

Title: Dynamics of two-point correlation functions in quantum systems

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**Abstract:** We give rigorous analytical results on the temporal behavior of two-point correlation functions (also known as dynamical response functions or Green's functions) in quantum many body systems undergoing unitary dynamics. Using recent results from large deviation theory, we show that in a large class of models the correlation functions factorize at late times  $\rightarrow$ , thus proving that dissipation emerges out of the unitary dynamics of the system. We also show that the fluctuations around this late-time value are bounded by the purity of the thermal ensemble, which generally decays exponentially with system size. This conclusion connects the behavior of correlation functions to that of the late-time fluctuations of quenched systems out of equilibrium.

For auto-correlation functions such as  $C(t)$  (as well as the symmetrized and anti-symmetrized versions) we provide an upper bound on the timescale at which they reach that factorized late time value. Remarkably, this bound is a function of local expectation values only, and does not increase with system size. As such it constrains, for instance, the behavior of current auto-correlation functions that appear in quantum transport. We give numerical examples that show that this bound is a good estimate in chaotic models, and argue that the timescale that appears can be understood in terms of an emergent fluctuation-dissipation theorem. Our study extends to further classes of two point functions such as the Kubo function of linear response theory, for which we give an analogous result.

Joint work with Luis Pedro Garcia-Pintos and Jonathon Riddell

# Dynamics of 2-point correlation functions in quantum systems



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# Correlation functions/dynamical response functions

$$\langle A(t)B \rangle_{\beta} \equiv \text{tr}[\rho_{\beta} A(t)B]$$

$$\left\{ \begin{array}{l} A(t) = e^{-itH} A e^{itH} \\ H = \sum_i^N h_i \end{array} \right.$$



R Kubo 1966 *Rep. Prog. Phys.* **29** 255



# Outline:

- At late times, “dissipation” occurs  $\overline{\langle A(t)B \rangle}_\beta \rightarrow \langle A \rangle_\beta \langle B \rangle_\beta$

- For most times  $\langle A(t)B \rangle_\beta \sim \langle A \rangle_\beta \langle B \rangle_\beta$  (small variance)

- Autocorrelation function timescales: after given  $T_0 \sim \mathcal{O}(1)$

$$\langle A(t)A \rangle_\beta \sim \langle A \rangle_\beta \langle A \rangle_\beta$$

- Some extensions and applications



# Correlation functions/dynamical response functions

$$\langle A(t)B \rangle_\beta \equiv \text{tr}[\rho_\beta A(t)B]$$

$$\left\{ \begin{array}{l} A(t) = e^{-itH} A e^{itH} \\ H = \sum_i^N h_i \end{array} \right.$$



R Kubo 1966 *Rep. Prog. Phys.* **29** 255

This talk: rigorous analytical results on time evolution of these functions, which apply to non-integrable systems.

# Factorization/dissipation

$$\langle A(t)B \rangle_\beta \longrightarrow \langle A \rangle_\beta \langle B \rangle_\beta$$

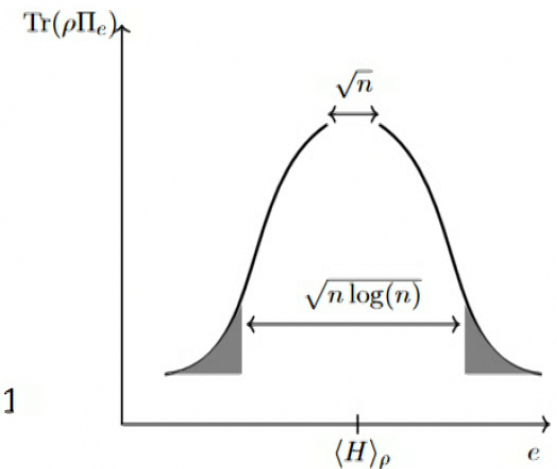
- Key assumption: Thermal states with finite correlation length on  $D$ -dim lattice

$$\max_{X \in \mathcal{X}, Y \in \mathcal{Y}} \frac{|\langle X \otimes Y \rangle_\beta - \langle X \rangle_\beta \langle Y \rangle_\beta|}{\|X\| \|Y\|} \leq e^{-\frac{\text{dist}(\mathcal{X}, \mathcal{Y})}{\xi}}$$

