

Title: Glassy and Correlated Phases of Optimal Quantum Control

Speakers: Marin Bukov

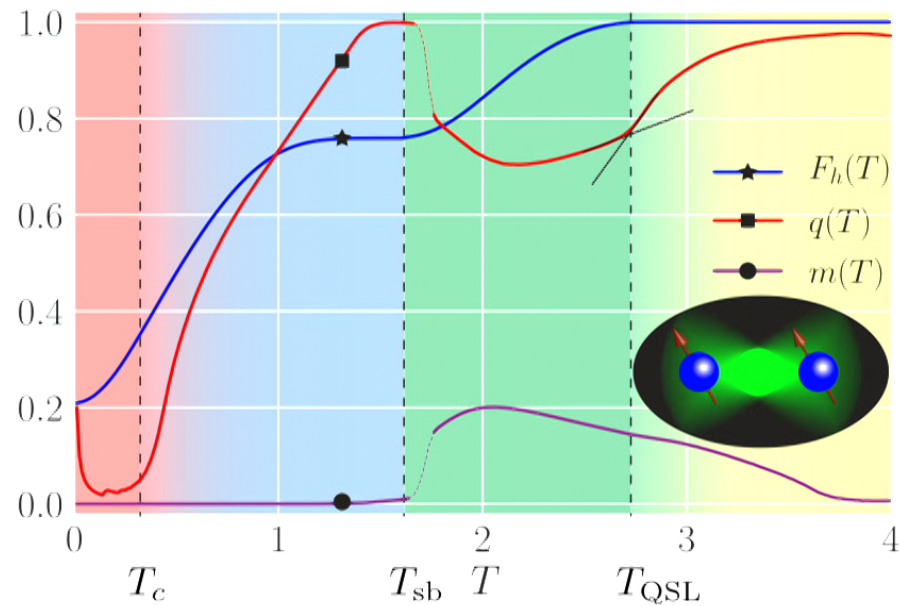
Collection: Machine Learning for Quantum Design

Date: July 12, 2019 - 9:30 AM

URL: <http://pirsa.org/19070015>

Abstract: Modern Machine Learning (ML) relies on cost function optimization to train model parameters. The non-convexity of cost function landscapes results in the emergence of local minima in which state-of-the-art gradient descent optimizers get stuck. Similarly, in modern Quantum Control (QC), a key to understanding the difficulty of multiqubit state preparation holds the control landscape -- the mapping assigning to every control protocol its cost function value. Reinforcement Learning (RL) and QC strive to find a better local minimum of the control landscape; the global minimum corresponds to the optimal protocol. Analyzing a decrease in the learning capability of our RL agent as we vary the protocol duration, we found rapid changes in the search for optimal protocols, reminiscent of phase transitions. These "control phase transitions" can be interpreted within Statistical Mechanics by viewing the cost function as "energy" and control protocols as "spin configurations". I will show that optimal qubit control exhibits continuous and discontinuous phase transitions familiar from macroscopic systems: correlated/glassy phases and spontaneous symmetry breaking. I will then present numerical evidence for a universal spin-glass-like transition controlled by the protocol time duration. The glassy critical point is marked by a proliferation of protocols with close-to-optimal fidelity and with a true optimum that appears exponentially difficult to locate. Using a ML inspired framework based on the manifold learning algorithm t-SNE, we visualize the geometry of the high-dimensional control landscape in an effective low-dimensional representation. Across the transition, the control landscape features an exponential number of clusters separated by extensive barriers, which bears a strong resemblance with random satisfiability problems.

# Glassy and Correlated Phases in Optimal Quantum Control



together with A. GR Day, D. Sels, P. Weinberg, A. Polkovnikov and P. Mehta

MB et al, PRX 8 031086 (2018)

MB et al, PRA 97, 052114 (2018)

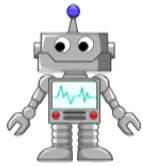
A. Day, M.B. et al, PRL 122, 020601 (2019)

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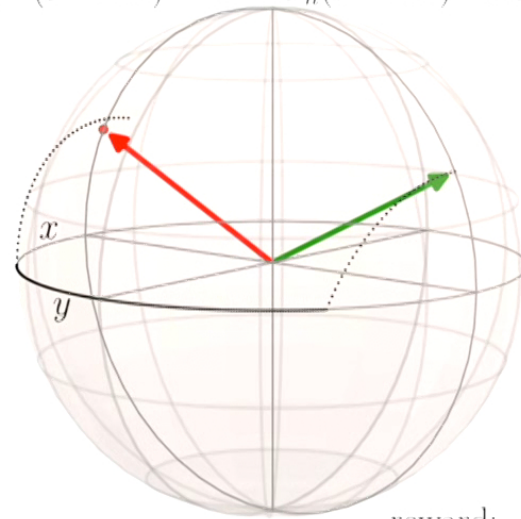
# Reinforcement Learning (RL) Quantum State Preparation

$$H(t) = -S^z - h_x(t)S^x$$

best protocol found by RL agent

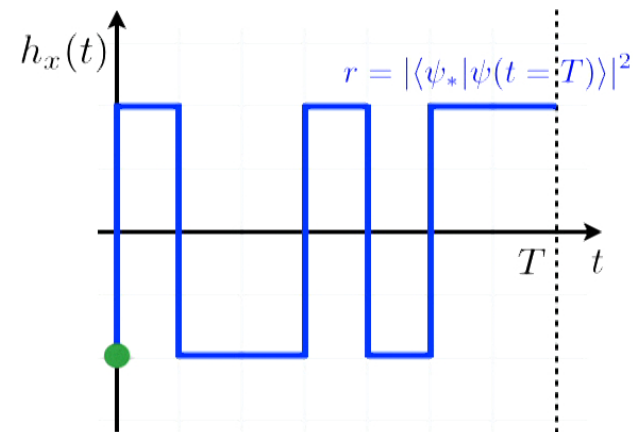


$$S_{\text{cnt}}^{L_A=1}(t=0.00) = 0.00 \quad |\uparrow\rangle \quad F_h(t=0.00) = 0.200$$



episode 0       $|\downarrow\rangle$       reward:  
 $F_h(T) = 0.59716$

$h \in \{\pm 4\}$  bang-bang protocols



**episode completed**

- MB et al, PRX 8 031086 (2018)
- Foesel et al, PRX 8 031084 (2018)
- Nui et al, NPJ Quantum Information 5 (2019)
- Xiao-Ming et al, arXiv:1902.02157
- Jun-Jie et al, arXiv:1901.08748

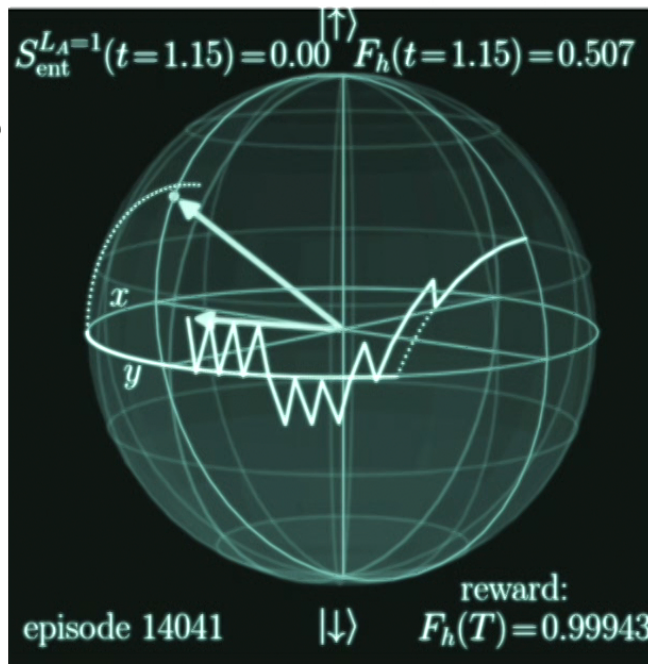
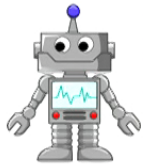
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# Reinforcement Learning (RL) Quantum State Preparation

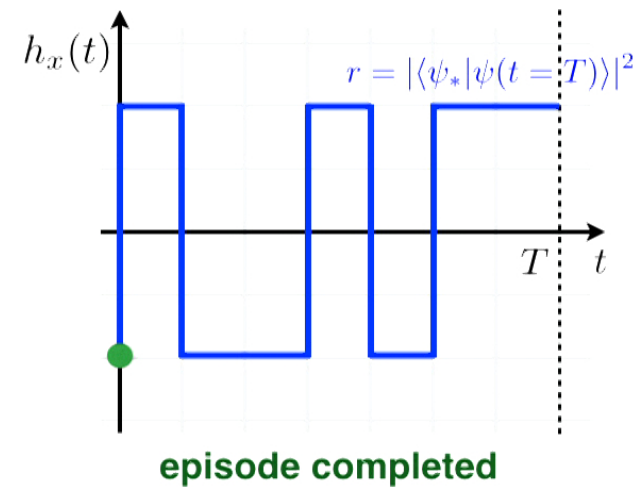
$$H(t) = -S^z - h_x(t)S^x$$

**state preparation =  
fidelity optimization**

best protocol found by RL agent



$h \in \{\pm 4\}$  bang-bang protocols



- MB et al, PRX 8 031086 (2018)
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# Reinforcement Learning to Prepare Floquet-Engineered States

$$H_{\text{rot}}(t) = H_0 + H_{\text{drive}}(t) + H_{\text{control}}(t)$$

**Kapitza  
pendulum**

***fidelity optimization***

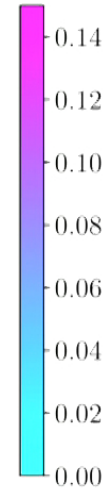
**quantum  
Kapitza oscillator**

$t/T = 0.00, \theta(t) =$



00689

$|\langle \theta | \psi(t) \rangle|^2$



**periodic drive: ON**

Marin Bukov

MB PRB 98, 224305 (2018)

# Reinforcement Learning to Prepare Floquet-Engineered States

$$H_{\text{rot}}(t) = H_0 + H_{\text{drive}}(t) + H_{\text{control}}(t)$$

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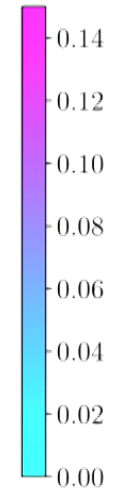
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00689

