

Title: Adiabatic optimization without a priori knowledge of the spectral gap

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Abstract: <p>Performing a quantum adiabatic optimization (AO) algorithm with the time-dependent Hamiltonian $H(t)$ requires one to have some idea of the spectral gap $\hat{\Gamma}^3(t)$ of $H(t)$ at all times t . With only a promise on the size of the minimal gap $\hat{\Gamma}^3_{\min}$, a typical statement of the adiabatic theorem promises a runtime of either $O(\hat{\Gamma}^3_{\min}^{-2})$ or worse. In this talk, I provide an explicit algorithm that, with access to an oracle that returns the spectral gap $\hat{\Gamma}^3(t)$ to within some multiplicative constant, reliably performs QAO in time $O(\hat{\Gamma}^3_{\min}^{-1})$ with at most $O(\log(\hat{\Gamma}^3_{\min}^{-1}))$ oracle queries. I then construct such an oracle using only computational basis measurements for the toy problem of a complete graph driving Hamiltonian on V vertices and arbitrary cost function. I explain why one cannot simply perform adiabatic Grover search and prove that one can still perform QAO in time $O(\sqrt{V})$ without any *a priori* knowledge of $\hat{\Gamma}^3(t)$. This work was done in collaboration with Brad Lackey, Aike Liu, and Kianna Wan.</p>

A bashful adiabatic algorithm

Adiabatic optimization without heuristics

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Sept 19, 2018

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Kianna Wan (PI, Waterloo → Stanford)

Aike Liu (UIUC, Summer at PI)

Initial proposal: arXiv:1804.06857

Current results: Forthcoming

Will share manuscript *iff* you ask nicely

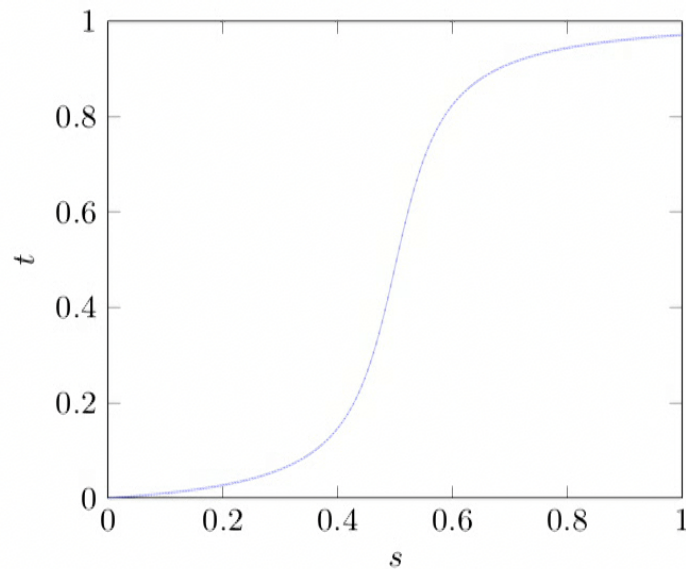
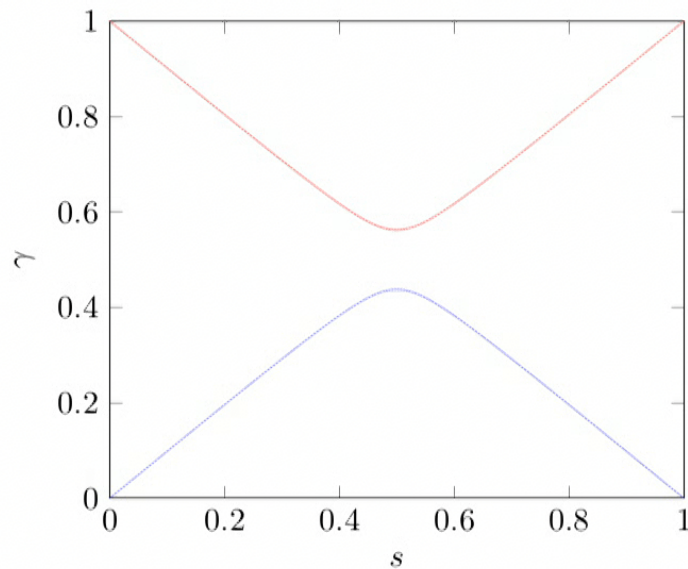


