

Title: Topological invariants and entanglement negativity in SPT phases of fermions

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Abstract: <p>Searching for a proper set of order parameters which distinguishes different phases of matter sits in the heart of condensed matter physics. In this talk, I discuss topological invariants as (non-local) order parameters for symmetry protected topological (SPT) phases of fermions in the presence of time-reversal symmetry. It turns out that topological invariants provide a natural definition for the partial transpose of density matrices. The partial transpose can then be used to define an entanglement measure (analog of entanglement negativity) for mixed states of fermions. I will show that this quantity captures the mixed state entanglement in fermionic SPTs as well as in a system of free fermions with a Fermi surface.</p>

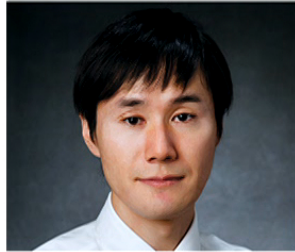
Topological invariants and entanglement negativity in SPT phases of fermions

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10/04/2018

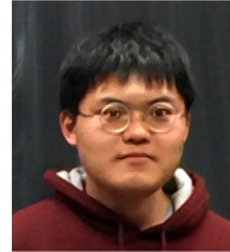
SPT= symmetry protected topological

Acknowledgement



Shinsei Ryu

University of Chicago



Ken Shiozaki

Yukawa Institute, Japan

HS, K. Shiozaki, S. Ryu, PRL **118**, 216402 (2017)

K. Shiozaki, **HS (Equal contribution)**, K. Gomi, S. Ryu, PRB **98**, 035151 (2018)

HS, K. Shiozaki, S. Ryu, PRB **95**, 165101 (2017)

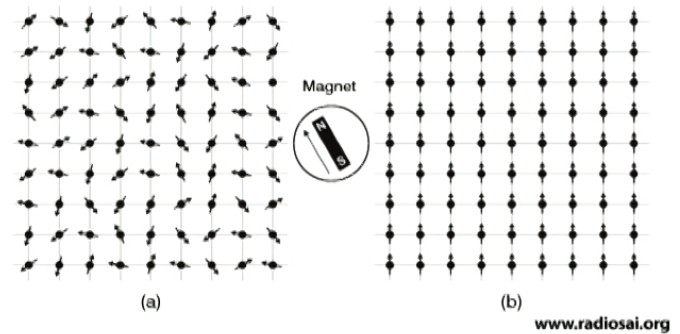
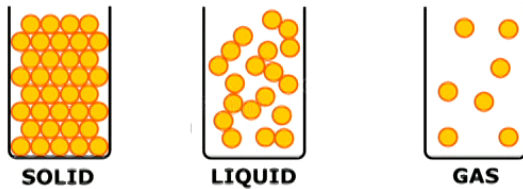
HS, S. Ryu, arXiv: 1804.08637

Outline

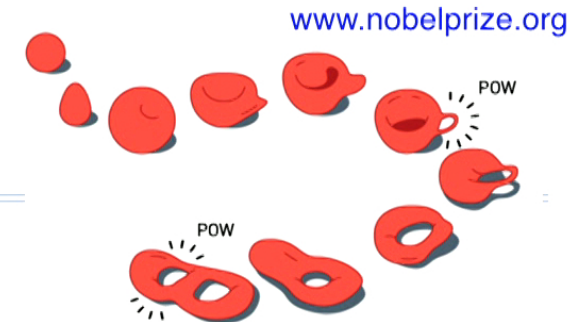
- ✧ Topological phases of fermions
- ✧ Many-body topological invariants for topological superconductors
- ✧ Applications
 - Fermionic Quantum Information: Entanglement negativity
 - Statistical Mechanics: Finite-temperature Fermi surfaces

Phases of matter

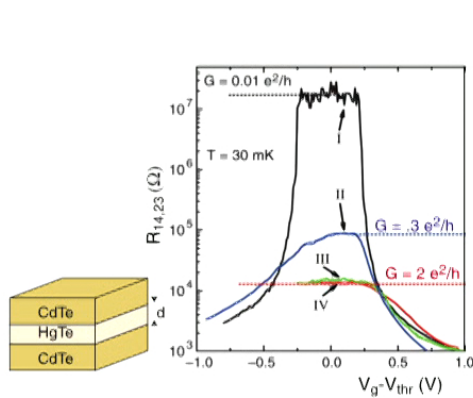
- ❖ Conventional phases
 - Characterized by broken symmetries and order parameters



Topological phases of matter

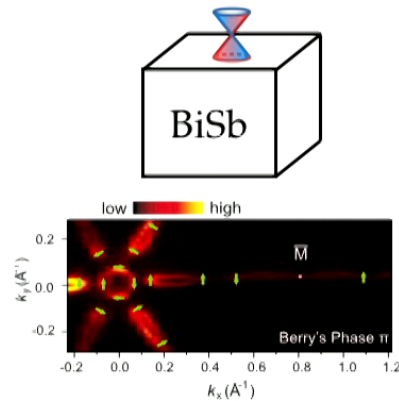


- Exotic boundary modes



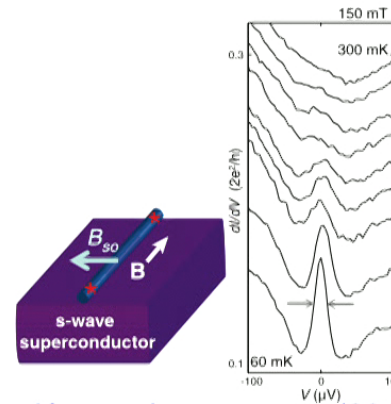
Molenkamp group (2007)

✓ Helical edge modes



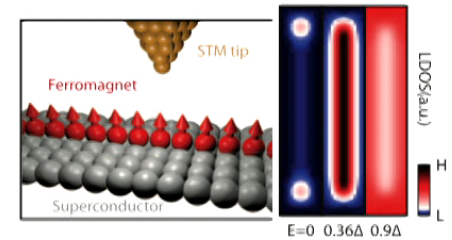
Hasan and Cava (2009)

✓ Dirac surface states



Kouwenhoven group (2012)