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MB PRB 98, 224305 (2018)

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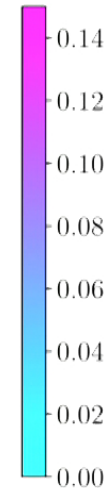
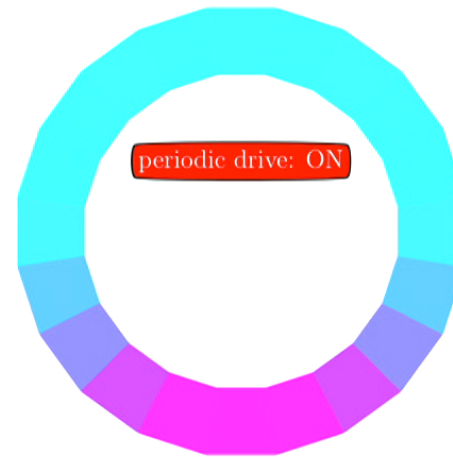
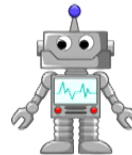
***fidelity optimization***

**quantum  
Kapitza oscillator**

$t/T = 0.00$ ,  $\theta(t) = 0.00\pi$ ,  $p_\theta(t) = 0.00$ ,  $r(t) = 0.00$

$t/T = 0.00$   $F_h(t_f) = 0.00689$

$|\langle \theta | \psi(t) \rangle|^2$



periodic drive: ON

Marin Bukov

MB PRB 98, 224305 (2018)

# Reinforcement Learning to Prepare Floquet-Engineered States

$$H_{\text{rot}}(t) = H_0 + H_{\text{drive}}(t) + H_{\text{control}}(t)$$

**Kapitza  
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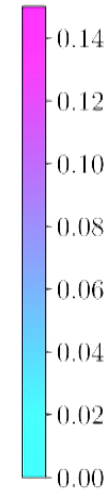
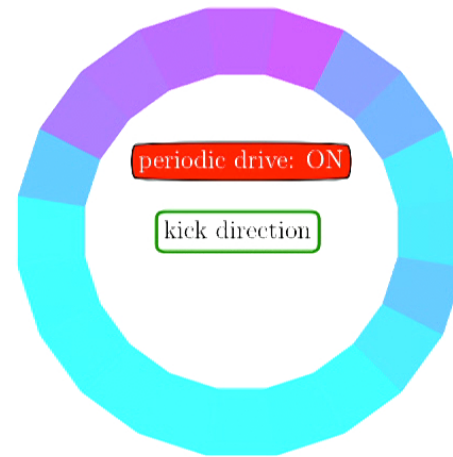
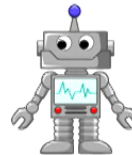
***fidelity optimization***

**quantum  
Kapitza oscillator**

$$t/T = 35.83, \theta(t) = 0.99\pi, p_\theta(t) = 0.03, r(t) = 0.97$$

$$t/T = 12.00 \quad F_h(t_f) = 0.85095$$

$$|\langle \theta | \psi(t) \rangle|^2$$



periodic drive: OFF

**ability to learn depends strongly  
on *physical* parameters**

## QUESTION?

why are there “easy” and “hard” learning regimes  
and is there a stat. mech. interpretation

$$H(t) = - \sum_j J S_{j+1}^z S_j^z + h_z S_j^z + h_x(t) S_j^x$$

### 1. Single-qubit System $J = 0$

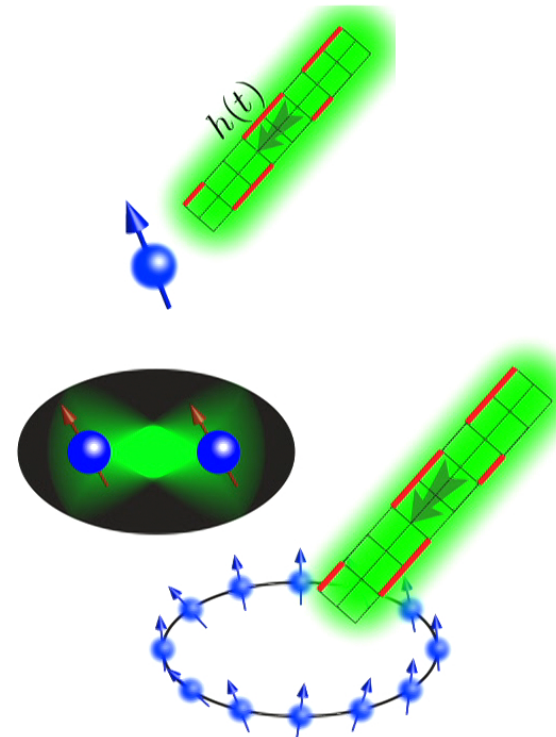
- problem setup
- control phase transitions  
*overconstrained phase,*  
*correlated phase,*  
*controllable phase*

### 2. Two-qubit System $L = 2$

- spontaneous symmetry breaking  
in optimization landscape

### 3. Many-Body System

- strongly correlated (glassy)  
control phase

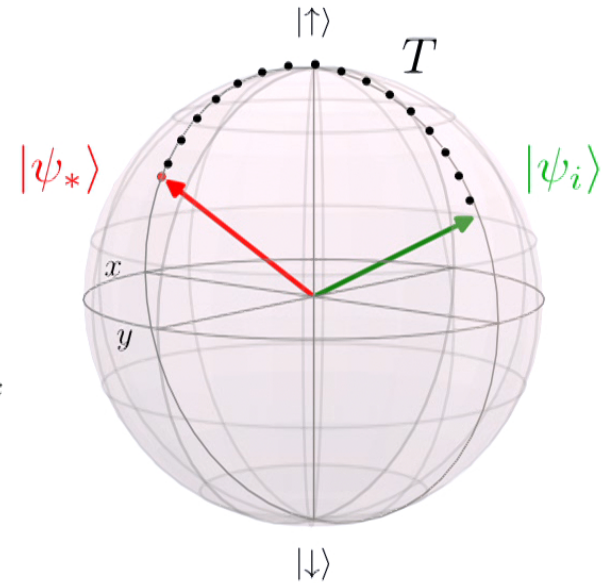


## Simple Optimization Problem: Qubit State Preparation

→ Hamiltonian:  $H(t) = -S^z - h_x(t)S^x$

initial state:  $|\psi_i\rangle$  : GS of  $H_i = -S^z - 2S^x$

target state:  $|\psi_*$



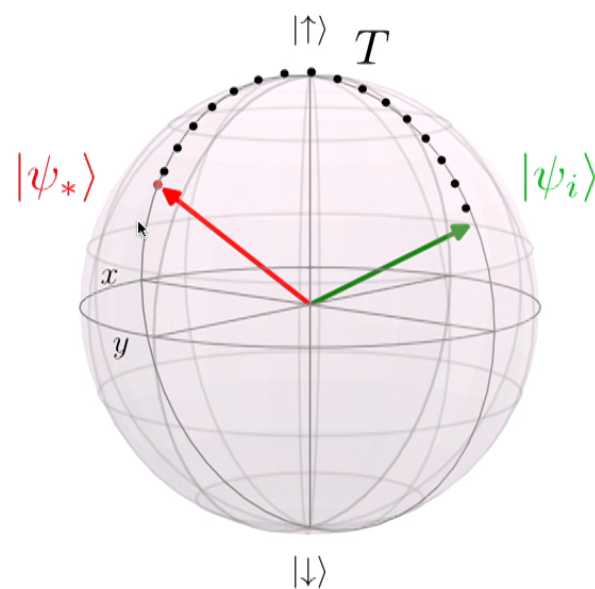
**TASK:** find protocol  $h(t) \in [-4, 4]$

such that  $|\psi(t=0)\rangle = |\psi_i\rangle$ ,  $|\psi(t=T)\rangle = |\psi_*\rangle$



# Minimum Ramp Time for Unit Fidelity

$$H(t) = -S^z - h_x(t)S^x$$



# Minimum Ramp Time for Unit Fidelity

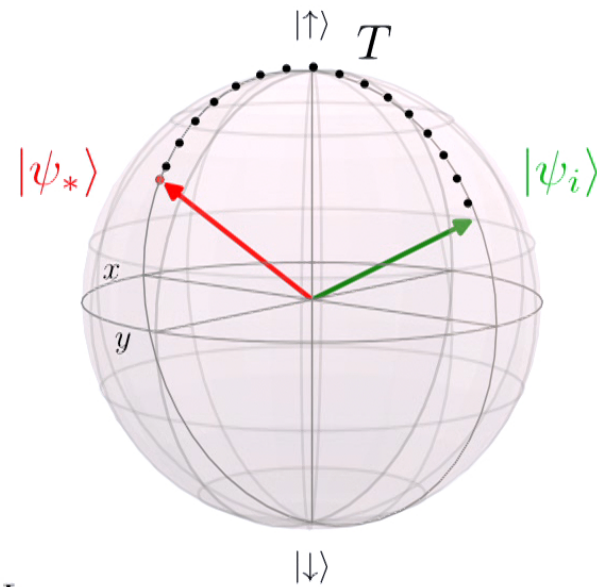
$$H(t) = -S^z - h_x(t)S^x + \cancel{g(t)S^y}$$

