

Title: PSI 2019/2020 - Condensed Matter (Wang) - Lecture 3

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Collection: PSI 2019/2020 - Condensed Matter (Wang)

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Today: More on Band Theory

- General comments: Insulator vs. Metal vs. semi-metal

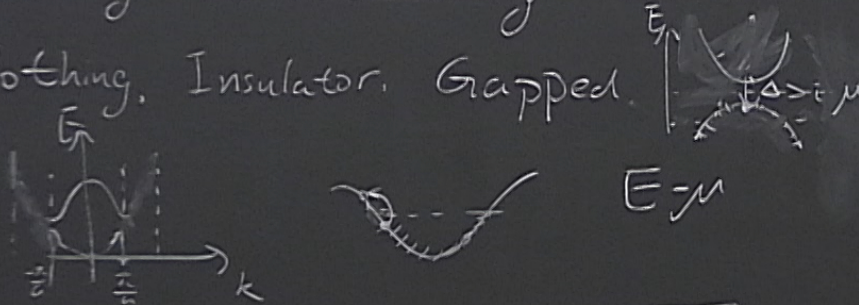
- Stability and instability

 - ① Density waves: Metal $\rightarrow$ Insulator

 - ② Graphene revisited.

A crude classification of phase of matter at low Temperature.
according to how many excitations. (D.O.F)

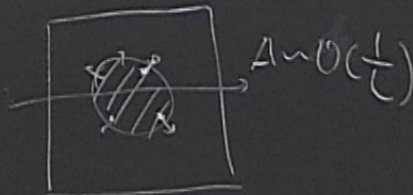
1) Nothing. Insulator. Gapped.



$$T \ll \Delta. \quad e^{-\frac{\Delta}{T}} \quad C = \frac{dE}{dT} \sim e^{-\frac{\Delta}{T}}$$

$$N(E) \equiv \frac{\# \text{ of states with excitation energy between } E \sim E+dE}{\text{Volume} \times dE}$$

2) Lots of things. Metal.

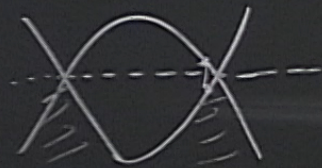


$$= 0 \quad E < 0$$

$$N(E) = \text{constant} + O(E)$$

$$C \sim T$$

3). Something (Semimetal, quantum phase transitions)
(Graphene)



$$\Delta \sim 0\left(\frac{1}{L}\right)$$

$$N(E) \propto E + O(E^2)$$

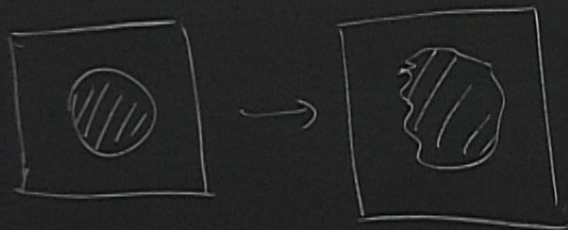
Vanishing, but only power law.

$$C \sim T^2$$

How robust are these?

1). Gapped, $\Delta > 0$. Some error in model, $\Delta \rightarrow \Delta + \delta\Delta \rightarrow$ Robust

2). Metal, if translation symmetry is preserved



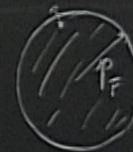
$$H = \frac{p^2}{2m} - \mu$$

$$n_p = \langle C_p^\dagger C_p \rangle =$$

$$1 \text{ if } \frac{p^2}{2m} - \mu < 0$$

$$0 \text{ if } \dots > 0$$

$$p_F = \sqrt{2m\mu}$$

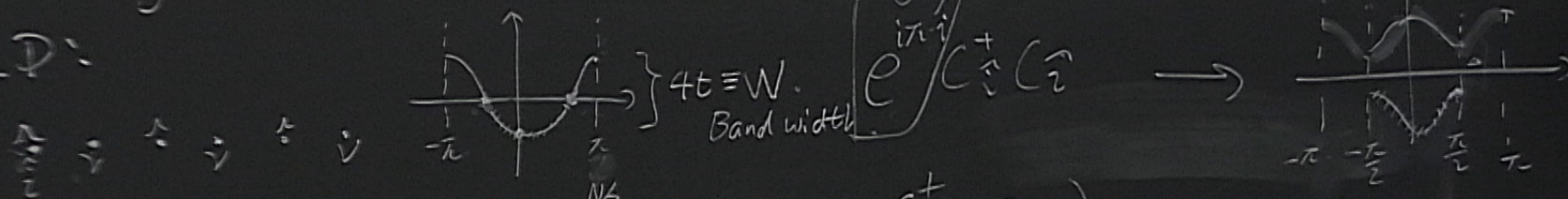


$$k \sim k + T_c$$

 $\Delta \sim \delta$

Ex. Density wave (translation breaking) $e^{iq \cdot x}$

1D:

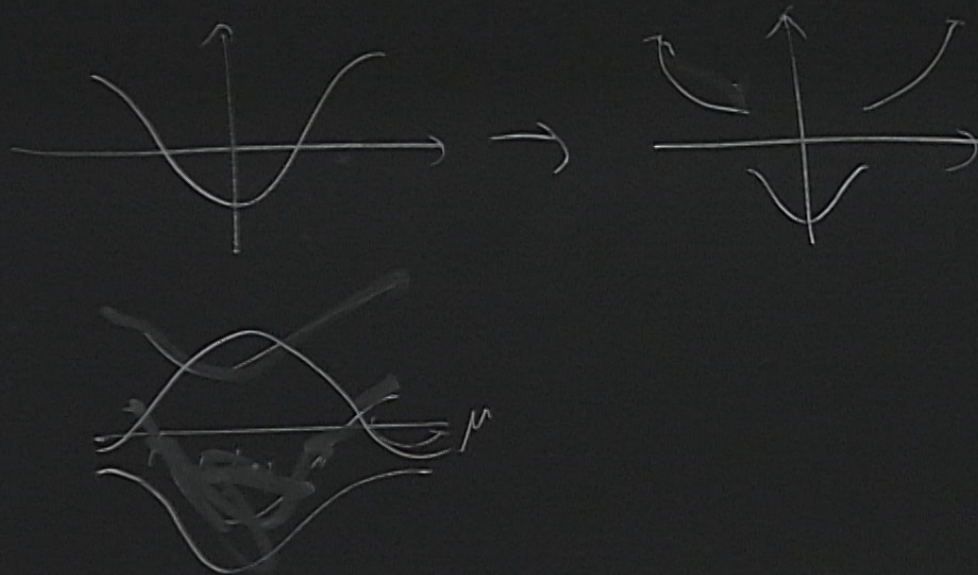


$$H = -t \sum_{i=1}^N (C_i^+ C_{i+1} + C_{i+1}^+ C_i) - \delta \sum_{j=1}^{N/2} (C_{2j}^+ C_{2j} - C_{2j+1}^+ C_{2j+1})$$

$$= -2t \sum_{k=-\pi}^{\pi} \cos k C_k^+ C_k - \delta \sum_k C_{k+\pi}^+ C_k$$

Suppose $\delta \ll W \sim t$

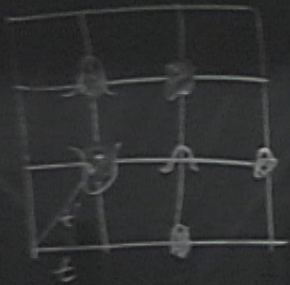
$$T \ll \Delta \sim \delta$$



$$N(E) = \text{constant} + O(E)$$

> 0

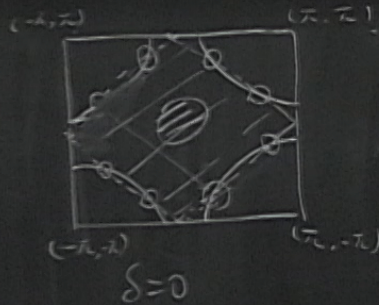
$$C \sim T$$



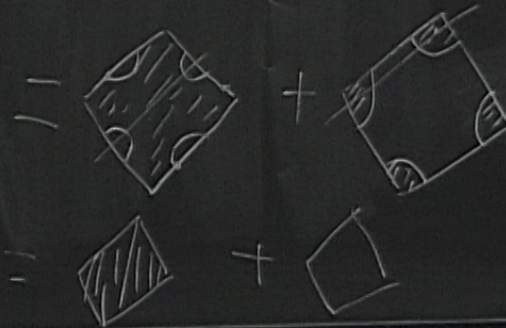
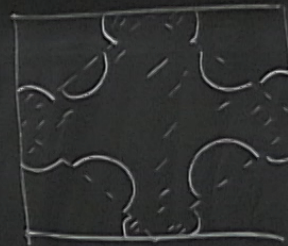
$$n_i = \langle C_i^\dagger C_i \rangle = \frac{1}{2}$$

$$H = \delta (C_i^\dagger C_j - C_j^\dagger C_i)$$

(π, π) density wave, suppose $\delta \ll W$.



