

Title: The Quantum Approximate Optimization Algorithm and spin chains

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Abstract: Various optimization problems that arise naturally in science are frequently solved by heuristic algorithms. Recently, multiple quantum enhanced algorithms have been proposed to speed up the optimization process, however a quantum speed up on practical problems has yet to be observed. One of the most promising candidates is the Quantum Approximate Optimization Algorithm (QAOA), introduced by Farhi et al. I will then discuss numerical and exact results we have obtained for the quantum Ising chain problem and compare the performance of the QAOA and the Quantum Annealing algorithm. I will also briefly describe the landscape that emerges from the optimization problem and how techniques borrowed from machine learning can be used to improve the optimization process.

# Quantum Approximate Optimization Algorithm in spin chains

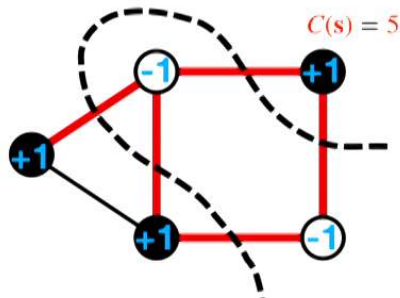
Glen Bigan Mbeng  
Machine learning for quantum design, Waterloo  
9<sup>th</sup> July, 2019



# Classical Optimization

Minimization of a cost function  $H(\mathbf{s})$ : **Single valued** function of **discrete** variables with many local minima

## Classical optimization (MaxCut Problem)



$$H_{cl}(\mathbf{s}) = -C(\mathbf{s}) = -\sum_{\langle i,j \rangle} \frac{1}{2}(1 - s_i s_j)$$

NP-hard problem

## Approximation Algorithms

Approximate solution  $\mathbf{s}^* = (s_1^*, s_2^*, \dots)$

Residual energy (or fractional error)  $e_{res} \in [0,1]$

$$e_{res}(s_1^*, s_2^*, \dots) = \frac{H_{cl}(s_1^*, s_2^*, \dots) - \min_{\mathbf{s}} H_{cl}(\mathbf{s})}{|\min_{\mathbf{s}} H_{cl}(\mathbf{s})|}$$

Best classical result (Goemans and Williamson algorithm)

$$e_{res}^* < 0.13$$

Can Quantum Algorithms do better?

# Analog Quantum Annealing (QA)

$$\widehat{H}_z = H_{cl}(\widehat{\sigma}_1^z, \widehat{\sigma}_2^z, \dots) = - \sum_{\langle i,j \rangle} \frac{1}{2} (1 - \widehat{\sigma}_i^z \widehat{\sigma}_j^z)$$

Problem Hamiltonian

$E_{GS}?$

$$s_i \rightarrow \widehat{\sigma}_i^z$$

$$\widehat{H}_x = - \sum_i \widehat{\sigma}_i^x$$

Driving auxiliary Hamiltonian (quantum fluctuations)

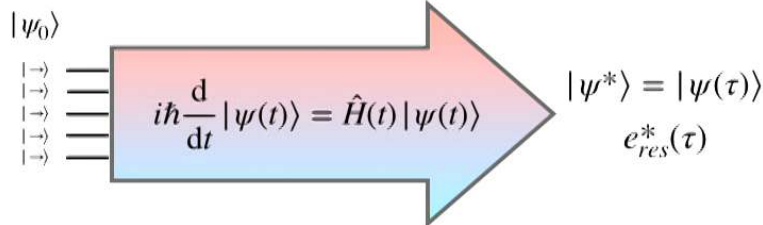
$$\widehat{H}(t) = s(t) \widehat{H}_z + (1 - s(t)) \widehat{H}_x$$

Time dependent Hamiltonian

$$s(t) = \frac{t}{\tau}$$

Linear schedule (simple but not optimal)