Adiabatic Optimization

- Goal is to use time-dependent Hamiltonian $H(s)$ where $H(0)$ is known and $H(1)$ is an optimization problem
- Ground state of $H(1)$ solves the optimization problem
- Usually see something like $H(s) = (1 - s)H(0) + sH(1)$
- Use a second time parameter $t \in [0, T]$ such that ds/dt is sufficiently small, ie. make T big enough
- Adiabatic theorem gives scaling like $T \sim O(\gamma_{\min}^{-2})$ or $T \sim O(\gamma_{\min}^{-3})$
- Typically guess T , hope for the best
- Some strategies exist for doing better, but limited and little rigor (or require more machinery)

Adiabatic Grover

$$H(s) = (1 - s) \left(I - \frac{1}{V} \sum_{u,v} |u\rangle\langle v| \right) + sW, \quad W = \text{diag}(0, 1, 1, \dots, 1)$$



$$O(\sqrt{V}) = O(1/\gamma_{\min}) \text{ [Roland and Cerf, 2001]}$$

Beyond adiabatic Grover

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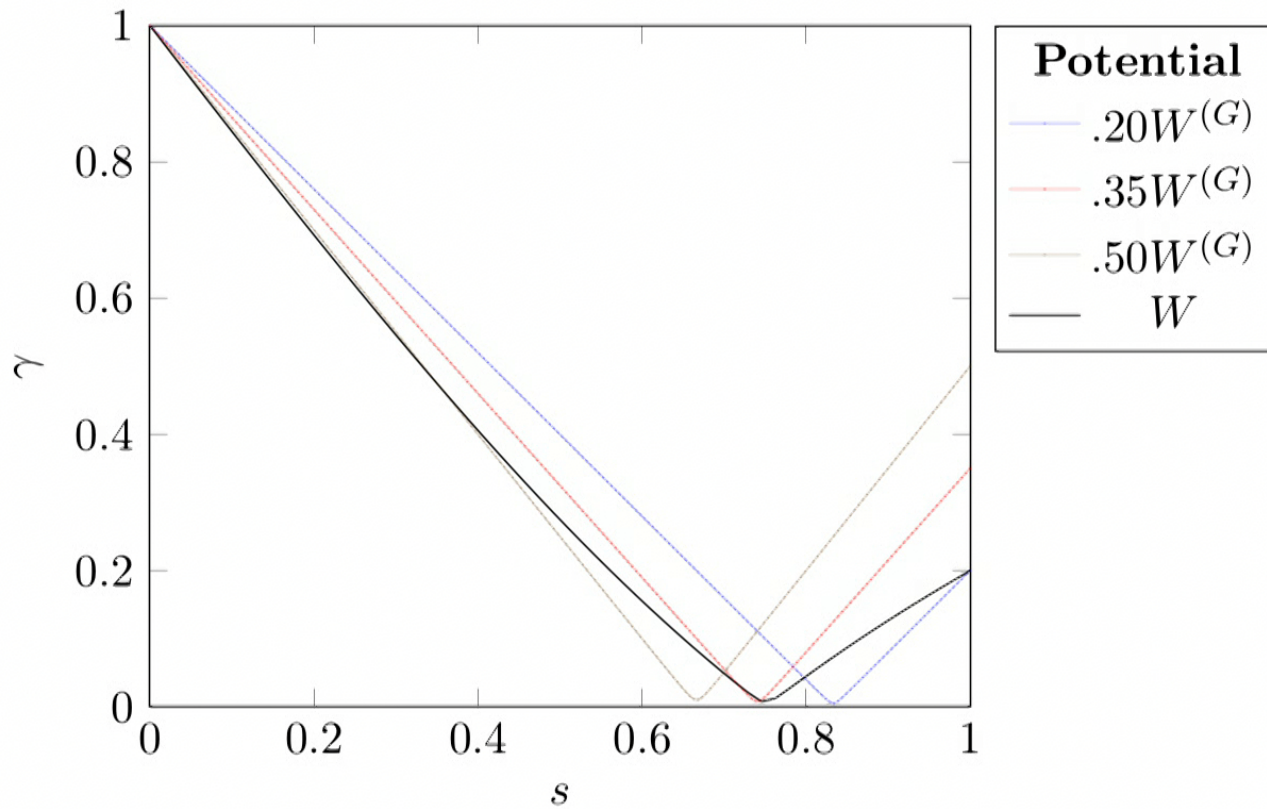
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- Some suggest approximation methods or other heuristics for better scaling
- ...not good enough for certainty

