

Title: What is the landscape of natural language? Insights from a random language model

Speakers: Eric De Giuli

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Abstract: Many complex systems have a generative, or linguistic, aspect: life is written in the language of DNA; protein structure is written in a language of amino acids, and human endeavour is often written in text. Are there universal aspects of the relationship between sequence and structure? I am trying to answer this question using models of random languages. Recently I proposed a model of random context-free languages [1] and showed using simulations that the model has a transition from an unintelligent phase to an ordered phase. In the former, produced sequences look like noise, while in the latter they have a nontrivial Shannon entropy; thus the transition corresponds to the emergence of information-carrying in the language.

In this talk I will explain the basics of natural language syntax, without assuming any prior knowledge of linguistics. I will present the results from the model above, and explain how the model is related to complex matrix models with disorder [2].

[1] <https://journals.aps.org/prl/abstract/10.1103/PhysRevLett.122.128301>

[2] <https://arxiv.org/abs/1902.07516>

What is the landscape of natural language?

Insights from a random language model

Eric De Giuli

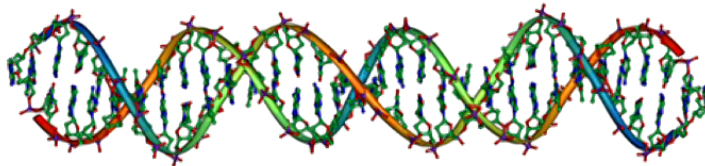
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motivation — complex generative systems

sequences

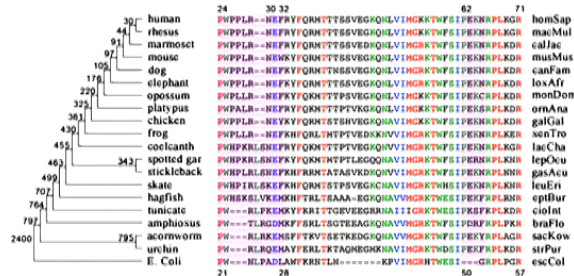
encode

structure



Genome Sequence

AGATAACTGGGCCCTGCGCTCAGGAGGCCTTACCCTCTGCTCTGGGTAAAGGTAGTAGA



amino acid sequence



folded protein

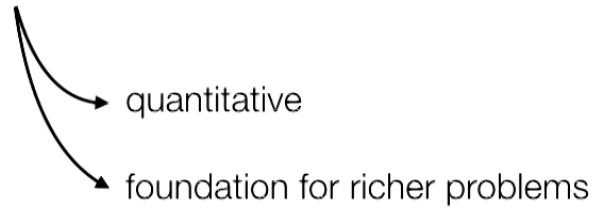
Are there universal features of the sequence → structure map?

natural language as a model system

natural language is a complex generative system

& has been studied for 100+ years

Can we use it as a model system?



rigidity of language

1. Is John the man who is tall?
2. *Is John is the man who tall?
3. Colorless green ideas sleep furiously.
4. *Furiously sleep ideas green colorless.

syntax = logical structure
semantics = 'meaning' = connection to 'truth'



Chomsky 1950s

formal grammars

(Pāṇini 400BC, Chomsky, Backus 1950s)

grammar¹ = set of string rewriting rules

a,b,c,... hidden² symbols

A,B,C,... observable³ symbols

e.g. $s \rightarrow ss$
 $s \rightarrow AsB$
 $s \rightarrow AB$

begin with start symbol, s

repeatedly apply rules until string
of observables

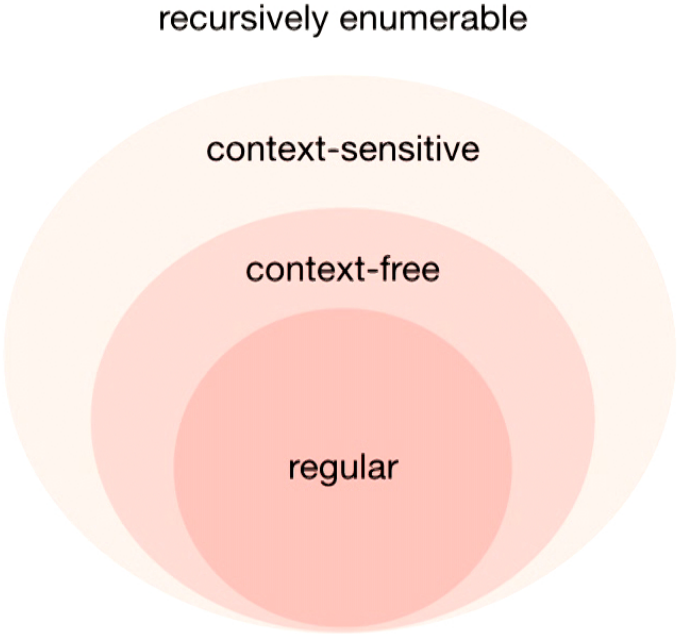
$s \rightarrow ss \rightarrow AsBs \rightarrow AABBs \rightarrow$
 $AABBAB$

equivalent to $(()) ()$

language = set of observable strings

¹ grammar = 'generative grammar' ² 'nonterminal' ³ 'terminal'

Chomsky hierarchy (1950's)