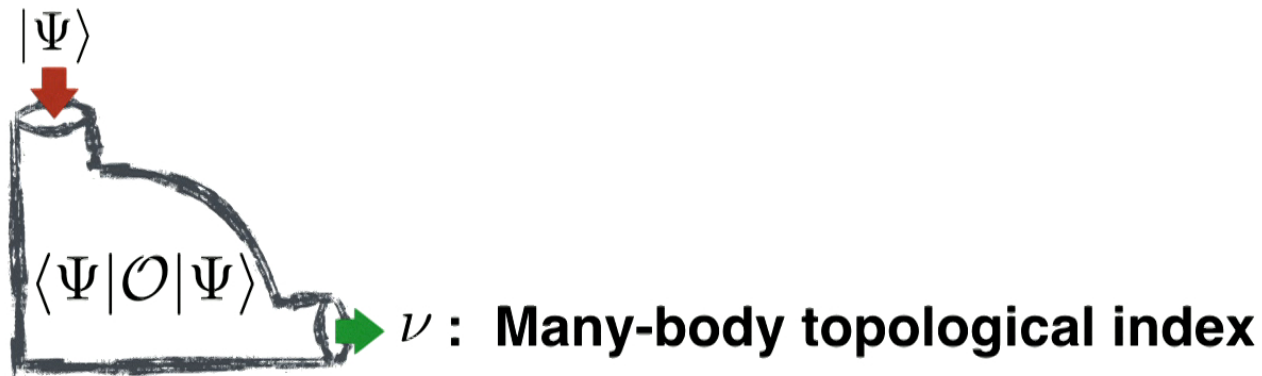
✓ Majorana zero modes



Yazdani group (2014)

Many-body topological invariant

- ✧ (non-local) **Order parameter** to distinguish **many-body** fermionic SPT phases
 - **Bulk** quantity
 - Does **not** require **single particle** description.

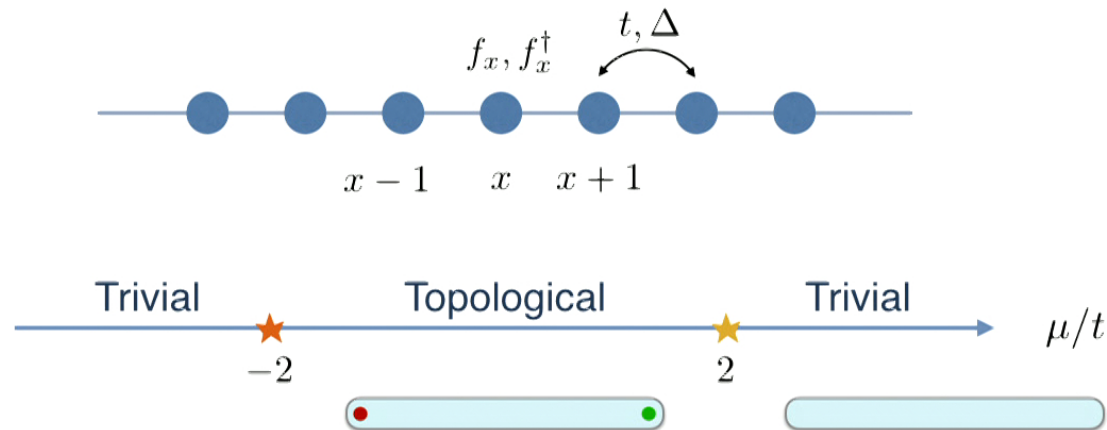


The Kitaev chain

Kitaev (2000)

- ❖ p-wave superconductivity on a chain

$$H = - \sum_x \left[t f_{x+1}^\dagger f_x - \Delta f_{x+1}^\dagger f_x^\dagger + \text{H.c.} \right] - \mu \sum_x f_x^\dagger f_x,$$



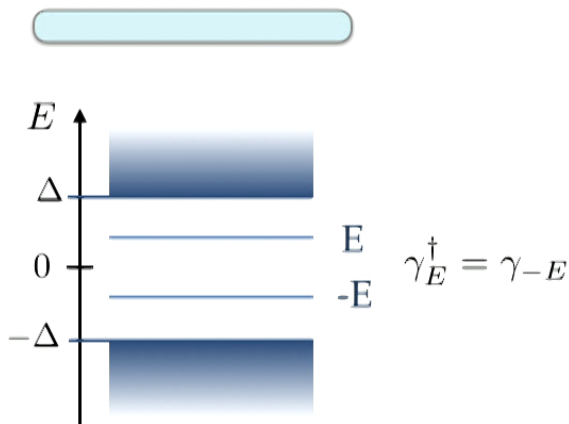
Read Green (2000)

The Kitaev chain

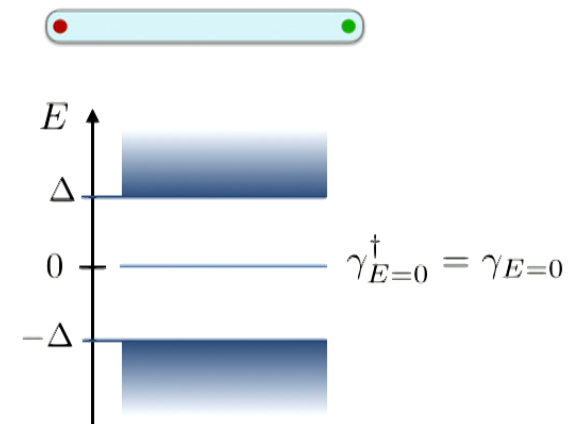
Kitaev (2000)

- ❖ p-wave superconductivity on a chain $H = - \sum_x \left[t f_{x+1}^\dagger f_x - \Delta f_{x+1}^\dagger f_x^\dagger + \text{H.c.} \right] - \mu \sum_x f_x^\dagger f_x,$

Trivial ($|\mu| > 2t$)



Topological ($|\mu| < 2t$)



Topological superconductors

- ❖ Class BDI

- **Time-reversal:** $\mathcal{T}\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots) = \Psi^*(\mathbf{r}_1, \mathbf{r}_2, \dots)$

$$\mathcal{T}^2 = 1$$



- ❖ Non-interacting classification is $\mathbb{Z}$.

