bauer & Nayak '13]
- MBL robust to (rapid) periodic driving: Floquet MBL
- Study Floquet phases by studying spectrum  
[Khemani et al '16]



[Ponte et al '14; Abanin et al '14]





# Driven Ising model

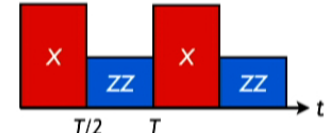
$$F = \exp(-i \sum_i J_i Z_i Z_{i+1}) \exp(-i \sum_i h_i X_i)$$

( $T = 1$ )

Jordan-Wigner transformation

$$F = \exp(\sum_i J_i b_i a_{i+1}) \exp(\sum_i h_i a_i b_i)$$

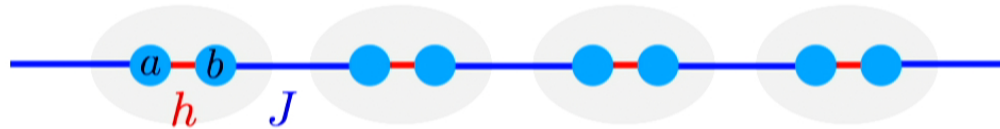
Interactions:  
 $\Gamma^Z Z_i Z_{i+2}, \Gamma^X X_i X_{i+1}$



$$\Gamma = 0$$

$$\{a_i, b_j\} = 2\delta_{ab}\delta_{ij} \quad a_i^2 = b_i^2 = 1$$

$$\Gamma^Z b_i a_{i+1} b_{i+1} a_{i+2}, \Gamma^X a_i b_i a_{i+1} b_{i+1}$$

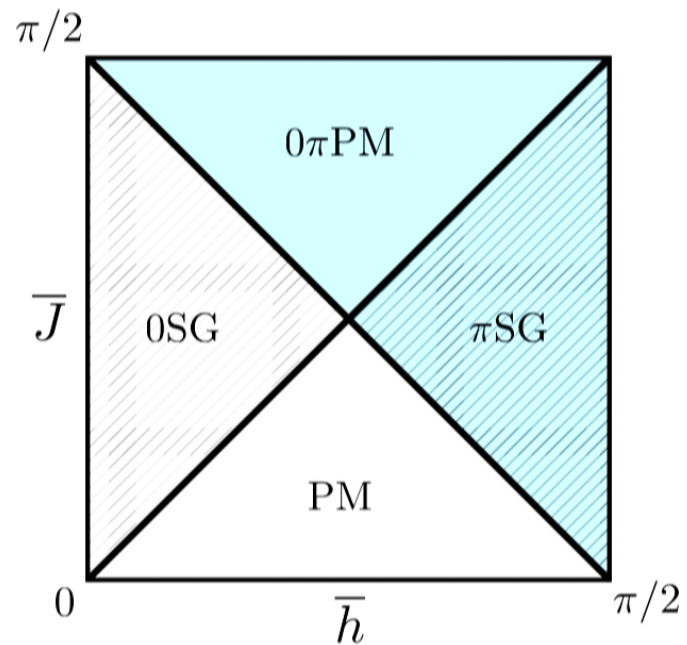


Symmetries: Ising (parity)  $G = \prod_i X_i$ , time translation

Dualities: Bond-field (even/odd), global  $\pi/2$  phase shift  $J_i, h_i \rightarrow J_i, h_i + \pi/2$