- Their energy distribution follows “large deviation bounds”

$$\text{tr}[\rho_\beta \Pi_{\geq \langle H \rangle_\beta + Na}] \leq \mathcal{O}(\xi) e^{-\frac{-(Na^2 \xi)^{1/(D+1)}}{\mathcal{O}(1)\xi}}$$

Anurag Anshu 2016 *New J. Phys.* **18** 083011





# Factorization/dissipation: “weak ETH”

$$\langle A(t)B \rangle_\beta \longrightarrow \langle A \rangle_\beta \langle B \rangle_\beta$$

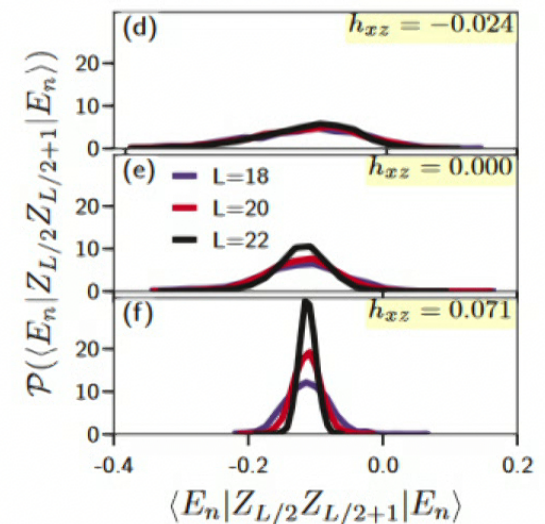
- The key ingredient is a weak version of diagonal ETH:

$$A_{kk} \sim \langle A \rangle_\beta \quad \text{“with high probability” or “for most eigenstates”}$$

- Mori (1609.09776): weak ETH follows from large deviation bounds (like those of Anshu)
- Still true for systems that do not necessarily thermalize:

- Integrable? Biroli et al. PRL 105, 250401 (2010)
- Quantum scars Turner et al. Nature Physics 14, 745–749 (2018)
- Khemani et al. PRB 99, 161101(R) (2019)

$$H = - \sum_i^N P_{i-1} X_i P_{i+1} - \sum_i^N h_{XZ} (P_{i-1} X_i P_{i+1} Z_{i+2} + Z_{i-2} P_{i-1} X_i P_{i+1})$$





# Factorization/dissipation: “weak ETH”

- Brandao et al (1710.04631) put together Mori '16 + Anshu '16 to obtain explicit bounds

Let  $\left\{ \begin{array}{l} H \text{ translation-invariant on } N \text{ sites} \\ \rho_\beta \text{ exponential decaying correlations, with corr. length } \xi \\ A \text{ has support on } \mathcal{O}(1) \text{ sites} \end{array} \right.$

(1D case, but works for higher D)

$$\Pr_{|E_k\rangle \in \rho_\beta} (|A_{kk} - \langle A \rangle_\beta| > \delta) \leq \exp(-c\delta N^{1/2} / \xi^{3/2})$$

# Factorization/dissipation: proof outline

Let  $\left\{ \begin{array}{l} - H \text{ translation-invariant on } N \text{ sites, } \mathbf{non-degenerate} \\ - \rho_\beta \text{ exponential decaying correlations} \\ - A, B \text{ have support on } \mathcal{O}(1) \text{ sites} \end{array} \right.$

$$\lim_{T \rightarrow \infty} \int_0^T \frac{dt}{T} \langle A(t) B \rangle_\beta$$



# Factorization/dissipation: proof outline

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$$\lim_{T \rightarrow \infty} \int_0^T \frac{dt}{T} \langle A(t) B \rangle_\beta = \sum_k \rho_{kk} A_{kk} B_{kk}$$