Beyond adiabatic Grover

$$H = (1 - s)L + sW$$



Beyond Adiabatic Grover

One somewhat promising, commonly suggested strategy is to try inserting some intermediate Hamiltonian [Farhi, Crosson, ... '14]:

$$H(s) = (1 - s)H(0) + s(1 - s)H_? + sH(1)$$

but we're still guessing at $H_?$

Want a strategy that isn't heuristic, is reliable, and can presumably be performed.

Need a different algorithm

Setup:

- 1 I have a fixed driving Hamiltonian $H(0)$

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Two questions seem important:

- ① Suppose we have access to an oracle capable of estimating the gap, how many queries do we need to find a good schedule?
- ② Assuming that works out well, can we build the thing?

Need a different algorithm

We'll need to collect a few tools

- Adaptations of the adiabatic theorem
- Weyl's inequalities

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- Adaptations of the adiabatic theorem
- Weyl's inequalities
- Some spectral graph theory

Adiabatic Theorem [JRS 06]

$$\|\tilde{P}(s) - P(s)\| \leq \frac{1}{T} \left[\frac{\|\dot{H}(0)\|}{\gamma(0)^2} + \frac{\|\dot{H}(s)\|}{\gamma(s)^2} + \int_0^s ds' \left(7 \frac{\|\dot{H}(s')\|^2}{\gamma(s')^3} + \frac{\|\ddot{H}(s')\|}{\gamma(s')^2} \right) \right]$$

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What if $\|\dot{H}(s)\| \leq c\gamma(s)$ and $\|\ddot{H}(s)\| = 0$?

$$\|\tilde{P}(s) - P(s)\| \leq \frac{2c + 7c^2}{T\gamma_{\min}}$$

Weyl's inequality

Weyl's inequality is actually a bit tighter than

$$|\lambda_i(H + \Delta) - \lambda_i(H)| \leq \|\Delta\|$$

which we convert to

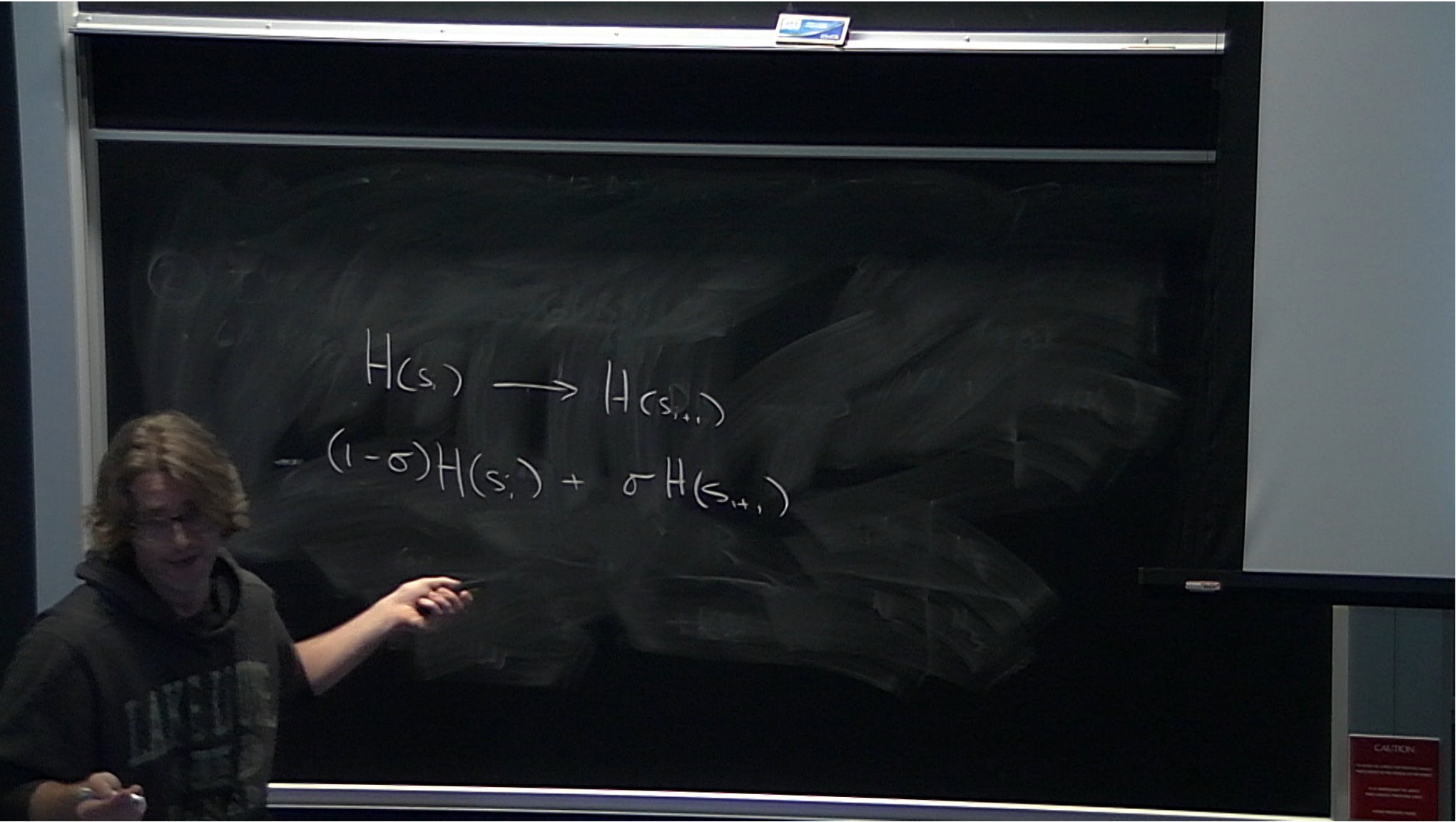
$$|\gamma(H + \Delta) - \gamma(H)| \leq 2\|\Delta\|.$$