← annealing time



Relies on the **adiabatic theorem**

$$\tau \gg 1/\Delta^2 \implies e_{res} \rightarrow 0$$

For hard problems  $\Delta \rightarrow 0$

[Kadowaki and Nishimori (1998)]

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# Quantum Approximate Optimization Algorithm

$$\widehat{H}_z = H_{cl}(\widehat{\sigma}_1^z, \widehat{\sigma}_2^z, \dots) = - \sum_{\langle i,j \rangle} \frac{1}{2} (1 - \widehat{\sigma}_i^z \widehat{\sigma}_j^z)$$

Problem Hamiltonian

$E_{GS}?$

$$s_i \rightarrow \widehat{\sigma}_i^z$$

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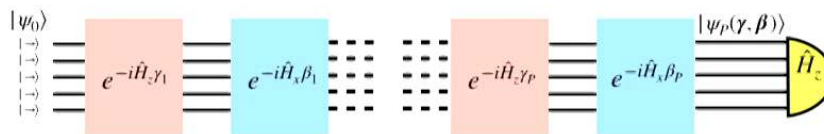
Driving auxiliary Hamiltonian (quantum fluctuations)

$$e^{-\frac{i}{\hbar} \widehat{H}(s_m) \Delta t_m} \simeq e^{-\frac{is_m \Delta t_m}{\hbar} \widehat{H}_z} e^{-\frac{i(1-s_m) \Delta t_m}{\hbar} \widehat{H}_x}$$

Digital simulation (Trotter decomposition)

$$\gamma_m = s_m \Delta t_m / \hbar$$

$$\beta_m = (1 - s_m) \Delta t_m / \hbar$$



Perform classical optimization on 2P parameters

$$E_P(\gamma, \beta) = \langle \psi_P(\gamma, \beta) | \widehat{H}_z | \psi_P(\gamma, \beta) \rangle$$

$$\gamma^*, \beta^*$$

$$|\psi^*\rangle = |\psi_P(\gamma^*, \beta^*)\rangle$$

[E. Farhi, et al, arXiv:1411.4028 (2014)]

[W. W. Ho and T. H. Hsieh, SciPost Phys. (2019)]

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# Why QAOA?

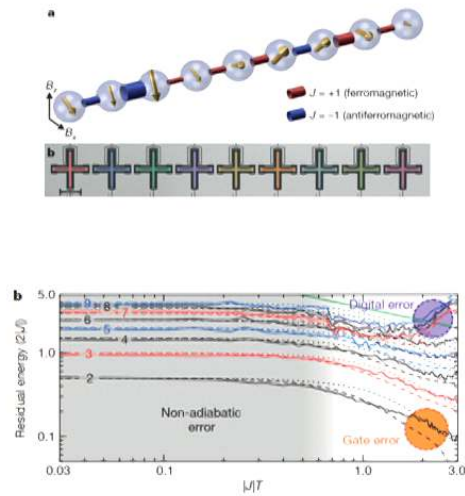
- **Low depth QAOA circuits can compete with classical algorithms**

[E. Farhi et al, arXiv:1412.6062 (2015)]

[B. Barak arXiv:1505.03424 (2015)]

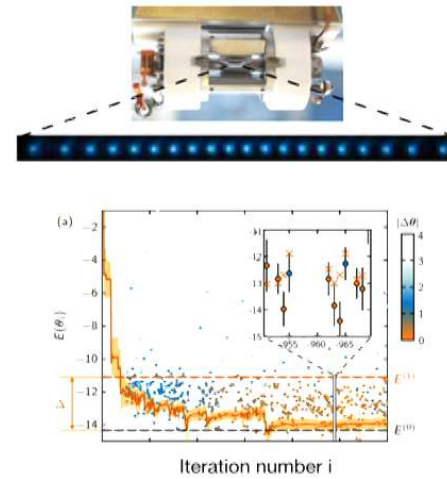
- **Experiments**

## Digital Quantum Annealing



[Barends et al. *Nature*, (2016)]