# Factorization/dissipation: proof outline

Let  $\left\{ \begin{array}{l} - H \text{ translation-invariant on } N \text{ sites, **non-degenerate**} \\ - \rho_\beta \text{ exponential decaying correlations} \\ - A, B \text{ have support on } \mathcal{O}(1) \text{ sites} \end{array} \right.$

$$\begin{aligned} \lim_{T \rightarrow \infty} \int_0^T \frac{dt}{T} \langle A(t) B \rangle_\beta &= \sum_k \rho_{kk} A_{kk} B_{kk} & \delta_k^A &\equiv A_{kk} - \langle A \rangle_\beta \\ &= \langle A \rangle_\beta \langle B \rangle_\beta + \sum_k \rho_{kk} (\langle A \rangle_\beta \delta_k^B + \langle B \rangle_\beta \delta_k^A + \delta_k^A \delta_k^B) \\ &= \langle A \rangle_\beta \langle B \rangle_\beta + C \sum_{\delta_k \geq \delta_*} \rho_{kk} \delta_k + C \sum_{\delta_k < \delta_*} \rho_{kk} \delta_k \end{aligned}$$

# Factorization/dissipation: proof outline

- Let
- $H$  translation-invariant on  $N$  sites, **non-degenerate**
  - $\rho_\beta$  exponential decaying correlations
  - $A, B$  have support on  $\mathcal{O}(1)$  sites

$$\begin{aligned}
 \lim_{T \rightarrow \infty} \int_0^T \frac{dt}{T} \langle A(t) B \rangle_\beta &= \sum_k \rho_{kk} A_{kk} B_{kk} & \delta_k^A &\equiv A_{kk} - \langle A \rangle_\beta \\
 &= \langle A \rangle_\beta \langle B \rangle_\beta + \sum_k \rho_{kk} (\langle A \rangle_\beta \delta_k^B + \langle B \rangle_\beta \delta_k^A + \delta_k^A \delta_k^B) \\
 &= \langle A \rangle_\beta \langle B \rangle_\beta + C \sum_{\delta_k \geq \delta_*} \rho_{kk} \delta_k + C \sum_{\delta_k < \delta_*} \rho_{kk} \delta_k
 \end{aligned}$$

Small due to weak ETH  
(for  $\delta_*$  large enough)

Small if  $\delta_*$  is chosen  
small enough



# Factorization/dissipation: proof outline

- Let
- $H$  translation-invariant on  $N$  sites, **non-degenerate**
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 &= \langle A \rangle_\beta \langle B \rangle_\beta + \sum_k \rho_{kk} (\langle A \rangle_\beta \delta_k^B + \langle B \rangle_\beta \delta_k^A + \delta_k^A \delta_k^B) \\
 &= \langle A \rangle_\beta \langle B \rangle_\beta + C \sum_{\delta_k \geq \delta_*} \rho_{kk} \delta_k + C \sum_{\delta_k < \delta_*} \rho_{kk} \delta_k \\
 &= \langle A \rangle_\beta \langle B \rangle_\beta + \mathcal{O}(\xi^{3/2} \frac{\log N}{\sqrt{N}})
 \end{aligned}$$



# Factorization/dissipation: result

- Let
- $H$  translation-invariant on  $N$  sites, **non-degenerate**
  - $\rho_\beta$  exponential decaying correlations
  - $A, B$  have support on  $\mathcal{O}(1)$  sites

$$\lim_{T \rightarrow \infty} \int_0^T \frac{dt}{T} \langle A(t) B \rangle_\beta = \langle A \rangle_\beta \langle B \rangle_\beta + \mathcal{O}(\xi^{3/2} \frac{\log N}{\sqrt{N}})$$

# Factorization/dissipation: result

- Let
- $H$  translation-invariant on  $N$  sites, **non-degenerate**
  - $\rho_\beta$  exponential decaying correlations
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This “dissipation” happens even if your system does not always thermalize (strong vs. weak ETH). Not the case for 4-point functions.



