


Title: The complicial sets model of higher ∞ -categories

Date: Jan 09, 2019 02:00 PM

URL: <http://pirsa.org/19010001>

Abstract: <p>While it's undeniably sexy to work with infinite-dimensional categories ∞ -model-independently, we contend there is a categorical imperative to familiarize oneself with at least one concrete model in order to check that proposed model-independent constructions interpret correctly. With this aim in mind, we recount the n -complicial sets model of (∞, n) -categories for $0 \leq n \leq \infty$, the combinatorics of which are quite similar to its low-dimensional special cases: quasi-categories ($n=1$) and Kan complexes ($n=0$). We conclude by reporting on an encounter with 2-complicial sets in the wild, where a suitably-defined fibration of 2-complicial sets enables the ∞ -comprehension construction introduced in joint work with Verity. Special cases of the comprehension construction can be used to ∞ -straighten a co/cartesian fibration of $(\infty, 1)$ -categories into a homotopy coherent functor, exhibit a quasi-categorical version of the ∞ -unstraightening construction, and define an internal model of the Yoneda embedding for $(\infty, 1)$ -categories.</p>



Emily Riehl

Johns Hopkins University

The complicial sets model of higher ∞ -categories

Perimeter Institute for Theoretical Physics



John C. Baez and Aaron Lauda

- A prehistory of n -categorical physics In H. Halvorson (Ed.), Deep Beauty: Understanding the Quantum World through Mathematical Innovation (pp. 13-128). [arXiv:0908.2469](#)

- A prehistory of n -categorical physics In H. Halvorson (Ed.), Deep Beauty: Understanding the Quantum World through Mathematical Innovation (pp. 13-128). [arXiv:0908.2469](#)

The idea of a higher ∞ -category



An ∞ -category, a nickname for an $(\infty, 1)$ -category, has:

- objects
- 1-arrows between these objects
- with composites of these 1-arrows witnessed by invertible 2-arrows
- with composition associative up to invertible 3-arrows (and unital)
- with these witnesses coherent up to invertible arrows all the way up

A higher ∞ -category, meaning an (∞, n) -category for $0 \leq n \leq \infty$, has:

- objects
- 1-arrows between these objects
- 2-arrows between these 1-arrows
- \vdots
- n -arrows between these $n - 1$ -arrows

Fully extended topological quantum field theories



The (∞, n) -category Bord_n has

- objects = compact 0-manifolds
- k -arrows = k -manifolds with corners, for $1 \leq k \leq n$
- $n + 1$ -arrows = diffeomorphisms of n -manifolds rel boundary
- $n + m + 1$ -arrows = m -fold isotopies of diffeomorphisms, $m \geq 1$

often with extra structure (eg framing).

A fully extended [topological quantum field theory](#) is a homomorphism with domain Bord_n , preserving the monoidal structure and all compositions. The [cobordism hypothesis](#) classifies fully extended TQFTs of framed bordisms by the value taken by the positively oriented point.

Dan Freed

- [The cobordism hypothesis](#), Bulletin of the AMS, vol 50, no 1, 2013, 57–92; [arXiv:1210.5100](#)

On the unicity of the theory of higher ∞ -categories



The schematic idea of an (∞, n) -category is made rigorous by various **models**: θ_n -spaces, iterated complete Segal spaces, Segal n -categories, n -quasi-categories, n -relative categories, ...

Theorem (Barwick–Schommer-Pries, et al). All of the above models of (∞, n) -categories are equivalent.

Clark Barwick and Christopher Schommer-Pries

- On the Unicity of the Homotopy Theory of Higher Categories
arXiv:1112.0040

But the **theory** of higher ∞ -categories has not yet been sufficiently developed in any model, so there is “analytic” work still to be done.

