

Title: Lecture 4: Factorization Algebras and the General Structure of QFT

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Collection: QFT for Mathematicians

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Free scalar field

$$\varphi \in C^\infty(M)$$

$$\int \varphi D\varphi$$

$$\sum \partial_{x_i}^2$$

If  $U \subseteq M$

$$f_i \in C_c^\infty(U)$$

$$g_i \in C_c^\infty(U)$$

$\text{Obs}^a(U) =$  cochain complex  
spanned by

$$\mathcal{O}_{f_1} \dots \mathcal{O}_{f_n} \mathcal{O}_{g_1}^{\otimes k} \dots \mathcal{O}_{g_m}^{\otimes l}$$

in degree  $-n$

$d$   
 $\text{Obs}$

$$d_{\text{obsz}(u)} = \Delta_{\text{BV}} + \frac{1}{h} \mathcal{Q}$$

$\Delta_{\text{BV}}$  contracts  $|f|$  with  $|g|$

$$\Delta_{\text{BV}}(\mathcal{O}_f \mathcal{O}_g^v) = \int_u fg$$

$\Delta_{\text{BV}}$  = "Divergence wrt the Lebesgue measure"

$\mathcal{Q}$  a derivation

$$\mathcal{Q} \mathcal{O}_g^* = \mathcal{O}_{\mathcal{D}g}$$

↑  
Laplacian

$\mathcal{Q}$  = Lie derivative of a v. field on  $S(\varphi) = \int \varphi \Delta \varphi$

In the interacting case

$$S(\varphi) = \int \varphi D \varphi + \int \varphi^4$$

we need to add a term

$$\left\{ \underline{I} \right\} \quad \underline{I} = \int \varphi^4$$

The extra term is Lie derivative  
of a v. field on the function  
 $\varphi \mapsto \int \varphi^4$

$$\{I, \theta_g^+\} = \int_{x \in M} g(x) \varphi(x)^3$$

Problem This function

$$\varphi \rightarrow \int g \varphi^3$$

is not in the class of fns we considered.

It's not  $\int_{M^3} f_1(x_1) f_2(x_2) f_3(x_3) \varphi(x_1) \varphi(x_2) \varphi(x_3)$   
 $f_i \in C_c^\infty(M)$

$$g(x) \varphi(x^3)$$

m

function  
is not

fns we considered.

$$f_2(x_2) f_3(x_3) \varphi(x_1) \varphi(x_2) \varphi(x_3)$$

$$C_c^\infty(m)$$

Instead,

$$\int g \varphi^3 = \int g(x_1) \delta_{x_1=x_2=x_3} \varphi(x_1) \varphi(x_2) \varphi(x_3)$$

This is a distribution.

$$\pi + (\varphi, x^4) + (\varphi^4, x)$$

Tentative Soln (old case)

Enlarge are allowed fns  
to include things like

$$\varphi \mapsto \int D(x_1, \dots, x_n) \varphi(x_1) \dots \varphi(x_n)$$

$D$  is a distribution on  $M^n$   
w. compact support.

fns

$\varphi(x, 2, \varphi(x))$   
distribution on  $M^n$   
compact support.

Problem

BV Laplacian ill defined

$$f \in D_c(u)$$

$$g \in D_c(u)$$

$$\Delta_{BV} \left( \begin{matrix} \varphi \\ f \end{matrix} \cdot \begin{matrix} \varphi^* \\ g \end{matrix} \right) = \int f(x)g(x)$$

ill-defined as we cannot multiply distributions.

Instead,

$$\int g e^3 = \int g(x)$$

This



## Actual Sol<sup>n</sup>

- Use observables which are distributions
- Mollify  $\Delta_{BSV}$  to

$\Delta_\varepsilon$   
where  $\Delta_\varepsilon \begin{pmatrix} 0 & f \\ f & 0 \end{pmatrix} = \int K_\varepsilon(x_1, x_2) f(x_1) g(x_2)$