$$h(t) \in [-4, 4]$$

→ energy-time uncertainty

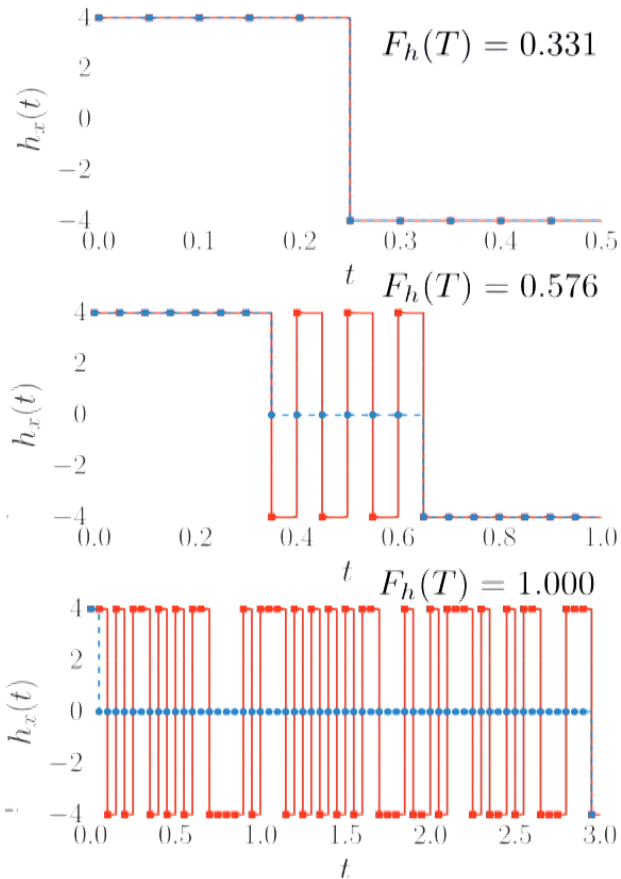
$$T_{\text{QSL}} \geq \pi/2$$

→ how about ramp times  $T \leq T_{\text{QSL}}$

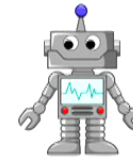
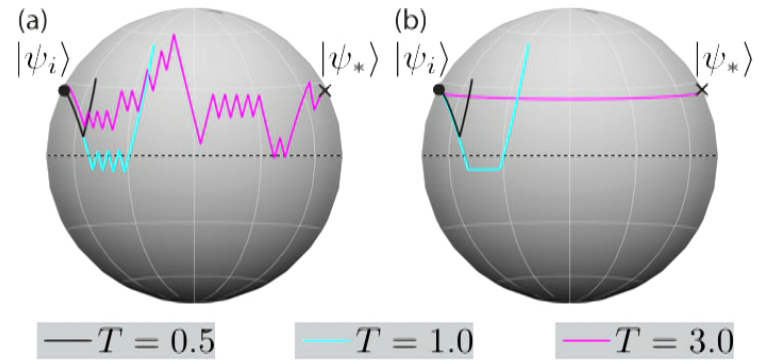


# How do Optimal Protocols Look Like?

$$H(t) = -S^z - h_x(t)S^x$$



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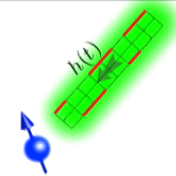
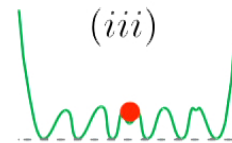


**ability to learn depends strongly  
on protocol duration!**

MB et al, PRX 8 031086 (2018)

# Phase Transitions in the Optimization Landscape

*infidelity landscape (schematic)*



$$H(t) = -S^z - h_x(t)S^x$$

*bang-bang protocols*

$$h \mapsto 1 - F_h(T)$$

*infidelity landscape minima:  $\{h^\alpha\}$*

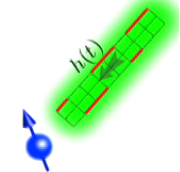
$$\bar{h}(t) = \frac{1}{\#\text{real}} \sum_{\alpha} h^\alpha(t)$$

*Edwards-Anderson-like order parameter:*

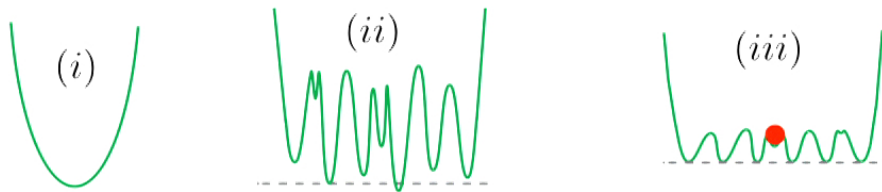
$$q(T) \sim \sum_{j=1}^{N_T} \frac{\{h(j\delta t) - \bar{h}(j\delta t)\}^2}{N_T}$$

**MB et al, PRX 8 031086 (2018)**  
**Larocca et al, JPA 51 385305 (2018)**

# Phase Transitions in the Optimization Landscape



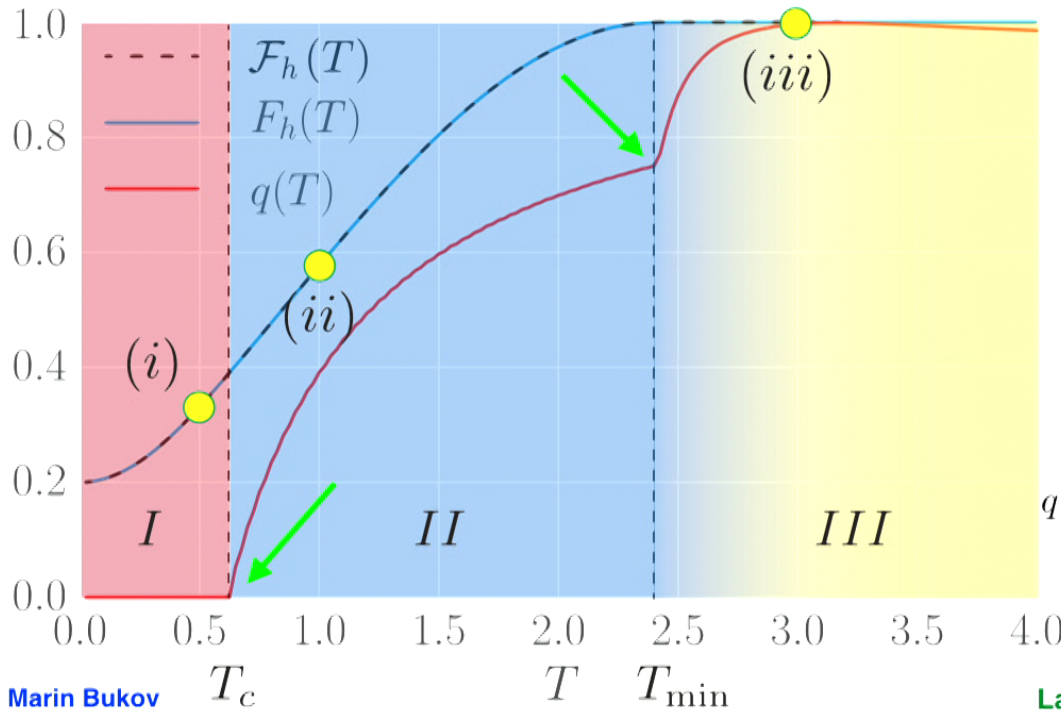
infidelity landscape (schematic)



$$H(t) = -S^z - h_x(t)S^x$$

bang-bang protocols

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$$\bar{h}(t) = \frac{1}{\#\text{real}} \sum_{\alpha} h^\alpha(t)$$

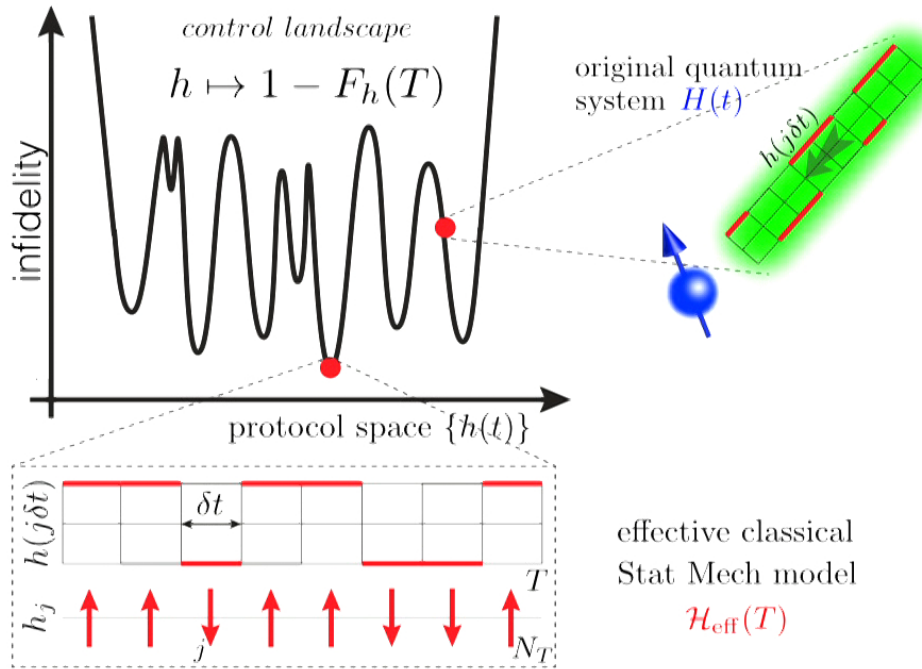
Edwards-Anderson-like order parameter:

$$q(T) \sim \frac{1}{N_T} \sum_{j=1}^{N_T} \{h(j\delta t) - \bar{h}(j\delta t)\}^2$$

MB et al, PRX 8 031086 (2018)  
Larocca et al, JPA 51 385305 (2018)

# Effective Classical Energy Model

→ one-to-one correspondence:



$$H(t) = -S^z - h_x(t)S^x$$

→ effective *classical* spin energy describes control landscape

$$\mathcal{H}_{\text{eff}}(T) = I(T) + \sum_j G_j(T)h_j + \sum_{ij} J_{ij}(T)h_i h_j + \sum_{ijk} K_{ijk}(T)h_i h_j h_k + \dots$$

$j$  : sites on time lattice



## QUESTION?

why are there “easy” and “hard” learning regimes  
and is there a stat. mech. interpretation

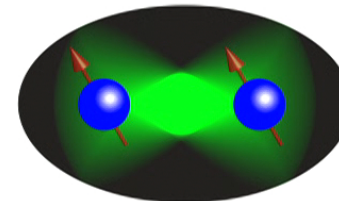
$$H(t) = - \sum_j JS_{j+1}^z S_j^z + h_z S_j^z + h_x(t) S_j^x$$

### 1. Single-spin System

- problem solup
- control phase transitions
- overconstrained phase
- constrained phase
- control phase

### 2. Two-spin System $L = 2$

- spontaneous symmetry breaking  
in optimization landscape



### 3. Many Body System

- strongly correlated (glassy)
- control phase

## Two-Qubit Control Phase Diagram

$$H(t) = -2S_1^z S_2^z - (S_1^z + S_2^z) - h_x(t)(S_1^x + S_2^x)$$

*infidelity landscape*  
*minima:  $\{h^\alpha\}$*

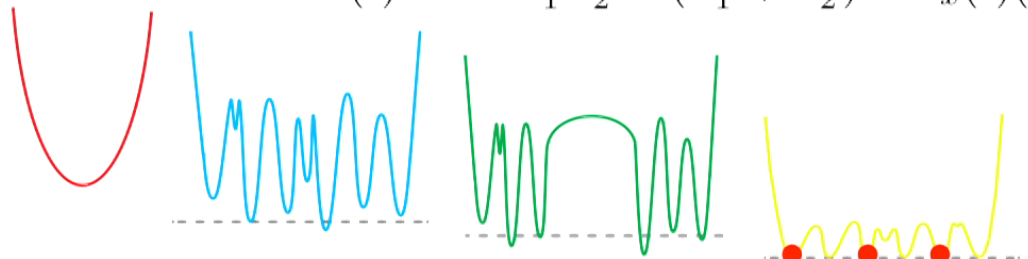
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*Edwards-Anderson-like*  
*order parameter:*