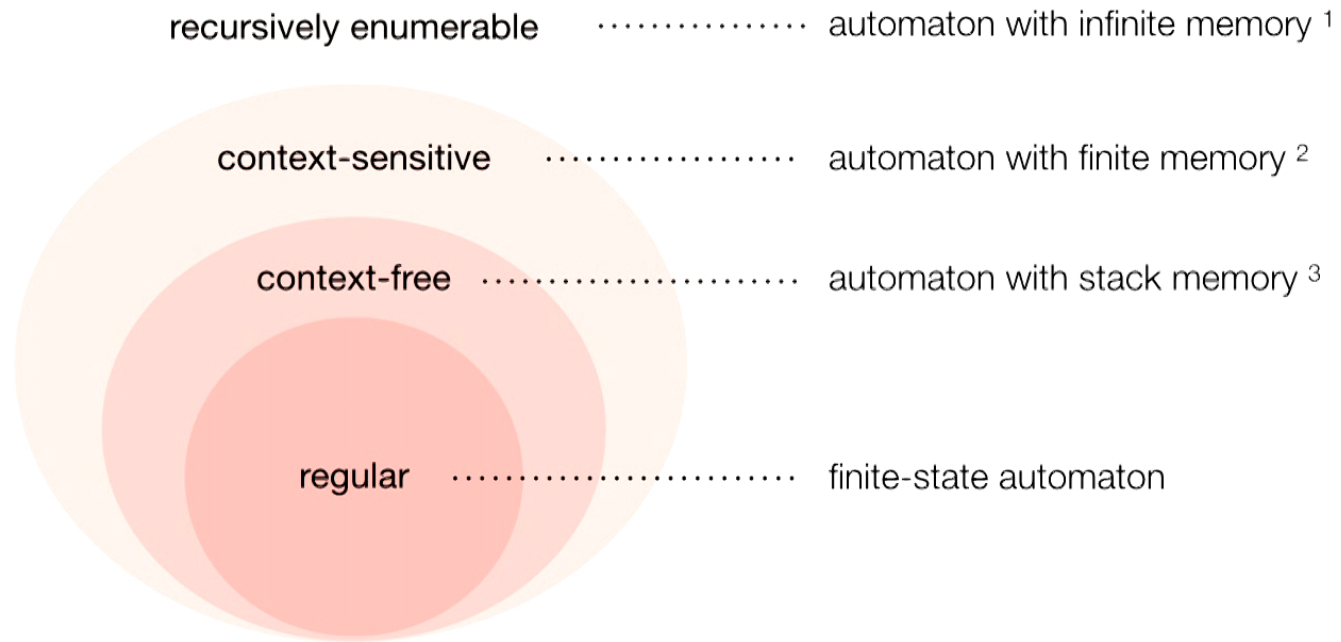


complex & rich



simple & limited

Chomsky hierarchy (1950's)



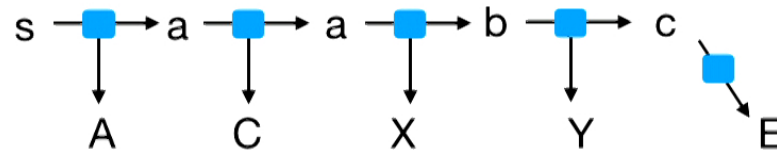
¹ Turing machine

² linear-bounded non-deterministic Turing machine

³ non-deterministic pushdown automaton

structure of derivations

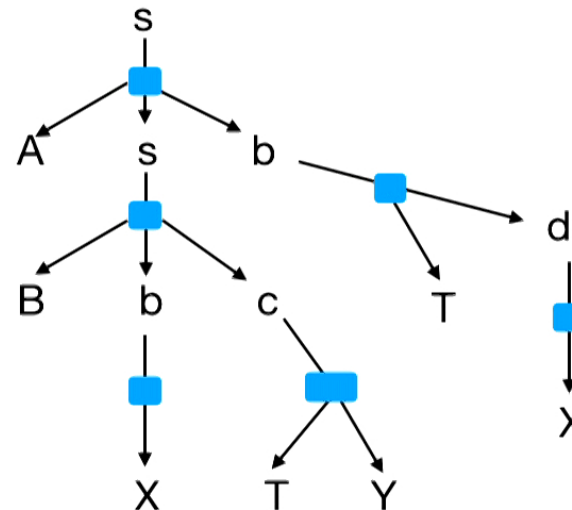
regular grammar:



- always linear
- used in computer science (e.g. search patterns)
- structure of hidden Markov models (used in protein sequence analysis)

context-free grammar:

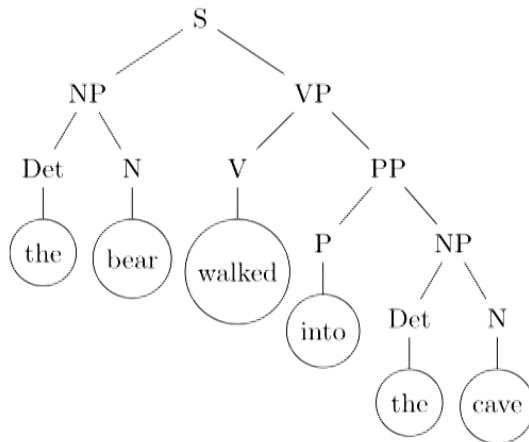
- always a tree
- used in linguistics for phrase structure (Chomsky 1956)
- central to computer science since Backus-Naur works ~1960



what about natural languages?

- ~7000 existing languages
- only 2 have confirmed non-context-free features (Swiss-German, Bambara)

i.e. context-free languages define an *ensemble* for natural language syntax



content of the tree?

