

Title: Discussion 1

Speakers:

Collection: Simplicity III

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### *2d boundary of 3d TSC*

Consider a modified boundary Hamiltonian (Wang, Senthil 2014):

$$H = \int d^2x \sum_{a=1,2} \chi_a^t (i\tau^x \partial_x + i\tau^z \partial_y) \chi_a + \phi_x \chi^t \tau^y \sigma^x \chi + \phi_y \chi^t \tau^y \sigma^z \chi$$

Consider an enlarged O(2) symmetry.

When  $\phi$  condenses/orders, it breaks T, breaks O(2), but keeps

$$T' = T \otimes (\pi\text{-rotation})$$

All the symmetries can be restored by condensing the vortices of the  $\phi$  order parameter. A fully gapped, nondegenerate, symmetric state is only possible if the vortex is gapped, nondegenerate.

A vortex core has one Majorana mode, and

$$T' : \gamma_a \rightarrow \gamma_a$$

With  $N = 16$ , interaction can gap out the 2d boundary with no deg.

## 2d boundary of 3d TSC

Numerical evidences of 2d massive Dirac fermions without fermion bilinear mass: Slagle, You, Xu, 2014, He, et.al. 2015

$$H = T + T' + W$$

$$T = -t \sum_{\langle ij \rangle} \sum_{\ell, s} \left( c_{i\ell s}^\dagger c_{j\ell s} + h.c. \right)$$

$$T' = i\lambda \sum_{\langle\langle ij \rangle\rangle} \sum_{\ell} \nu_{ij} c_{i\ell}^\dagger \sigma^z c_{j\ell}$$

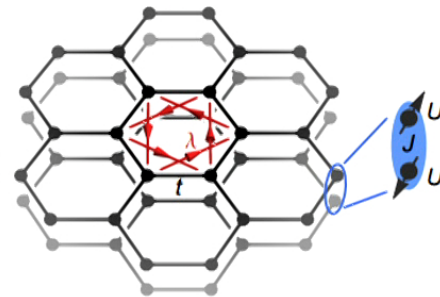
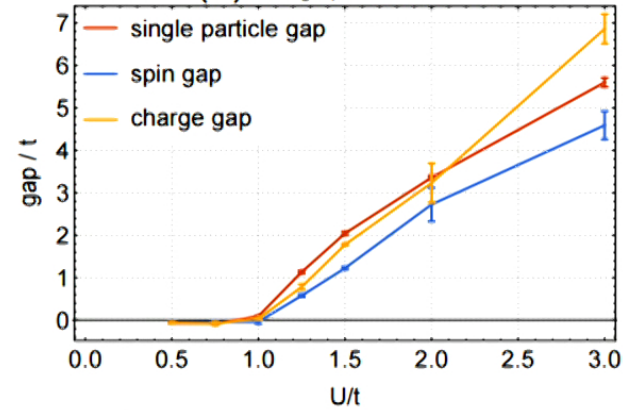
$$W = \frac{U}{2} \sum_{i, \ell} (n_{i\ell} - 1)^2$$

$$+ J \sum_i \left[ \mathbf{S}_{i1} \cdot \mathbf{S}_{i2} + \frac{1}{4}(n_{i1} - 1)(n_{i2} - 1) - \frac{1}{4} \right]$$

More numerical evidences:

Ayyar, Chandrasekharan 2014, Catterall, 2014  
(same number of Dirac fermions)

(a) 2d gaps with  $\lambda = 0$



### *3d boundary of 4d TSC (Toy model of SM)*

The 3d boundary of a 4d TSC with  $U(1) \times T \times Z_2$  symmetry:

$$H = \int d^3x \sum_{a=1}^2 \psi_a^\dagger (i\vec{\sigma} \cdot \vec{\partial}) \psi_a$$

These symmetries guarantee that no quadratic mass terms are allowed at the 3d boundary. So without interaction the classification of this 4d TSC is  $\mathbf{Z}$ .

We want to argue that, with interaction, the classification is reduced to  $\mathbf{Z}_8$ , namely the interaction can gap out **16** flavors of 3d left chiral fermions without generating any quadratic fermion mass.