## Variational Quantum Simulation



[Kokail, et al. *Nature* (2019)]

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# MaxCut 2-regular: Ising chain

$$\widehat{H}_z = \sum_{i=1}^N \widehat{\sigma}_i^z \widehat{\sigma}_{i+1}^z$$

Antiferro Ising on an N-ring with PBC

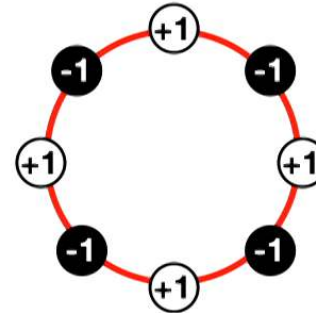
**Trivial classical target state**

$$\Phi_{\text{target}}^{\text{cl}} = (\uparrow, \downarrow, \dots, \uparrow, \downarrow)$$

$$\Phi_{\text{target}}^{\text{cl}} = (\downarrow, \uparrow, \dots, \downarrow, \uparrow)$$

**Non trivial quantum target state**

$$|\psi_{\text{target}}\rangle = \frac{1}{\sqrt{2}}(|\uparrow, \downarrow, \dots, \uparrow, \downarrow\rangle + |\downarrow, \uparrow, \dots, \downarrow, \uparrow\rangle)$$

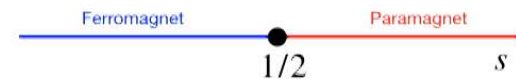


$$E_{\text{max}} = N$$

$$E_{\text{min}} = -N$$

$$\widehat{H} = s\widehat{H}_z + (1-s)\widehat{H}_x$$

**Quantum phase transition**



# Variational Bound (preview)

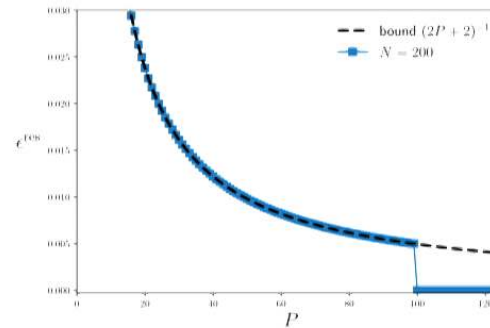
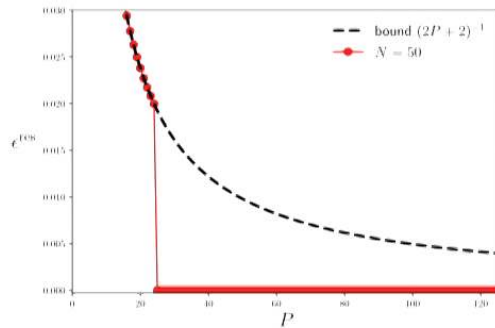
## Emergence of the bound

$$e_{\text{res}}(\gamma, \beta) \geq \begin{cases} \frac{1}{2P+2} & 2P < N \\ 0 & 2P \geq N \end{cases}$$

- Locality
- Translational invariance

$$e_{\text{res}}(\gamma, \beta) = \frac{E_P(\gamma, \beta) - E_{\min}}{E_{\max} - E_{\min}}$$

## Jordan Wigner results



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# Variational Bound (preliminaries)

## Translational invariance

$$e_{\text{res}}(\gamma, \beta) = \langle \psi_P(\gamma, \beta) | \frac{\hat{\sigma}_{j_s}^z \hat{\sigma}_{j_s}^z + 1}{2} | \psi_P(\gamma, \beta) \rangle$$

## Discrete time

$$\hat{U}_m = e^{-i\beta_m \hat{H}_x} e^{-i\gamma_m \hat{H}_z} \quad m = \text{“discrete” time}$$

$$| \psi_P(\gamma, \beta) \rangle = \hat{U}_P \cdots \hat{U}_1 | \psi_0 \rangle$$