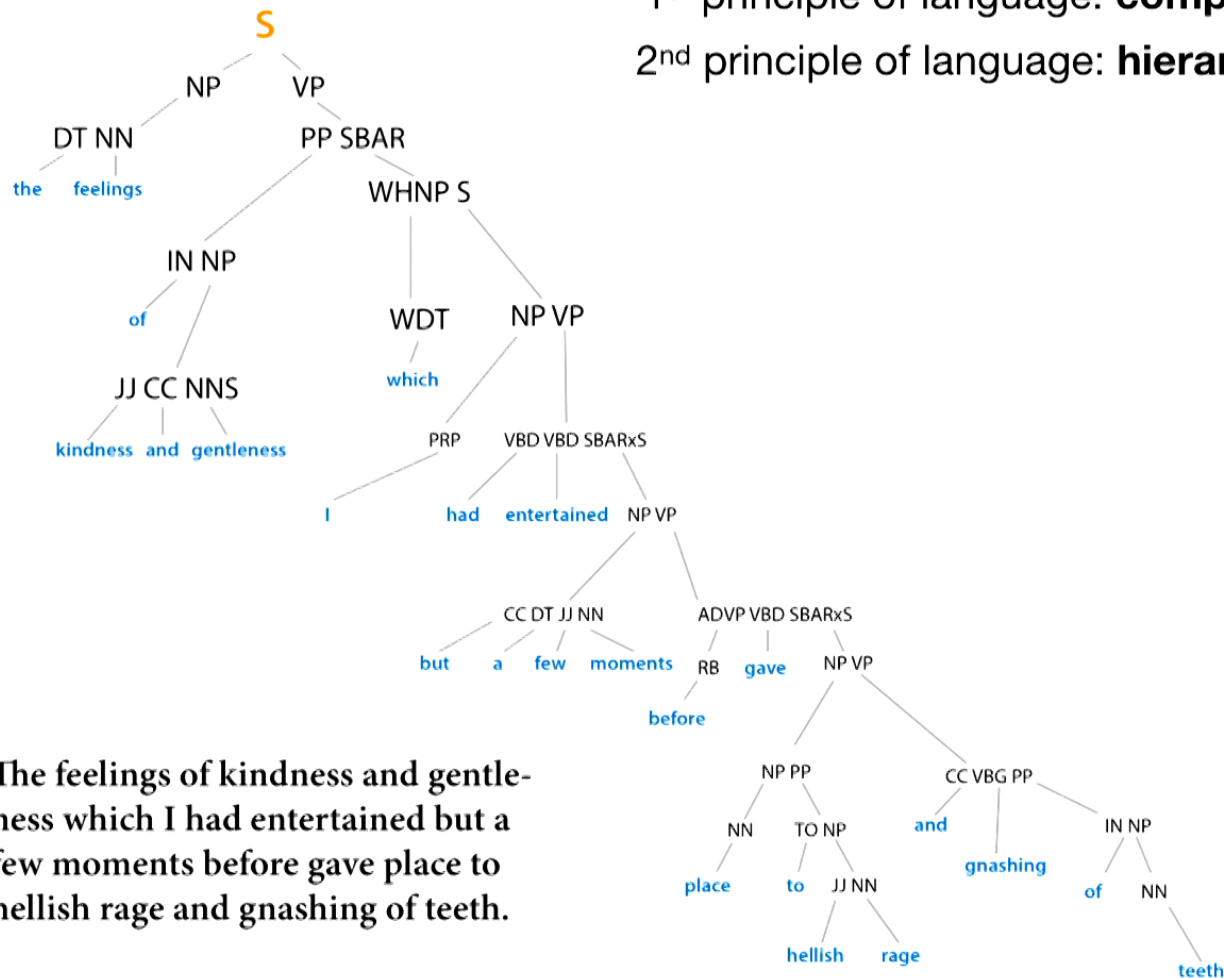
'the cave' behaves like 'cave'

'into the cave' behaves like 'into—noun'

Pullum & Gazdar 1982, Shieber 1985, Culy 1985

1st principle of language: **composition**

2nd principle of language: **hierarchy**

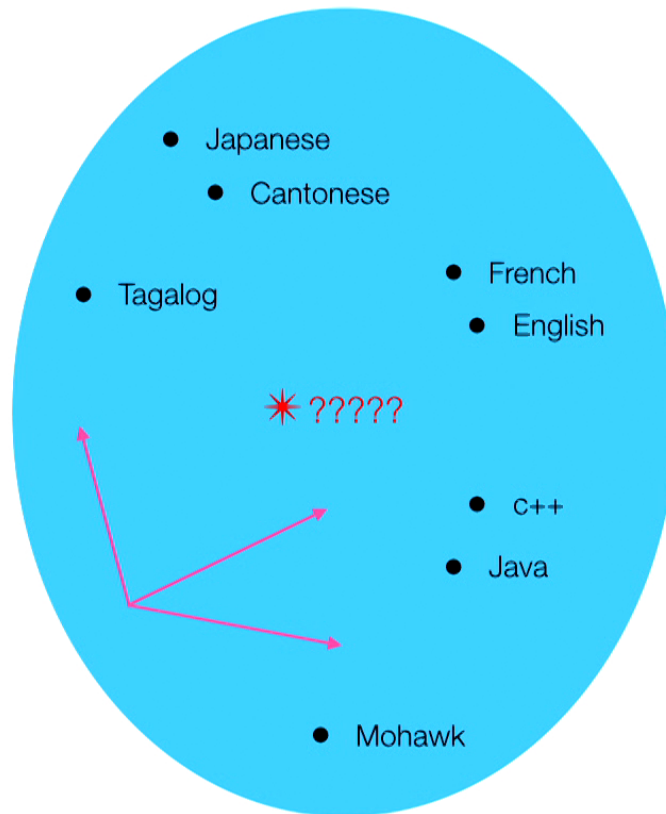


The feelings of kindness and gentleness which I had entertained but a few moments before gave place to hellish rage and gnashing of teeth.