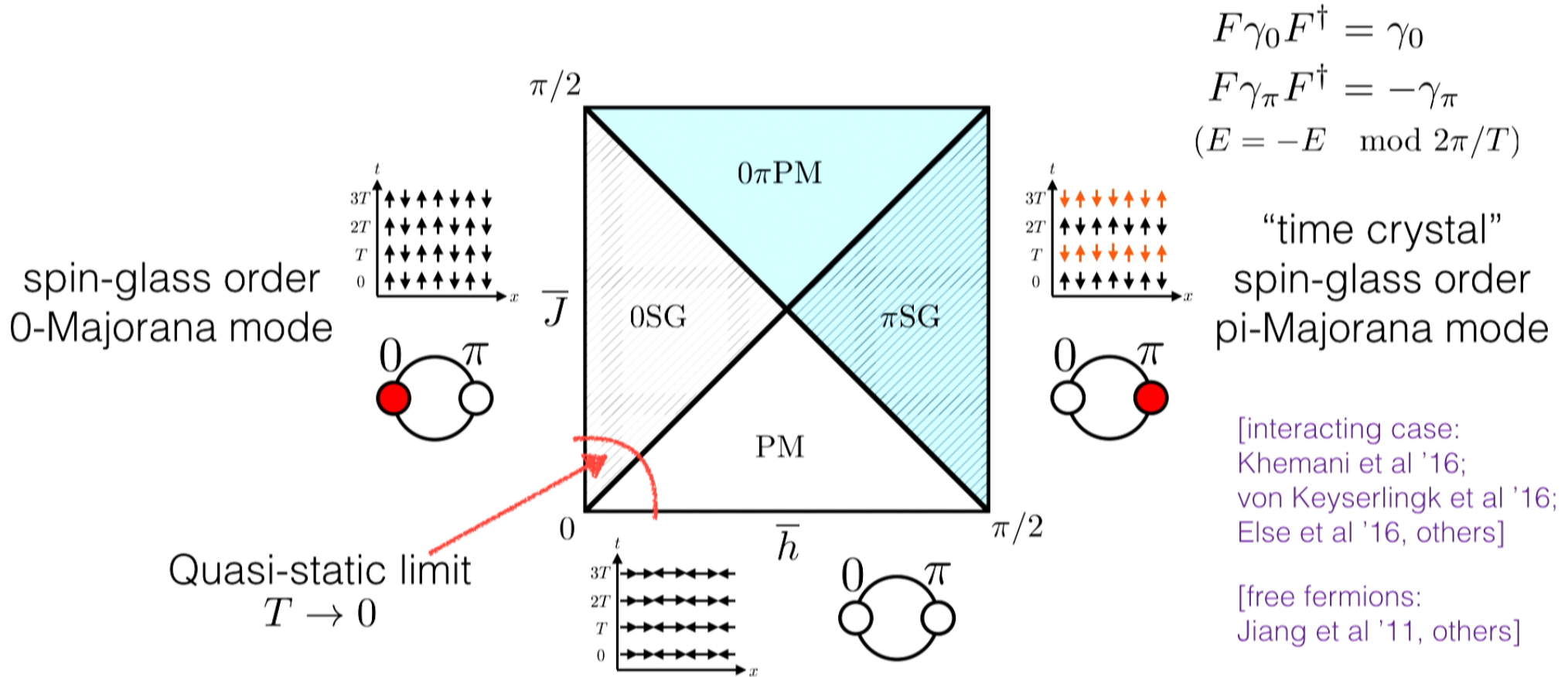


# Driven Ising model





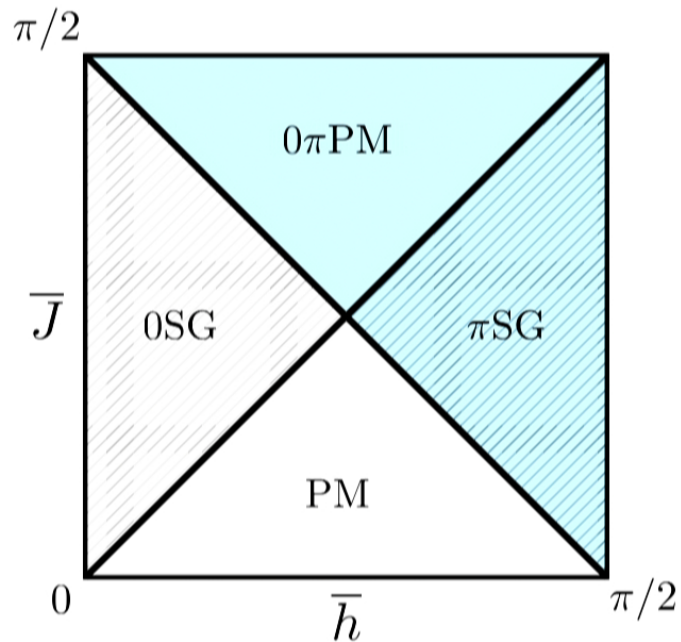
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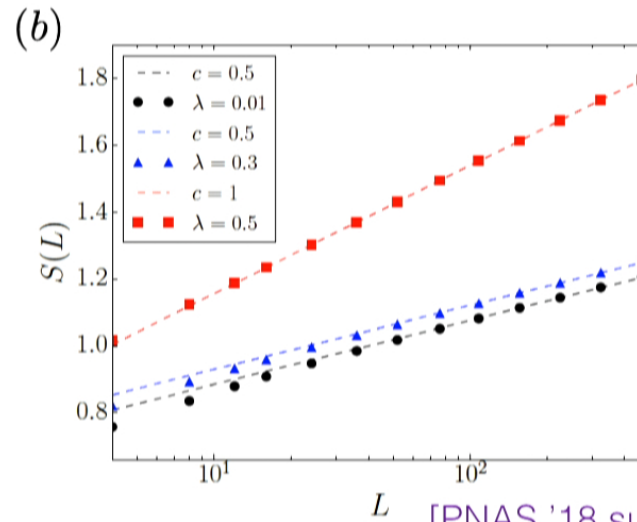
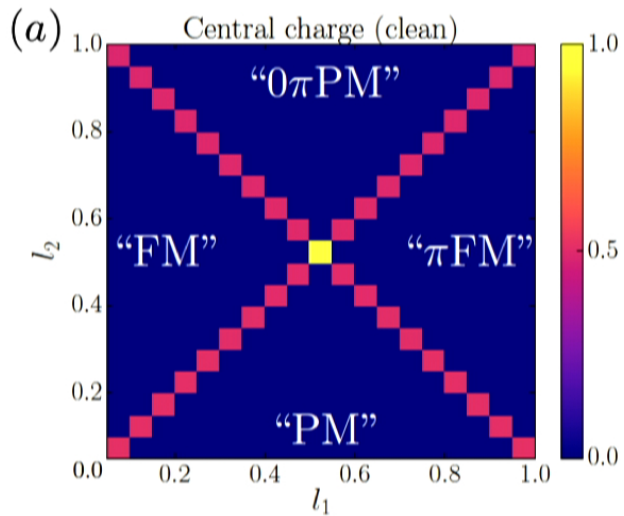
What is the nature of the **transition lines**?

