

Title: Lecture 5: Factorization Algebras and the General Structure of QFT

Speakers: Kevin Costello

Collection: QFT for Mathematicians

Date: June 24, 2019 - 11:00 AM

URL: <http://pirsa.org/19060016>

$\exists$  fact algebra on  $\mathbb{R}^n$

$\lambda: \mathbb{R}^n \rightarrow$

$$\lambda(x) = \lambda x$$

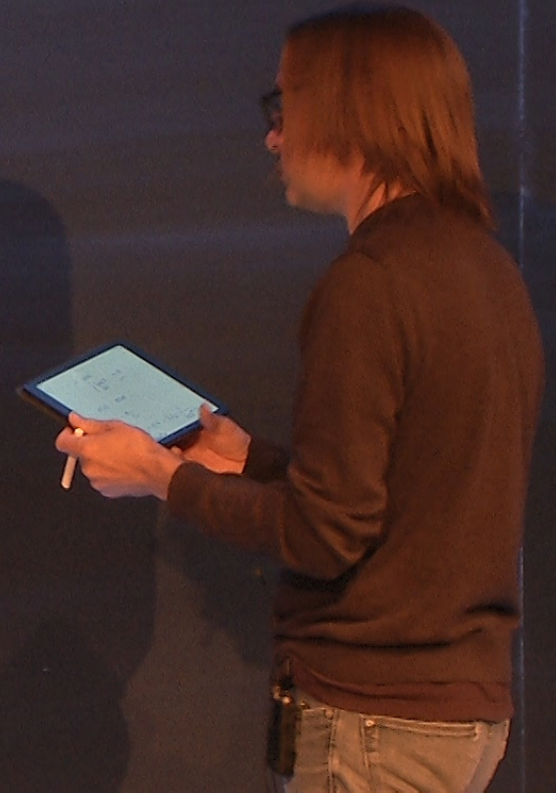
$\lambda^+ \exists$  new fact. algebra

Flow on the space of factorization  
algebras

The RG flow



Last time, we computed  
this for  $\mathbb{C}^4$  theorems  
Involved computation.  
Today More direct  
computation, using  
Poisson version of factorization  
algebras.





Consider

$\text{Obs}^1(u)$ ,  $u \subseteq \mathbb{R}^n$

of some theory

$\text{Obs}^1(u) = \left\{ \begin{array}{l} \text{functions on} \\ \text{fields on } u \end{array} \right\} /$

equations  
of motion

If  $x \in u$

we want to consider

$\text{Obs}_x^1 \in \bigcap_{V \ni x} \text{Obs}^1(V)$

Todor: Local operators ops



For a free scalar field theory  
on  $\mathbb{R}^n$

$$\textcircled{1} \quad f(\varphi) = \int_U f \varphi$$

$$f \in C_c^\infty(U)$$

- Allow  $f$  to be a distribution
- $f$  supported at  $x \in U$

$$f = \text{derivatives } \partial_{x_1}^{i_1} \cdots \partial_{x_n}^{i_n} \delta_x$$



$$d\mathcal{O}_g^+ = \mathcal{O}_{\Delta g}$$

$g$  is also supported at  $x$   
we find at the level of  
cohomology

$$\left(\sum \partial_{x_i}^2\right) \partial_{x_1}^{\prime} \dots \partial_{x_n}^{\prime} \delta = 0$$

$$D_0 = \mathbb{R}[\partial_{x_1}, \dots, \partial_{x_n}]$$

We have found  
cohomology of <sup>local</sup> observables  
is

$$S^*(D_0 / \sum \partial_{x_i}^2)$$



$d\Theta_g^* = \Theta_{\Delta g}$   
 $g$  is also supported at  $x$   
 we find at the level of  
 cohomology

$$\left(\sum \partial_{x_i}^2\right) \partial_{x_1}^{\prime} \dots \partial_{x_n}^{\prime} f = 0$$