W. Gilpin, online 2017

language ensemble

Consider ensemble of CFGs



Mathematical theorems
 \Leftrightarrow borders of CFG space

How do typical CFGs behave?

\Rightarrow statistical mechanics of language !

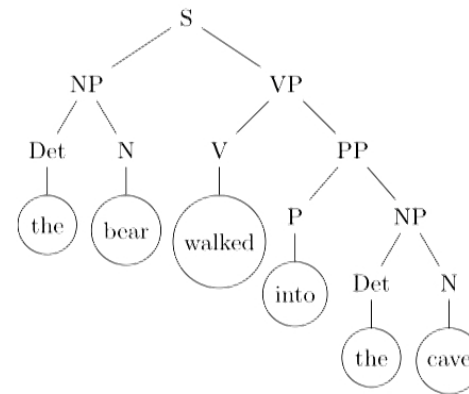
random language model – strategy

1. Quantify grammar with weights \Rightarrow 'energy' for trees

low energy \iff grammatical

2. Define ensemble of grammars

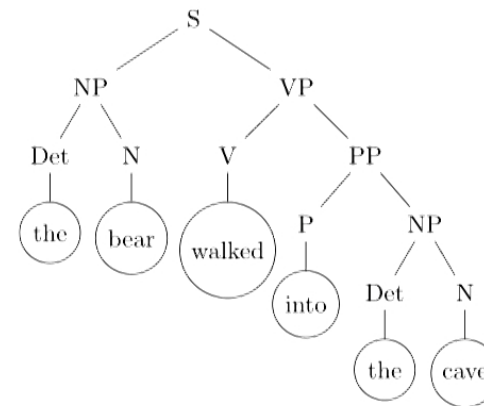
\Rightarrow 'temperature' of a grammar



random language model

1. can assume binary tree¹

all rules either $a \rightarrow bc$ or $a \rightarrow B$



2. so far, rules have been yes/no. let rules \rightarrow conditional probabilities

then a grammar is defined by

$$M_{abc} = \mathbb{P}(a \rightarrow bc \mid a \rightarrow \text{hidden}),$$

$$O_{aB} = \mathbb{P}(a \rightarrow B \mid a \rightarrow \text{observable}),$$

¹ binary tree = 'Chomsky normal form'

random language model

for simplicity, fix tree topology \mathcal{T}

$$M_{abc} = \mathbb{P}(a \rightarrow bc \mid a \rightarrow \text{hidden}),$$

$$O_{aB} = \mathbb{P}(a \rightarrow B \mid a \rightarrow \text{observable}),$$

σ = hidden symbols,

o = observables

$$E = - \sum_{a,b,c} \pi_{abc}(\sigma) \log M_{abc} - \sum_{a,B} \rho_{aB}(\sigma, o) \log O_{aB}$$

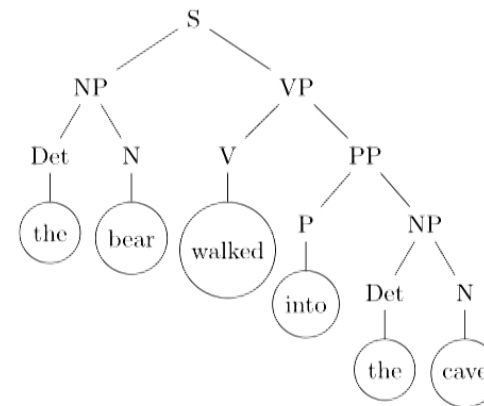
$$\mathbb{P}(\{\sigma_i, o_t\} \mid M, O, \mathcal{T}) = \frac{1}{Z} e^{-E}$$

note: M, O are probabilities for a fixed grammar, then we have an ensemble of grammars

random language model

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random language model

what is the measure on grammars?

M,O act multiplicatively \Rightarrow lognormal

$$s_d = \frac{1}{N^3} \sum_{a,b,c} \log^2 \left[\frac{M_{abc}}{M} \right], \quad s_s = \frac{1}{NT} \sum_{a,B} \log^2 \left[\frac{O_{aB}}{O} \right]$$

deep sparsity

surface sparsity

small sparsity

\Rightarrow uniform sampling of 'rules'