What happens at the **multicritical point**?



# Clean criticality

- Clean systems with interactions heat (so non-generic), but define “Floquet ground state”  $|FGS\rangle = \prod \alpha_i^\dagger |0\rangle, \quad \epsilon_i < 0$   $|FGS\rangle \xrightarrow{T \rightarrow 0} |GS\rangle$
- Ansatz: entropy scales as in a CFT ground state  $S_{L/2} \sim \frac{c}{6} \log L$



$c=1/2$  along lines

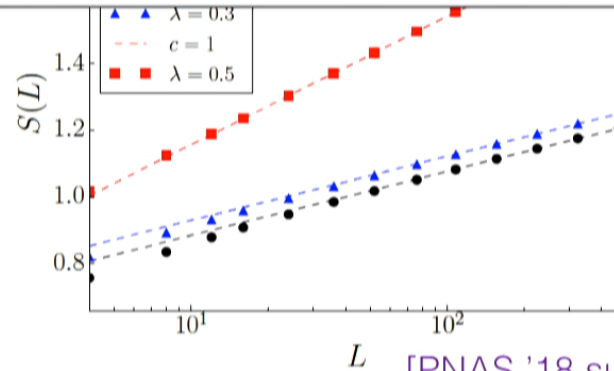
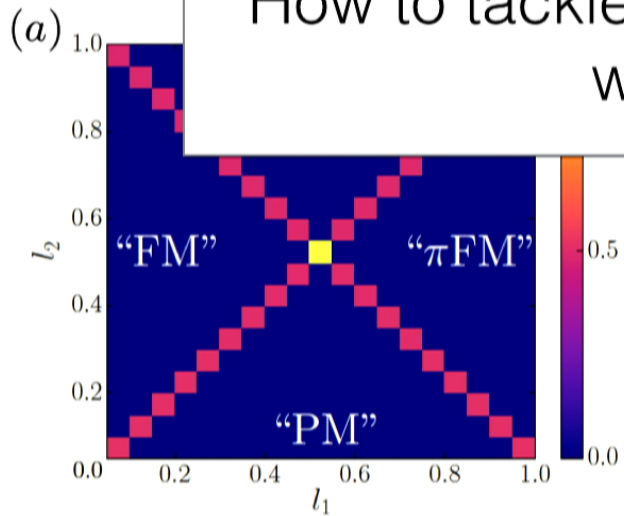
[PNAS '18 supplement; see also Yates et al '18]



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- Ansatz: entropy scales as in a CFT ground state,  $S \sim c \log L$

How to tackle the **disordered** (generic) case, with no CFT picture?



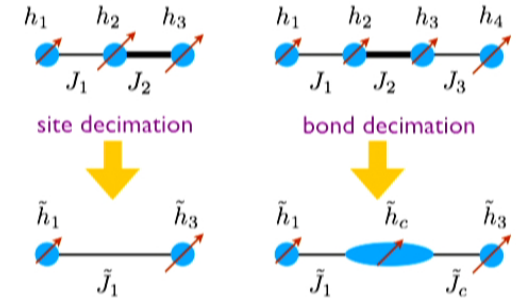
$c=1/2$  along lines  
 $c=1$  multicritical point

[PNAS '18 supplement; see also Yates et al '18]



# Real space renormalization group

- Method originally invented for describing ground states of random spin chains
- Disorder **strongly relevant** (Harris criterion)
- Workhorse: **Schrieffer-Wolff** transformation  $[e^{iS} H e^{-iS}, H_0] = 0$ 
  - Idea: Pick strongest coupling in chain at step 0
  - “Lock” spin into GS of that coupling. Then use perturbation theory for neighbors. Repeat!
  - Flow distribution of couplings with the RG scale...



[Ma, Dasgupta, Hu '79; Fisher '92, '95]

$$\tilde{J} = \frac{J_L J_R}{\Omega}$$

$$P_\Gamma(J) \sim \frac{1}{J^{1-1/w(\Gamma)}}, w \rightarrow \infty$$

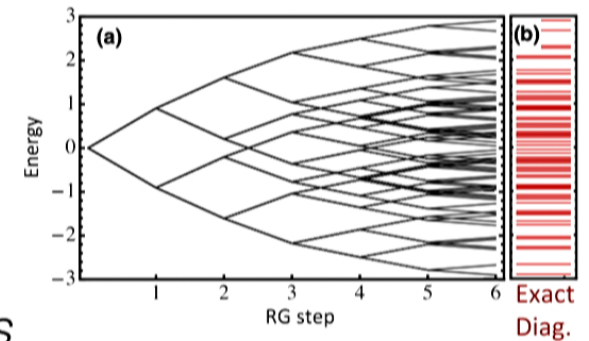
## Infinite Randomness Fixed Point

asymptotically exact



# RSRG-X and Floquet

- With MBL, can do RSRG to obtain **entire spectrum** (RSRG-X). RSRG much more useful than initially realized!
- Idea: instead of projecting onto GS, project onto excited states — decimate smaller *energy differences*
- Challenge for Floquet: what is “small” and “large”?  $|e^{i\lambda\mathcal{O}}| \dots?$