$$D_0 = \mathbb{R}[\partial_{x_1}, \dots, \partial_{x_n}]$$

We have found  
 cohomology of <sup>local</sup> observables  
 is

$$S^*(D_0 / \sum \partial_{x_i}^2)$$

Quantum

$$d^2 \Theta_g^* = \frac{1}{\hbar} \Theta_{\Delta g}$$

$$d(\Theta_f \Theta_g^*) = \frac{1}{\hbar} f g$$



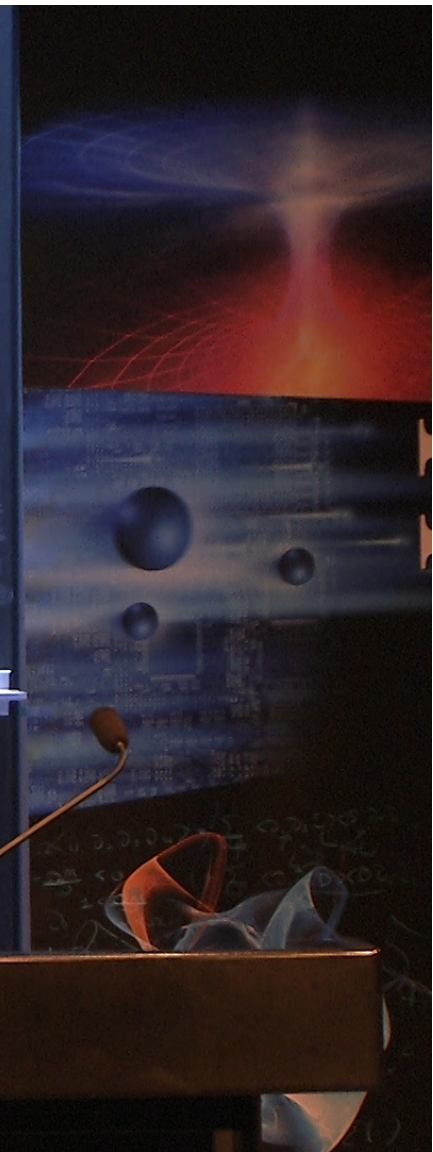
We have found  
cohomology of <sup>local</sup> observables

$$S^*(D_0 / \sum \partial_{x_i}^2)$$

Quantum

$$d^2 \theta_g^v = \frac{1}{\hbar} \theta_{\Delta g}$$
$$d(\theta_f \theta_g^v) = 1 \int fg$$

$$d_1 := d^{cl} = \lim_{\hbar \rightarrow 0} \hbar d^e$$





## Alternate description

$$\text{Obs}_0^{\text{cl}} = S^*(D_0 / \sum \partial_{x_i}^2)$$

This is a commutative algebra  
with  $n$  commuting derivations

It is generated by 1 element,  $\varphi : \mathbb{C} \rightarrow \varphi(0)$   
subject to the relation  $(\sum \partial_{x_i}^2) \varphi = 0$



Interacting theory, e.g.  $\varphi^4$   
it's easy to see that

$\text{Obs}_0^{\text{cl}}$  = generated in this  
sense by  $\theta$

with the relation that  
$$\sum \partial_{x_i}^2 \theta + \theta^3 = 0$$

We have found  
cohomology of  $\text{Obs}_0^{\text{cl}}$   
is

$$S^*(\mathcal{D}_0 / \Sigma \mathcal{D}_0)$$

Quantum

$$d^2 \theta_g^v = \frac{1}{\hbar} \theta_{\Delta g}$$
$$d(\theta_f \theta_g^v) = \frac{1}{\hbar} \theta_f$$



We will show  
 $\mathcal{O}_{\text{cl}}$  has a "Poisson bracket"