Classification of non-interacting fermions

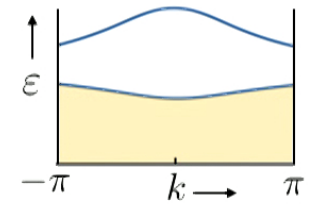
- ✧ Boundary states

- Gappability criterion



Classification of non-interacting fermions

- ✧ Boundary states
 - Gappability criterion
- ✧ Band structure related topological invariants
 - E.g., winding numbers $w = \frac{i}{2\pi} \int_{-\pi}^{\pi} dk \langle u_k | \partial_k | u_k \rangle$
[Schnyder, Ryu et al\(2008\)](#)



$$H(\mathbf{k})|u_n(\mathbf{k})\rangle = \varepsilon_n(\mathbf{k})|u_n(\mathbf{k})\rangle$$

Classification of non-interacting fermions

- ✧ Boundary states
 - Gappability criterion
- ✧ Band structure related topological invariants
 - E.g., winding numbers $w = \frac{i}{2\pi} \int_{-\pi}^{\pi} dk \langle u_k | \partial_k | u_k \rangle$
- ✧ Structure of the space of Hamiltonians $H(\mathbf{k})$

Schnyder, Ryu et al(2008), Kitaev (2009)

class\δ	0	1	2	3
A	$\mathbb{Z}$	0	$\mathbb{Z}$	0
AIII	0	$\mathbb{Z}$	0	$\mathbb{Z}$
AI	$\mathbb{Z}$	0	0	0
BDI	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
D	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
DIII	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
AII	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
CII	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$
C	0	0	$2\mathbb{Z}$	0
CI	0	0	0	$2\mathbb{Z}$

Interacting classification

❖ Class BDI

- **Time-reversal:** $\mathcal{T}\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots) = \Psi^*(\mathbf{r}_1, \mathbf{r}_2, \dots)$

$$\mathcal{T}^2 = 1$$



❖ Non-interacting classification is $\mathbb{Z}$.

❖ **Interacting** classification is $\mathbb{Z}_8$.

Fidkowski and Kitaev (2010)



$$8 \times \begin{array}{c} \text{Topological} \\ \text{---} \end{array} = \begin{array}{c} \text{Trivial} \\ \text{---} \end{array}$$

What is the bulk distinction of 8 possible phases?

Recap: TKNN invariant

* Chern number in 2D band structures

Thouless et al (1982)

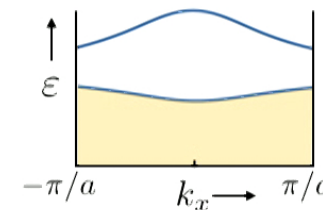
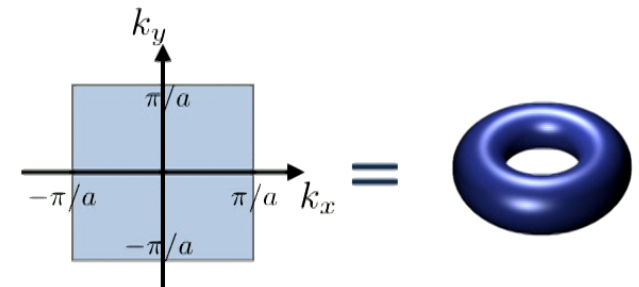
Bloch function: $H(\mathbf{k})|u_n(\mathbf{k})\rangle = \varepsilon_n(\mathbf{k})|u_n(\mathbf{k})\rangle$

Berry connection: $\mathbf{A} = -i\langle u_n(\mathbf{k})|\nabla_{\mathbf{k}}|u_n(\mathbf{k})\rangle$

Berry curvature: $\mathbf{F} = \nabla_{\mathbf{k}} \times \mathbf{A}$

Chern number: $n = \frac{1}{2\pi} \int_{\text{BZ}} d^2k \mathbf{F}(\mathbf{k})$

Hall conductance: $\sigma_{xy} = n \frac{e^2}{h}$



Many-body Chern number

- ✧ Twist boundary conditions by U(1) symmetry

Niu, Thouless, and Wu (1985)
Avron and Seiler (1985)

$$\begin{aligned} & \Psi_{(\theta_x, \theta_y)}(\mathbf{r}_1, \dots, \mathbf{r}_k + L_i \hat{\mathbf{x}}_i, \dots, \mathbf{r}_N) \\ &= e^{i\theta_i} \Psi_{(\theta_x, \theta_y)}(\mathbf{r}_1, \dots, \mathbf{r}_k, \dots, \mathbf{r}_N) \end{aligned}$$

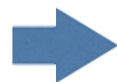
- ✧ Define a family of **many-body** states

$$|\Psi_{(\theta_x, \theta_y)}\rangle \quad 0 \leq \theta_x, \theta_y \leq 2\pi \text{ (torus)}$$

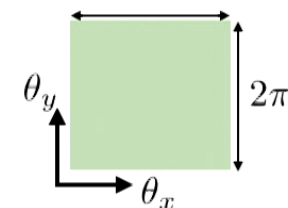
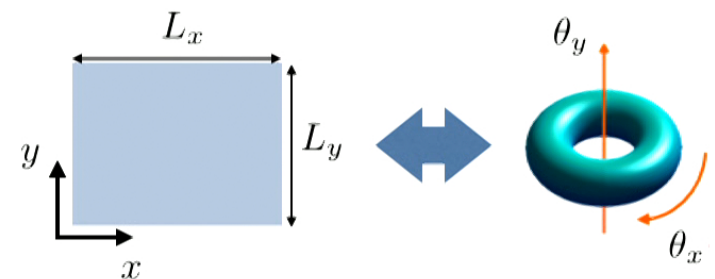
- ✧ Compute Berry phase

$$\mathcal{A} = -i \langle \Psi_{(\theta_x, \theta_y)} | \nabla_{\theta} | \Psi_{(\theta_x, \theta_y)} \rangle$$

$$\mathcal{B} = \nabla_{\theta} \times \mathcal{A}$$



$$\text{Ch}_1 = \frac{1}{2\pi} \int_{T^2} d^2\theta \mathcal{B}(\theta_x, \theta_y)$$



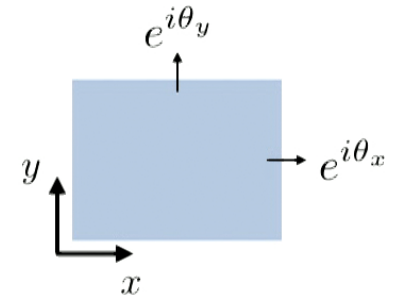
Many-body Chern number

❖ Many-body Chern number in two dimensions

Niu, Thouless, and Wu (1985)