You, BenTov, Xu, arXiv:1402.4151

### 3d boundary of 4d TSC (Toy model of SM)

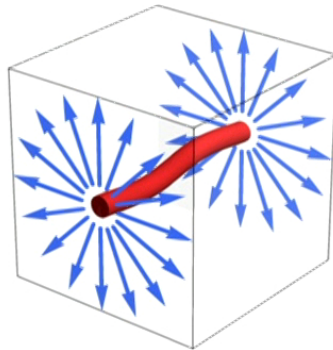
The 3d boundary of a 4d TSC with  $U(1) \times T \times Z_2$  symmetry:

$$H = \int d^3x \sum_{a=1}^2 \psi_a^\dagger (i\vec{\sigma} \cdot \vec{\partial}) \psi_a$$

Now consider  $U(1)$  order parameter:

$$\vec{\phi} = (\text{Re}[\psi^t \sigma^y \tau^x \psi], \text{Re}[\psi^t \sigma^y \tau^z \psi])$$

$U(1)$  symmetry can be restored by proliferating vortex loop.



$$H_{vortex} = \int dx \chi_L i \partial_x \chi_L - \chi_R i \partial_x \chi_R \quad Z_2 : \begin{array}{l} \chi_L \rightarrow \chi_L, \\ \chi_R \rightarrow -\chi_R \end{array}$$

For  $N=1$  copy, the vortex line is a gapless 1+1d Majorana fermion with  $T$  and  $Z_2$  symmetry when and only when  $N=8$  (**16 chiral fermions at the 3d boundary**), interaction can gap out vortex loop without degeneracy.

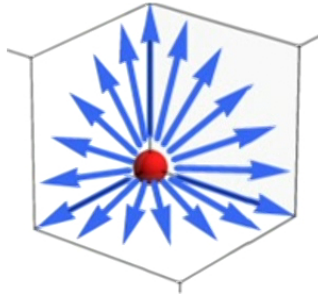
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Now consider three component order parameter:

$$\vec{\phi} = \text{Re}[\psi^t (\sigma^y \otimes i\tau^y \vec{\tau}) \psi]$$



All the symmetries can be restored by condensing the hedgehog monopole of the order parameter. For  $N=1$  copy, the monopole is a 0d Majorana fermion with  $T$  symmetry

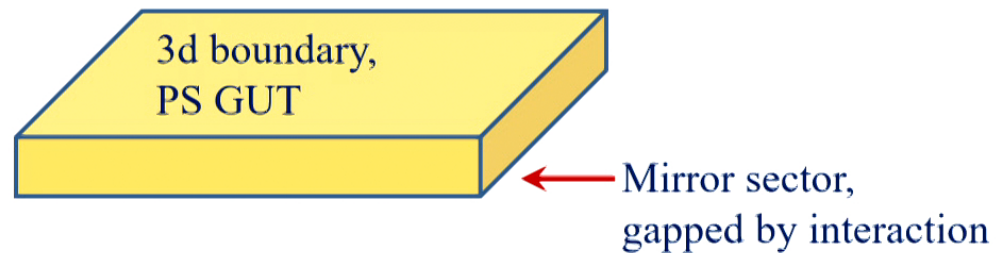
$$T : \gamma_a \rightarrow \gamma_a,$$

Then when  $N=8$  (16 chiral fermions at the 3d boundary), interaction can gap out monopole.

### *Pati-Salam Grand Unified Theory*

16 left handed chiral fermions decompose into representations of  $SU(4) \times SU(2)_1 \times SU(2)_2$  gauge groups as  $(4, 2, 1)$  and  $(4^*, 1, 2)$   
For more details, please wiki.

Our goal is to argue that, a 4+1d topological insulator (superconductor) with  $SU(4) \times SU(2)_1 \times SU(2)_2$  symmetry, whose boundary has 16 chiral fermions, without interaction has  $\mathbb{Z}$  classification, but under interaction becomes trivial.



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To make this statement, there are two strategies:

- 1**, argue the boundary can be gapped out without generating any fermion bilinear mass;
- 2**, argue the bulk quantum critical point between trivial and TI phases can be gapped out by interaction; i.e. there is only one trivial phase in the bulk.

We will take the **second strategy**.

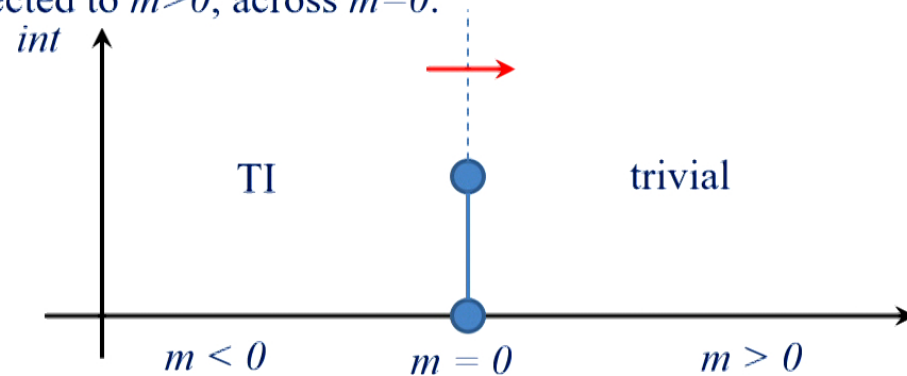


### *Pati-Salam Grand Unified Theory*

Consider a 4+1d TI or TSC with  $SU(4) \times SU(2)_1 \times SU(2)_2$  symmetry. Without interaction, it is 16 flavors of 4+1d integer quantum Hall state.