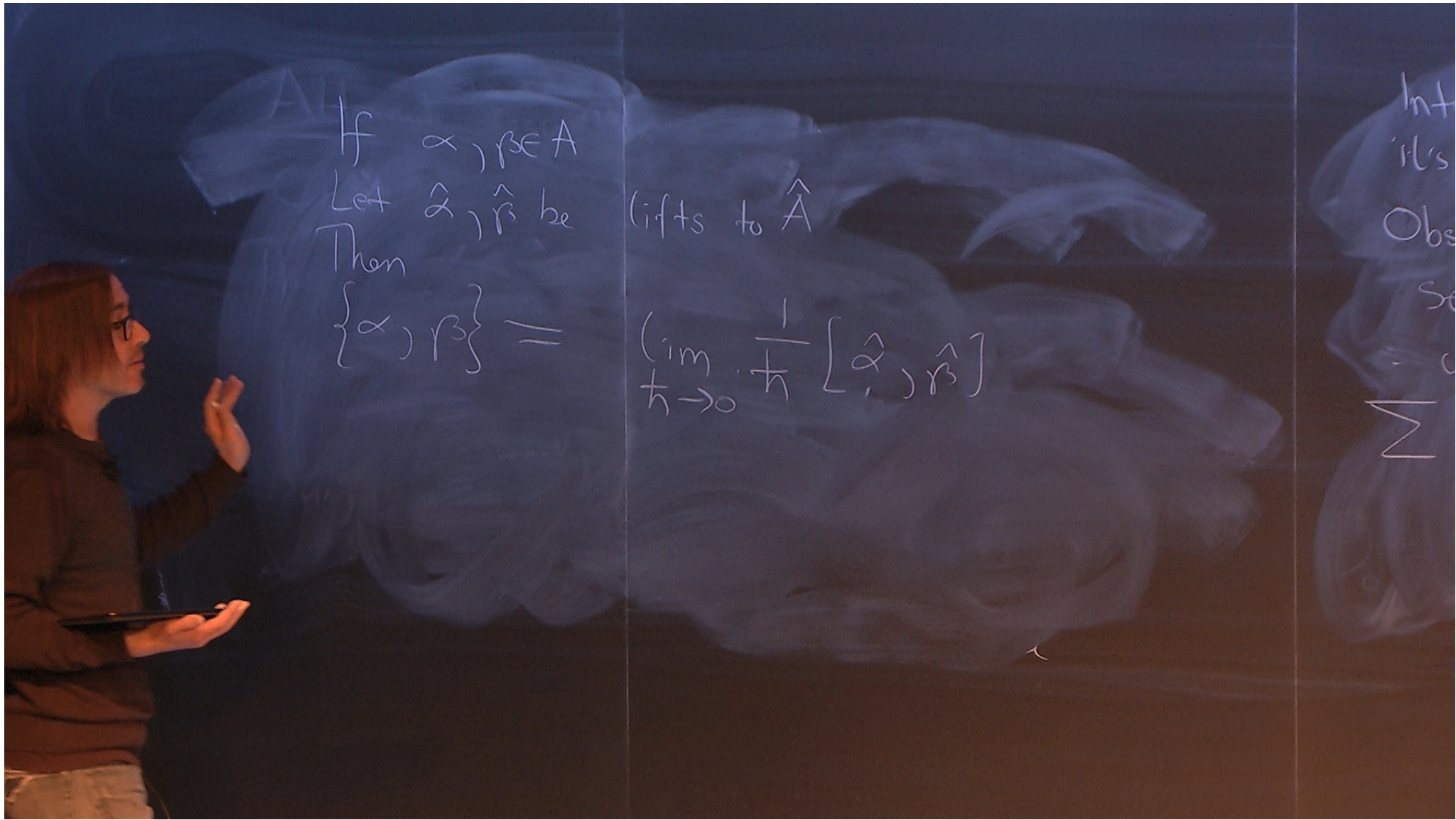
Reminder (Deformation  
quantization)

If we have a classical  
mechanical system, w. algebra  
 $A$  of operators (commutative)

$A$  has a Poisson bracket  
defined as follows:

Let  $\hat{A}$  be non-comm.  
quantum algebra, defined  
modulo  $\hbar^2$







If  $\alpha, \beta \in A$

Let  $\hat{\alpha}, \hat{\beta}$  be lifts to  $\hat{A}$

Then

$$\{\alpha, \beta\} = \lim_{\hbar \rightarrow 0} \frac{1}{\hbar} [\hat{\alpha}, \hat{\beta}]$$

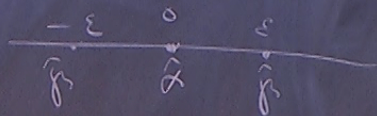
$$- [\hat{\alpha}, \hat{\beta}] = 0 \text{ mod } \hbar$$

- This does not depend on choice of lifts of  $\alpha, \beta$ .