Plan



Goal: introduce a user-friendly model of higher ∞ -categories

1. A simplicial model of $(\infty, 1)$ -categories
2. Towards a simplicial model of $(\infty, 2)$ -categories
3. The complicial sets model of (∞, n) -categories
4. Complicial sets in the wild



A simplicial model of $(\infty, 1)$ -categories

The idea of a 1-category



A 1-category has:

- objects: \bullet

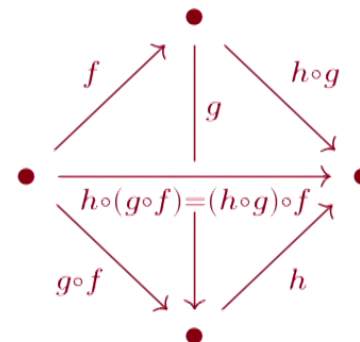
- 1-arrows: $\bullet \longrightarrow \bullet$

- composition: $\bullet \xrightarrow{f} \bullet \xrightarrow{g} \bullet$
 $g \circ f$

- identity 1-arrows: $\bullet = \bullet$

- identity axioms: $\bullet \xrightarrow{f} \bullet = \bullet$ $\bullet = \bullet \xrightarrow{f} \bullet$
 $\text{id} \circ f = f$ $f \circ \text{id} = f$

- associativity axioms:



From 1-categories to $(\infty, 1)$ -categories



In an $(\infty, 1)$ -category, the composition operation and associativity and unit **axioms** become **higher data**.

An $(\infty, 1)$ -category has:

- objects \bullet ; 1-arrows $\bullet \longrightarrow \bullet$; identity 1-arrows $\bullet \equiv \bullet$

- composition  witnessed by invertible 2-arrows

The idea of a 1-category




A 1-category has:

- objects: \bullet

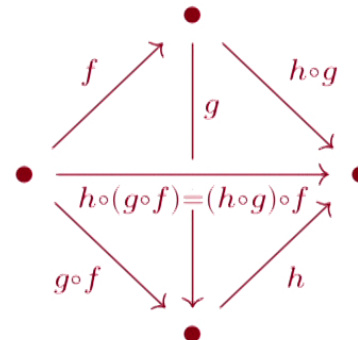
- 1-arrows: $\bullet \longrightarrow \bullet$

- composition: $\bullet \xrightarrow{f} \bullet \xrightarrow{g} \bullet$


- identity 1-arrows: $\bullet = \bullet$

- identity axioms: $\bullet \xrightarrow{f} \bullet = \bullet$ $\bullet = \bullet \xrightarrow{f} \bullet$


- associativity axioms:



From 1-categories to $(\infty, 1)$ -categories

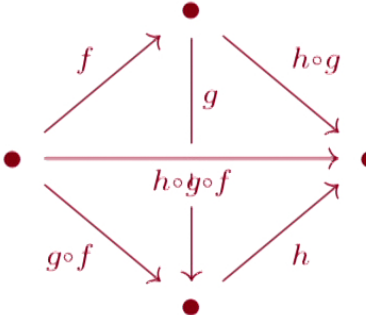
In an $(\infty, 1)$ -category, the composition operation and associativity and unit **axioms** become **higher data**.

An $(\infty, 1)$ -category has:

- objects \bullet ; 1-arrows $\bullet \longrightarrow \bullet$; identity 1-arrows $\bullet = \bullet$

- composition  witnessed by invertible 2-arrows

- identity composition witnesses 

- invertible 3-arrows witnessing associativity 

A model for $(\infty, 1)$ -categories



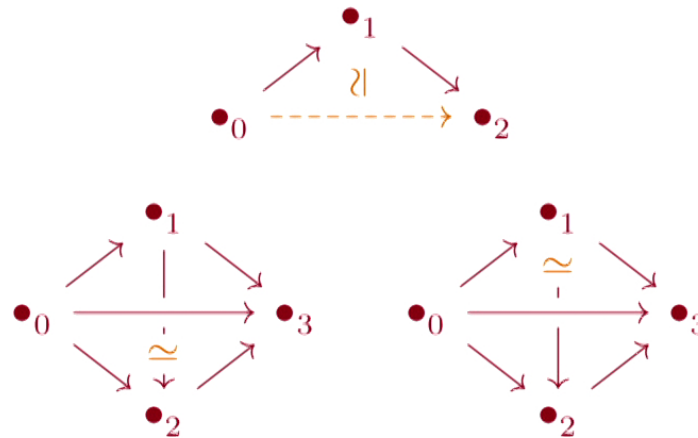
In a **quasi-category**, one popular model for an $(\infty, 1)$ -category, this data is structured as a **simplicial set** with:

- 0-simplices = \bullet = objects
- 1-simplices = $\bullet \longrightarrow \bullet$ = 1-arrows
- 2-simplices =
$$\begin{array}{ccc} & \bullet & \\ f \nearrow & \wr & \searrow g \\ \bullet & \xrightarrow{h} & \bullet \end{array}$$
 = binary composites
- 3-simplices =
$$\begin{array}{ccccc} & & \bullet & & \\ & f \nearrow & & \searrow k & \\ & & \bullet & & \\ & g \downarrow & & \uparrow \ell & \\ \bullet & \xrightarrow{\quad} & \bullet & \xrightarrow{\quad} & \bullet \\ & \searrow j & & \nearrow h & \\ & & \bullet & & \end{array}$$
 = ternary composites
- n -simplices = n -ary composites
- with **degenerate** simplices used to encode identity arrows and identity composition witnesses

A model for $(\infty, 1)$ -categories

A **quasi-category** is a “simplicial set with composition”: a simplicial set in which every **inner horn** can be filled to a **simplex**.

Low dimensional horn filling:



An **inner horn** is the subcomplex of an n -simplex missing the top cell and the face opposite the vertex \bullet_k for $0 < k < n$.

Corollary: In a quasi-category, all n -arrows with $n > 1$ are **equivalences**.

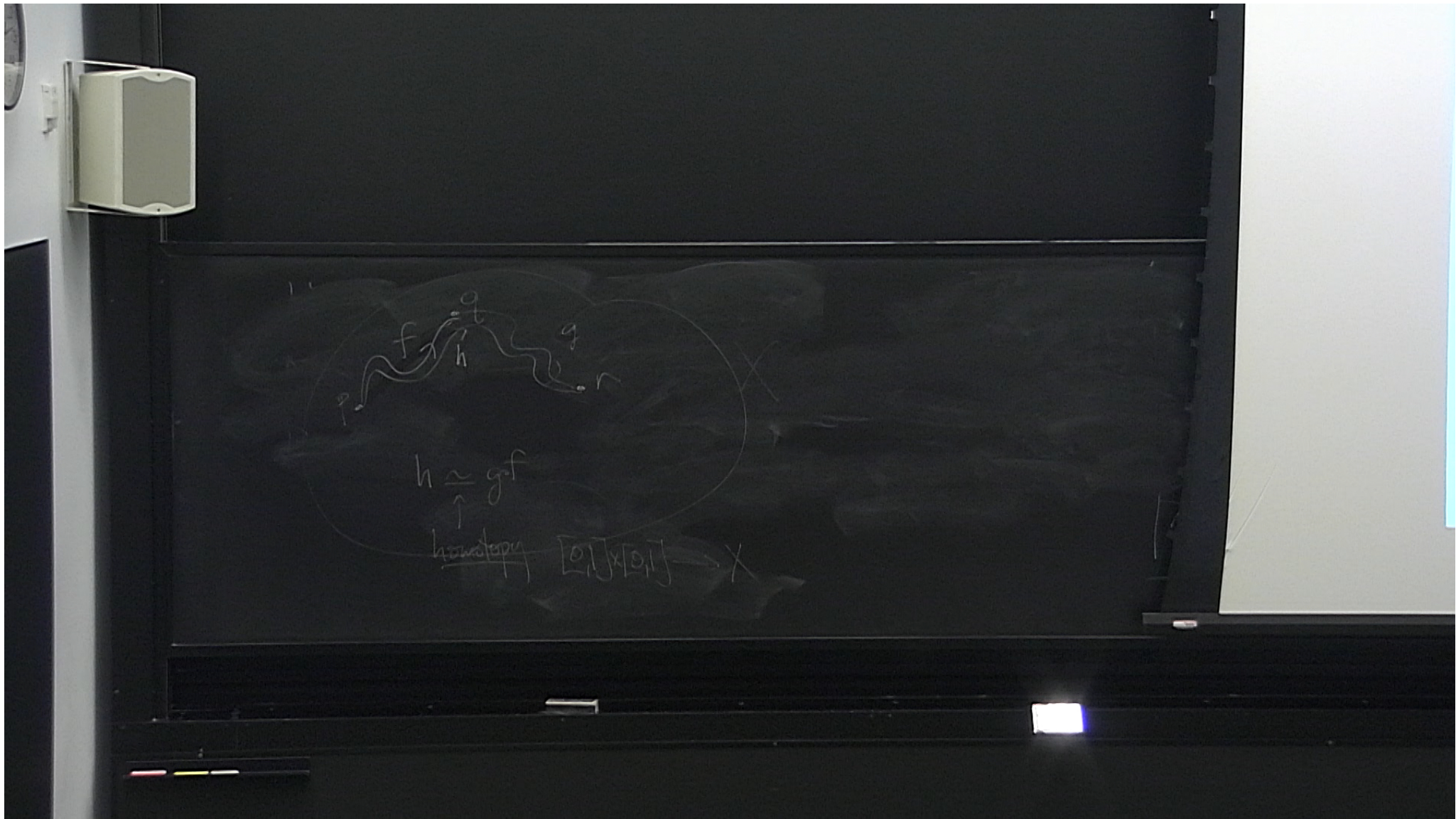
Summary: quasi-categories model ∞ -categories