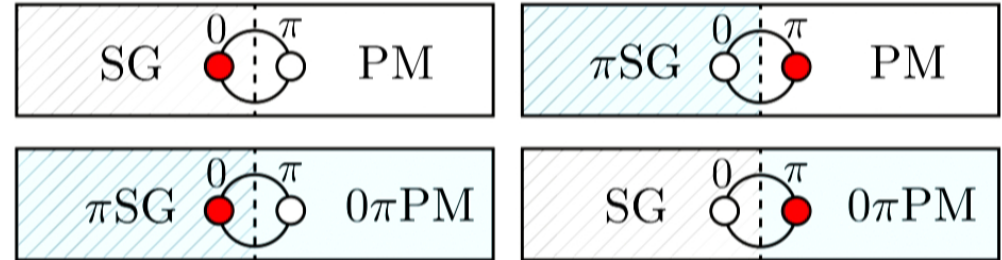
[Pekker et al '14]



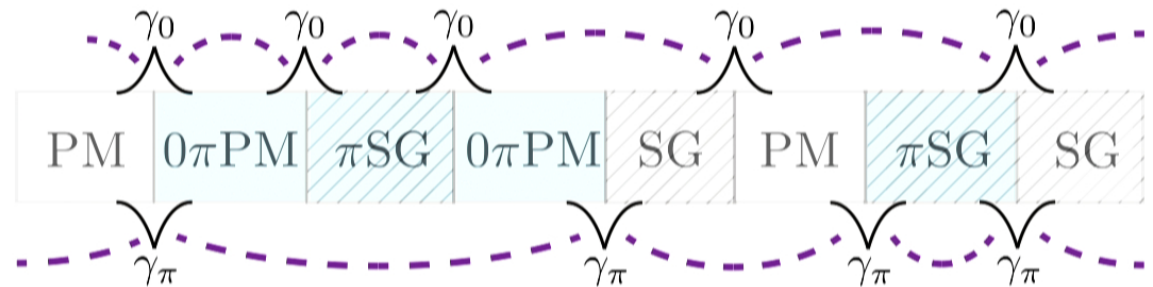
# Intuition: Domain walls

- Infinite randomness fixed points: composed locally of “puddles” deep in neighboring phases (in contrast to clean critical points!) [Damle & Huse '02]

- Idea: coarse grain at the critical point.  
If IRFP: 4 types of domain wall

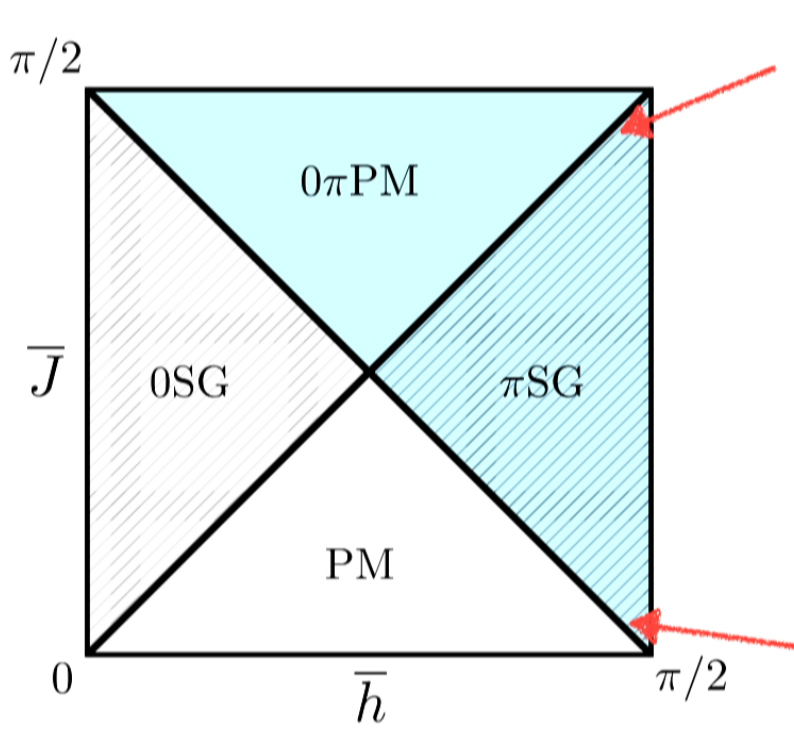


- Hence a typical configuration will be composed of chains of 0 and pi majoranas!