In fact algebra story,

$$[\hat{\alpha}, \hat{\beta}] = \hat{\alpha}(0) \hat{\beta}(\varepsilon) - \hat{\alpha}(0) \hat{\beta}(-\varepsilon)$$



This commutator =  
obstruction to  $\hat{\alpha}(\hat{\beta}(\varepsilon))$   
being a continuous function of  
 $\varepsilon$



If there's no Hamiltonian,

$$\frac{\partial}{\partial \varepsilon} \hat{\rho}(\varepsilon) = 0$$

$\hat{\rho}(0) \cdot \hat{\rho}(\varepsilon)$  constant  
in the region  $\varepsilon \neq 0$

$$= (\text{Independent of } \varepsilon) + \left( \begin{array}{c} \delta_{\varepsilon > 0} - \delta_{\varepsilon < 0} \\ \uparrow \\ 0 \end{array} \right)$$

Obstruction to being continuous  
is the commutator

$\hat{A}$  has a Poisson bracket defined as follows:

Let  $\hat{A}$  be non-comm. quantum algebra, defined modulo  $\hbar^2$



To write this down in general  
need some notation

$$C^\omega(\mathbb{R}^n \setminus \{0\}) = \{ \text{real analytic functions} \}$$

$$C_+^\omega(\mathbb{R}^n \setminus \{0\}) = \{ \text{real analytic functions} \\ \text{which extend to a continuous} \\ \text{function on } \mathbb{R}^n \}$$

$\Psi$   
 $r \log r$



## Theorem

Consider any classical field theory

Let  $\text{Obs}_0^{\text{cl}}$  = point observables

(taking cohomology)

Then,  $\exists$  a map

$\left\{ \begin{array}{l} - \\ - \\ - \end{array} \right\}_{\text{OPE}}$

$$\text{Obs}_0^{\text{cl}} \otimes \text{Obs}_0^{\text{cl}} \longrightarrow \text{Obs}_0^{\text{cl}} \otimes \left( \frac{C^\omega(\mathbb{R}^n \setminus \{0\})}{C_+^\omega(\mathbb{R}^n \setminus \{0\})} \right)$$



neons  
variables

$$s_0^{cl} \rightarrow \text{Obs}_0^{cl} \otimes \left( \frac{C^\omega(\mathbb{R}^n|_0)}{C_+^\omega(\mathbb{R}^n|_0)} \right)$$

$\left\{ \frac{\partial}{\partial x^i}, \dots \right\}$  is a derivation  
in each factor, and  
a map of modules  
for the Lie algebra  $\mathbb{R}^n$ ,  
which also acts on  
 $\frac{C^\omega(\mathbb{R}^n|_0)}{C_+^\omega(\mathbb{R}^n)}$



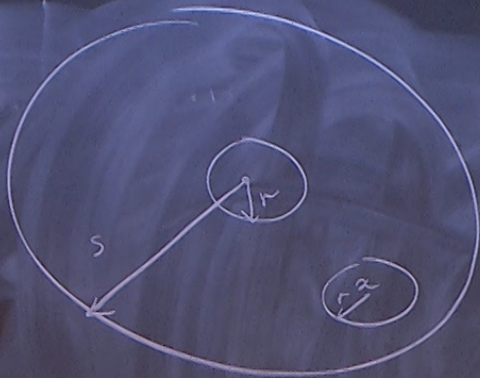
It is defined as follows:

If  $\text{Obs}^q$  the observables  
of a quantization defined mod  $\hbar^2$

$$O_1, O_2 \in \text{Obs}_0^{\text{cl}}$$

$$\hat{O}_1, \hat{O}_2 \text{ lifts to } \text{Obs}_0^q$$





$$\hat{\theta}_1(0) \in \text{Obs}^q(D(0,r)) \quad \forall r$$

$$\hat{\theta}_2(x) \in \text{Obs}^q(D(x,r)) \quad \forall r$$

$$\hat{\theta}_1(0) \hat{\theta}_2(x) \in \text{Obs}^q(D(0,s))$$

$$\forall x, \text{ not too close to } 0, |x| < s-r$$

Better

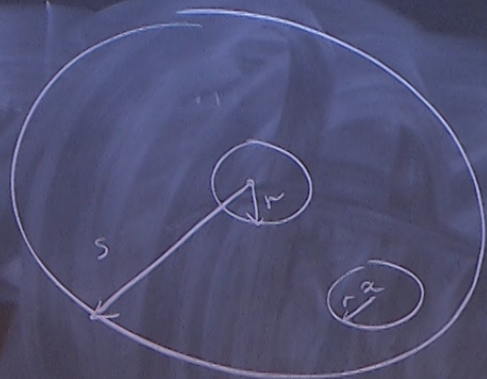
$$\forall x \neq 0$$

$$|x| < s$$

$$\hat{\theta}_1(0) \cdot \hat{\theta}_2(x)$$

extends across  $x=0$   
mod  $\hbar$





$$\hat{\Theta}_1(0) \in \text{Obs}^2(D(0,r)) \quad \forall r$$

$$\hat{\Theta}_2(x) \in \text{Obs}^2(D(x,r)) \quad \forall r$$

$$\hat{\Theta}_1(0) \hat{\Theta}_2(x) \in \text{Obs}^2(D(0,s))$$

$$\forall x, \text{ not too close to } 0, |x| < s-r$$

Better

$$\forall x \neq 0$$

$$|x| < s$$

$$\hat{\Theta}_1(0) \cdot \hat{\Theta}_2(x)$$

extends across  $x=0$

mod  $\hbar$

Can show

Failure to extend

across  $x=0$  is

$$\text{in } \frac{C^\omega(\mathbb{R}^n|_0)}{C_+^\omega(\mathbb{R}^n|_0)}$$

$\otimes \text{Obs}_0^{cl}$  ← point observable



Better

$$\forall x \neq 0$$

$$|x| < s$$

$$\hat{\Theta}_1(0) \cdot \hat{\Theta}_2(x)$$

extends across  $x=0$

mod  $\hbar$

Can show Failure to extend

across  $x=0$  is

$$\frac{C^w(\mathbb{R}^n|_0)}{C^w_+(\mathbb{R}^n|_0)}$$

$\otimes \text{Obs}_0^{\text{cl}}$  ← point observables

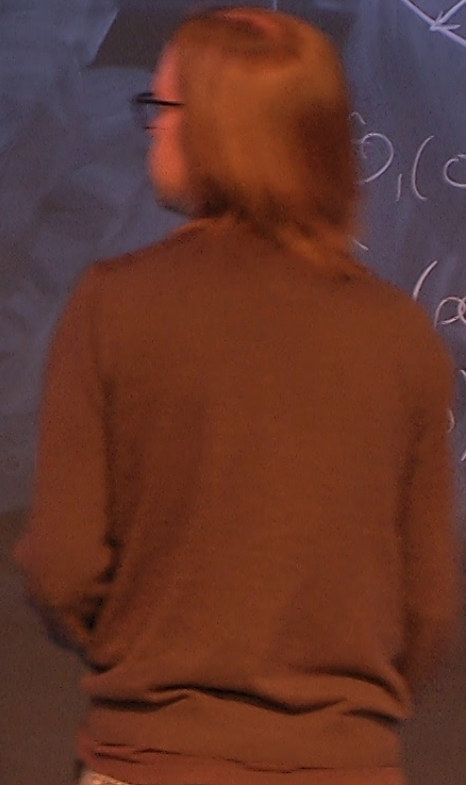
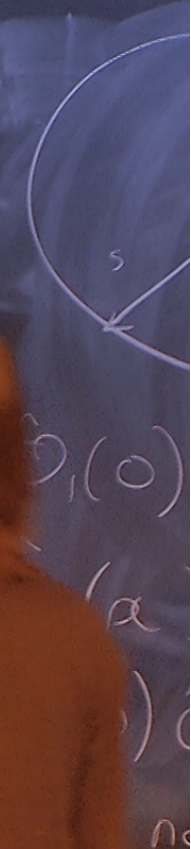
$$\{\Theta_1, \Theta_2\}_{\text{OPE}}$$

$$= \lim_{\hbar \rightarrow 0} \frac{1}{\hbar} (\text{Obstr}^n \text{ to extending})$$

$\hat{\Theta}_1(0) \hat{\Theta}_2(x)$   
across  $x=0$ )



(For holomorphic,  
 the range of  $\{, \}$   
 is  $\frac{H_c^*(\mathbb{C}^n | \mathcal{O})}{H_c^*(\mathbb{C}^n)} \otimes \text{Obs}_0^c$   
 $\cong H_c^*(\mathbb{C}^n | \mathcal{O}) \otimes \text{Obs}_0^c$





Ex.