$K_\varepsilon$  is smooth.  $\varepsilon \rightarrow 0$  reproduces  $\Delta_{BSV}$

$$(x_1, x_2) f(x_1) g(x_2)$$

$\rightarrow 0$  reproduces  $\Lambda_{BSV}$

$$\Delta_0 - \Delta_\varepsilon = [Q, \partial_{p_0^\varepsilon}]$$

where

$$p_0^\varepsilon = \int_0^\varepsilon K_t$$

$\partial_{p_0^\varepsilon}$  contracts 2  $\mathcal{O}_f$ 's by

$$\partial_{p_0^\varepsilon}(\mathcal{O}_{f_1} \mathcal{O}_{f_2}) \rightarrow \int f_1(x_1) f_2(x_2) p_0^\varepsilon(x_1, x_2)$$

$$\Delta_\varepsilon - \Delta_L = [Q, \partial_{p_\varepsilon^L}]$$

$$\partial_{p_0^i}$$

$$K_t$$

2  $\mathcal{O}_f$ 's by

$$\int f_1(x_1) f_2(x_2) p_0^\varepsilon(x_1, x_2)$$

$$\partial_{p_\varepsilon^i}$$

The differentials  $\frac{1}{\hbar} Q + \Delta_\varepsilon$  are up to homotopy independent of  $\varepsilon$

What about add interacting term?

$$\frac{1}{\hbar} Q + \Delta_\varepsilon + \left\{ I[\varepsilon], - \right\}_\varepsilon$$

this is up to htpy independent of  $\varepsilon$  as long as  $I[\varepsilon]$  satisfies

"homotopy R & f flow"

$$H + (Q, \hbar^{-1}) + (Q^T, \varepsilon)$$

The differentials  $\frac{1}{\hbar}Q + \Delta_\varepsilon$  are up to homotopy independent of  $\varepsilon$

What about add interacting term?

$$(x_1, x_2) \quad \frac{1}{\hbar}Q + \Delta_\varepsilon + \left\{ I[\varepsilon], - \right\}_\varepsilon$$

this is up to htpy independent of  $\varepsilon$  as long as  $I[\varepsilon]$  satisfies

"homotopy R & flow"

(and  $I[\varepsilon]$  must satisfy  $QME$  to ensure squares to 0)

$$\hbar + (Q, \hbar) + (Q^2, \hbar)$$

- Fact. algebras for interacting case  
theories can be constructed  
once we have  
axioms from  $\{I, LL\}$  satrb  
phil's talk.

- Solrs  $\{I, LL\}$  to these axioms  
can be found by obstruction theory.  
They form a "formal moduli problem"

case  
satisfies  
k.  
axioms  
non-linear  
"problem"

In the case of free scalars  
Sols  $\{I(L)\}$  to these axioms  
Non-canonical  $\longleftrightarrow$  Lagrangians  
$$\sum t_i \int P_i(\varphi, \partial\varphi, \partial^2\varphi, \dots)$$

The d  
 $\frac{1}{\hbar} Q +$   
of  $\epsilon$

values  
one actions

## Renormalizability

Problem: Seems to be too many theories.

E.g.  $\int_{\mathbb{R}^4} \phi^4$  should be

a "bad" interaction; why do we include it?

$\mathbb{R}_{>0}$  acts on  $\mathbb{R}^n$

If  $\mathfrak{A}$  is a f. alg. on  $\mathbb{R}^n$

$\mathbb{R}_{>0} \times \mathfrak{A}$  is also

$\mathbb{R}_{>0}$  acts on set of f. algebras on  $\mathbb{R}^n$   
This is called the RG flow.

$\gamma$  is a fixed point if  
 $\lambda^* \gamma \cong \gamma$   
(this is data)

Ex The fact. alg of a free massless scalar field is a fixed point.

$\varphi \in C^\infty(\mathbb{R}^n)$

make  $\lambda \in \mathbb{R}_{>0}$  act on  $\varphi$  by

$$\varphi(x) \rightarrow \lambda^{n/2-1} \varphi(\lambda x)$$

Then  $\int \varphi \Delta \varphi$  is scale invariant.