# Average size of fluctuations

- Late-time average value (previous slide)  $\langle \overline{A(t)B} \rangle_\beta = \lim_{T \rightarrow \infty} \int_0^T \frac{dt}{T} \langle A(t)B \rangle_\beta$
- Fluctuations around it  $\sigma_{AB} = \lim_{T \rightarrow \infty} \int_0^T \frac{dt}{T} |\langle A(t)B \rangle_\beta - \langle \overline{A(t)B} \rangle_\beta|^2$

Let  $H$  be such that :  $E_j - E_k = E_l - E_m \iff j = l, k = m$

Then:

$$\sigma_{AB} \leq ||A|| ||B|| \text{Tr}[\rho_\beta^2] \propto e^{-cN}$$



# Fluctuations in pure states/quenches

Let  $H$  be such that :  $E_j - E_k = E_l - E_m \iff j = l, k = m$

Then:  $\sigma_{AB} \leq ||A|| ||B|| \text{Tr}[\rho_\beta^2]$

There is a significant parallelism with results on equilibration of quenched out of eq. systems:

Linden et al PRE 79, 061103 (2009)  
Reimann PRL 101, 190403 (2008)

$$\overline{|\langle \psi(t) | A | \psi(t) \rangle - \overline{\langle \psi(t) | A | \psi(t) \rangle}|^2} \leq ||A||^2 \text{Tr}[\overline{|\psi(t)\rangle \langle \psi(t)|}]^2$$

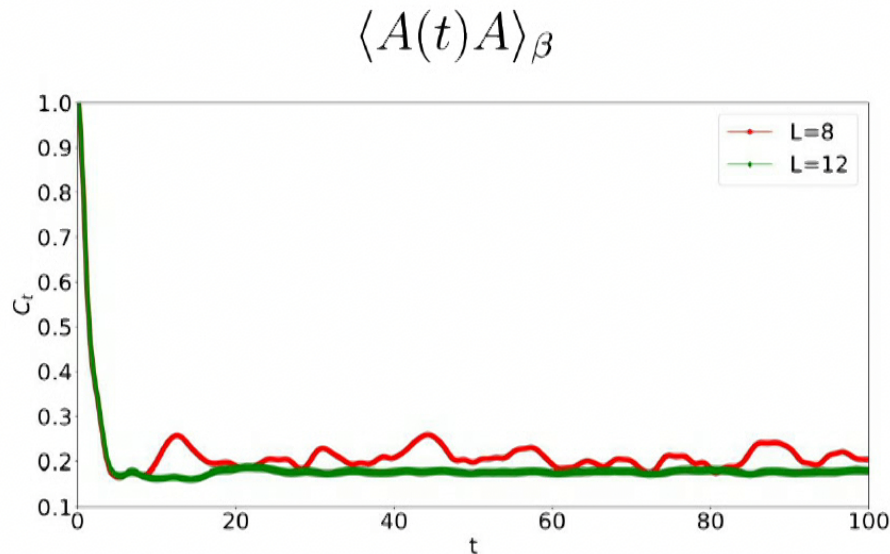
Average size of out-of-equilibrium fluctuations

Purity of diagonal ensemble  
(related to ergodicity of dynamics)

See also: Srednicki 1999 *J. Phys. A: Math. Gen.* **32** 1163  
Ritcher et al. 1805.11625

# Timescales of autocorrelation functions

- The behavior of  $\langle A(t)B \rangle_\beta$  might involve timescales depending on  $\text{dist}(A, B), N \dots$
- How long until  $\langle A(t)B \rangle_\beta \longrightarrow \langle A \rangle_\beta \langle B \rangle_\beta$ ?
- We focus on  $A = B$



$$\int_0^T \frac{dt}{T} \frac{|\langle A(t)A \rangle_\beta - \overline{\langle A(t)A \rangle_\beta}|^2}{\langle A^2 \rangle_\beta} \leq f(T)$$



# Timescales of autocorrelation functions

$$\int_0^T \frac{dt}{T} \frac{|\langle A(t)A \rangle_\beta - \overline{\langle A(t)A \rangle_\beta}|^2}{\langle A^2 \rangle_\beta} \leq \frac{\pi a(\epsilon)}{\sigma_A T} + \delta(\epsilon)$$

If  $\rho_{kk}|A_{jk}|$  smoothly distributed, and not too many degeneracies (both reasonable in non-integrable systems), it holds that