Consider a free scalar field theory on  $\mathbb{R}^n$   
Field is  $\varphi \in C^\infty(\mathbb{R}^n)$

$\mathcal{O} \in \text{Obs}_0^{\text{cl}}$  be the observable

$$\mathcal{O} : \varphi \mapsto \varphi(0)$$

$$\mathcal{O} = \mathcal{O}_f$$

Better

$$\forall x =$$
$$|x| < s$$

$$\hat{\mathcal{O}}_1(0) \cdot \hat{\mathcal{O}}_2(0)$$

extends

mod

Can show

across  $x$

$$\frac{\text{in } C^\omega(\mathbb{R}^n)}{\hbar}$$

$$C_+^\omega(\mathbb{R}^n)$$



$$\{\theta_0, \theta\}_{\text{OPE}} = 1 \cdot G(x)$$

$G(x) \in C^\omega(\mathbb{R}^n \setminus \{0\})$   
 satisfying  $\Delta G(x) = \delta_{x=0}$

Proof:

$$\theta_{\delta_0} \cdot \theta_{\delta_x} = \hbar d^2(\theta_G^*)$$

$$\hbar d^2(\theta_G^*)$$

$$= \theta_{\delta_0} \theta_{\delta_x}$$

$$+ \hbar \int G \delta_x$$

$$= \theta_{\delta_0} \theta_{\delta_x} + \hbar G(x)$$

In cohomology,

$$\theta_{\delta_0} \cdot \theta_{\delta_x} = -\hbar G(x)$$



In 1 dim, this structure  
 (of  $\{ \}_{0 \leq i \leq 1}$ ) is the  
 ordinary Poisson bracket.  
 Take complex fermions  
 $\psi_i, \psi_i^\dagger$   
 $\int \psi_i \partial_t \psi_i$

a free scalar field  
 $\varphi \in C^\infty(\mathbb{R}^n)$   
 $\psi_i$  be the obs  
 $\psi_i(0)$



$$\Theta^i(\psi_1, \psi_2) = \psi_1(0)$$

$$\Theta_i(\psi) = \psi'(0)$$

$$\left\{ \Theta^i, \Theta_j \right\}_{\text{OPE}} = \delta_j^i \left( \delta_{|x|>0} \right)$$

Key point:

$$\frac{\partial}{\partial x} \delta_{|x|>0} = \delta_{x=0}$$

$\delta_{|x|>0}$  is the Green's function.  
Same argument applies.

Ex 2d chiral theories

$\left\{ \right\}_{\text{OPE}}$  gives us a

Poisson vertex algebra

$\left\{ \right\}_{\text{OPE}}$

$$\text{Obs}_0^e \otimes \text{Obs}_0^c \rightarrow \text{Obs}_0^c \otimes \left( \frac{\text{Hol}(\mathbb{C}^n)}{\text{Hol}(\mathbb{R}^n)} \right)$$

Complex fermions

$$\int \psi^i \bar{\partial} \psi_i, \text{ then}$$

$$\text{because } \bar{\partial} \frac{1}{z} = \delta_{z=0}$$



Ex 2d chiral theories

$\{ \}_{\text{free}}$  gives us a

Poisson vertex algebra

$\{ \}_{\text{free}}$

$$\text{Obs}_0^{\text{cl}} \otimes \text{Obs}_0^{\text{cl}} \rightarrow \text{Obs}_0^{\text{cl}} \otimes \left( \frac{\text{Hol}(\mathbb{C}^n)}{\text{Hol}(\mathbb{R})} \right)$$