\Rightarrow unintelligent

$$\mathbb{P}_G(M, O) \equiv Z_G^{-1} J e^{-\epsilon_d s_d} e^{-\epsilon_s s_s}$$

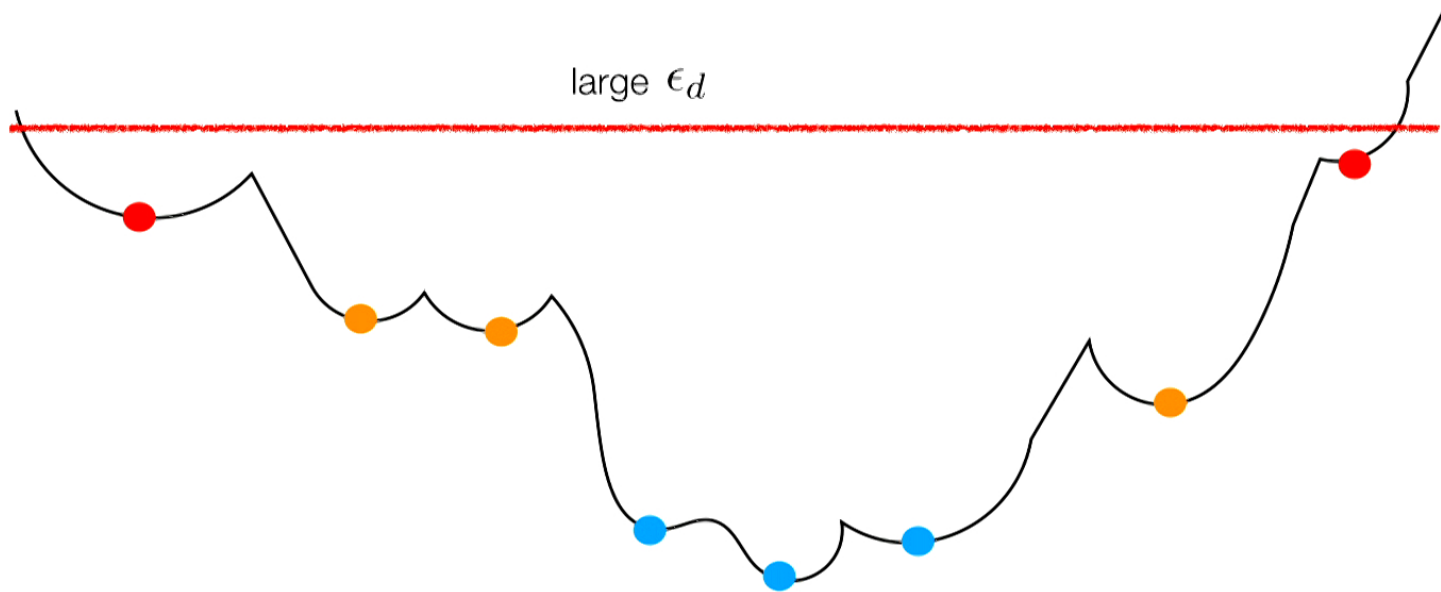
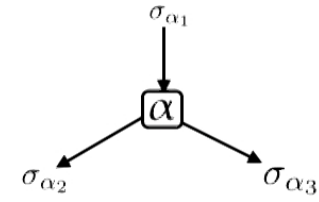
ϵ_d deep temperature

ϵ_s surface temperature

$$\overline{s_d} \sim \frac{N^3}{\epsilon_d} \quad \overline{s_s} \sim \frac{NT}{\epsilon_s}$$

random language model

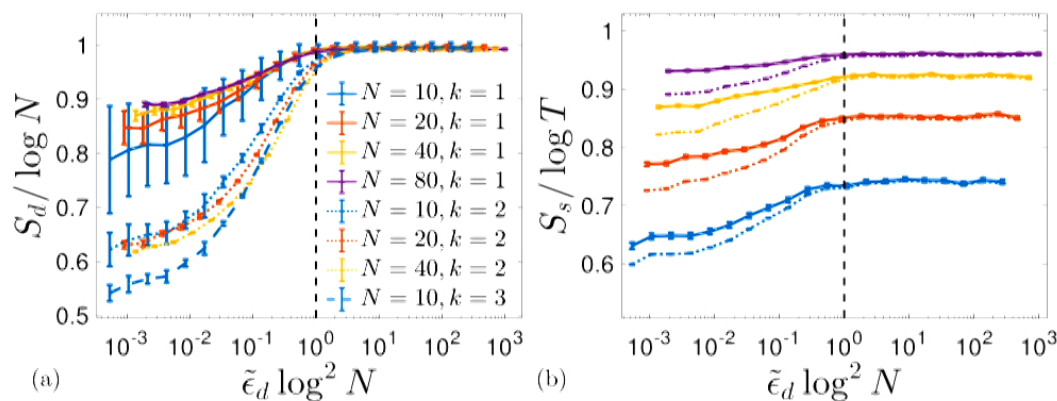
intuition for 'temperatures'