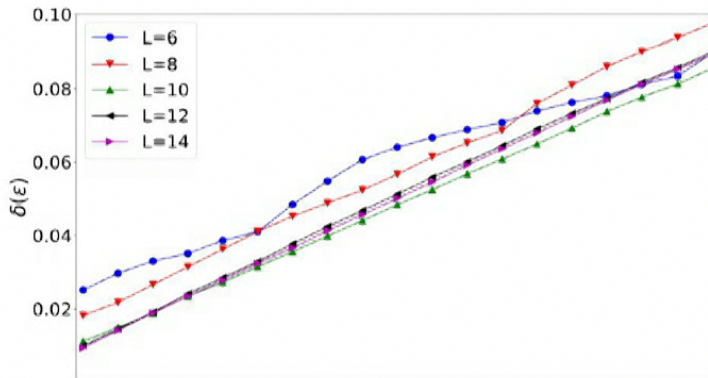
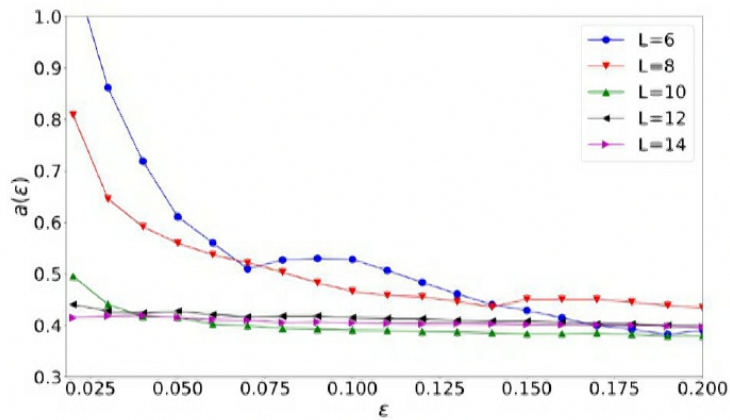
$$a(\epsilon) \sim \mathcal{O}(1) \quad \delta(\epsilon) \ll 1$$

García-Pintos et al  
Phys. Rev. X 7, 031027 (2017)

If so, a relevant timescale is given by  $\sigma_A$

$$\sigma_A^2 = \frac{\langle [A, H][H, A] \rangle_\beta}{\langle A^2 \rangle_\beta} - \frac{\langle [H, A], A \rangle_\beta^2}{\langle A^2 \rangle_\beta^2}$$

# Timescales of autocorrelation functions



$$\int_0^T \frac{dt}{T} \frac{|\langle A(t)A \rangle_\beta - \overline{\langle A(t)A \rangle_\beta}|^2}{\langle A^2 \rangle_\beta} \leq \frac{\pi a(\epsilon)}{\sigma_A T} + \delta(\epsilon)$$