Complex fermions

$(\psi^i, \bar{\psi}_i)$ , then

because  $\bar{\partial} \frac{1}{z} = \delta_{z=0}$

we have

$$\{ \mathcal{O}^i, \mathcal{O}^j \} = \delta_{ij} \frac{1}{z}$$

### Interacting Theories

Consider the  $\varphi^4$  theory on  $\mathbb{R}^4$

In gen



we have

$$\{\Theta^i, \Theta^j\} = \delta_{ij} \frac{1}{z}$$

## Interacting Theories

Consider the  $\varphi^4$  theory  
on  $\mathbb{R}^4$

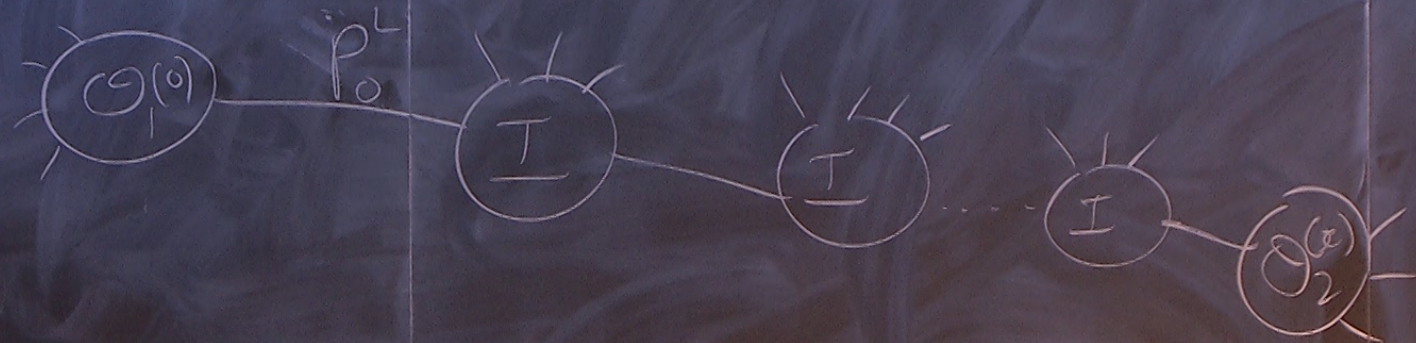
In general, for any theory,  
there is a formula  
for  $\{ \}$  one  
given entirely in terms  
of classical data



If  $\theta_1, \theta_2 \in \text{Obs}_s^{\text{cl}}$   
then

$\{\theta_1, \theta_2\}$   
OPE

$\sum$   
trees





- In a given theory, only  
finitely many terms  
contribute

- Answer is independent of  $L$

(changing  $L$ , changes  
theory by a quantity  
regular at  $x=0$ )

(x)  
2

--o



In  $\varphi^4$  theory on  $\mathbb{R}^4$ ,

$$\Theta : \varphi \mapsto \varphi(\omega)$$

We can compute

$$\left\{ \Theta, \Theta \right\}_{\text{OPE}}$$

$$= \textcircled{\varphi(0)} \xrightarrow{P_0^L} \textcircled{\varphi(x)}$$

$$+ \textcircled{\varphi(0)} \xrightarrow{P_0^L} \textcircled{I} \xrightarrow{P} \textcircled{\varphi(x)}$$

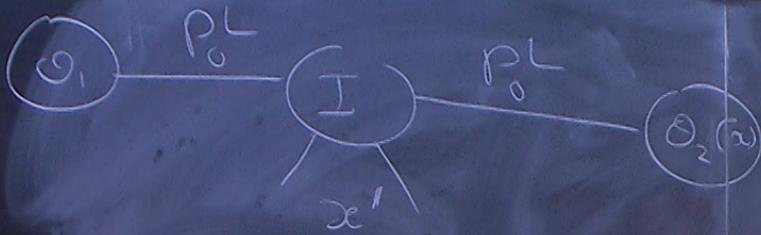
(other terms don't contribute)

$$\int_0^L K_t(0, x) dt$$
$$= \int_0^L t^{-2} e^{-\|x\|^2/t} dt$$

$$= \|x\|^2 + \text{terms continuous at } x=0$$

Green's function.





$$\int_{x' \in \mathbb{R}^4} \int_{t_1, t_2=0}^L K_{t_1}(0, x') K_{t_2}(x', x) \varphi(x')^2$$

You can show.