$$H = \sum_{a=1}^{16} \sum_{\vec{k}} \psi_{\vec{k},a}^\dagger \left( \sum_{i=1}^4 \sin(k_i) \Gamma^i + (m + 4 - \sum_{i=1}^4 \cos(k_i)) \Gamma^5 \right) \psi_{\vec{k},a}$$

Goal: argue that the  $m=0$  line can be gapped by interaction with  $SU(4) \times SU(2)_1 \times SU(2)_2$  symmetry, and state  $m < 0$  can be smoothly connected to  $m > 0$ , across  $m=0$ .



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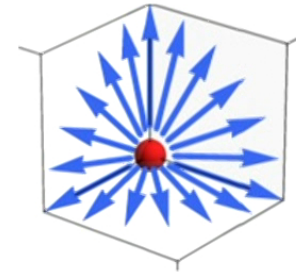
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Strategy (sketch):

spontaneously break  $SU(2)_1 \times SU(2)_2$  symmetry by cooper pair. The cooper pair will be an  $SO(4)$  vector. Recall  $SO(4) \sim SU(2)_1 \times SU(2)_2$

$$(4, 2, 1) \otimes (\bar{4}, 1, 2) = (1, 2, 2) \oplus \dots$$

The  $SO(4)$  symmetry can be restored by condensing the monopoles of the  $SO(4)$  vector. We need to argue, while tuning  $m$ , the spectrum of monopole never closes gap **when and only when there is interaction.**



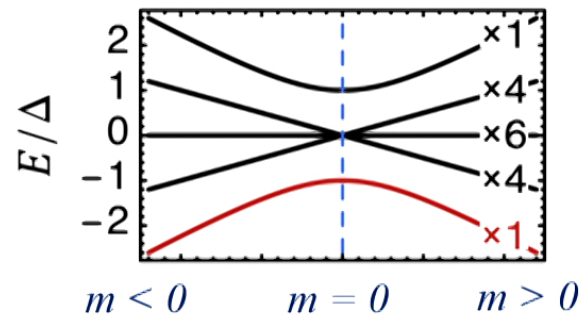
### *Pati-Salam Grand Unified Theory*

The spectrum of monopole: four localized fermion modes,  $f_1 \dots f_4$ .

Effective Hamiltonian in the monopole core:

$$H_{eff} \sim m \left( \sum_{a=1}^4 f_a^\dagger f_a - 2 \right) - U(f_1 f_2 f_3 f_4 + H.c.)$$

The monopole spectrum changes smoothly without closing gap by tuning  $m$ , thus the system never closes gap after condensing monopoles, and restoring all the symmetries.



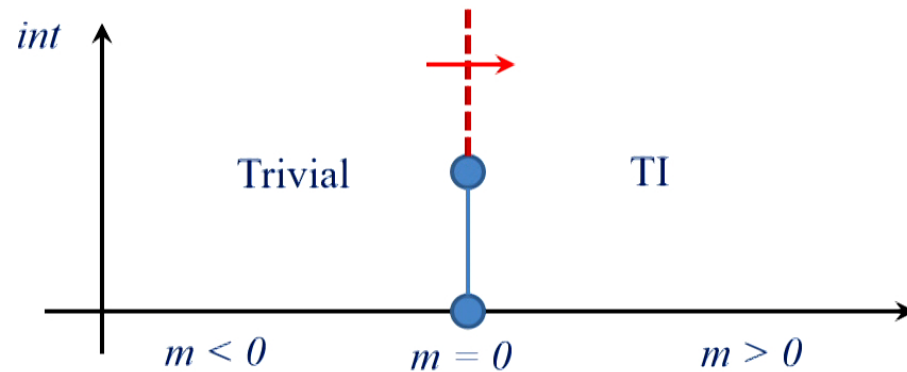
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*Comments:*

1, A more mathematical study of whether the mirror sector can be gapped out, or a mathematical derivation of the classification of interacting 4+1d topological SC whose boundary mimics the GUT:  
Wang, Wen: arXiv:1809.11171 (1+1d analogue, arXiv:1307.7480)

2, The key point of our mechanism is that, the bulk TI/TSC is nontrivial without interaction, but trivialized by interaction. However, sometimes, even if the bulk is nontrivial, the boundary (which is anomalous) can be gapped out in to a “topological order”.