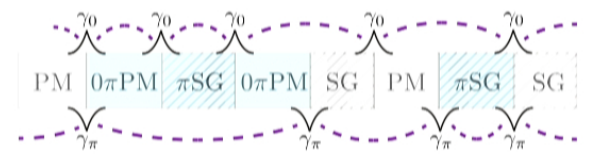


# Criticality: DW argument



0-Majoranas critical Ising  $\mathbb{Z}_2$  transition

$\pi$ -Majoranas critical Ising  $\mathbb{Z}_2$  transition





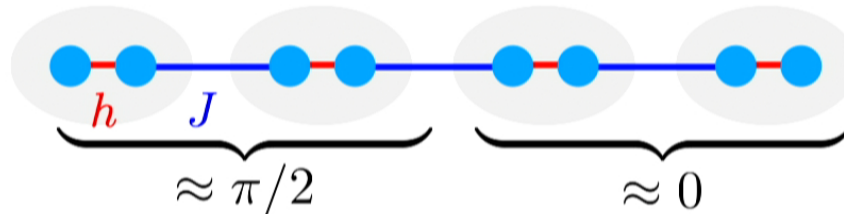
# Emergent symmetry

Consider the 2-period evolution operator:  $U(2T) = F^2$  [Yao et al '17]

Expect the form:  $F = D e^{-i\tilde{H}}$   $[D, \tilde{H}] = 0$  [Else et al '16]

$$\Rightarrow D = F \sqrt{F^2}^\dagger$$

Explicit calculation:



$$D = e^{-\frac{1}{2}(\delta_0 a_0 b_0 - \epsilon_{-1} b_{-1} a_0 + \epsilon_{-1} \delta_{-1} a_{-1} a_0 - \epsilon_0 \delta_0 a_0 a_1 + \dots)} a_0 e^{\frac{1}{2}(\delta_0 a_0 b_0 - \epsilon_{-1} b_{-1} a_0 + \epsilon_{-1} \delta_{-1} a_{-1} a_0 - \epsilon_0 \delta_0 a_0 a_1 + \dots)}$$

$$= \tilde{a}_0,$$

i.e.,  $D$  is the parity of the  $\pi$ -chain!

$$D = \prod_{i \in \{DWs\}} \tilde{\gamma}_i$$





# Microscopic RG

Work in Majorana picture. Key insight: **both 0 and  $\pi$  are “small”**

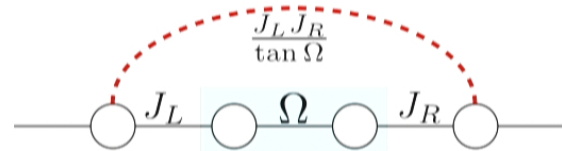
either 1)  $e^{Jab} = e^{\epsilon ab}$       or      2)  $e^{Jab} = e^{(\pi/2+\epsilon)ab} = abe^{\epsilon ab}$

By factoring out these exact  $\pi$  pulses, everything is a **small exponential!**

**These small exponentials control the RG.**

Floquet Schrieffer-Wolff:  $[e^{iS} F e^{-iS}, F_0] = 0$  (series in  $\epsilon$ )