## Heisenberg representation

$$\langle \psi_P(\gamma, \beta) | \hat{\sigma}_{j_s}^z \hat{\sigma}_{j_s}^z | \psi_P(\gamma, \beta) \rangle = \langle \psi_0 | \hat{U}_1^\dagger \cdots \hat{U}_P^\dagger \hat{\sigma}_{j_s}^z \hat{\sigma}_{j_s}^z \hat{U}_P \cdots \hat{U}_1 | \psi_0 \rangle$$

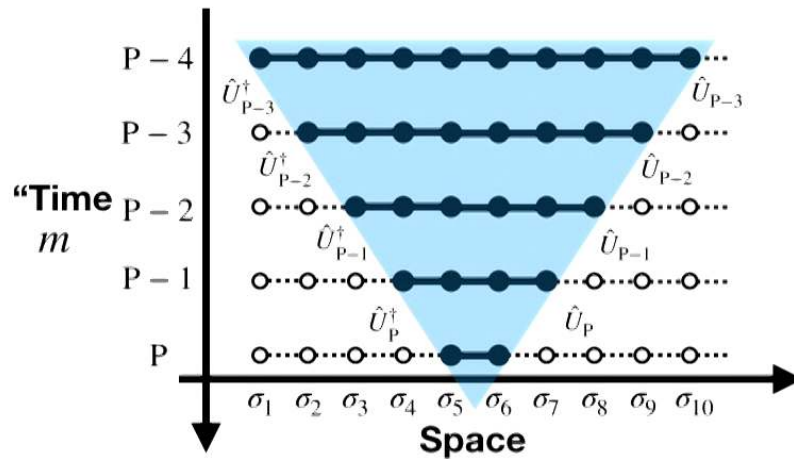
# Reduced Chain

$$\langle \psi_0 | \hat{U}_1^\dagger \cdots \hat{U}_{P-1}^\dagger \hat{U}_P^\dagger \hat{\sigma}_5^z \hat{\sigma}_6^z \hat{U}_P \hat{U}_{P-1} \cdots \hat{U}_1 | \psi_0 \rangle$$

$$\cdots \hat{U}_P^\dagger \hat{\sigma}_5^z \hat{\sigma}_6^z \hat{U}_P \cdots$$

$$\cdots e^{i\gamma_P \hat{H}_z} e^{i\beta_P \hat{H}_x} \hat{\sigma}_5^z \hat{\sigma}_6^z e^{-i\beta_P \hat{H}_x} e^{-i\gamma_P \hat{H}_z} \cdots$$

$$\cdots e^{i\gamma_P (\hat{\sigma}_4^z \hat{\sigma}_5^z + \hat{\sigma}_5^z \hat{\sigma}_6^z + \hat{\sigma}_6^z \hat{\sigma}_7^z)} e^{i\beta_P (\hat{\sigma}_5^x + \hat{\sigma}_6^x)} \hat{\sigma}_5^z \hat{\sigma}_6^z e^{-i\beta_P (\hat{\sigma}_5^x + \hat{\sigma}_6^x)} e^{-i\gamma_P (\hat{\sigma}_4^z \hat{\sigma}_5^z + \hat{\sigma}_5^z \hat{\sigma}_6^z + \hat{\sigma}_6^z \hat{\sigma}_7^z)} \cdots$$



**Reduced spin chain:**  
at depth P,  $N_s = 2P+2$

**Boundary term is absent**

# Variational Bound

$$\hat{\mathcal{H}}_z = \sum_{j=1}^{N_s-1} \hat{\sigma}_j^z \hat{\sigma}_{j+1}^z - \hat{\sigma}_1^z \hat{\sigma}_{N_s}^z$$

$$\hat{\mathcal{H}}_x = - \sum_{j=1}^{N_s} \hat{\sigma}_j^x$$

$$|\tilde{\psi}_P(\gamma, \beta)\rangle = \hat{\mathcal{U}}_P \cdots \hat{\mathcal{U}}_1 |\tilde{\psi}_0\rangle$$