random language model — Numerical results

Fix $T=27$, $\epsilon_s = 0.01 N T$

Vary N , ϵ_d . Sample $\sim 25\,000$ languages



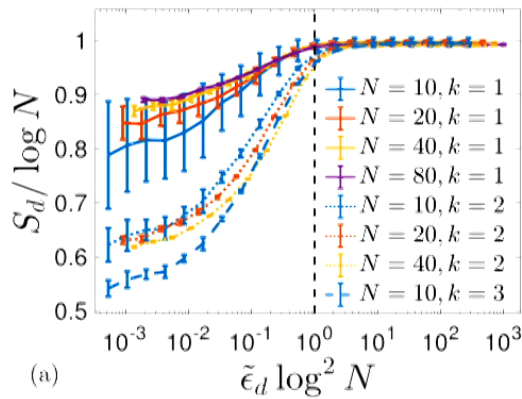
Shannon entropies

$$\tilde{\epsilon}_d = \epsilon_d / N^3$$

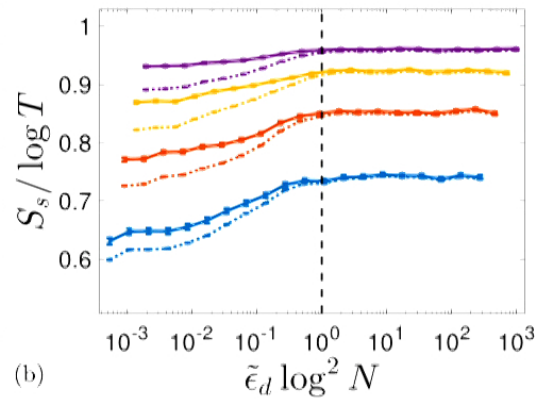
$$S_d(\mathcal{G}; k) = \frac{1}{k} \langle \log 1 / \mathbb{P}(\sigma_1, \sigma_2, \dots, \sigma_k | \mathcal{G}) \rangle \quad S_s(\mathcal{G}; k) = \frac{1}{k} \langle \log 1 / \mathbb{P}(o_1, o_2, \dots, o_k | \mathcal{G}) \rangle$$

emergence of deep structure at $\epsilon_* \sim N^3 / \log^2 N$

random language model — Numerical results

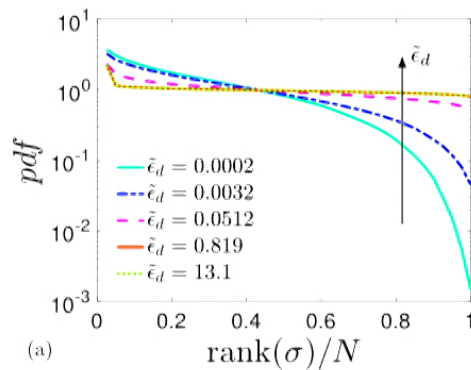


(a)



(b)

$$\tilde{\epsilon}_d = \epsilon_d / N^3$$



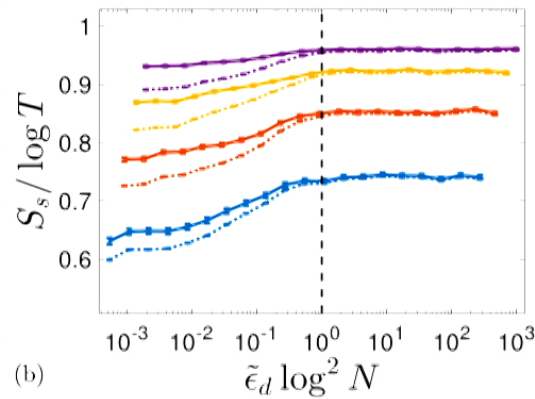
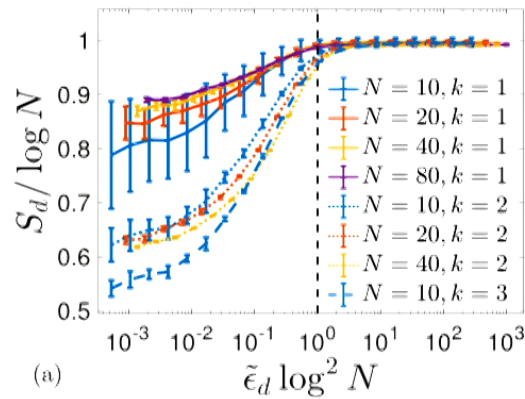
(a)

Zipf plot

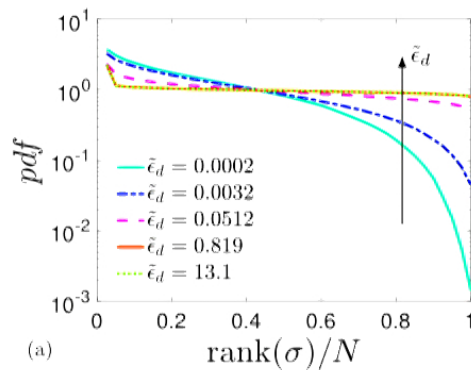
Permutation symmetry spontaneously broken at ϵ^*

Can understand ϵ^* from balancing 'energy' and entropy

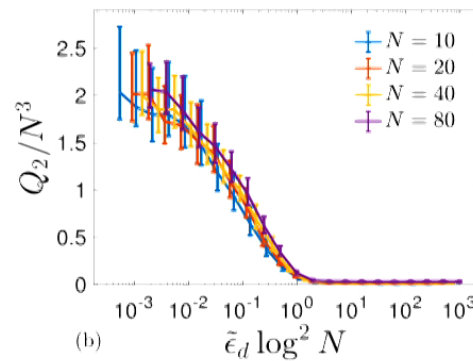
random language model — Numerical results



$$\tilde{\epsilon}_d = \epsilon_d / N^3$$



Zipf plot



$$Q_2 \equiv \overline{\sum_{a,b,c} Q_{abc}^2}$$

$$Q_{abc}(\mathcal{G}) = \langle \delta_{\sigma_{\alpha_1}, a} (N^2 \delta_{\sigma_{\alpha_2}, b} \delta_{\sigma_{\alpha_3}, c} - 1) \rangle,$$

how does a child learn syntax?