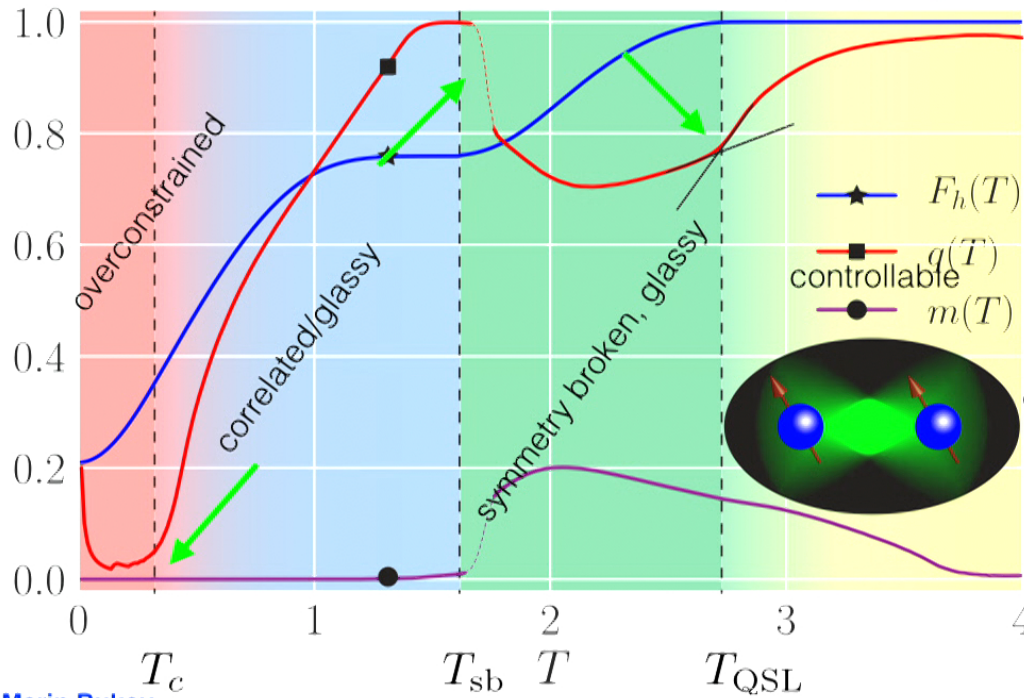
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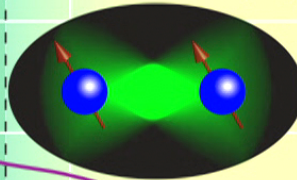
infidelity landscape  
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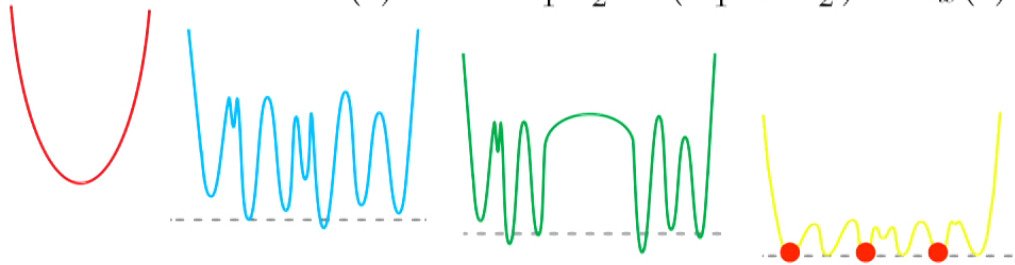


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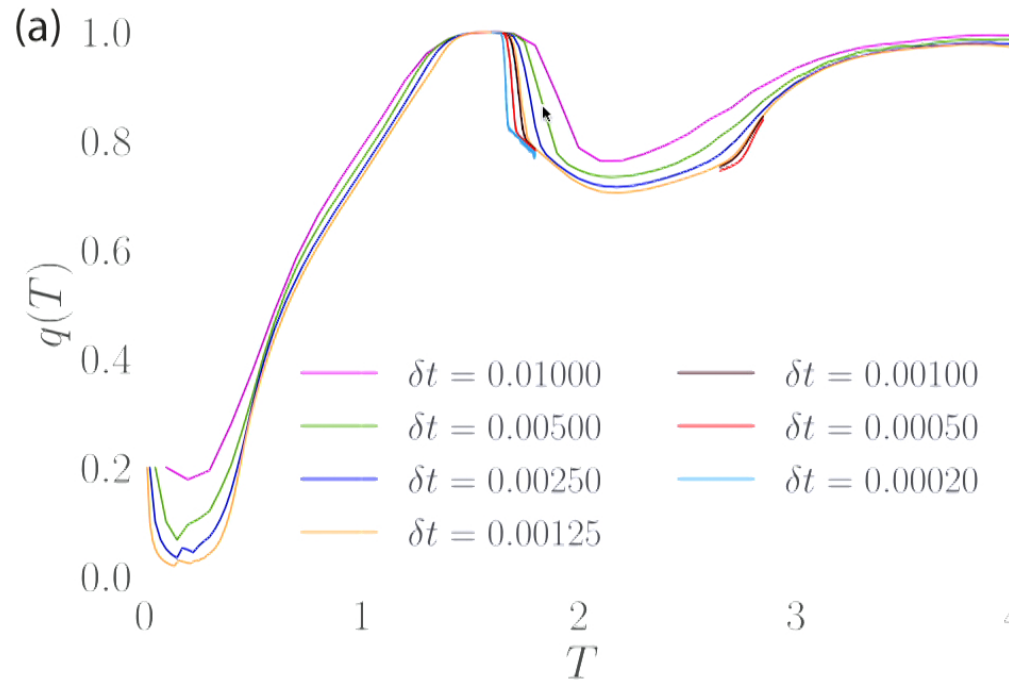
MB et al, PRA 97, 052114 (2018)

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infidelity landscape  
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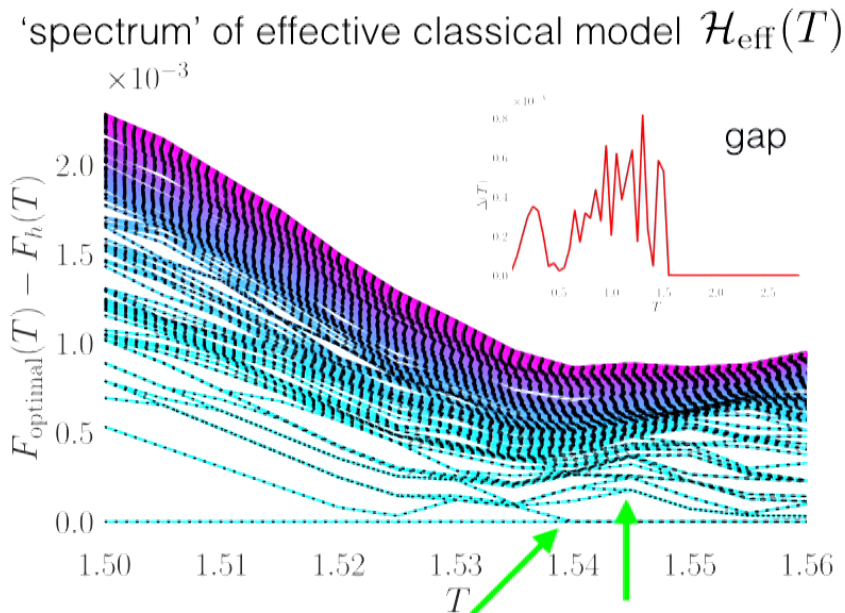
$$\bar{h}(t) = \frac{1}{\#\text{real}} \sum_{\alpha} h^{\alpha}(t)$$

Edwards-Anderson-like  
order parameter:

$$q(T) \sim \sum_{j=1}^{N_T} \frac{1}{\#\text{real}} \overline{\{h(j\delta t) - \bar{h}(j\delta t)\}^2}$$

# Spontaneous Symmetry Breaking

$$H(t) = -2S_1^z S_2^z - (S_1^z + S_2^z) - h_x(t)(S_1^x + S_2^x)$$



$\mathbb{Z}_2$  symmetry of protocols:

$$|\psi_*\rangle = e^{-i\pi(S_1^z + S_2^z)} |\psi_i\rangle$$

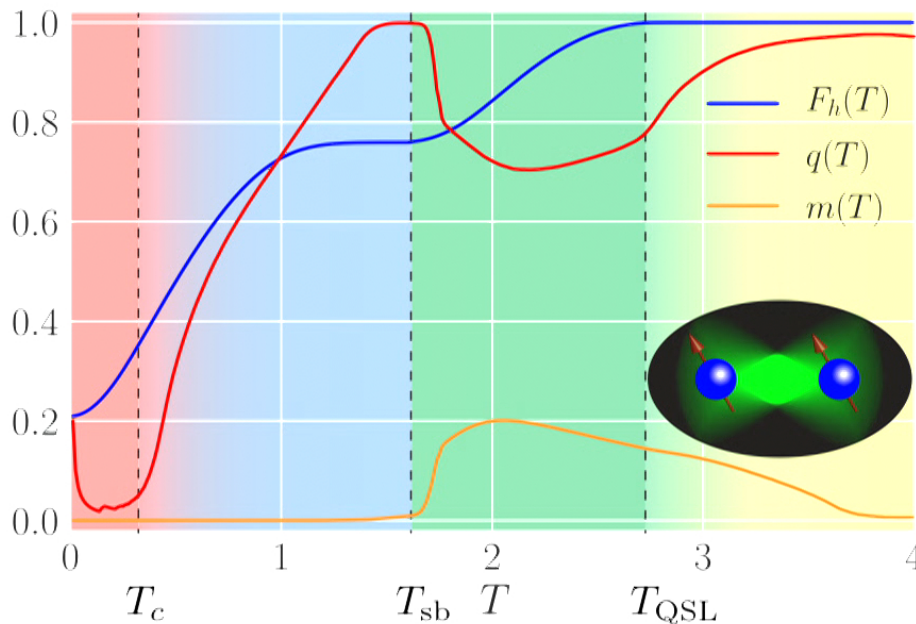
$$F_{h(t)}(T) = F_{-h(T-t)}(T)$$

optimal protocol:

- either:  
symmetric & unique
- or: symmetry broken & doubly degenerate

# Spontaneous Symmetry Breaking

$$H(t) = -2S_1^z S_2^z - (S_1^z + S_2^z) - h_x(t)(S_1^x + S_2^x)$$



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$$|\psi_*\rangle = e^{-i\pi(S_1^z + S_2^z)} |\psi_i\rangle$$

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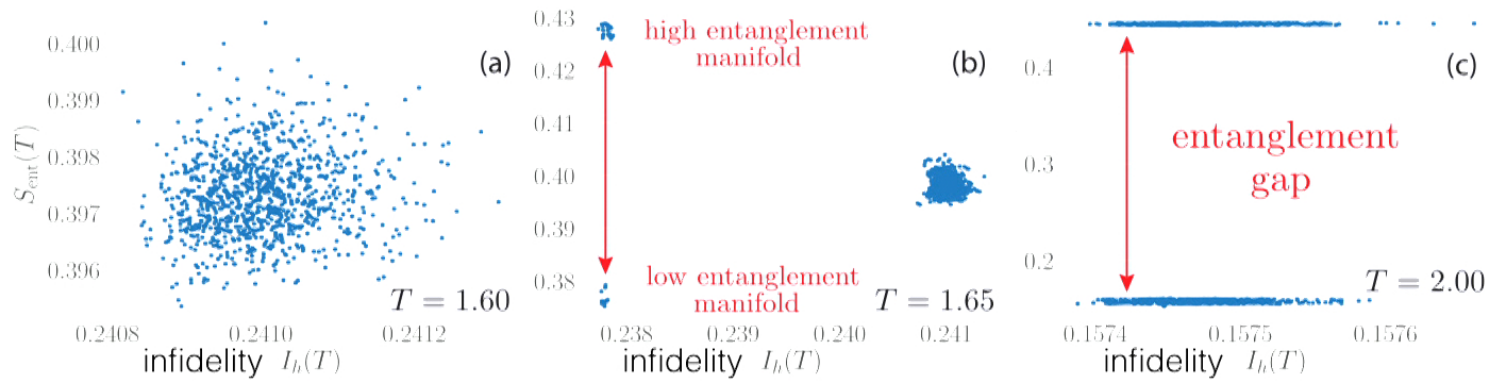
→ “magnetization” of a single protocol: 
$$m_h(T) = N_T^{-1} \sum_{n=1}^{N_T} h_x(n\delta t)$$



# Implications for Physics

$$H(t) = -2S_1^z S_2^z - (S_1^z + S_2^z) - h_x(t)(S_1^x + S_2^x)$$

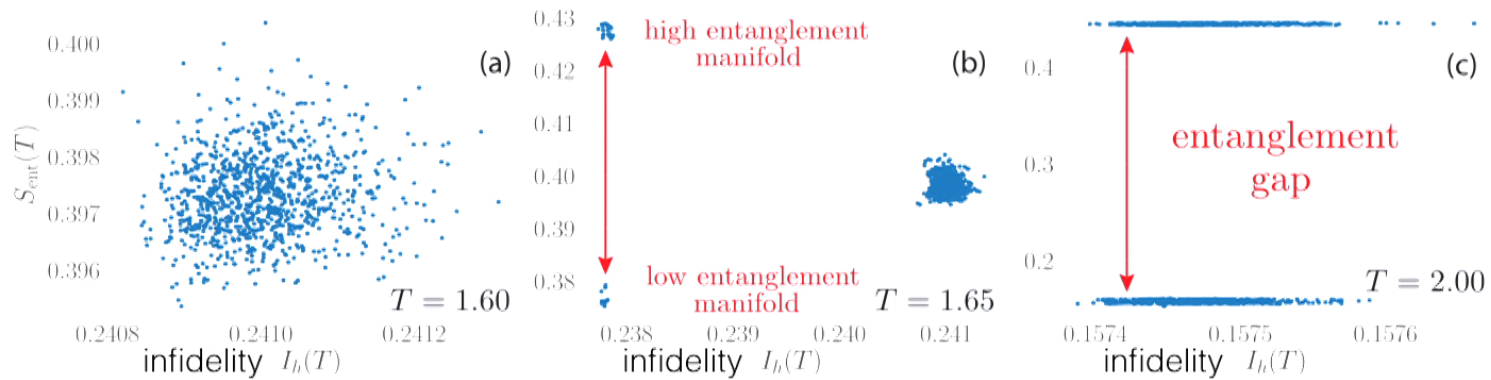
→ symmetry breaking **on top of correlated** high-fidelity manifold



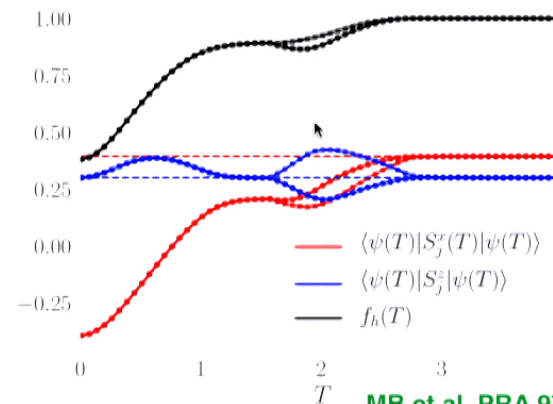
# Implications for Physics

$$H(t) = -2S_1^z S_2^z - (S_1^z + S_2^z) - h_x(t)(S_1^x + S_2^x)$$

→ symmetry breaking **on top of correlated** high-fidelity manifold



→ can be detected in expectations of local observables



MB et al, PRA 97, 052114 (2018)

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## QUESTION?

why are there “easy” and “hard” learning regimes  
and is there a stat. mech. interpretation

$$H(t) = - \sum_j JS_{j+1}^z S_j^z + h_z S_j^z + h_x(t) S_j^x$$

### 1. Single-spin System

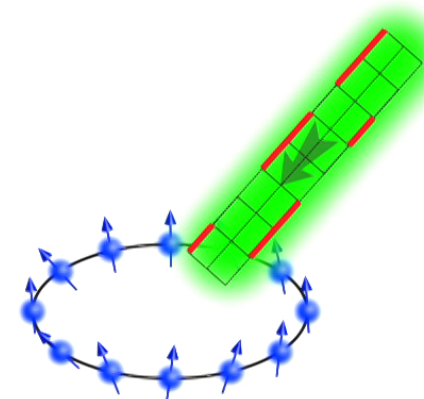
- problem setup
- control phase transitions
  - overconstrained phase
  - constrained phase
  - control stable phase

### 2. Two-spin System

- spontaneous symmetry breaking  
in optimization landscape

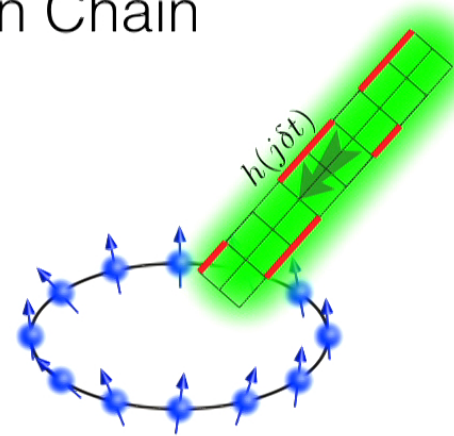
### 3. Many-Body System

- strongly correlated (glassy)  
control phase

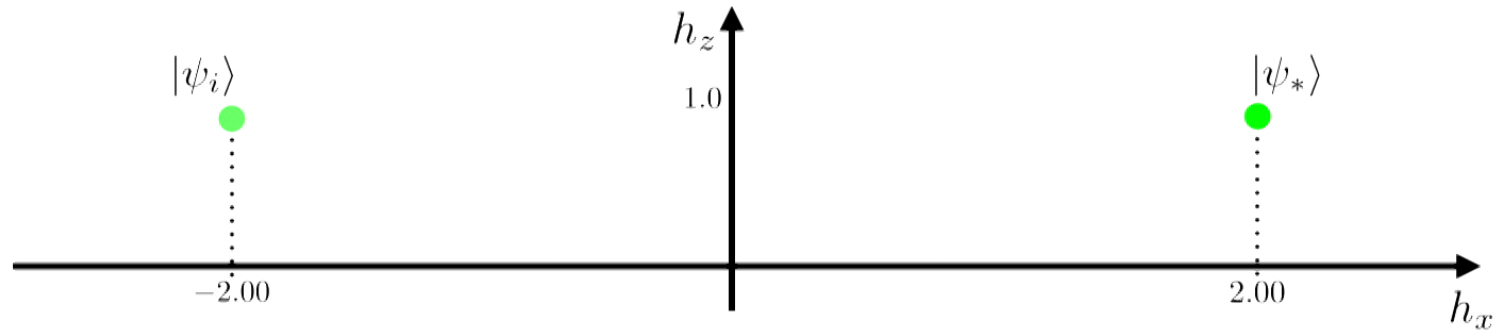


# Nonintegrable Many-Body Spin Chain

$$H(t) = - \sum_{j=1}^L S_{j+1}^z S_j^z + \underbrace{h_z}_{=1} S_j^z + h_x(t) S_j^x$$

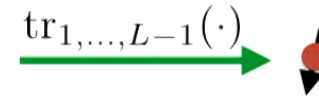
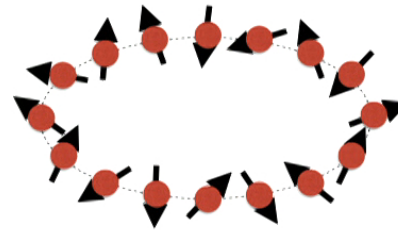


→ initial  $|\psi_i\rangle$  and target  $|\psi_*\rangle$  states are (paramagnetic) GS at:

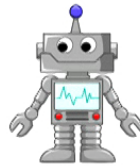


# Best RL Many-Body Protocol

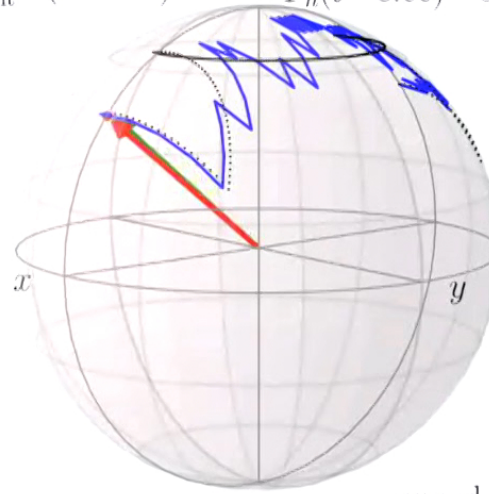
$$H(t) = - \sum_{j=1}^L S_{j+1}^z S_j^z + S_j^z + h_x(t) S_j^x$$



$h_x \in \{\pm 4\}$  bang-bang protocols



$$S_{\text{cut}}^{L_A=1}(t=3.00) = 0.07 \quad |\uparrow\rangle \quad \tilde{F}_h(t=3.00) = 0.994$$



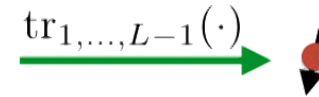
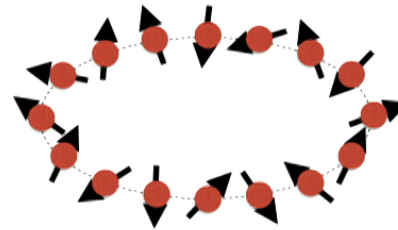
Bloch sphere

$$\tilde{F}_h = \frac{1}{L} \log F_h$$

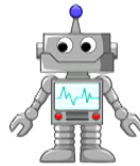
episode 5082  $|\downarrow\rangle$  reward:  $\tilde{F}_h(T) = 0.99412$

# Best RL Many-Body Protocol

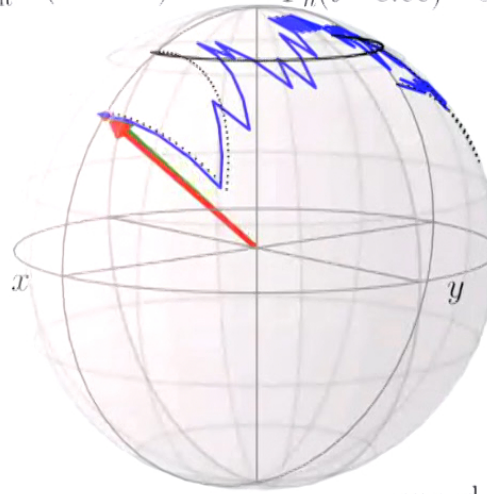
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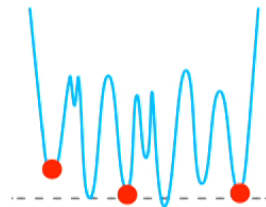
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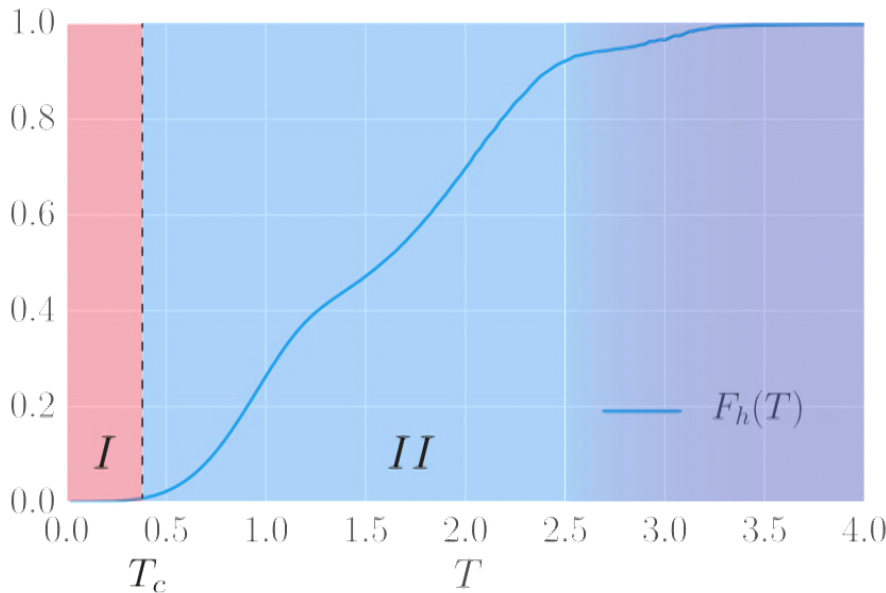
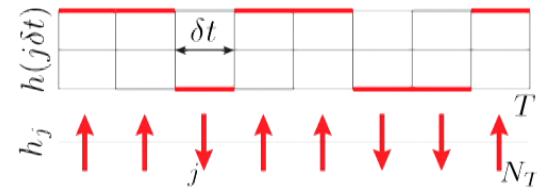
# Many-Body Control Phase Diagram

$$H = \sum_j -S_{j+1}^z S_j^z - h_z S_j^z - h_x(t) S_j^x$$

→ ensemble of local minima using Stochastic Descent (zero-temp. MC)



log-fidelity landscape  
minima:  $\{h^\alpha\}$



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$$\bar{h}(t) = \frac{1}{\#\text{real}} \sum_{\alpha} h^{\alpha}(t)$$

Edwards-Anderson-like  
order parameter:

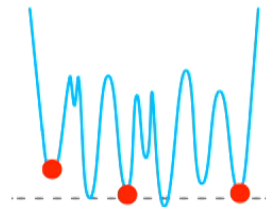
$$q(T) \sim \sum_{j=1}^{N_T} \overline{\{h(j\delta t) - \bar{h}(j\delta t)\}^2}$$

A. Day, M.B. et al, *PRL* 122, 020601 (2019)

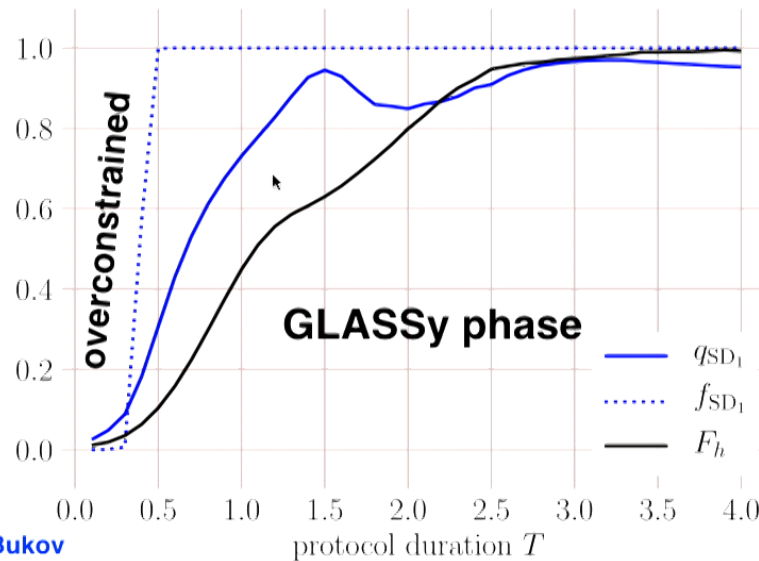
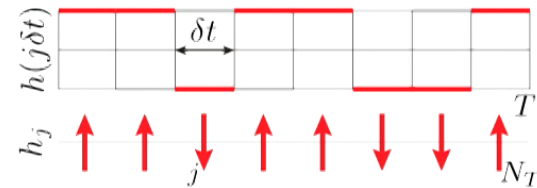
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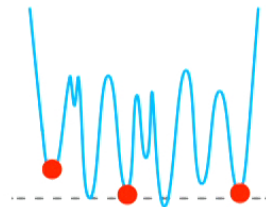
fraction of unique local  
log-fidelity minima:  $f_h(T)$

A. Day, M.B. et al, *PRL* 122, 020601 (2019)

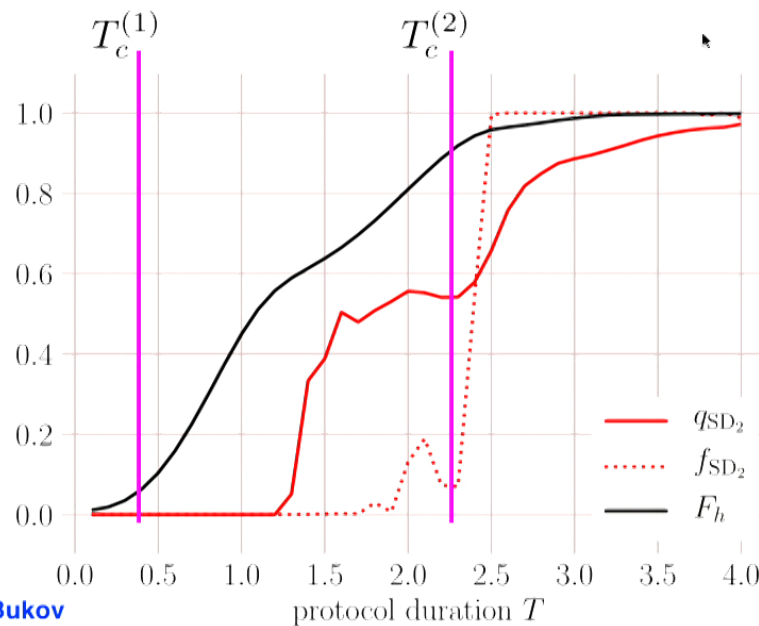
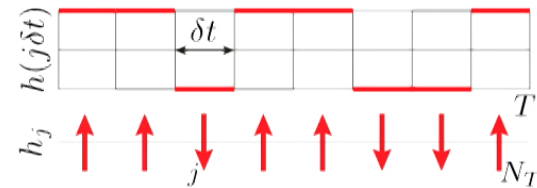
# Many-Body Control Phase Diagram