A **quasi-category** is a model of an infinite-dimensional category structured as a simplicial set.

- Basic data is given by low dimensional simplices:
 - 0-simplices = objects
 - 1-simplices = 1-arrows
- Axioms are witnessed by higher simplices:
 - 2-simplices witness binary composites
 - 3-simplices witness associativity of ternary composition
- Higher simplices also regarded as arrows: n -simplices = n -arrows
- Axioms imply that n -arrows are equivalences for $n > 1$.

Thus a quasi-category is an $(\infty, 1)$ -category, with all n -arrows with $n > 1$ weakly invertible.





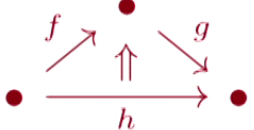
2

Towards a simplicial model of
 $(\infty, 2)$ -categories

Towards a simplicial model of an $(\infty, 2)$ -category



How might a simplicial set model an $(\infty, 2)$ -category?

- 0-simplices = \bullet = objects
- 1-simplices = $\bullet \longrightarrow \bullet$ = 1-arrows
- 2-simplices =  = 2-arrows

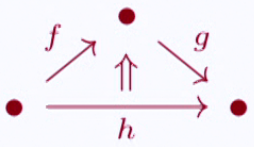
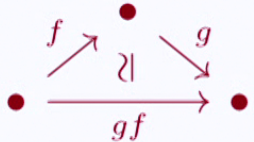
Problem: the 2-simplices must play a dual role, in which they are

- interpreted as inhabited by possibly non-invertible 2-cells
- while also serving as witnesses for composition of 1-simplices