If  $\lambda$  act on  $f \in C_c^\infty(\mathbb{R}^n)$  by  $f \rightarrow \lambda^{n/2+1} f(\lambda x)$

and on  
then  
d on  
Spinn  
 $\mathcal{O}_{f_1}$



and on  $g(x) \rightarrow \lambda^{n/2-1} g(x)$

then

$d$  on  $\text{Obs}^s(u)$

spanned by

$$\partial_{f_1}, \dots, \partial_{f_n}, \partial_{g_1}^v, \dots, \partial_{g_m}^w$$

is scale invariant

$$d = \Lambda_{BV} + \frac{1}{\hbar} Q$$

commutes w.  $\mathbb{R}_{>0}$  action.

and on  $g(x) \rightarrow \lambda^{n/2-1} g(\lambda x)$

then

$d$  on  $\text{Obs}^q(u)$

spanned by

$\mathcal{O}_{f_1} \dots \mathcal{O}_{f_n} \mathcal{O}_{g_r} \dots \mathcal{O}_{g_m}$

is scale invariant

$$d = \Lambda_{BV} + \frac{1}{\hbar} Q$$

commutes w.  $\mathbb{R}_{>0}$  action.

What about deformations?

$\mathcal{M} = \{ \text{moduli of translation inv. fact. algebras on } \mathbb{R}^n \}$

$T_{\text{free}} \mathcal{M} = \{ \text{Lagrangians} \}$

$\mathbb{R}_{>0}$  acts on  $T_{\text{free}} \mathcal{M}$

We can  
this act

$g(x)$

What about deformations?

$\mathcal{M} = \{ \text{moduli of translation} \\ \text{inv. fact. algebras on} \\ \mathbb{R}^n \}$

$T_{\text{free}} \mathcal{M} = \{ \text{Lagrangians} \}$

$\mathbb{R}_{>0}$  acts on  $T_{\text{free}} \mathcal{M}$

We can compute  
this action (skipping  
a small subtlety)  
by asking how  
a Lagrangian changes  
 $\mathcal{L}(\varphi)$  under  
 $\varphi(x) \rightarrow \lambda^{n/2-1} \varphi(\lambda x)$

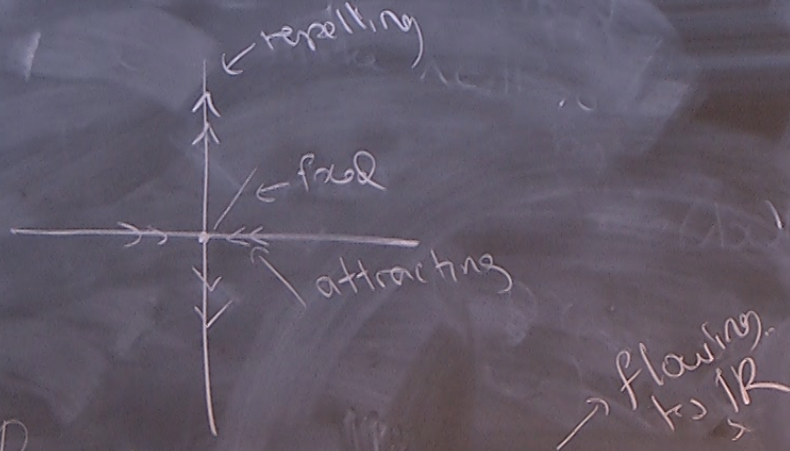
In dim<sup>n</sup> 4:

$$\int_{\mathbb{R}^4} \varphi^n \int_{ut}^n \underbrace{dx_1 \dots dx_4}_{ut - 4}$$

transforms by  $\lambda^{n-4}$

If we do some small calculations and consider  $SO(4)$  invariant Lagrangians,

the tangent space looks like



Repelling = Relevant  
Attracting = Irrelevant  
Fixed = Marginal

re looks like

ing

→ flowing to IR

Relevant

relevant

al

For  $\varphi^4$

Marginal:

$$\int \varphi^4$$

Irrelevant

Lots of them

e.g.  $\int \varphi^n$   $n > 4$

Relevant ones

Finitely many

$$\int \varphi^2, \int \varphi^3$$

$\varphi^4$

original:

$\int \varphi^4$

relevant

Lots of them

e.g.  $\int \varphi^n$   $n > 4$

relevant ones

Finitely many

$\int \varphi$ ,  $\int \varphi^2$ ,  $\int \varphi^3$

Even though  
Space of theories  
'is  $\infty$  dim',  
if we look at things  
from far away  
only finitely many parameters  
matter.

f them  
 $n > 4$   
tely many  
 $z, \int p^3$

Even though  
Space of theories  
is  $\infty$  dimm,  
if we look at things  
from far away,  
only finitely many parameters  
matter.