$a(\epsilon) \sim \mathcal{O}(1)$

$\delta(\epsilon) \ll 1$

$$A = \sigma_{L/2}^x$$

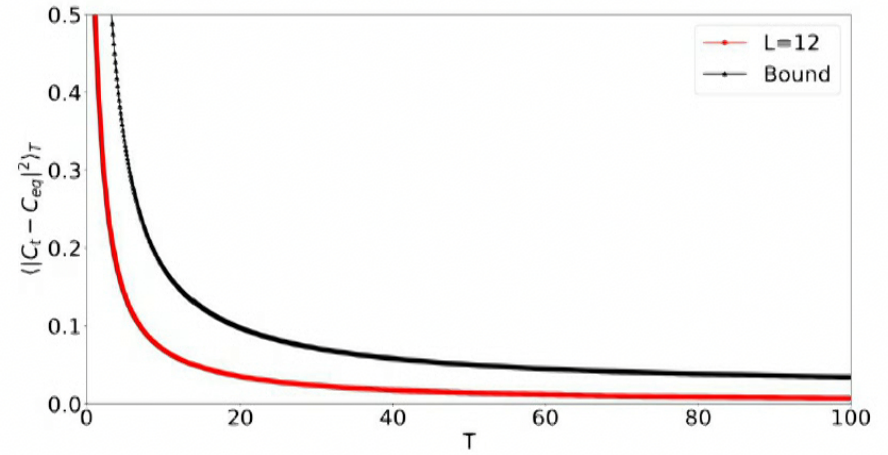
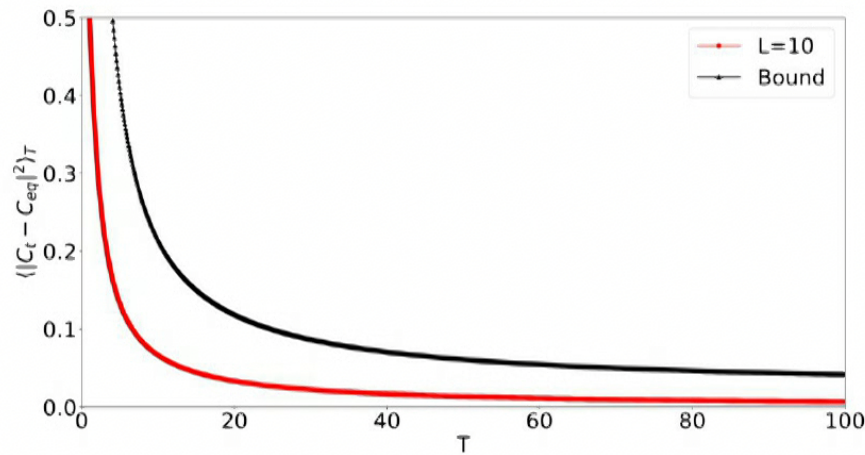
$$H = \sum_{j=1}^L (\gamma \sigma_j^X + \lambda \sigma_j^Z) + J \sum_{j=1}^{L-1} \sigma_j^Z \sigma_j^Z + \alpha \sum_{j=1}^{L-2} \sigma_j^Z \sigma_{j+2}^Z$$



# Timescales of autocorrelation functions

$$\int_0^T \frac{dt}{T} \frac{|\langle A(t)A \rangle_\beta - \overline{\langle A(t)A \rangle_\beta}|^2}{\langle A^2 \rangle_\beta} \leq \frac{\pi a(\epsilon)}{\sigma_A T} + \delta(\epsilon) \quad A = \sigma_{L/2}^x$$

Non-integrable model: 
$$H = \sum_{j=1}^L (\gamma \sigma_j^X + \lambda \sigma_j^Z) + J \sum_{j=1}^{L-1} \sigma_j^Z \sigma_j^Z + \alpha \sum_{j=1}^{L-2} \sigma_j^Z \sigma_{j+2}^Z$$



# Timescales of (symmetric) autocorrelation functions

$$\int_0^T \frac{dt}{T} \frac{|\langle \{A(t), A\} \rangle_\beta - \overline{\langle \{A(t), A\} \rangle_\beta}|^2}{\langle A^2 \rangle_\beta} \leq \frac{\pi a(\epsilon)}{\sigma_A T} + \delta(\epsilon)$$

If  $(\rho_{kk} + \rho_{jj})|A_{jk}|^2$  smoothly distributed, and not too many degeneracies (both reasonable in non-integrable systems), it holds that

$$a(\epsilon) \sim \mathcal{O}(1) \quad \delta(\epsilon) \ll 1$$

If so, a relevant timescale is given by  $\sigma_A$

$$\sigma_A^2 = \frac{\langle [A, H][H, A] \rangle_\beta}{\langle A^2 \rangle_\beta}$$



# Timescales of (symmetric) autocorrelation functions

$$\int_0^T \frac{dt}{T} \frac{|\langle \{A(t), A\} \rangle_\beta - \overline{\langle \{A(t), A\} \rangle_\beta}|^2}{\langle A^2 \rangle_\beta} \leq \frac{\pi a(\epsilon)}{\sigma_A T} + \delta(\epsilon)$$

If  $(\rho_{kk} + \rho_{jj})|A_{jk}|^2$  smoothly distributed, and not too many degeneracies (both reasonable in non-integrable systems), it holds that

$$a(\epsilon) \sim \mathcal{O}(1) \quad \delta(\epsilon) \ll 1$$

If so, a relevant timescale is given by  $\sigma_A$

$$\sigma_A^2 = \frac{\langle [A, H][H, A] \rangle_\beta}{\langle A^2 \rangle_\beta}$$

Proportionality between timescale of “dissipation” of perturbation  $A$  and size of fluctuations at equilibrium

$$\langle A^2 \rangle_\beta$$

Emergent “fluctuation-dissipation theorem”?