Avron and Seiler (1985)

$$\begin{aligned} & \Psi_{(\theta_x, \theta_y)}(\mathbf{r}_1, \dots, \mathbf{r}_k + L_i \hat{\mathbf{x}}_i, \dots, \mathbf{r}_N) \\ &= e^{i\theta_i} \Psi_{(\theta_x, \theta_y)}(\mathbf{r}_1, \dots, \mathbf{r}_k, \dots, \mathbf{r}_N) \end{aligned}$$



Intuitive message: twist boundary conditions by symmetry

Let us experiment this idea

- Topological invariant → **partition function** with **twisted** boundary conditions
- **Canonical** formalism in terms of GS wave function

SPT phases and TQFT

- Partition function of a gapped quantum system

$$Z(X, \eta, A) = \int \mathcal{D}[\phi_i] e^{-S(X, \eta, A, \phi_i)}$$

X spacetime manifold

A background gauge field [on-site symmetry group G]

η spin structures ((anti-)periodic boundary conditions)

- For topological phases, the action may have a **complex phase**

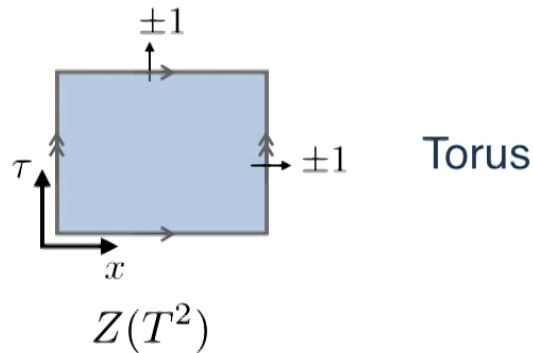
$$Z(X, \eta, A) \sim e^{iS_{\text{top}}(X, \eta, A)} \rightarrow \text{Topological quantum field theory (TQFT)}$$

Kapustin, Freed, Hopkins, Witten, ...

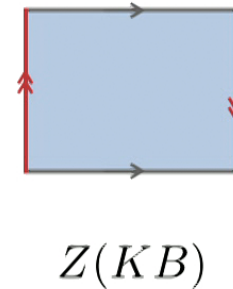
Time-reversal symmetric superconductors

- ❖ Class BDI: $\mathbb{Z}_2^f \times \mathcal{T}$
- ❖ Twist spacetime boundary conditions of partition function

- Twist by fermion-number parity



- Twist by time-reversal



Klein bottle
Non-orientable spacetime

Hsieh and Ryu (2014)
Cho and Ryu (2015)
HS, Shiozaki, Ryu (2016)
Barkeshli and Cheng (2016)
Wang and Levin (2016)
Tachikawa and Yonekura (2016)

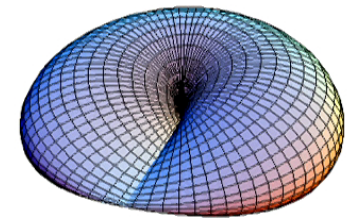
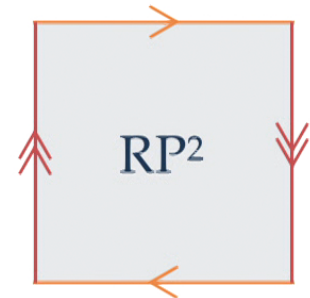
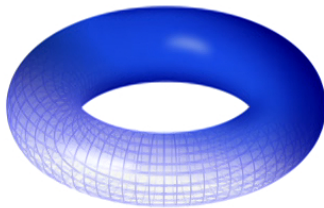
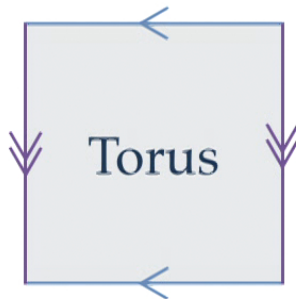
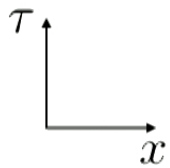
Twisting by time-reversal

Temporal BC
Spatial BC

$$\begin{aligned}\psi(x, \tau + \beta) &= \pm \psi(x, \tau) \\ \psi(x + L, \tau) &= \pm \psi(x, \tau)\end{aligned}$$

$$\begin{aligned}\psi(x, \tau + \beta) &= \pm \psi(x, \tau) \\ \psi(x + L, \tau) &= \pm \psi(x, \beta - \tau)\end{aligned}$$

$$\begin{aligned}\psi(x, \tau + \beta) &= \pm \psi(L - x, \tau) \\ \psi(x + L, \tau) &= \pm \psi(x, \beta - \tau)\end{aligned}$$



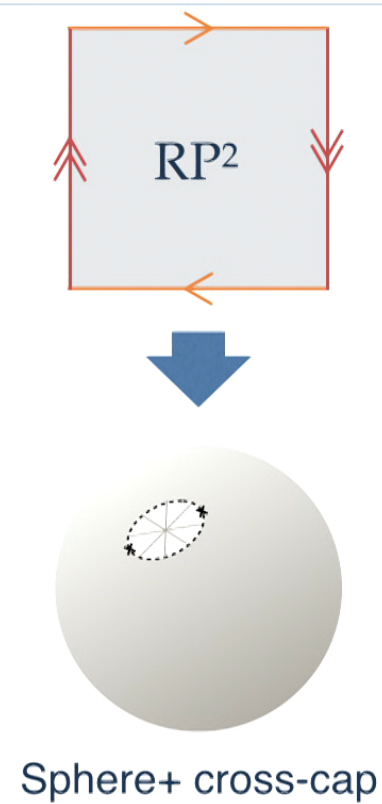
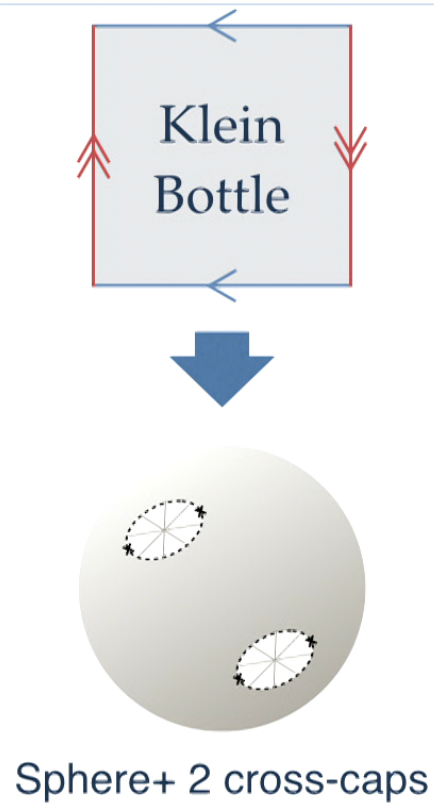
Partition function of class BDI