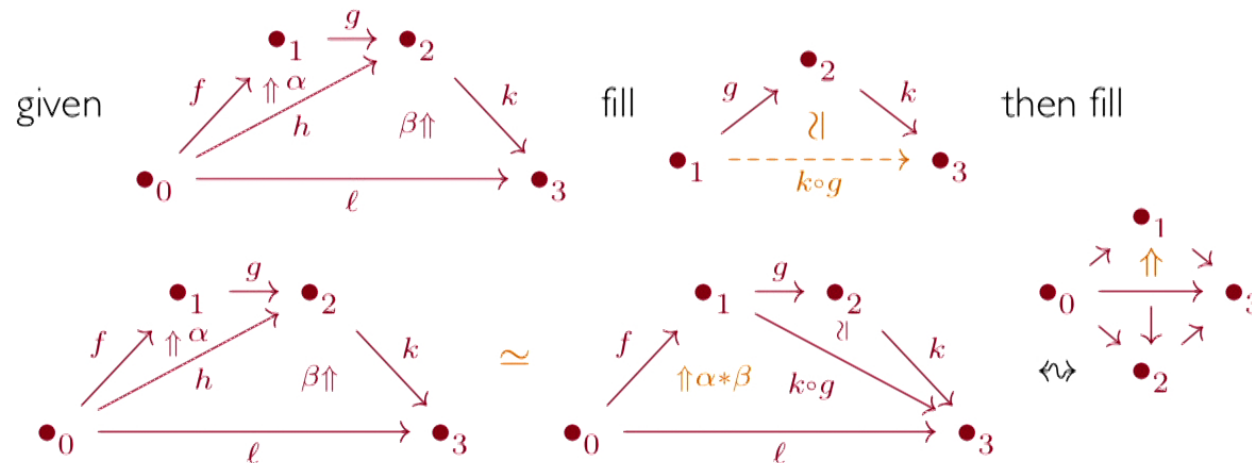
in which case it does not make sense to think of their inhabitants as non-invertible.

Idea: “mark” the 2-simplex witnesses for composition and demand that these **marked** 2-simplices behave as 2-dimensional **equivalences**.

Towards a simplicial model of an $(\infty, 2)$ -category

- 2-simplices =  = 2-arrows
- marked 2-simplices  witness 1-arrow composition

Now 3-simplices witness composition of 2-arrows:





3

The complicial sets model of
 (∞, n) -categories

Marked simplicial sets



For a simplicial set to model a higher ∞ -category with non-invertible arrows in each dimension:

- It should have a distinguished set of “**marked**” n -simplices witnessing composition of $n - 1$ -simplices.
- Identity arrows, encoded by the **degenerate** simplices, should be marked.
- Marked simplices should behave like **equivalences**.
- In particular, 1-simplices that witness an equivalence between objects should also be marked.

This motivates the following definition:

A **marked simplicial set** is a simplicial set with a designated subset of **marked** simplices that includes all degenerate simplices.

The symbol “ \simeq ” is used to decorate marked simplices.

Complcial sets

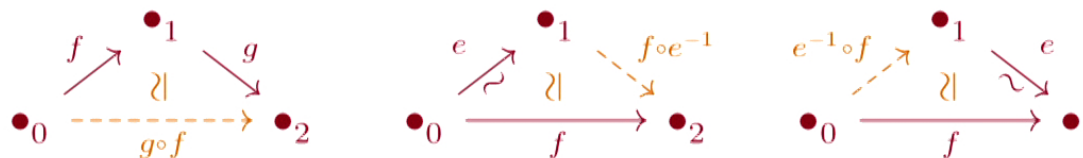


Recall:

A **quasi-category** is a “simplicial set with composition”: a simplicial set in which every **inner horn** can be filled to a **simplex**.

A **complcial set** is a “marked simplicial set with composition”: a simplicial set in which every **admissible horn** can be filled to a **simplex** and in which composites of marked simplices are marked.

Low dimensional admissible horn filling:



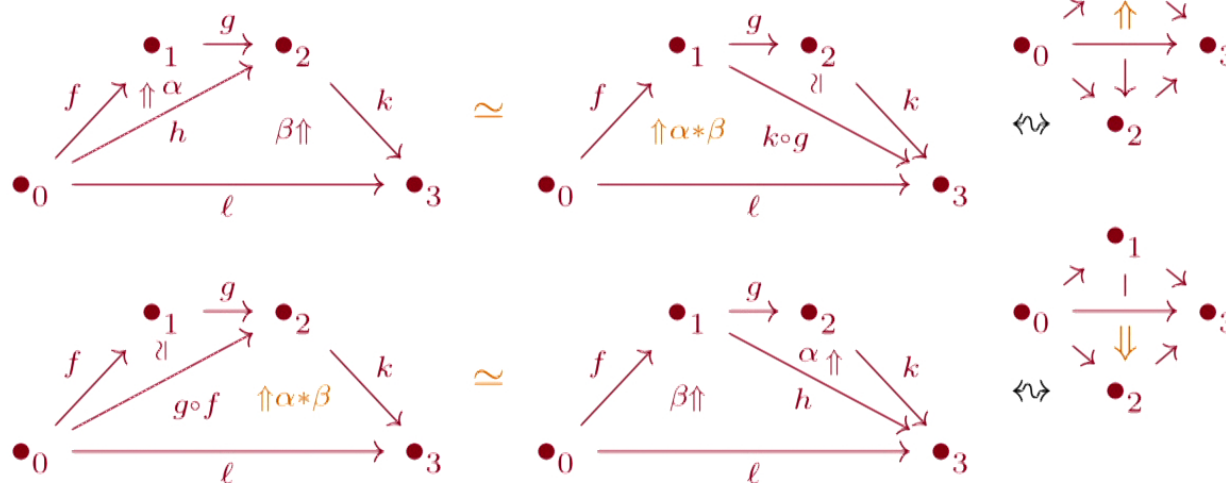
and if f and g are marked so is $g \circ f$.