RG rule:  $\tan \tilde{J} = \frac{J_L J_R}{\tan \Omega}$



$\log \tan \tilde{J} = \log J_L + \log J_R - \log \tan \Omega$

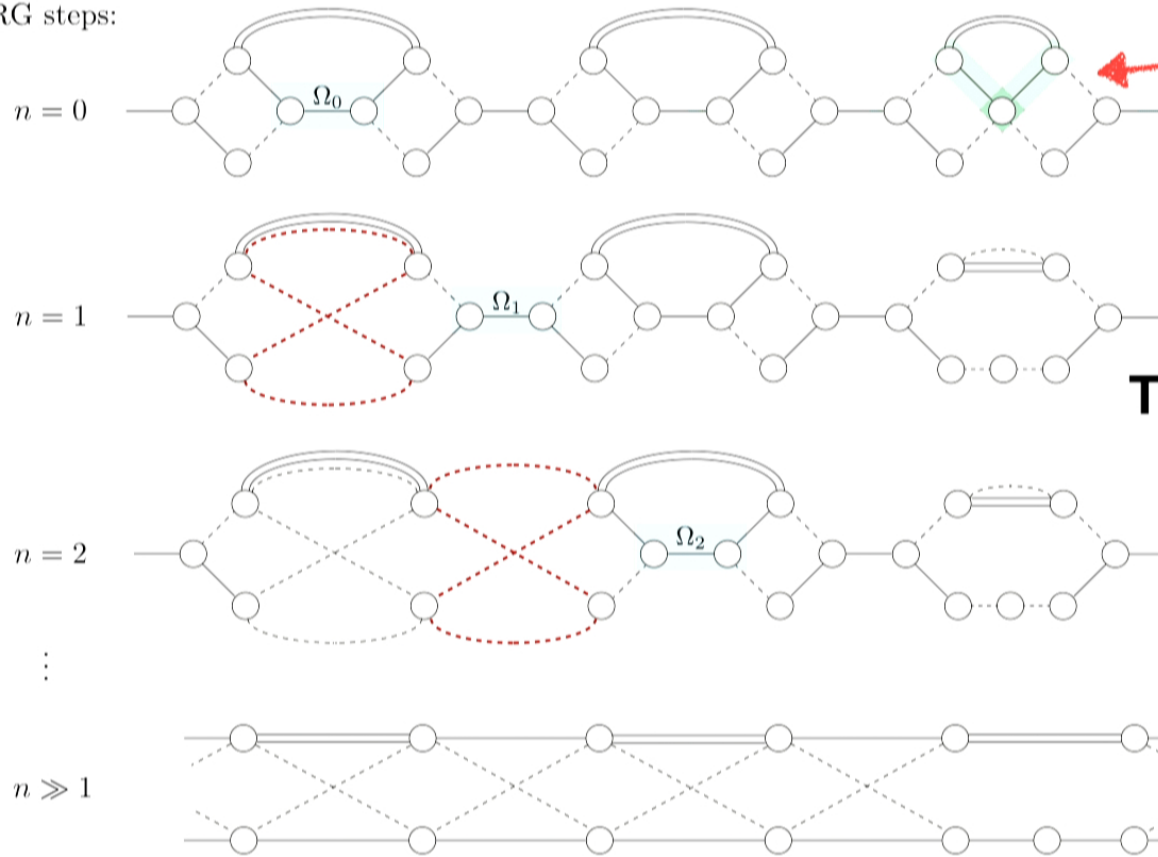


Using infinite randomness fixed point



# Microscopic RG flow

RG steps:



Domain walls dominate late in the RG flow

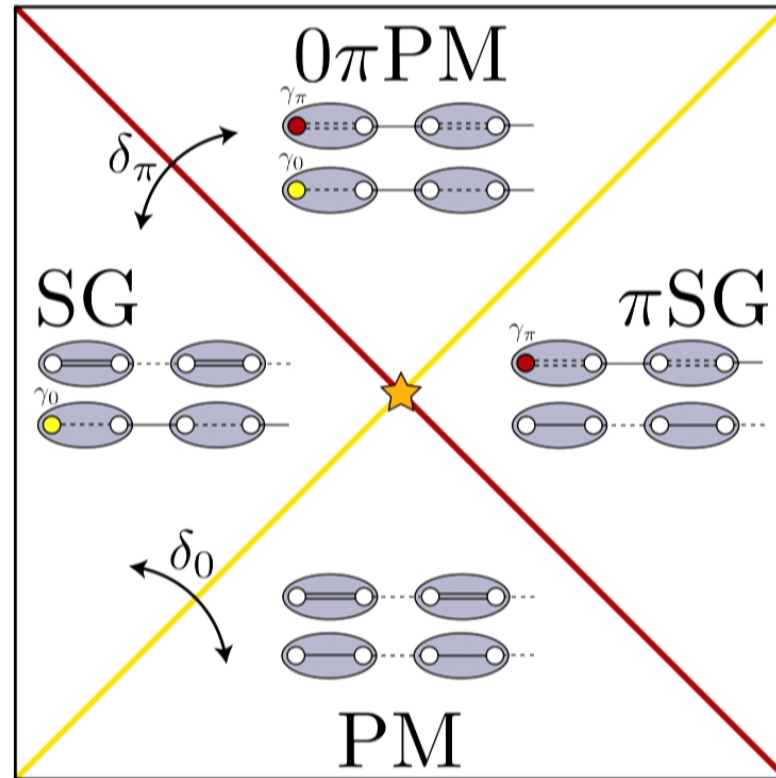
**These are the 0 and  $\pi$  chains emerging from the RG!**