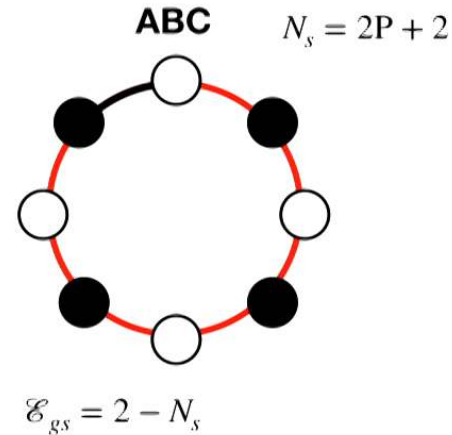
$$e_{\text{res}}(\gamma, \beta) = \langle \tilde{\psi}_P(\gamma, \beta) | \frac{\hat{\sigma}_{j_s}^z \hat{\sigma}_{j_s}^z + 1}{2} | \tilde{\psi}_P(\gamma, \beta) \rangle$$

Translational invariance

$$e_{\text{res}}(\gamma, \beta) = \langle \tilde{\psi}_P(\gamma, \beta) | \frac{\hat{\mathcal{H}}_z}{2N_s} + \frac{1}{2} | \tilde{\psi}_P(\gamma, \beta) \rangle$$

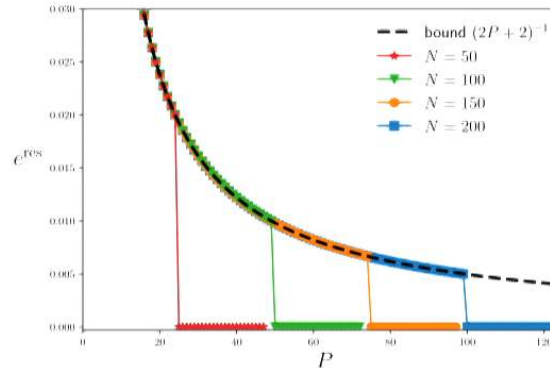
Variational principle

$$e_{\text{res}}(\gamma, \beta) \geq \frac{\mathcal{E}_{gs}}{4P+4} + \frac{1}{2} = \frac{1}{2P+2}$$



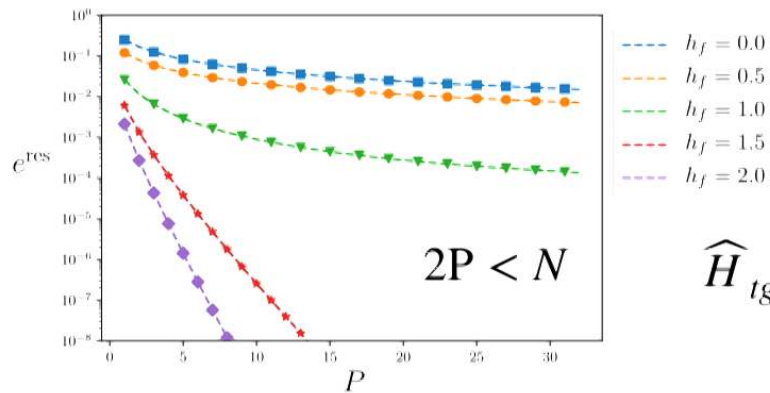
# Jordan Wigner Results

$$e_{\text{res}}(\gamma, \beta) = \begin{cases} \frac{1}{2P+2} & 2P < N \\ 0 & 2P \geq N \end{cases}$$