Spacetime	Partition function	Detected Classification
Torus	$Z(T^2)_{r,r} = -1$	$\mathbb{Z}_2$
Klein bottle	$Z(KB)_r = e^{i\frac{2\pi}{4}}$	$\mathbb{Z}_4$
Real projective plane	$Z(\mathbb{R}P^2) = e^{i\frac{2\pi}{8}}$	$\mathbb{Z}_8$

Canonical formalism?

Spacetime	Partition function	Detected Classification	Canonical formalism
Torus	$Z(T^2)_{r,r} = -1$	$\mathbb{Z}_2$	$Z_{(r,X)}^{T^2} = \langle \text{GS}_X (-1)^{\hat{n}} \text{GS}_X \rangle$
Klein bottle	$Z(KB)_r = e^{i\frac{2\pi}{4}}$	$\mathbb{Z}_4$	$Z^{KB} = ?$
Real projective plane	$Z(\mathbb{R}P^2) = e^{i\frac{2\pi}{8}}$	$\mathbb{Z}_8$	$Z^{\mathbb{R}P^2} = ?$

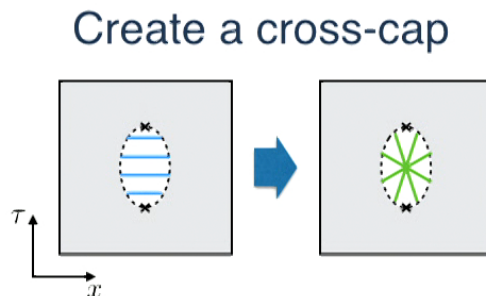
Cross-cap



Hsieh and Ryu (2014)
Cho and Ryu (2015)
HS, Shiozaki, Ryu (2016)
Barkeshli and Cheng (2016)

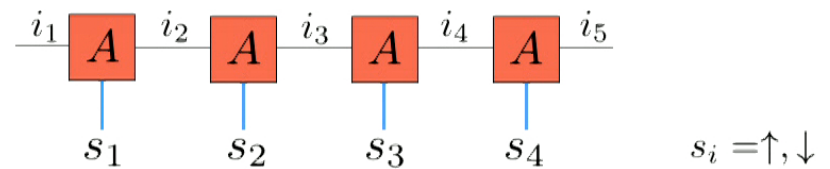
Cross-cap in canonical formalism

✧ $\mathbb{R}P^2$ in canonical formalism



Matrix product state (MPS)

Perez-Garcia, et al (2007)



$$\Psi(s_1, s_2, \dots) = \sum_{\{i_n=1, \dots\}} A_{i_1 i_2}^{s_1} A_{i_2 i_3}^{s_2} A_{i_3 i_4}^{s_3} \dots$$

Fermions and chiral phases:

Wahl et al (2013)

Dubail and Read (2015)

Williamson et al (2016)

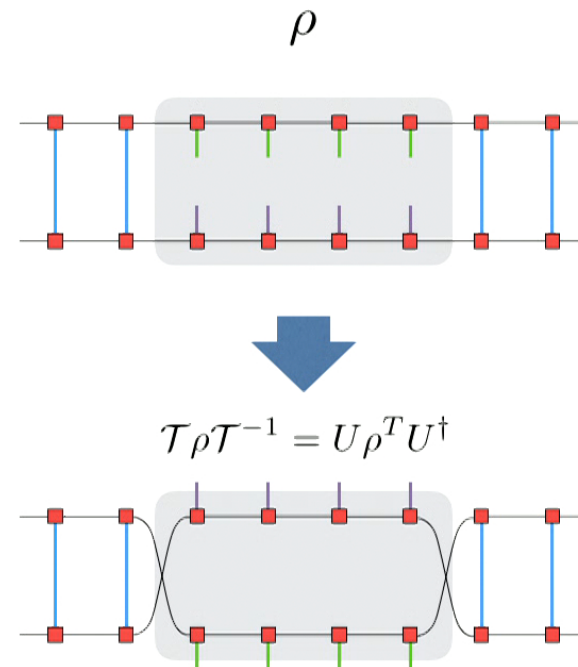
Bultinck et al (2017)

How to create a cross-cap?