# Timescales of autocorrelation functions: a suggestion

Example: **Mukerjee et al PRB 73, 035113 (2006)**

$$H = -\lambda \sum_j c_j^\dagger c_{j+1} - \lambda' \sum_j c_j^\dagger c_{j+2} + \text{h.c.} + V \sum_j n_j n_{j+1}$$

Total particle current autocorrelation, decays at “late times”, and signals diffusive behavior:

$$\langle J(t)J \rangle_\beta \sim t^{-3/2} \quad t \geq T_{\text{diff}}$$

Idea: If before this “late time” the decay is not as fast, our result can be used to bound that “late time”  $T_{\text{diff}}$

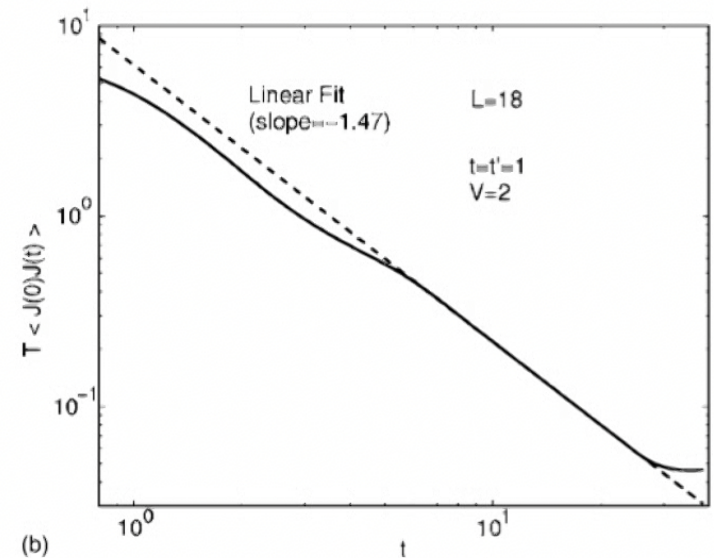
If:

$$\langle J(T_{\text{diff}})J \rangle_\beta \sim k \langle J^2 \rangle_\beta$$

$$\delta(\epsilon) \ll 1$$

Then:

$$T_{\text{diff}} \leq \frac{\pi a(\epsilon)}{k \sigma_J}$$



**Mukerjee et al PRB 73, 035113 (2006)**

$$\sigma_J = \frac{\langle [J, H][H, J] \rangle_\beta}{\langle J^2 \rangle_\beta} - \frac{\langle [H, J]J \rangle_\beta^2}{\langle J^2 \rangle_\beta^2}$$



## Summary and open questions:

- If  $H$  local, translation-invariant, non-degenerate, and  $\rho_\beta$  has finite correlation length, then

$$\lim_{T \rightarrow \infty} \int_0^T \frac{dt}{T} \langle A(t)B \rangle_\beta = \langle A \rangle_\beta \langle B \rangle_\beta + \mathcal{O}(\xi^{3/2} \frac{\log N}{\sqrt{N}})$$

- Moreover, if  $H$  has non-degenerate energy gaps, the fluctuations around that average are suppressed by  $\text{tr}[\rho_\beta^2]$
- Timescale for  $\langle A(t)A \rangle_\beta \sim \langle A \rangle_\beta^2$  bounded by a constant in system size  $\sigma_A$
- When is  $\sigma_A$  a good estimate? Is the bound tight? In which models/observables?
- Timescales for  $\langle A(t)B \rangle_\beta$  and more fine-grained information about the different regimes ( $\propto N, N^2, \dots$ )