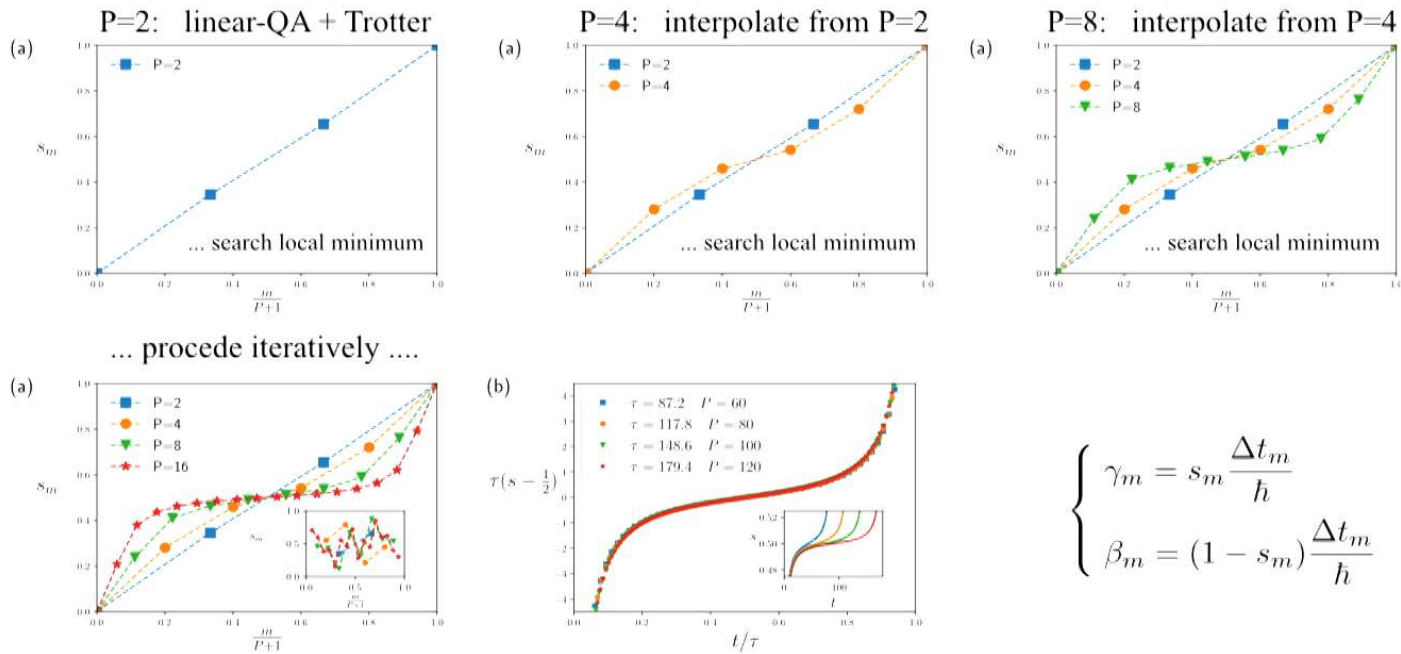
## Ground state preparation

[W. W. Ho and T. H. Hsieh, SciPost Phys. (2019)]



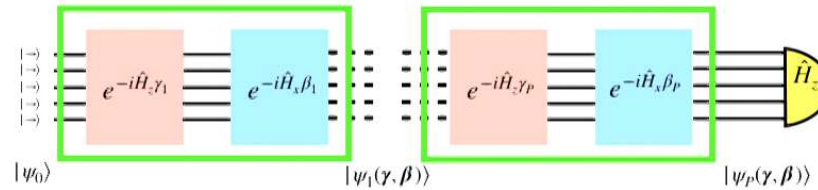
$$\widehat{H}_{tg} = \sum_{i=1}^N \widehat{\sigma}_i^z \widehat{\sigma}_{i+1}^z - h \sum_{i=1}^N \widehat{\sigma}_i^x$$

# Smooth solution learns to slow down



Smooth “optimal solutions” can be constructed  
 No “spectral information” needed, it is learned from the data