- Time-reversal symmetry $\mathcal{T} = UK$

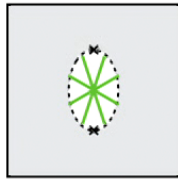


$$\psi(x, \tau) \xrightarrow{\mathcal{T}} \psi(x, -\tau)$$

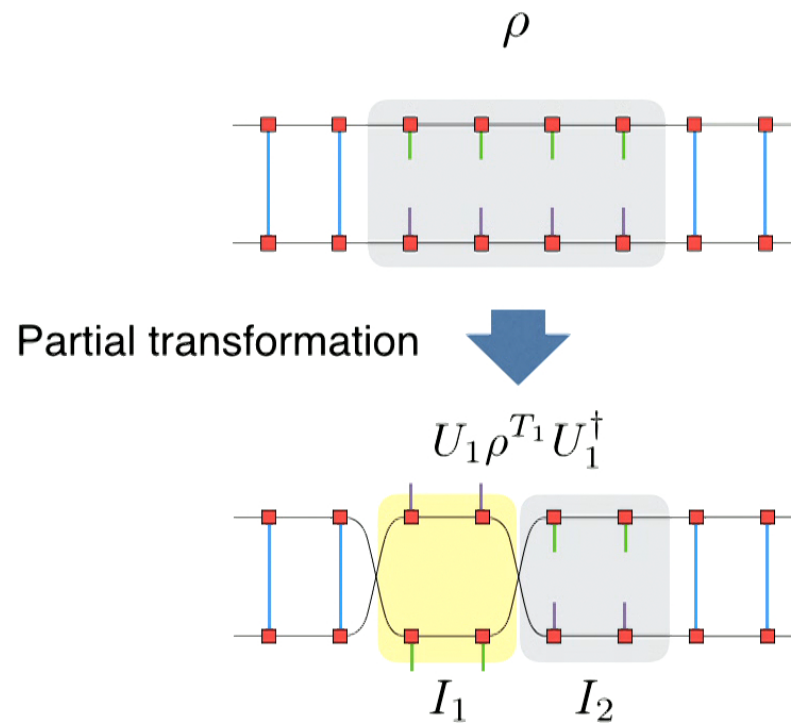


cross-cap $\longleftrightarrow$ partial time-reversal

- Time-reversal symmetry



$$\psi(x, \tau) \xrightarrow{\mathcal{T}} \psi(x, -\tau)$$

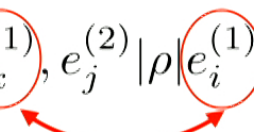


Partial transpose (bosons vs. fermions)

❖ Full transpose $\langle e_i^{(1)}, e_j^{(2)} | \rho^T | e_k^{(1)}, e_l^{(2)} \rangle = \langle \underline{e_k^{(1)}}, \underline{e_l^{(2)}} | \rho | e_i^{(1)}, e_j^{(2)} \rangle$



❖ Partial transpose $\langle e_i^{(1)}, e_j^{(2)} | \rho^{T_1} | e_k^{(1)}, e_l^{(2)} \rangle = \langle \textcircled{e_k^{(1)}}, e_j^{(2)} | \rho | \textcircled{e_i^{(1)}}, e_l^{(2)} \rangle$

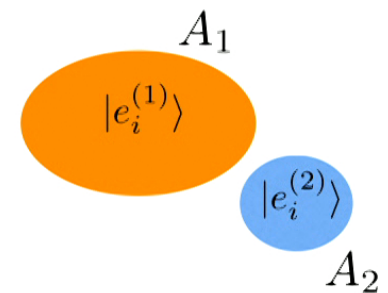


- ❖ **Fermions:** Partial transpose must be consistent with **anti-commutation** relation $f_j f_k^\dagger + f_k^\dagger f_j = \delta_{jk}$
[Eisler, Zimboras \(2015\)](#)

- Fock space basis $|\{n_j\}_{j \in A_1}, \{n_j\}_{j \in A_2}\rangle$

$$\langle \{n_j\}_{A_1}, \{n_j\}_{A_2} | \rho^{T_1} | \{m_j\}_{A_1}, \{m_j\}_{A_2} \rangle = \underbrace{(-1)^{\phi(\{n_j\}, \{m_j\})}}_{\text{Phase factor}} \langle \{m_j\}_{A_1}, \{n_j\}_{A_2} | \rho | \{n_j\}_{A_1}, \{m_j\}_{A_2} \rangle$$

Phase factor



$\mathbb{R}P^2$ from partial time-reversal

$$Z = \text{Tr}(\rho U_1 \rho^{T_1} U_1^\dagger)$$

String Theory

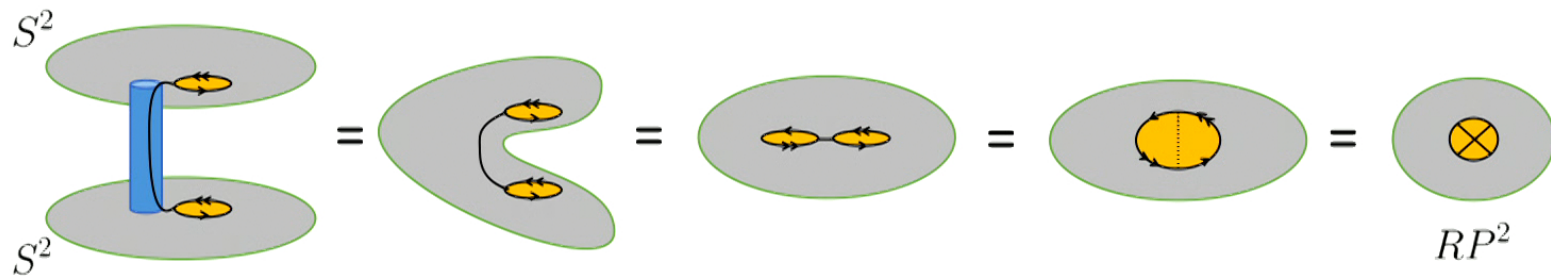
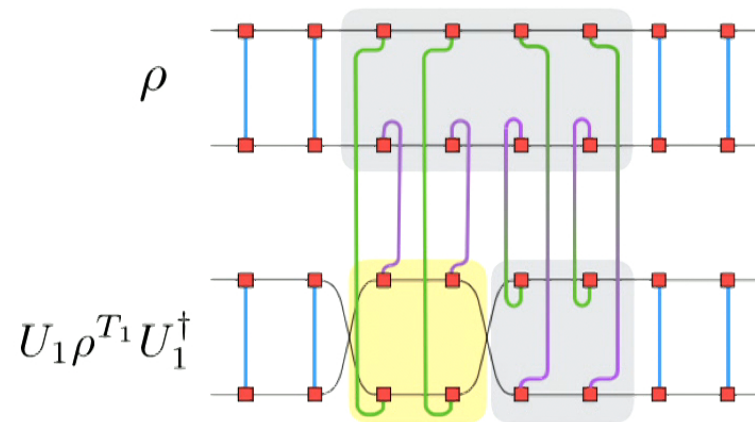
Hořava, Polchinski, Sagnotti,...