0/ $\pi$  alternating

all bonds near 0

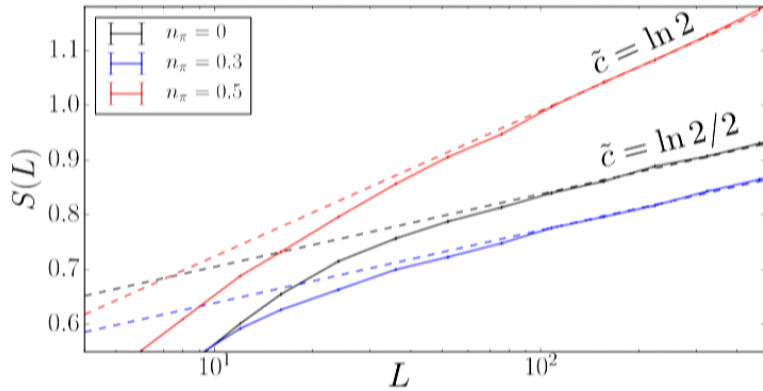


# Microscopic RG - phase diagram

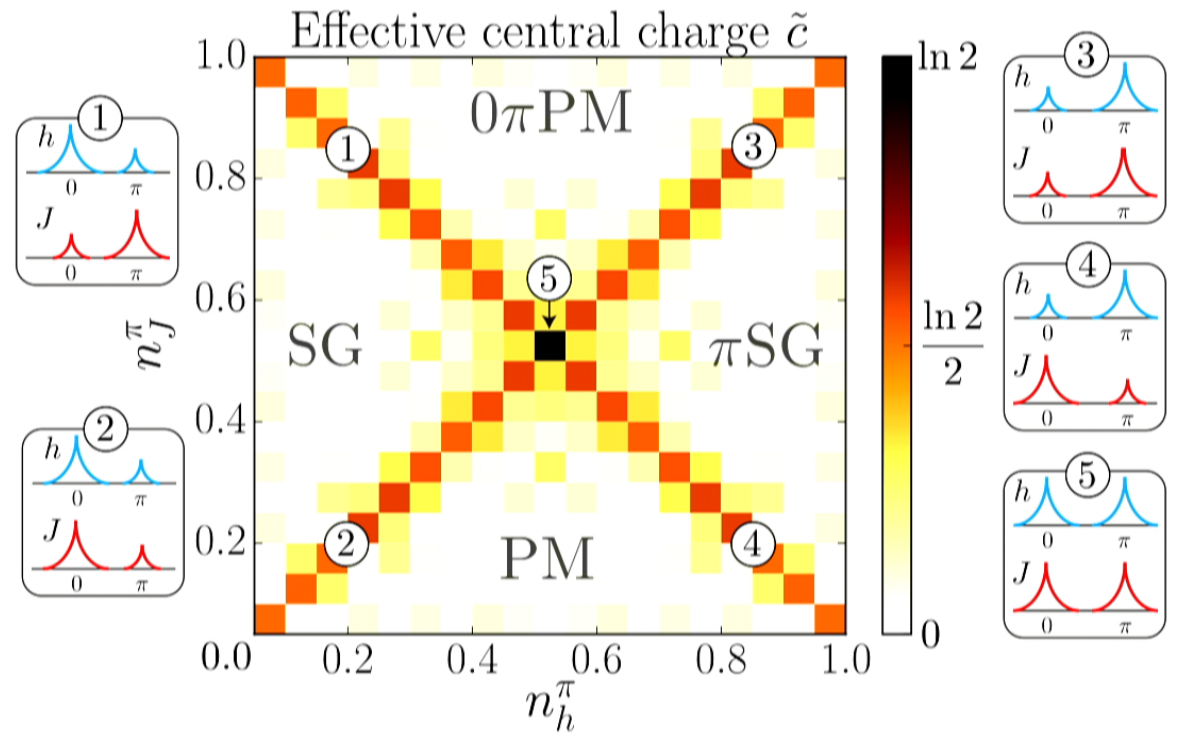




# Numerics



$$\overline{S_{L/2}} \sim \frac{\tilde{c}}{6} \log L$$





# Numerics

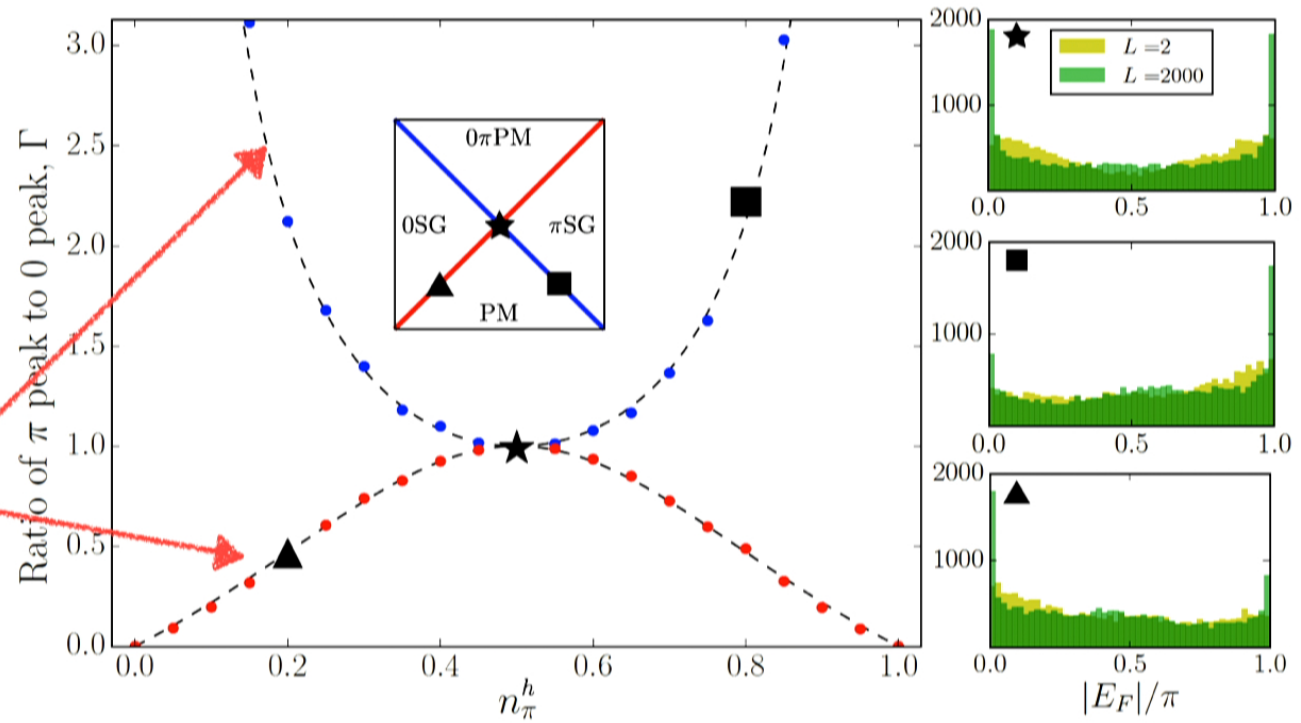
Extract full quasienergy distribution (high precision numerics)