Conversely,  
at small scales,  
only marginal  
& relevant interactions  
can have good behaviour.

A space looks like

rolling

fixed

attracting

→ flowing to IR

For 4 dim<sup>n</sup> free theory.

Marginal:  $\int \phi^4$

Irrelevant Lots of them  
e.g.  $\int \phi^n$   $n > 4$

Relevant ones: Finitely many  
 $\int \phi$ ,  $\int \phi^2$ ,  $\int \phi^3$

Even though

Space of the  
is  $\infty$  dim<sup>n</sup>,

if we look at  
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only finitely many  
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## Corrections to the flow

Consider

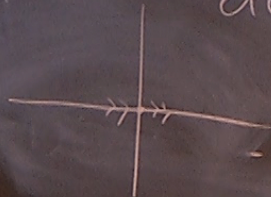
$$\int \varphi \Delta \varphi + c \int \varphi^4$$

We claimed that to leading order in  $c$ , this is scale invariant. Does this extend beyond leading order?

No:

There is a RG flow trajectory in space of theories with coord  $c$ , where the v. field generates the flow is

$$c^2 \frac{d}{dc} + O(c^3) \frac{d}{dc}$$



a RG flow  
in space of  
with coord  $c$ ,  
the v. field  
the flow is  
 $+ O(c^3) \frac{d}{dc}$

$c > 0$  "good" (unitary)

$c < 0$  "bad"

Sign is such that  
in the IR,  $c \rightarrow 0$   
flows down to  $c=0$

Yang-Mills Opposite sign.

"Good" coupling constant  
 $\rightarrow 0$  in the UV

"good" (unitary)

"bad"

such that

$\Gamma \rightarrow 0$

flows down to  $e=0$

Wills Opposite sign.

"good" coupling constant

$\rightarrow 0$  in the UV

## How to compute

- Understand leading order corrections to the  $f$  rules as we deform away from a free theory
- Compute with the ILL's

## How to compute

- Understand leading order corrections to the  $f$  alg as we deform away from a free theory
- Compute with the  $I[L]$ 's

## Notation

$R_\lambda =$  how  $\lambda \in \mathbb{R}_{>0}$  acts on  $\varphi \in C^\infty(\mathbb{R}^4)$

$I[L](\varphi)$

define

$$R_\lambda(I[L])(\varphi) = I[\lambda^2 L](R_\lambda \varphi)$$

$$K_L = e^{-\|\alpha_1 - \alpha_2\|^2/L} L^{-n/2}$$

Check:

If  $I[L]$  satisfies the axioms  
so does  $R_\lambda(I)[L]$

Computation

Start with  $\int \varphi \partial \varphi + c \int \varphi^4$

Build  $I[L](c)$

$$R_\lambda(I)[L](c)$$

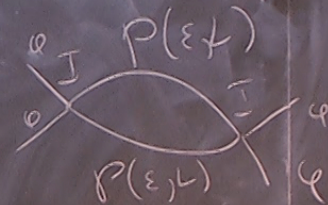
$$= I[L](c + h c^2 + O(h^3))$$

Naively,

$$I[L] = \lim_{\varepsilon \rightarrow 0} \sum \frac{1}{h} b_1(\varepsilon)$$

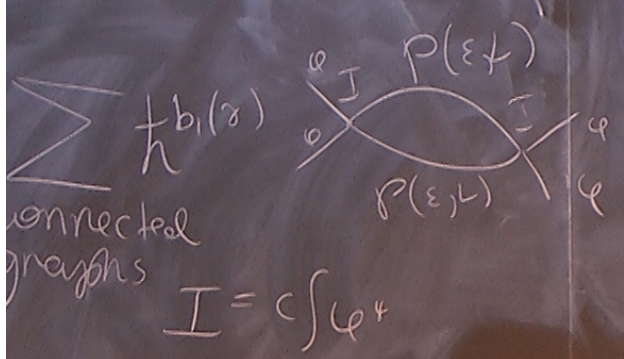
connected  
graphs

$$I = c \int \varphi^4$$



$$W(P_\varepsilon, I)$$

(c)  $c + h \log c^2 + O(\epsilon^2)$



Sometimes this limit doesn't exist.