Conformal Field Theories

Calabrese, Cardy, Tonni (2010)

Matrix Product States

Pollmann and Turner (2012)



$$Z = \text{Tr}$$

String Theory
Hořava, Polchinski

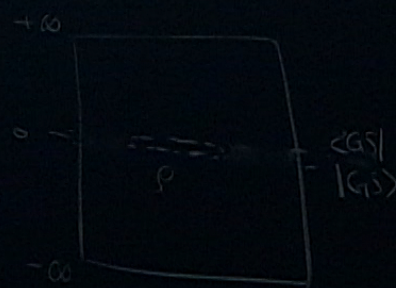
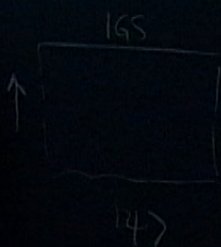
Conformal Field Theory
Calabrese, Cardy

Matrix Product States
Pollmann and

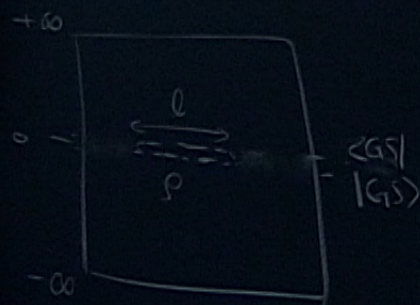
S^2

S^2

$$e^{-\beta H} = \int e^{-\epsilon H}$$



$$e^{-\beta H} T e^{-\beta H}$$



$$f_{\uparrow}^+ \quad f_{\downarrow}^+$$

$$T f_{\uparrow}^+ T^{-1} = f_{\downarrow}^+$$

$$T f_{\downarrow}^+ T^{-1} = -f_{\uparrow}^+$$

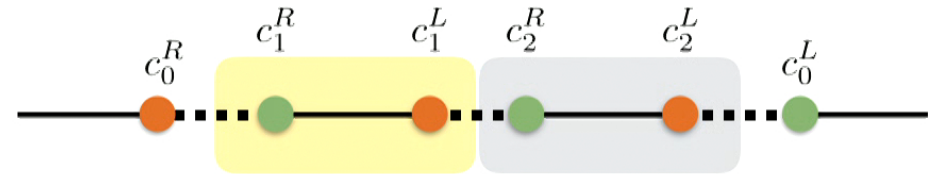
$$T f_{\sigma}^+ T^{-1} = U_{\sigma\sigma'} f_{\sigma'}^+$$

$$U = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

(1+1)d class BDI

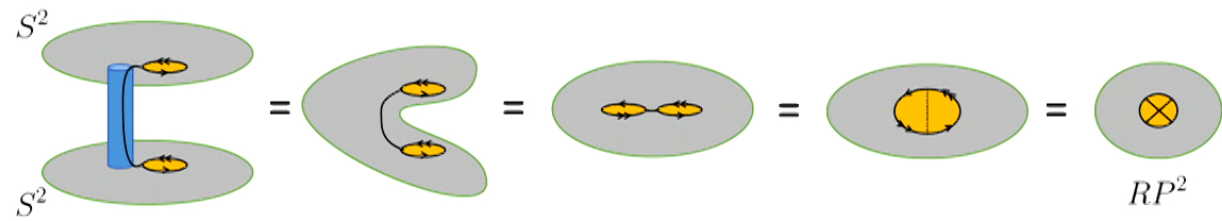
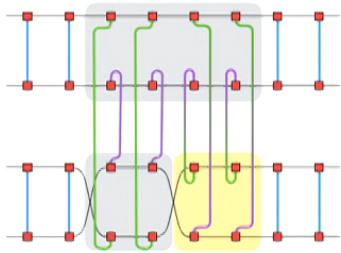
- ❖ Topological invariant: **Partial** time-reversal

$$Z = \text{Tr}(\rho \rho^{T_1}) = \begin{cases} \frac{e^{i\frac{2\pi}{8}}}{2\sqrt{2}} & \text{Topological} \\ 1 & \text{Trivial} \end{cases}$$

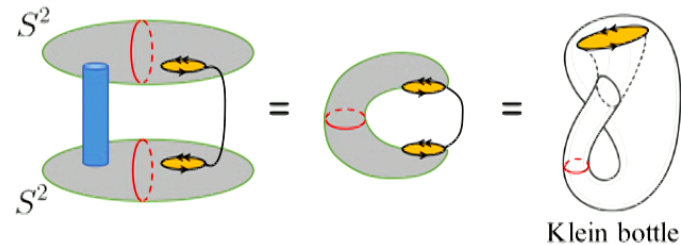
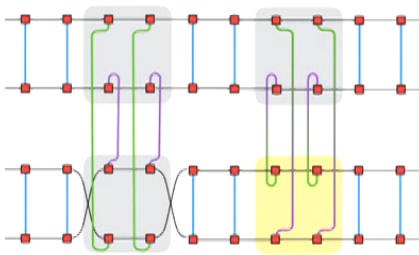


Different geometries of subsystem

✧ Adjacent intervals  Real projective plane $Z = \text{Tr}(\rho U_1 \rho^{T_1} U_1^\dagger)$



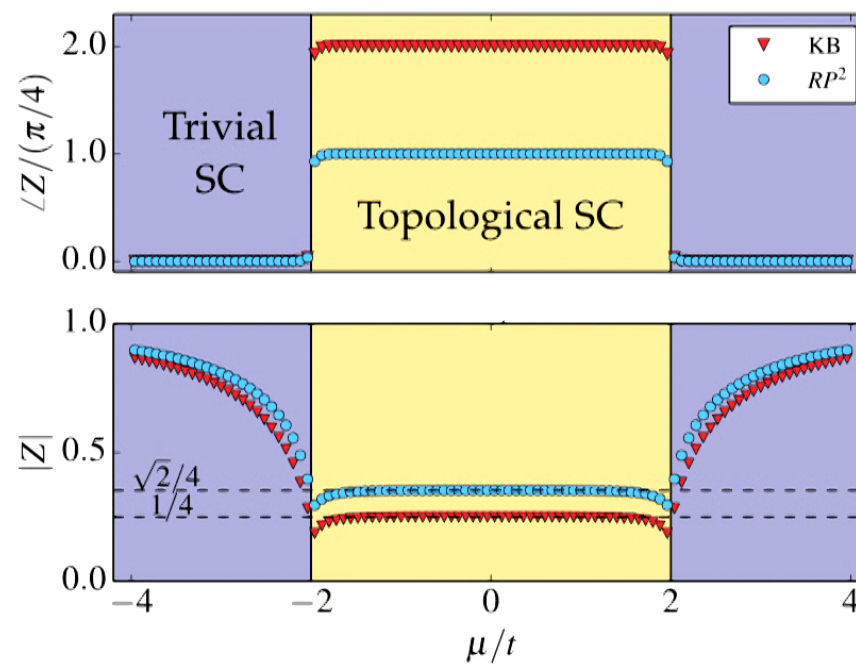
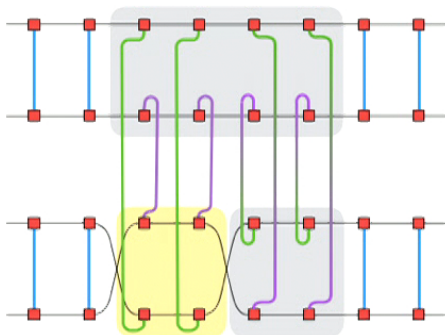
✧ Disjoint intervals  Klein bottle