Complcial sets



A **complcial set** is a “marked simplicial set with composition”: a simplicial set in which every **admissible horn** can be filled to a **simplex** and in which composites of marked simplices are marked.

Low dimensional admissible horn filling:



and if α and β are marked so is $\alpha * \beta$.

Admissible horns



An n -simplex in a marked simplicial set is k -admissible — “its k th face is the composite of its $k - 1$ and $k + 1$ -faces” — if every face that contains all of the vertices $\bullet_{k-1}, \bullet_k, \bullet_{k+1}$ is marked.

Marked faces include:

- the n -simplex
- all codimension-1 faces except the $(k - 1)$ th, k th, and $(k + 1)$ th
- the 2-simplex spanned by $\{\bullet_{k-1}, \bullet_k, \bullet_{k+1}\}$ when $0 < k < n$
- the edge spanned by $\{\bullet_0, \bullet_1\}$ when $k = 0$ or $\{\bullet_{n-1}, \bullet_n\}$ when $k = n$.

An k -admissible n -horn is the subcomplex of the k -admissible n -simplex that is missing the n -simplex and its k -th face.

Strict ω -categories as strict complicial sets



A **strict complicial set** is a complicial set in which every admissible horn can be filled **uniquely**, a “marked simplicial set with **unique** composition.”

Any **strict ω -category** \mathcal{C} defines a strict complicial set $N\mathcal{C}$ whose n -simplices are strict ω -functors

$$\mathcal{O}_n \rightarrow \mathcal{C},$$

where

- \mathcal{O}_n is the free strict n -category generated by the n -simplex and
- an n -simplex is marked in $N\mathcal{C}$ just when the ω -functor $\mathcal{O}_n \rightarrow \mathcal{C}$ carries the top-dimensional n -arrow in \mathcal{O}_n to an **identity** in \mathcal{C} .

The strict complicial set $N\mathcal{C}$ is called the **Street nerve** of \mathcal{C} .

Street-Roberts Conjecture (Verity). The Street nerve defines a fully faithful embedding of strict ω -categories into marked simplicial sets, and the essential image is the category of strict complicial sets.

Strict ω -categories as *weak* complicial sets



Strict ω -categories can also be a source of *weak* rather than *strict* complicial sets, simply by choosing a more expansive marking convention.

Any *strict ω -category* \mathcal{C} defines a complicial set $N\mathcal{C}$ whose n -simplices are strict ω -functors

$$\mathcal{O}_n \rightarrow \mathcal{C},$$

where

- \mathcal{O}_n is the free strict n -category generated by the n -simplex and
- an n -simplex is marked in $N\mathcal{C}$ just when the ω -functor $\mathcal{O}_n \rightarrow \mathcal{C}$ carries the top-dimensional n -arrow in \mathcal{O}_n to an *equivalence* in \mathcal{C} .

Moreover the complicial sets that arise in this way are *saturated*, meaning that every equivalence is marked.

The n -complicial sets model of (∞, n) -categories



An n -complicial set is a saturated complicial set in which every simplex above dimension n is marked.