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Marin Bukov

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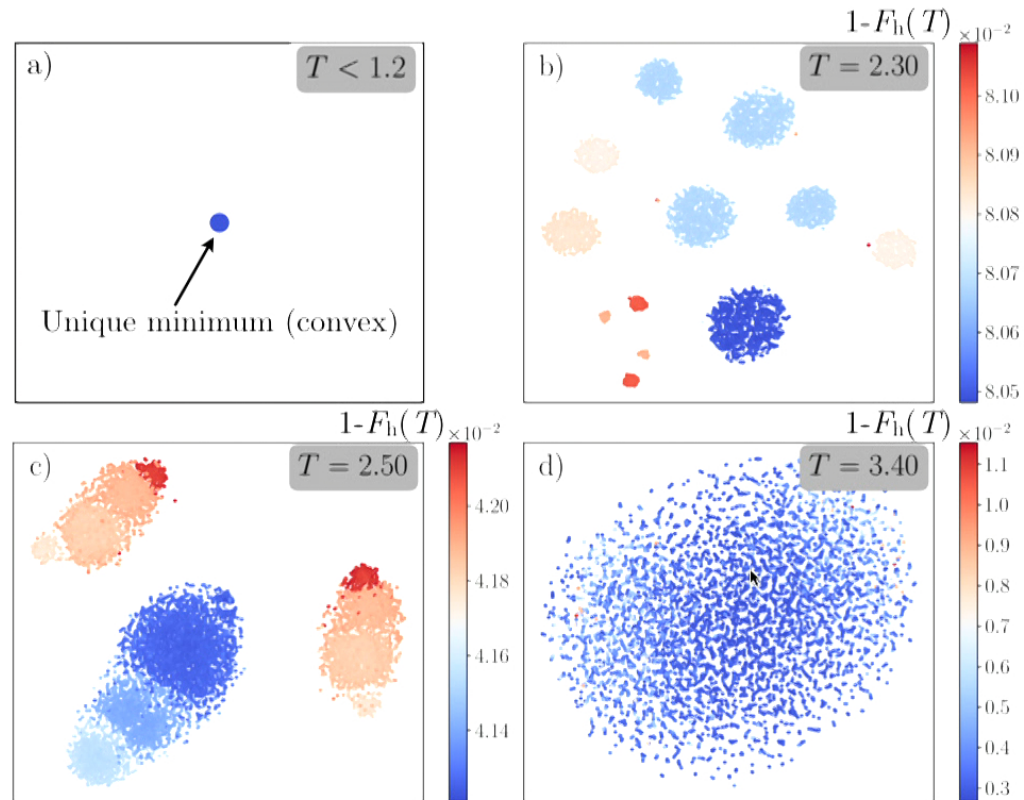
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A. Day, M.B. et al, *PRL* 122, 020601 (2019)

# Visualizing the Glassy Transition with t-SNE

$$H = \sum_j -S_{j+1}^z S_j^z - h_z S_j^z - h_x(t) S_j^x$$

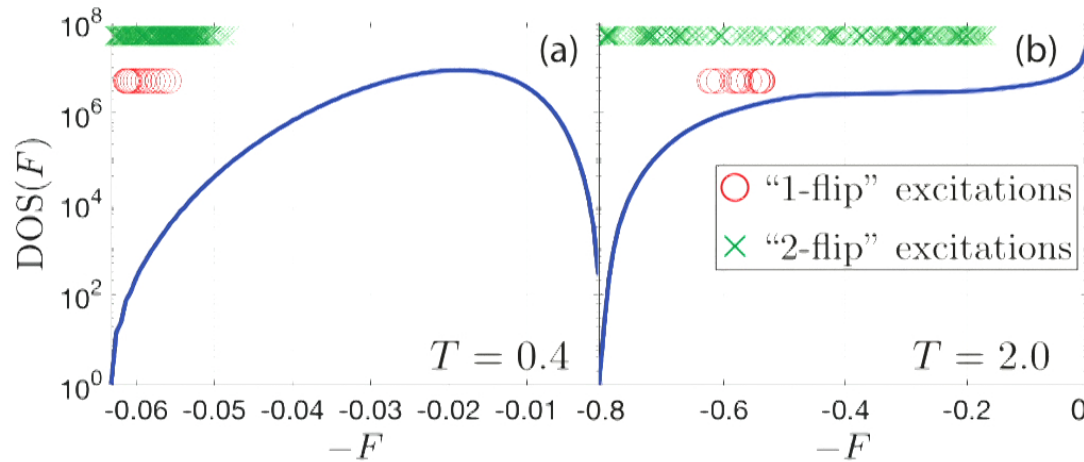
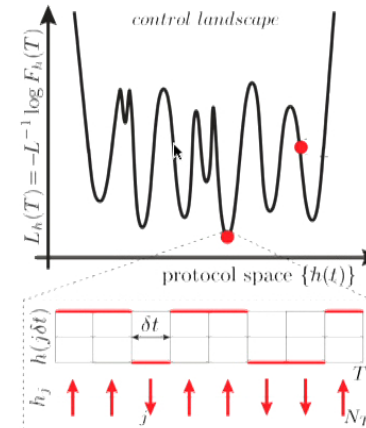


A. Day, M.B. et al, *PRL* 122, 020601 (2019)

Maaten and Hinton, *J of ML Research*, 9 2579–2605 (2008)

# Properties of Effective Model $\mathcal{H}_{\text{eff}}(T)$

- glassy behavior signatures in the DOS
- take 28 spins (time steps), find all energies (fidelities)



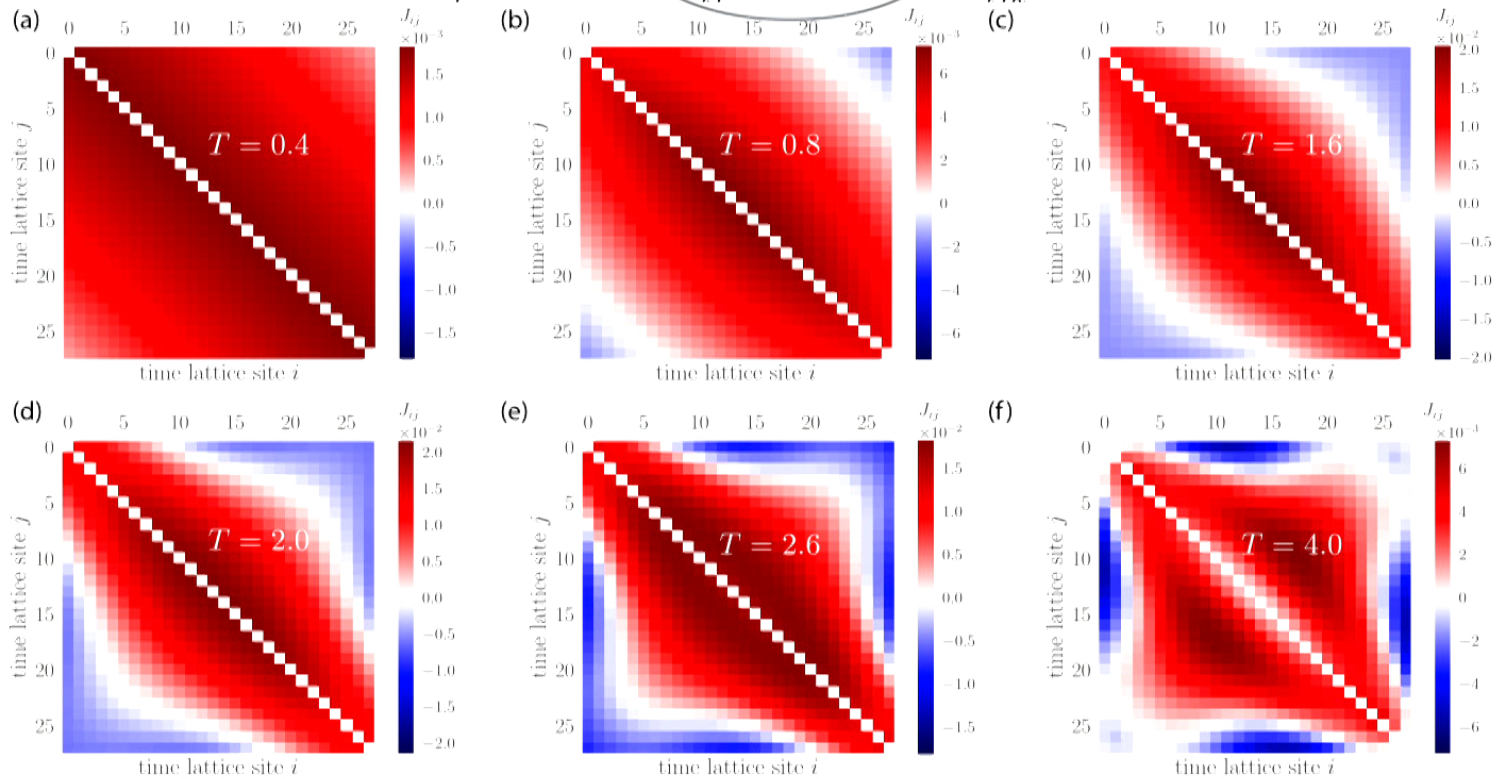
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A. Day, M.B. et al, *PRL* 122, 020601 (2019)

# Properties of Effective Model $\mathcal{H}_{\text{eff}}(T)$

→ properties of effective coupling strengths: GS is frustrated!