The Kitaev chain

Class BDI

$$Z = \text{Tr}(\rho \rho^{T_1})$$

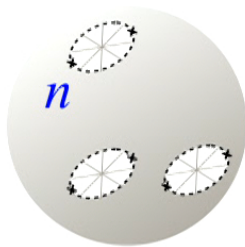


Additivity of topological invariant

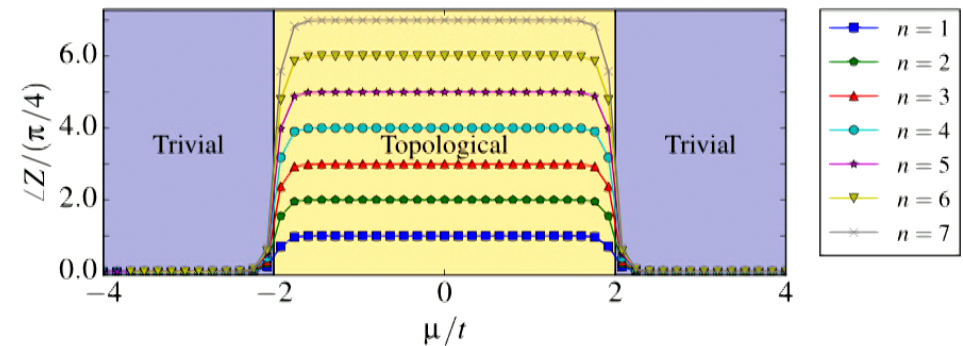
- Multiple chains $[Z^{\mathbb{R}P^2}]^n \sim e^{i\frac{2\pi n}{8}}$



- Multiple cross-caps $Z(\otimes^n) \sim e^{i\frac{2\pi n}{8}}$



- Show $\mathbb{Z}_8$ cyclic group!



Cobordism Theory

- ❖ SPT phases of fermions are characterized by spin-TQFT

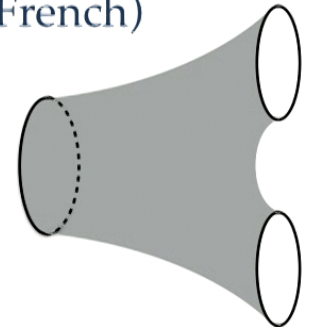
Kapustin, Freed, Hopkins, Witten, Thorngren ...

$$Z(X, \eta, A) \sim e^{iS_{\text{top}}(X, \eta, A)}$$

$$Z : (X, \eta, A) \rightarrow U(1)$$

- ❖ Manifolds are classified into **cobordism group** $\Omega_d^{\text{str}}(BG)$
 - Two manifolds from **same** cobordism class give **same** U(1) phase
 - Two manifolds from **different** cobordism classes give **different** U(1) phases

bord = boundary
(French)



SPTs protected by anti-unitary symmetries

AZ class and space dim.	Cobordism	Generating space-time manifold	Topological invariant
BDI in $(1+1)d$	$\Omega_2^{\text{pin-}} = \mathbb{Z}_8$	$\mathbb{R}P^2$	$\text{tr} [\rho_I C_T^{I_1} \rho_I^{\text{T}_1} [C_T^{I_1}]^\dagger]$
DIII in $(1+1)d$	$\Omega_2^{\text{pin+}} = \mathbb{Z}_2$	$KB, (R, R)$ sector	$\text{tr} [\rho_{I_1 \cup I_3} ((-1)^{F_2}) C_T^{I_1} \rho_{I_1 \cup I_3}^{\text{T}_1} ((-1)^{F_2}) [C_T^{I_1}]^\dagger]$
AIII in $(1+1)d$	$\Omega_2^{\text{pin}^c} = \mathbb{Z}_4$	$\mathbb{R}P^2$, the flux threading $\mathbb{R}P^2$ is quantized to $\pm i$	$\text{tr} [\rho_I U_S^{I_1} \rho_I^{\text{T}_1} [U_S^{I_1}]^\dagger]$
AI in $(1+1)d$	$\Omega_2^{\text{pin}^{\tilde{c}}} = \mathbb{Z} \times \mathbb{Z}_2$	$\mathbb{R}P^2$ for $\mathbb{Z}_2$ and a two-manifold with a unit magnetic flux for $\mathbb{Z}$	$\text{tr} [\rho_I C_T^{I_1} \rho_I^{\text{T}_1} [C_T^{I_1}]^\dagger]$ for $\mathbb{Z}_2$
AII in $(1+1)d$	$\Omega_2^{\text{pin}^{\tilde{c}}_+} = \mathbb{Z}$	$\mathbb{R}P^2$ with a half magnetic flux $\int_{\mathbb{R}P^2} F = \pi$	$\text{tr} [\rho_I \prod_{x \in I_1} e^{\frac{\pi i x \hat{n}(x)}{2 I_1 }} C_T^{I_1} \rho_I^{\text{T}_1} [C_T^{I_1}]^\dagger \prod_{x \in I_1} e^{\frac{-\pi i x \hat{n}(x)}{2 I_1 }}]$

Conclusions and outlook

- ❖ Many-body topological invariants of SPT phases protected by anti-unitary symmetries

- ❖ Higher dimensions, point-group symmetries

K. Shiozaki, **HS**, S. Ryu, PRB **95**, 205139 (2017)

$$\langle \Psi | g_D | \Psi \rangle \sim \exp \left[i\theta + \gamma - \alpha \frac{\text{Area}(\partial D)}{\xi^{d-1}} \right]$$

- ❖ **Outlook:**

- Numerical applications (Quantum Monte Carlo, Tensor Network Models, etc)
- Possibilities in symmetry enriched topological order?
- Fermionic partial transpose ρ^{T_1}  anyons?
- Entanglement distillation?