For $H(s)$ linear,

$$\left| \gamma(H + \delta s \dot{H}) - \gamma(H) \right| \leq 2\delta s \|\dot{H}\| \leq 4\delta s \|H\|.$$

Algorithm 1 Bashful Adiabatic Algorithm

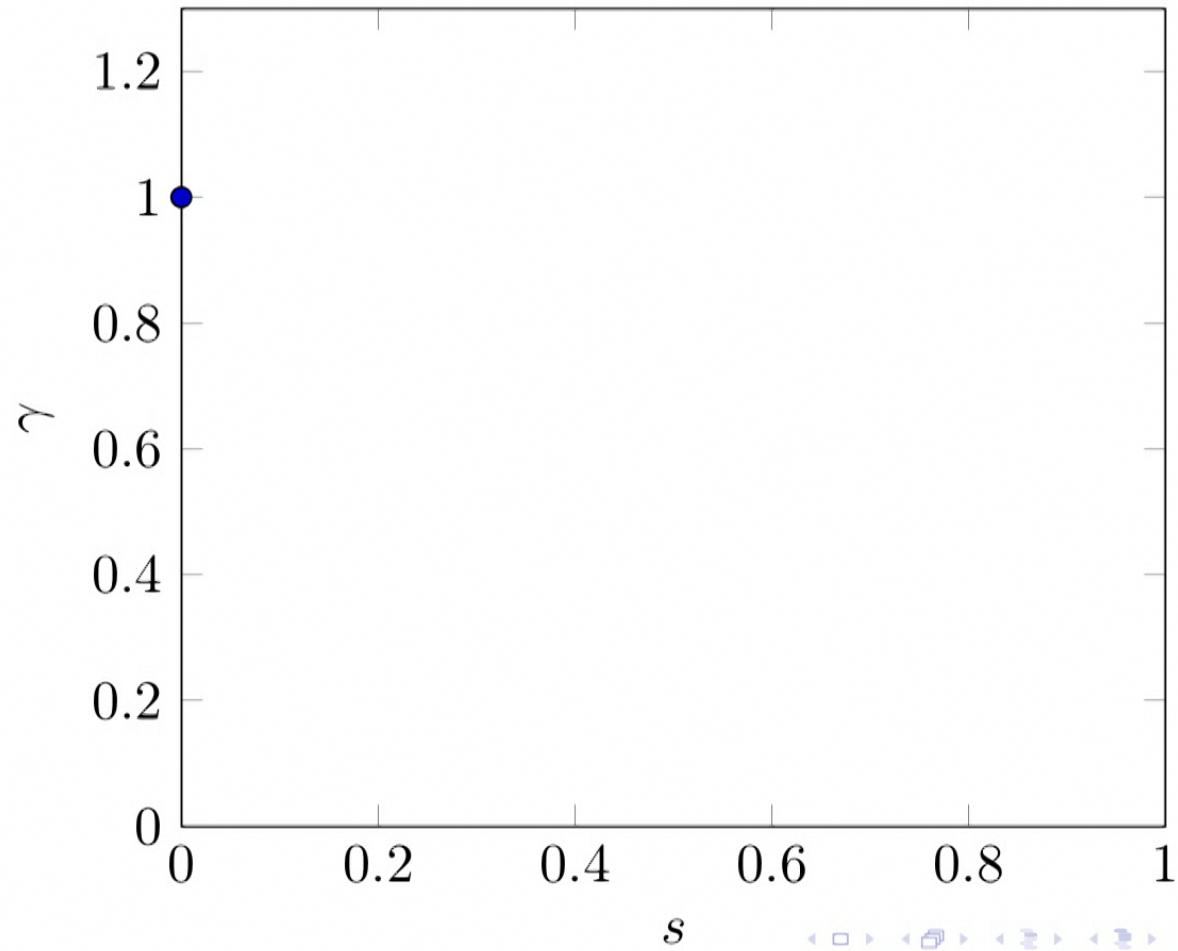