$$I \rightarrow I - I^{CT}(\epsilon)^{24}$$

↑  $\epsilon$ -dependent terms

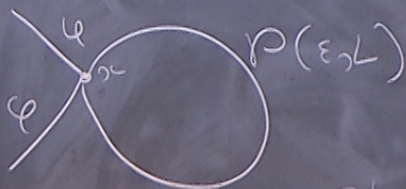
called counter-terms  
By studying those, we will see the flow

### How to compute

- Understand leading order corrections to the free theory we deform a free theory
- Compute with

Tree diagrams: ✓

Loop:

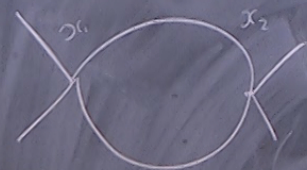


$$= \int_{x \in \mathbb{R}^4} \varphi(x)^2 \int_{t=\epsilon}^L t^2 e^{-\|x_1 - x_2\|^2/t}$$

$$= \int_{x \in \mathbb{R}^4} \varphi(x)^2 \left( \frac{1}{\epsilon} - \frac{1}{L} \right)$$

1st Counter term

$$+ \frac{1}{\epsilon} \int \varphi(x)^2$$



$$\int_{x_1, x_2 \in \mathbb{R}^4} \varphi(x_1)^2 \varphi(x_2)^2 P_\epsilon^L(x_1, x_2)^2$$

This is

$$\int_{t_1, t_2 = \varepsilon}^L \int_{x_1, x_2} \varphi(x_1)^2 \varphi(x_2)^2 t_1^{-2} t_2^{-2} e^{-\left(\frac{1}{t_1} - \frac{1}{t_2}\right) |x_1 - x_2|^2}$$

integrated over  $x_1 - x_2$  we get

$$\int_{t_1, t_2 = \varepsilon}^L \int_x \varphi(x)^4 t_1^{-2} t_2^{-2} \left(\frac{t_1 t_2}{t_1 + t_2}\right)^2 dt_1 dt_2 \sim \log \varepsilon \int \varphi^4$$



When we scale everything

$$I[L] = \lim_{\varepsilon \rightarrow 0} W(P_{\varepsilon}^L, I - I^{\text{GT}}(\varepsilon))$$

$$R_{\lambda} I[L] = \lim_{\varepsilon \rightarrow 0} W(P_{\frac{\lambda \varepsilon}{\lambda^2}}^{\lambda^2}, I - R_{\lambda} I^{\text{GT}}(\lambda \varepsilon))$$

$$\varepsilon^{-1} \int \varphi^2$$

If we scale everything  
this is fixed

$$\log \varepsilon \int \varphi^4 \rightarrow \log \varepsilon \int \varphi^4 + 2 \log \lambda \int \varphi^4 = \int_{x \in \mathbb{R}^4} \varphi(x)^2 \left( \frac{1}{\varepsilon} - \frac{1}{L} \right)$$

Tree diagrams: ✓

$$\int_{t=\varepsilon}^L \varepsilon^2 e^{-\|x_1, x_2\|^2/t}$$

we scale everything

$$\lim_{\varepsilon \rightarrow 0} W(P_\varepsilon^L, I - I^{\text{CT}}(\varepsilon))$$

$$\lim_{\varepsilon \rightarrow 0} W(P_{\lambda \varepsilon}^{x_1, x_2}, I - P_{\lambda \varepsilon} I^{\text{CT}}(\lambda \varepsilon))$$

If we scale everything  
this is fixed

$$\rightarrow (\log \varepsilon) \int \varphi^4 + 2(\log \lambda) \int \varphi^4$$

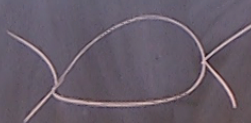
Conclude:

If we scale,  
 $I^{\text{CT}} \rightarrow I^{\text{CT}}$

$$+ \pi(\log \lambda) \int \varphi^4$$

This means

$$I \rightarrow I + \pi(\log \lambda) \int \varphi^4$$



$$C_{\text{FC}}^2$$

1st Counter term

$$+ \frac{1}{\varepsilon} \int \varphi(x)^2$$



$$\int_{x_1, x_2 \in \mathbb{R}^4} \varphi(x_1)^2 \varphi(x_2)^2 P_\varepsilon^L(x_1, x_2)^2$$