This is  $(\log \|x\|) \varphi(0)^2 + \text{terms continuous at } x=0$ .



Write

$$\varphi(x') = \varphi(0) + \varphi_1(x')$$

$$\varphi_1(x') = 0 \text{ at } x' = 0$$

Expand  $\varphi(x')^2$

Terms involve  $\varphi_1(x')$

are more convergent

they don't contribute

Left with  $\varphi(0)^2 \int_{t_1, t_2 \rightarrow 0} \int_{x', x''} K(0, x') K_t(x', x)$

$$= \varphi(0)$$





$$= \varphi(0)^2 \int_{L_1, L_2} K_{L_1+L_2}(0, x)$$

$$= \varphi(0)^2 \log \|x\| + \text{regular}$$

$K(x, x)$

in sum

$$\left\{ \begin{array}{l} \Theta, 0 \\ \text{order} \end{array} \right\}$$

$$= \frac{1}{\|x\|^2} + \Theta^2 \log \|x\|$$



$$= \varphi(0)^2 \int_{L_1, L_2} K_{L_1+L_2}(0, x)$$

$$= \varphi(0)^2 \log \|x\| + \text{regular}$$

$K(x, x)$

in sum

$$\{0, 0\}_{\text{OPE}}$$

$$= \frac{1}{\|x\|^2} + \mathcal{O}^2 \log \|x\|$$

$$\in \text{Obs}_0^c \otimes \left( \frac{C^\omega(\mathbb{R}^d \setminus 0)}{C_+^\omega(\mathbb{R}^d)} \right)$$



Chiral complex fermions

$$\psi_i, \psi_i$$

Then we have

$$\left\{ \mathcal{O}_i, \mathcal{O}_j \right\}_{\text{OPE}}$$

$$\sim \int_i \frac{1}{z}$$

If we have anti-chiral fermions

$$\left\{ \bar{\mathcal{O}}_i, \bar{\mathcal{O}}_j \right\}$$

$$= \int_i \frac{1}{z}$$

If chiral + anti-chiral are deformed by an interaction

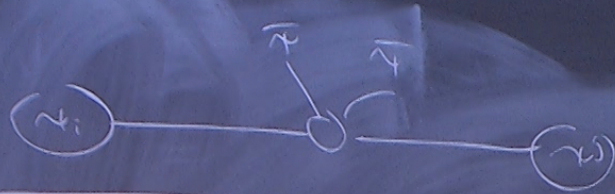
$$\int \psi_i \psi_i \bar{\psi}_k \bar{\psi}_l m_{ik}^{jl}$$

Then, the one loop OPE is deformed by

$$\left\{ \psi_i, \psi_j \right\}$$

$$= m_{ik}^{jl} \bar{\psi}_k \bar{\psi}_l \frac{1}{z} \log |z|$$





RG flow + scale invariance

In the  $\varphi^4$  theory, is there an  $R_{>0}$  on the fields that preserves all the structures?

$Obs_0^{cl} =$  alg. with 4 commuting derivations gen. by  $\partial_i$ ,  
subject to  $\sum \partial_{x_i}^2 \partial + \partial^3 = 0$

The  $R_{>0}$  should give  
 $\partial$  weight 1

But,

$\{\partial, \partial\}$

$$= \frac{1}{\|x\|^2} + \partial^2 \log \|x\|$$

in  $S$   
 $\{\partial, \partial\}$   
 $= \frac{1}{\|x\|^2}$   
 $\in Obs$



The  $\mathbb{R}_{>0}$  should give  
 $\mathcal{O}$  weight 1

But,

$$\{0, 0\}$$

$$= \frac{1}{\|a\|^2} + \mathcal{O}^2 \log \|a\|$$

$$\text{As } \log \|a\| \rightarrow \log \|a\| + \log \lambda$$

We've sketched  
why the quantum  
factorization  
algebra can not  
be a fixed point  
of  $\mathbb{R}_{>0}$  action