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1:  $s \leftarrow 0$ 
2:  $\gamma \leftarrow \gamma(0)$ 
3:  $\vec{\gamma} \leftarrow [(0, \gamma)]$ 
4: while  $s < 1$  do
5:    $\delta s \leftarrow c_0 \gamma / 4$ 
6:    $\gamma \leftarrow \text{GETGAP}(s, \delta s, \gamma, c_0)$ 
7:    $s \leftarrow s + \delta s$ 
8:   Append  $(s, \gamma)$  to  $\vec{\gamma}$ 
9: return Adiabatically prepared state by  $\vec{\gamma}$ 
```



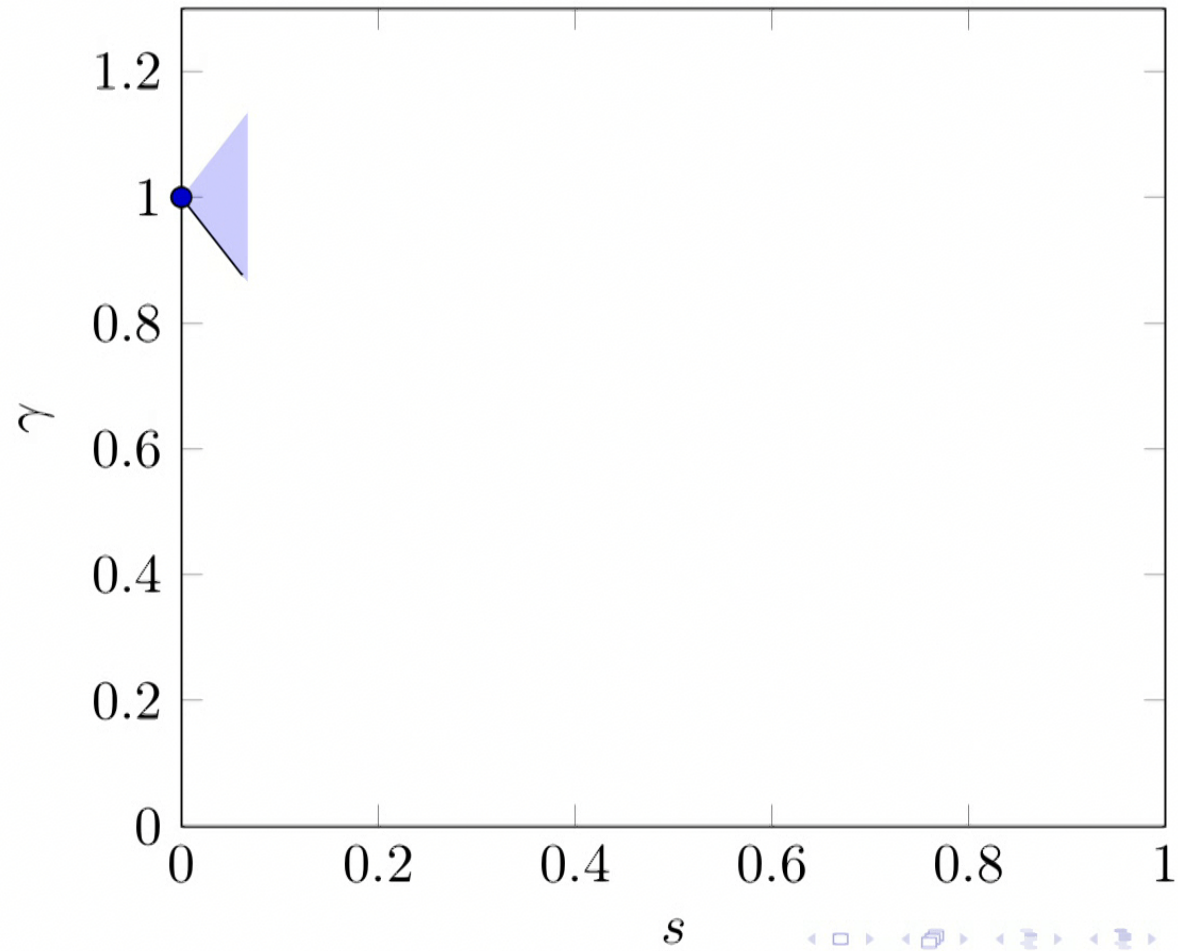
$$H(s_i) \rightarrow H(s_{i+1})$$

$$= (1-\sigma)H(s_i) + \sigma H(s_{i+1})$$

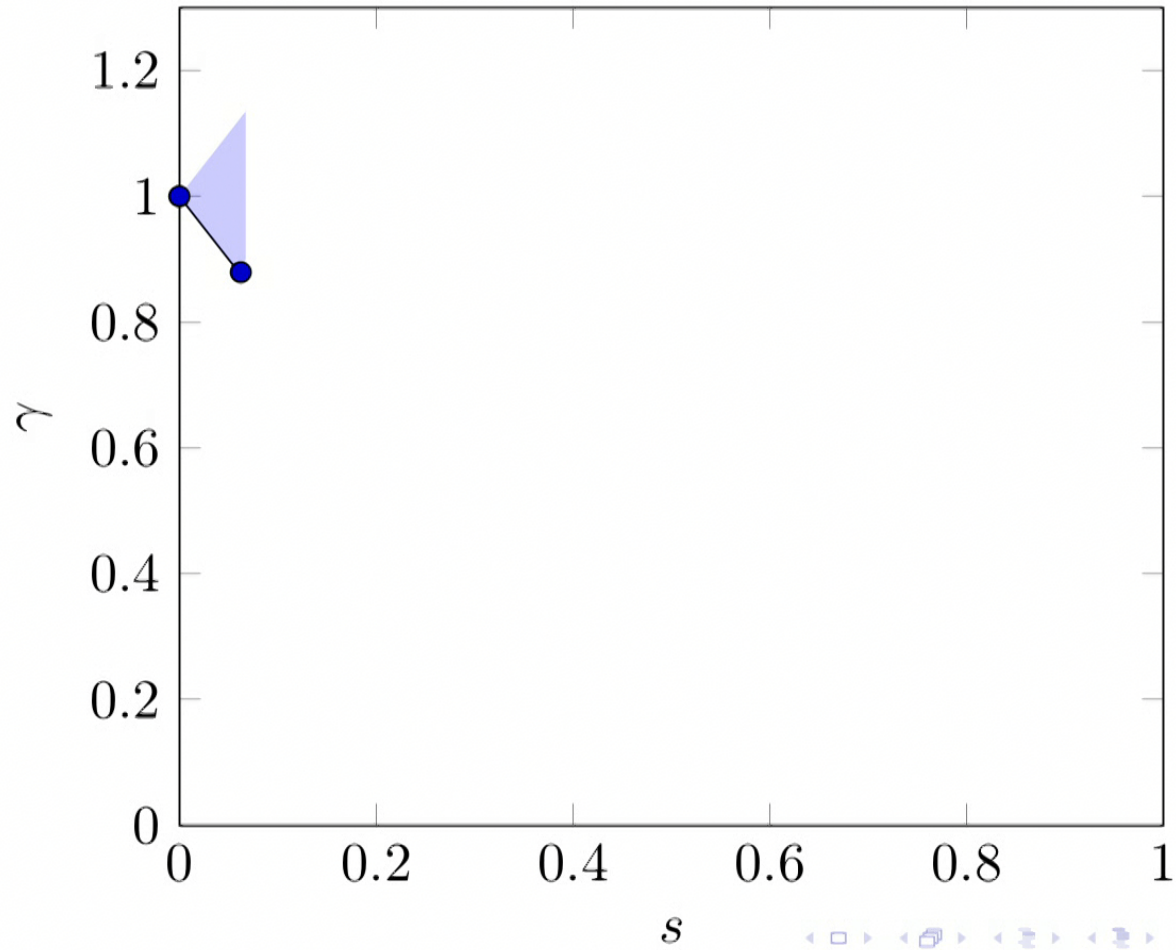