“principles & parameters” theory ¹

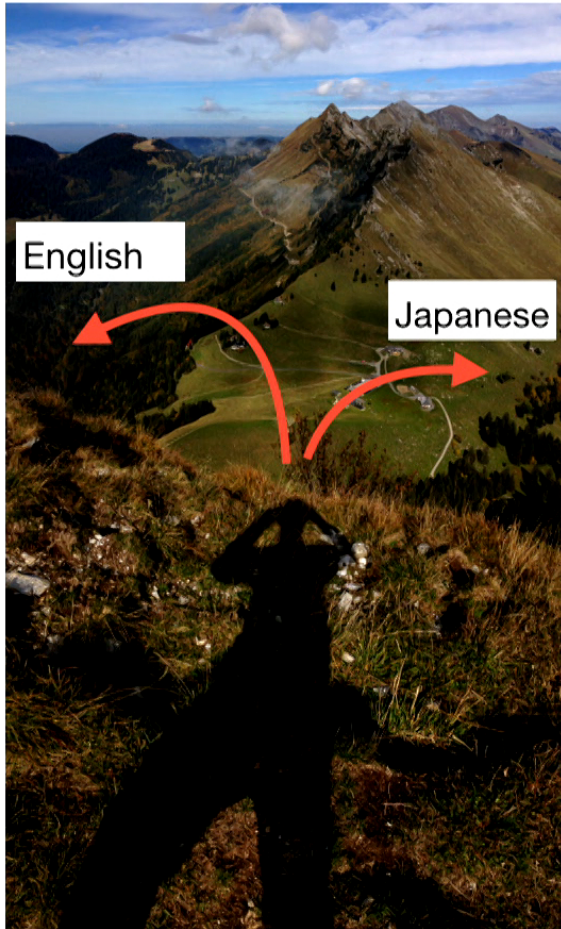
Child endowed with principles of grammar

Syntax controlled by parameters, e.g.
verbs come before objects, or vice versa

but apparently many parameters are needed! ²

¹ Chomsky 1993 ² Ramchand & Svenonius 2014

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RLM: learning = ‘energy’ descent in grammar space

parameters = symmetry breaking transitions

key point: transitions are emergent properties of model

theoretical phase diagram
⇒ syntax of human languages?

¹ Chomsky 1993 ² Ramchand & Svenonius 2014

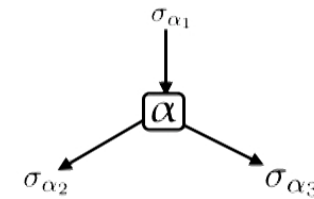
theory

-energy

$$\log \mathbb{P}(\{\sigma_i, o_t\} | M, O, \mathcal{T}) = \log P_{\sigma_0} + \sum_{\alpha \in \Omega} \log M_{\sigma_{\alpha_1} \sigma_{\alpha_2} \sigma_{\alpha_3}} + \sum_{\alpha \in \partial \Omega} \log O_{\sigma_{\alpha_1} o_{\alpha_2}}$$

looks a bit like a spin model ... except

$$J_{ijk} \quad \text{vs.} \quad M_{\sigma_i \sigma_j \sigma_k}$$



Is there a more natural representation?

Idea of theory:

Write down model whose Feynman diagrams generate trees with correct weights

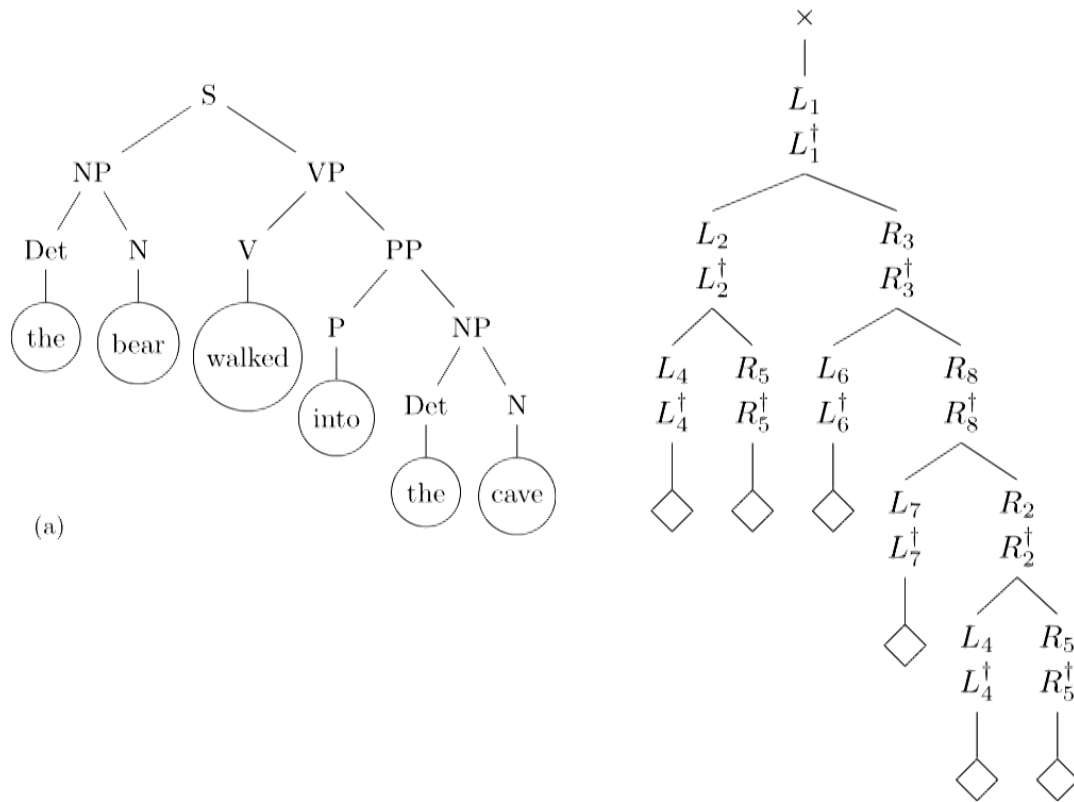


Figure 3. Feynman diagram corresponding to derivation tree in Figure 1a. Alphabet of hidden symbols is $\chi_d = (S, NP, VP, Det, N, V, P, PP)$ and alphabet of surface symbols is $\chi_s = (the, bear, walked, into, cave)$. Vertices are represented by \wedge with heads at the tip. The diagram has a weight $2h\xi^6\eta^5g^{11}M_{123}M_{245}^2M_{368}M_{872}O_{41}^2O_{52}O_{63}O_{74}O_{55}$.