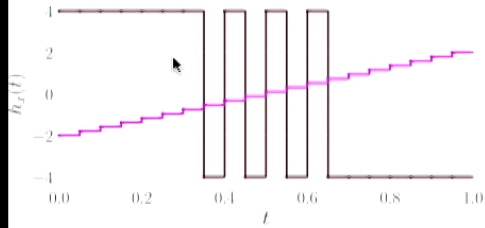
$$\mathcal{H}_{\text{eff}}(T) = I(T) + \sum_i G_j(T)h_j + \sum_{i,j} J_{ij}(T)h_i h_j + \sum_{i,j,k} K_{ijk}(T)h_i h_j h_k + \dots$$



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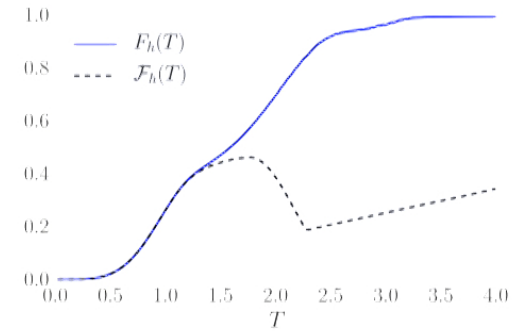
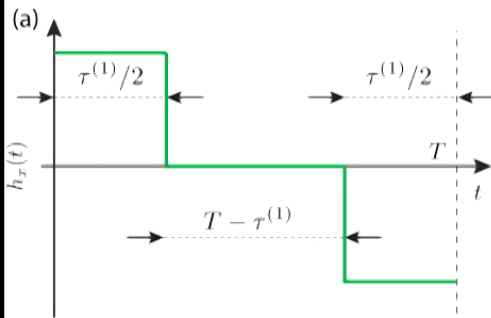
A. Day, M.B. et al, *PRL* 122, 020601 (2019)

# Control Phases: Variational Theory



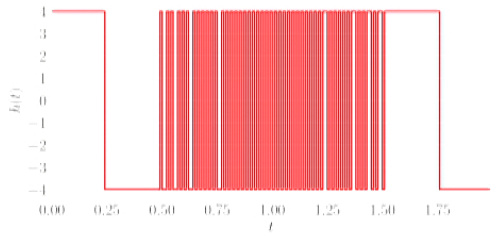
$$H = \sum_j -S_{j+1}^z S_j^z - h_z S_j^z - h_x(t) S_j^x$$

$$-\mathcal{F}_h(T) = \min_{\tau^{(1)} \in [0, T]} \left( -\mathcal{F}_h(T; \tau^{(1)}) \right)$$



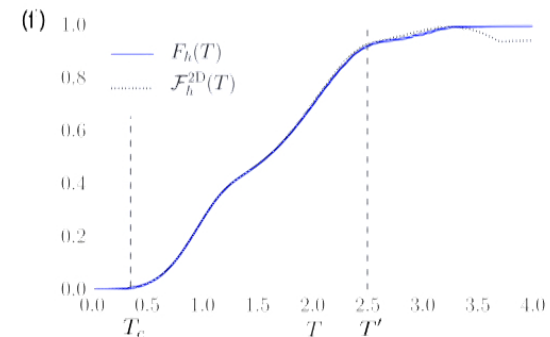
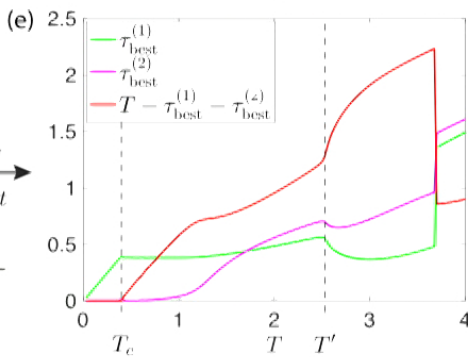
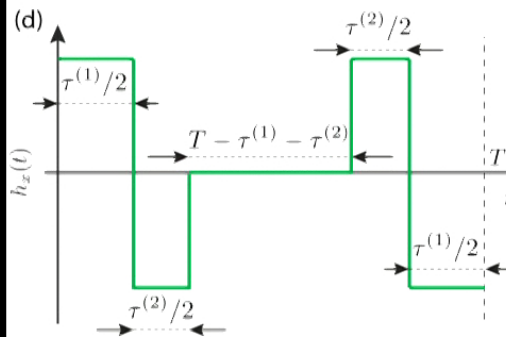
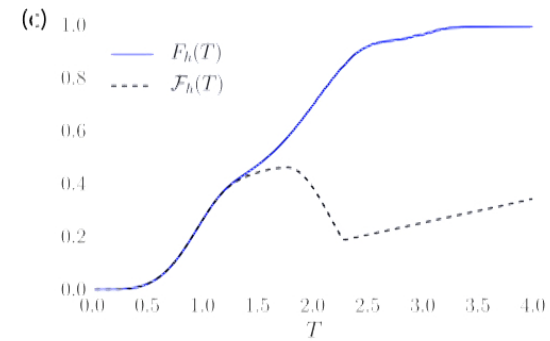
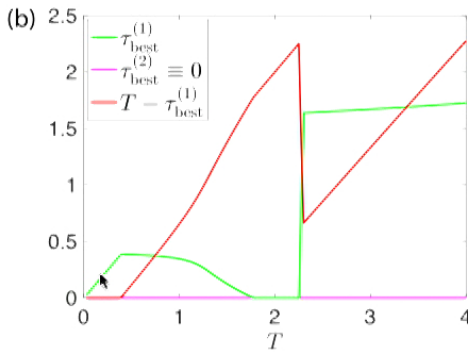
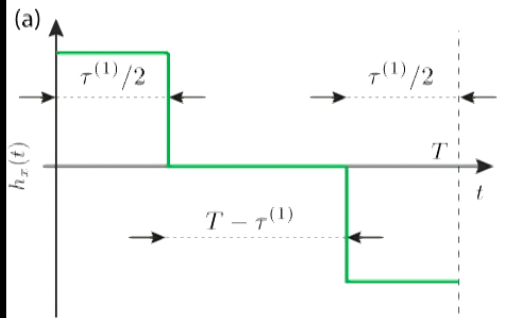


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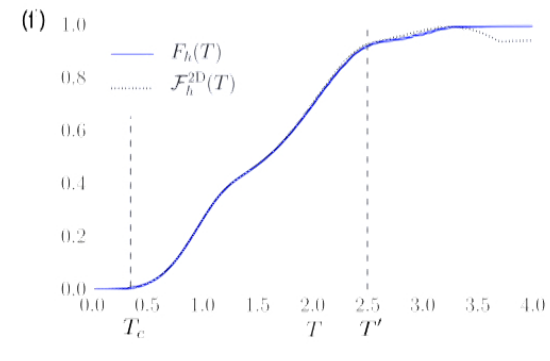
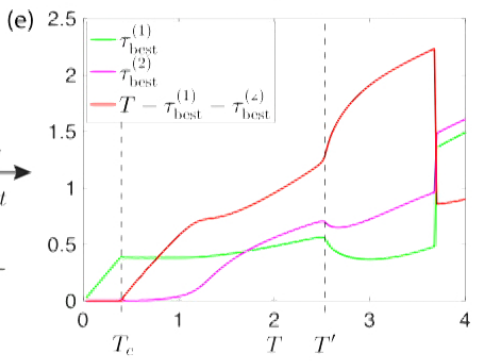
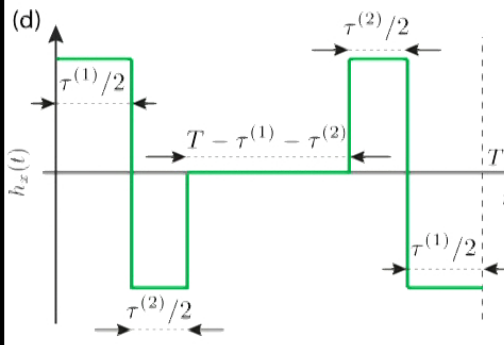
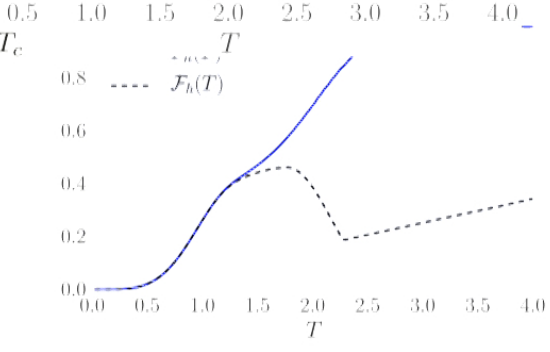
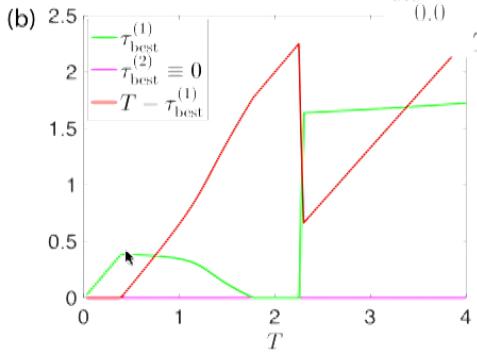
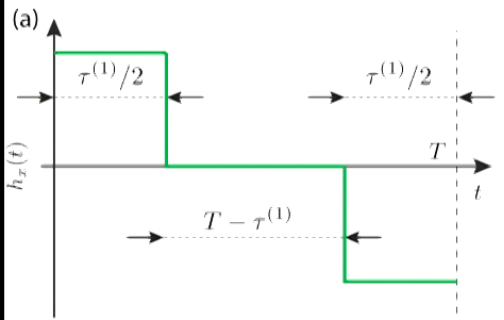
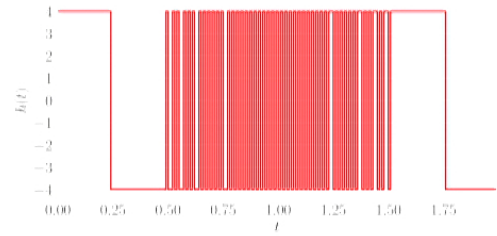
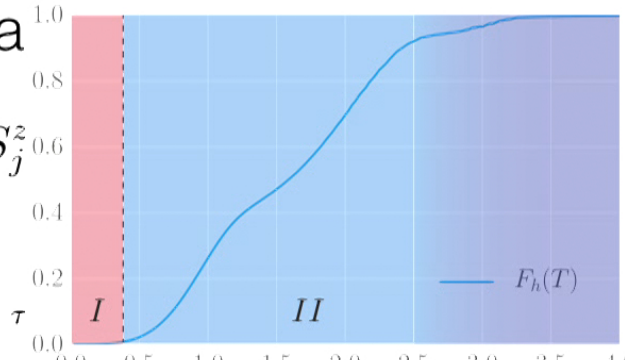
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MB et al, PRX 8 031086 (2018)

# Control Phases: Va

$$H = \sum_j -S_j^2$$

$$-\mathcal{F}_h(T) =$$



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MB et al, PRX 8 031086 (2018)

## Outlook



- Control phase transitions found in protocols minimizing work fluctuations  
How generic is this phenomenon? *A. Solon et al, PRL 120, 180605 (2018)*
- What is the relation of control phase transitions to k-SAT problems?
- What is the underlying reason for the observed symmetry breaking?
- How can we understand the success of RL for playing board games?

GORDON AND BETTY  
**MOORE**  
FOUNDATION

**spin chain: PRX 8 031086 (2018)**

**Kapitza oscillator: PRB 98, 224305 (2018)**

**control phases: PRL 122, 020601 (2019)**

**PRA 97, 052114 (2018)**

**QuSpin: <http://weinbe58.github.io/QuSpin>**

python package for ED & many-body dynamics (with P. Weinberg, BU)