# Adiabatic nature of $s(t)$



$$|\psi_{m+1}(\gamma, \beta)\rangle = \hat{U}_m |\psi_m(\gamma, \beta)\rangle$$

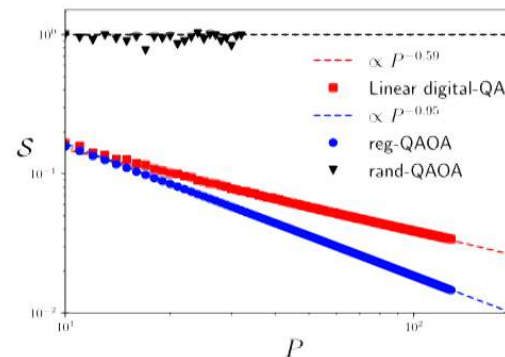
$$\hat{U}_m |\theta_m\rangle = e^{-i\theta_m} |\theta_m\rangle$$

“Instantaneous” eigenvectors

$$p_{\gamma, \beta}(\theta_m) = |\langle \theta_m | \psi_m(\gamma, \beta) \rangle|^2$$

$$S_{\gamma, \beta}(P) = -\frac{1}{P} \sum_{m=1}^P \sum_{\theta_m} p_{\gamma, \beta}(\theta_m) \log[p_{\gamma, \beta}(\theta_m)]$$

Adiabaticity  
only for *digital*-QA

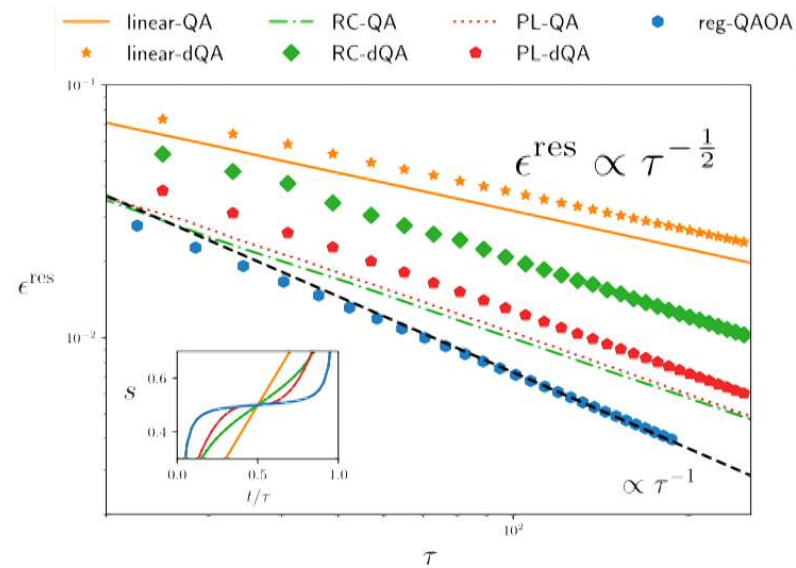


# Comparison to other adiabatic schedules $s(t)$

**Quadratic** speedup!  
**Optimal** digital-QA

! Fully recursive: No spectral information needed

Dziarmaga, PRL **95**, 245701 (2005)  
 Roland & Cerf, PRA **65**, 042308 (2002)  
 Zurek, Dorner, and Zoller, PRL **95**, 105701 (2005)  
 Barankov & Polkovnikov, PRL **101**, 076801 (2008)



## Open issues / ongoing work

- How special?
  - Higher dimensions? Non integrable models?
- Role of noise and disorder?
- Role of locality (Lieb-Robinson bound)?
  - Fully connected p-spin models

# Summary

- Variational bound  
(Locality & playing with Boundary Conditions)
- Optimal digital-QA solutions can be constructed in the QAOA Ansatz
- No spectral info needed & speedup  
(even when cost for optimization is accounted)