theory

Feynman diagrams of \mathbb{F} generate graphs
with correct weights

$$\mathbb{F}(\mathcal{G}) = \int DL \int DR e^{-\frac{1}{g} \sum_a [L_a L_a^\dagger + R_a R_a^\dagger]} e^I$$

$$I = \zeta h(L_1 + R_1) + \xi \sum_a O_a(L_a^\dagger + R_a^\dagger) + \eta \sum_{a,b,c} M_{abc}(L_a^\dagger + R_a^\dagger) L_b R_c.$$

Extract m trees with ℓ leaves

$$\mathbb{Z}(\mathcal{G}; m, \ell) = m! \oint \frac{d\zeta}{\zeta^{1+m}} \oint \frac{d\xi}{\xi^{1+\ell}} \oint \frac{d\eta}{\eta^{1+\ell-m}} \mathbb{F}(\mathcal{G}),$$

Disorder (grammar) average

$$\overline{\log \mathbb{Z}(\mathcal{G})} = \overline{\frac{\partial \mathbb{Z}(\mathcal{G})^n}{\partial n} \Big|_{n=0}} = \frac{\partial}{\partial n} \Big|_{n=0} \overline{\mathbb{Z}(\mathcal{G})^n},$$

theory – replica symmetric ansatz

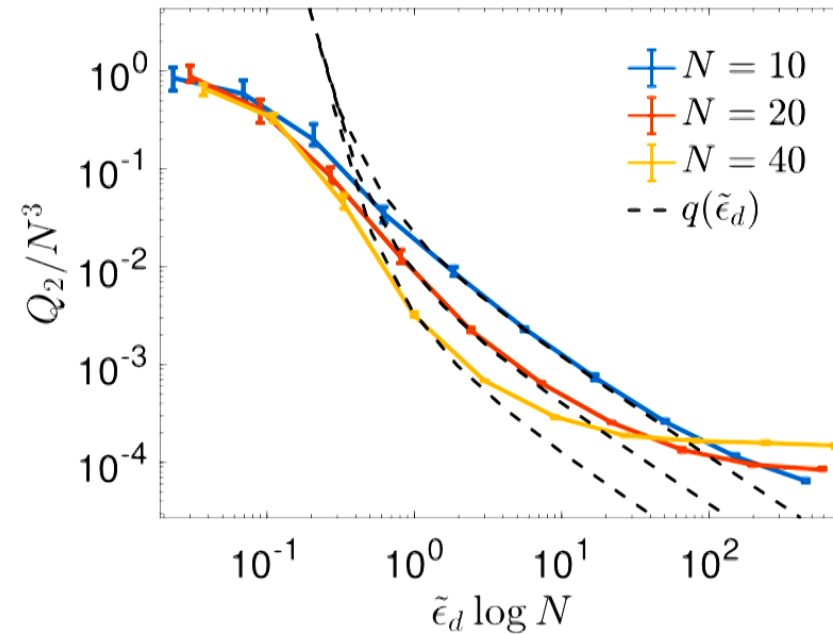


Figure 2. Order parameter Q_2 on logarithmic axes. Solid lines show numerical data from random grammars with N as indicated and $\ell \approx 10^5$. The plateau at large $\tilde{\epsilon}_d$ is a finite- ℓ effect; empirically it scales as $Q_2^\infty \sim N^4/\ell$. The function $q(\tilde{\epsilon}_d) = (e^{1/(2\tilde{\epsilon}_d)} - 1)(N^2 - 1)/N^4$ is the theoretical prediction, Eq.45.

perspectives

phase diagram: What is the phase diagram of CF languages (with fields)?

Where are human languages?

Is this robust in merge grammars? (c.f. Piatelli-Palmarini & Vitiello)

Is there a language that parses foldable proteins?

perspectives

semantics: syntax isn't everything..

e.g. who is 'he' in this dialogue: ¹

Alice: I'm leaving you.

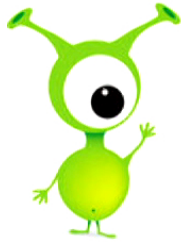
Bob: Who is he?!

Is there a physical approach to semantics?

c.f. lambda calculus, proof nets, ...

¹ from S Pinker, The Language Instinct

conclusions



- complex systems can be generative
- natural language as a model system (compositional and hierarchical)
- context-free grammars define a simple model for these properties
- ensemble of grammars = random language model
- RLM has a glass transition
- the statistical mechanical problem is not trivial, but not intractable

Mathematical linguistics has been around for 60 years.

It's time for physical linguistics!

[\(numerics\) Phys. Rev. Lett 2019](#)

[\(theory\) J.Phys A 2019](#)

Thanks to:

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