$$\delta \ll W$$

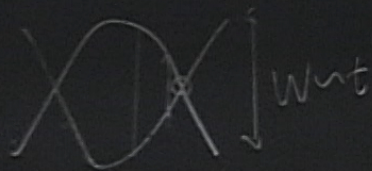


$$\delta \gg W$$

3. Graphene.

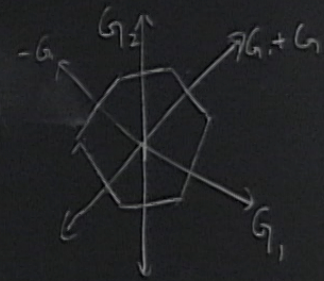
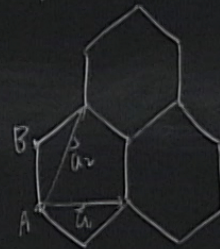
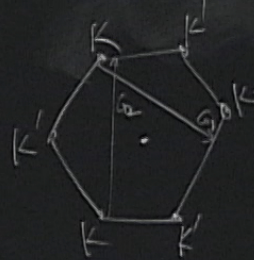
$$\delta \ll W.$$

Interesting things only happen near.
Dirac points $K, K' = -K$

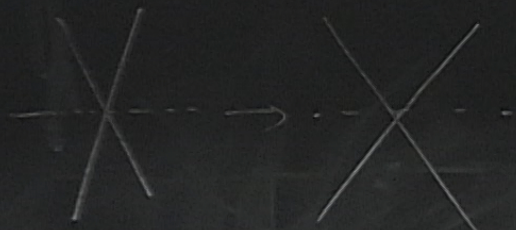


$$\delta H \sim \delta \sum_i c_i^\dagger c_i \rightarrow \delta \sum_{\mathbf{k}} c_{\mathbf{k}}^\dagger (2 \times 2) c_{\mathbf{k}}$$

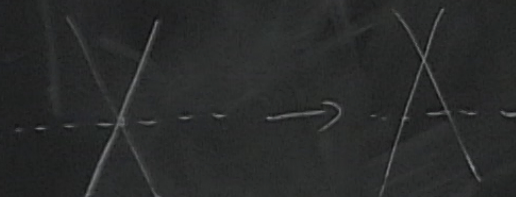
Assume δH preserves translation.



CD



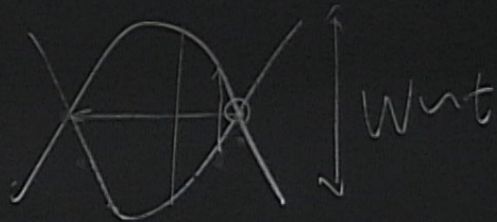
CD2



e.g.
Second-neighbor hopping

$$E(k) = \pm V \cdot \sqrt{\delta k_x^2 + \delta k_y^2} + \delta$$

3) Graphene.



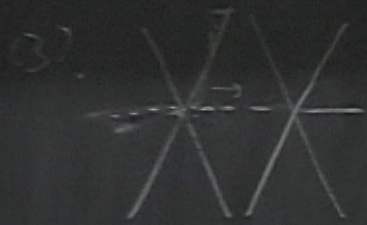
$$\delta H \sim \delta \sum_i c_i^\dagger c_i$$

Assume δH preserve

$$\frac{N(E)}{V} > 0$$

$$N(E) = \text{constant} + V(E)$$

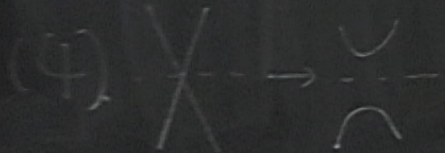
$$C \sim T$$



Dirac cone move away from $K \rightarrow K + \delta K$

Not possible if SH preserves C_3 rotation.

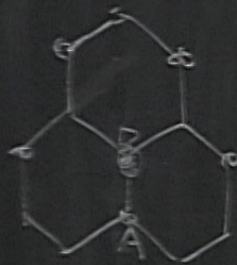
K & $K' = -K$ are invariant under C_3



Not possible if SH preserves C_2 & Time-reversal Symmetries.

$$C_2 \rightarrow C_2, i \rightarrow -i$$

$$\delta H = \delta \sum_{\vec{r}} (C_{i,A}^\dagger C_{i,A} - C_{i,B}^\dagger C_{i,B}) e^{i\vec{k} \cdot \vec{a}_i} + e^{i\vec{k} \cdot (\vec{a}_i - \vec{a}_j)}$$



$$\sim \sum_{\vec{k}} C_h^\dagger \begin{pmatrix} \delta & 1+e^{i\vec{k} \cdot \vec{a}_1} \\ & -\delta \end{pmatrix} C_k$$

$$= \sum_{\vec{k}} C_h^\dagger \begin{pmatrix} \delta k_x G_x + \delta k_y G_y \\ + \delta G_z \end{pmatrix} C_k$$

$$\delta \gg t$$

$$\delta \ll t$$

$$E = \pm \sqrt{\delta k_x^2 + \delta k_y^2 + \delta^2}$$