DW counting:  
analytical prediction

$$p_{DW} = 2n_{\pi}^h(1 - n_{\pi}^h)$$

(prob of DW)

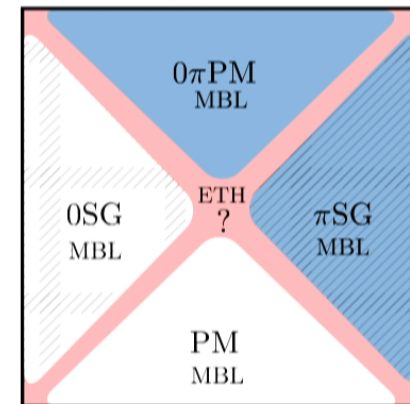
**Good evidence  
for DW picture**





# Interactions

- Within the RSRG: Interactions within each species are **RG irrelevant** (flow to 0 more quickly than hopping terms) → won't change exponents
- Interactions between species **also RG irrelevant** [disordered XYZ - Slagle et al 2016]
- **Could** lead to thermalization (via long-ranged resonances - are these relevant?)





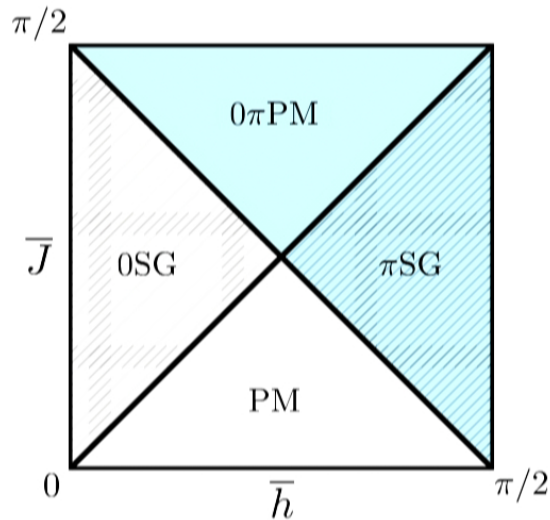
# Observables

Critical Ising satisfies:  $\overline{\langle Z_i(t)Z_i(0) \rangle} \sim \frac{1}{\log^{2-\varphi} t}$

[Vosk, Altman '14]

Spin autocorrelation spectral function:  $C(\Omega, t) = \int_0^\infty dt' e^{-i\omega t'} \overline{\langle Z_i(t+t')Z_i(t') \rangle}$

[Yao et al '17]





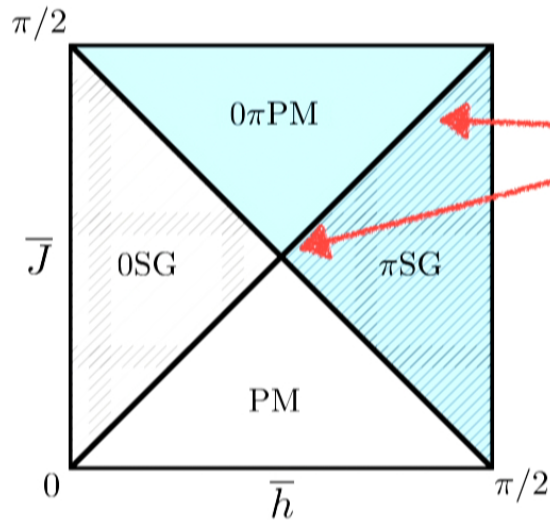
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[Yao et al '17]



$$C(0, t) \sim \frac{1}{\log^{2-\varphi} t}$$

Two red arrows point from this equation to the  $0\pi\text{PM}$  and  $\pi\text{SG}$  regions of the phase diagram.





# Conclusions

- We have introduced a strong-disorder RG for Floquet systems
- Periodic driving allows for new types of criticality (e.g. multicriticality)
- Intuitive “domain wall” argument extendable to other 1D topological phases
- Next steps: long range interactions, parafermions/clock models, sinusoidal driving

**WB**, MK, SAP and RV, *PNAS* 115 (38) 9491-9496 (2018)

**WB**, MK, SAP and RV, *PRB* 98, 174203 (2018)