For example:

- the nerve of an ordinary 1-groupoid defines a 0-complicial set with everything marked
- the nerve of an ordinary 1-category defines a 1-complicial set with the isomorphisms marked
- the nerve of a strict 2-category defines a 2-complicial set with the 2-arrow isomorphisms and 1-arrow equivalences marked

In fact:

- A 0-complicial set is the same thing as a Kan complex, with everything marked.
- A 1-complicial set is exactly a quasi-category, with the equivalences marked.

Summary: complicial sets model higher ∞ -categories

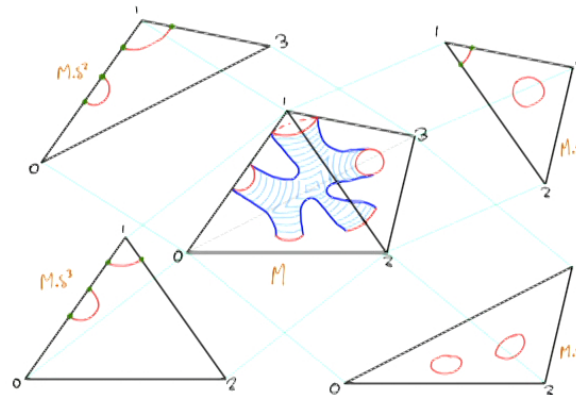


A **complicial set** is a model of an infinite-dimensional category structured as a marked simplicial set.

- Basic data is given by simplices:
 - 0-simplices = objects
 - n -simplices = n -arrows
- Axioms are witnessed by marked simplices:
 - marked n -simplices exhibit binary composites of $(n - 1)$ -simplices
- Marked simplices define invertible arrows:
 - marked n -simplices = n -equivalences
- In a **saturated** complicial set, all equivalences are marked.

An **n -complicial set**, a saturated complicial set in which every simplex above dimension n is marked, is a model of an (∞, n) -category.

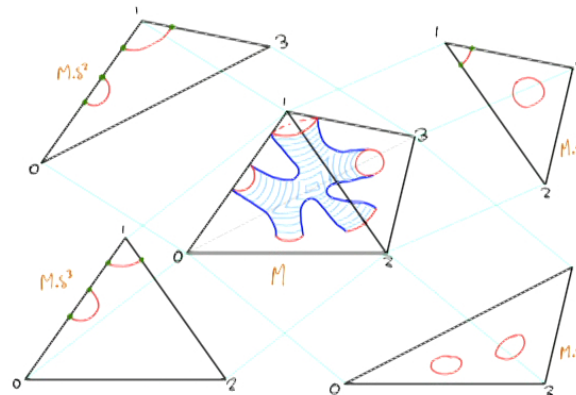
A simplicial set of simplicial bordisms (Verity)



A n -simplicial bordism is a functor from the category of faces of the n -simplex to the category of PL-manifolds and regular embeddings satisfying a boundary condition.

- Simplicial bordisms assemble into a **semi simplicial set** that admits fillers for all horns, constructed by gluing in cylinders.

A complicial set of simplicial bordisms (Verity)



The Kan complex of simplicial bordisms can be marked in various ways:

- mark all bordisms as equivalences
- mark only **trivial bordisms**, which collapse onto their odd faces
- mark the simplicial bordisms that define **h -cobordisms** from their odd to their even faces

Theorem (Verity). All three marking conventions turn simplicial bordisms into a complicial set, and the third is the saturation of the second.

Complcial sets defined as homotopy coherent nerves



The **homotopy coherent nerve** converts a simplicially enriched category into a simplicial set.

Theorem (Cordier–Porter). The homotopy coherent nerve of a **Kan complex** enriched category is a **quasi-category**.

Theorem (Cordier–Porter). The homotopy coherent nerve of a **0-complicial set** enriched category is a **1-complicial set**.

Similarly:

Theorem* (Verity). The homotopy coherent nerve of a **n -complicial set** enriched category is a **$n + 1$ -complicial set**.

In particular, there are a plethora of **2-complicial sets of ∞ -categories**.