BAA behavior



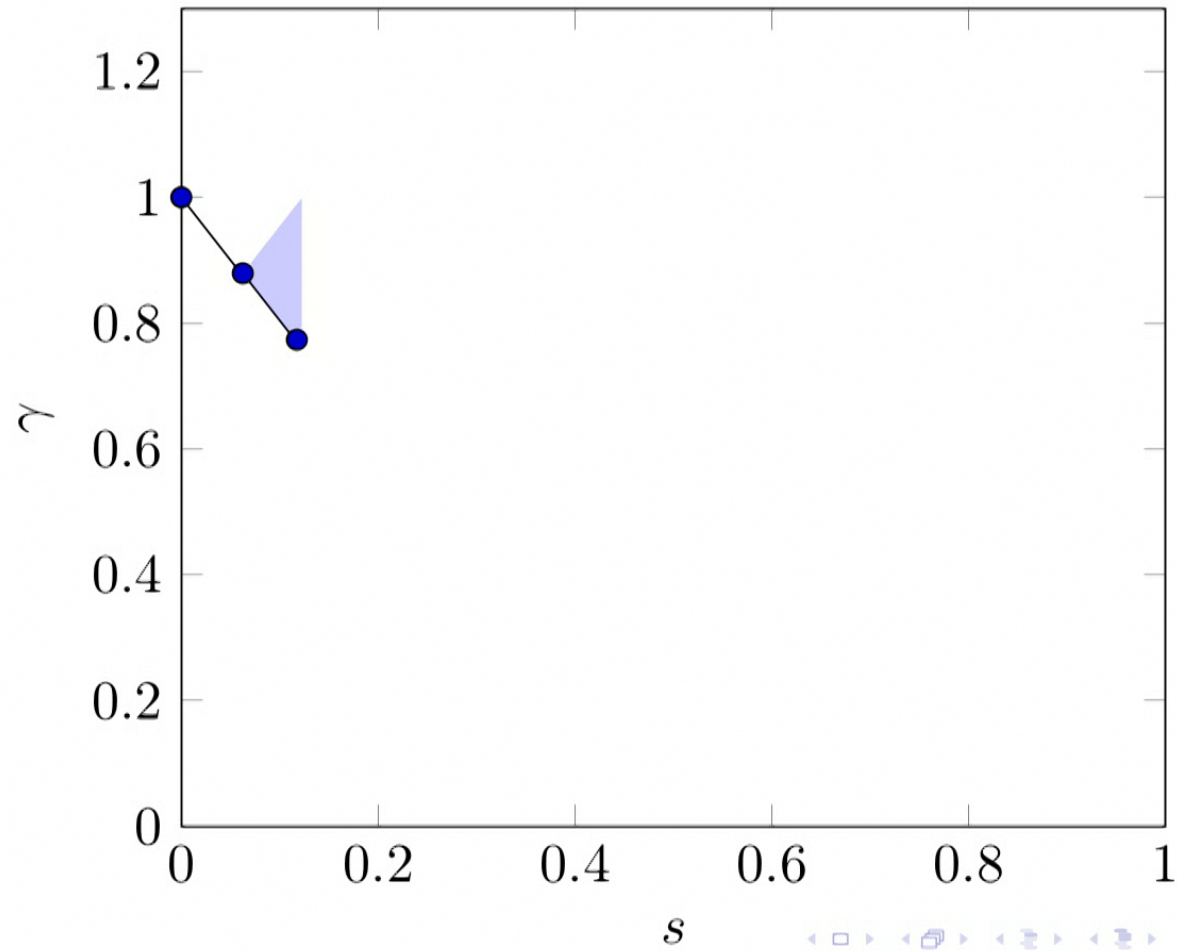
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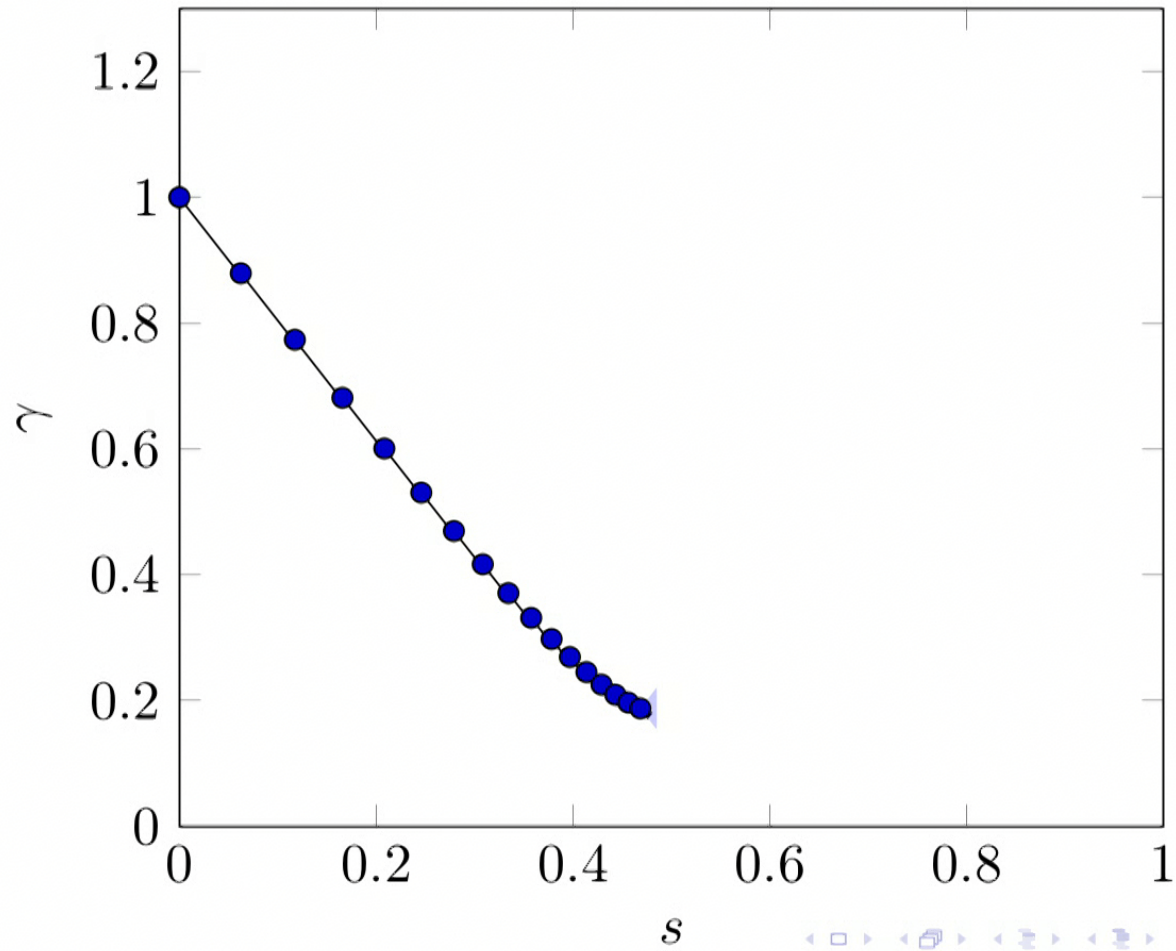
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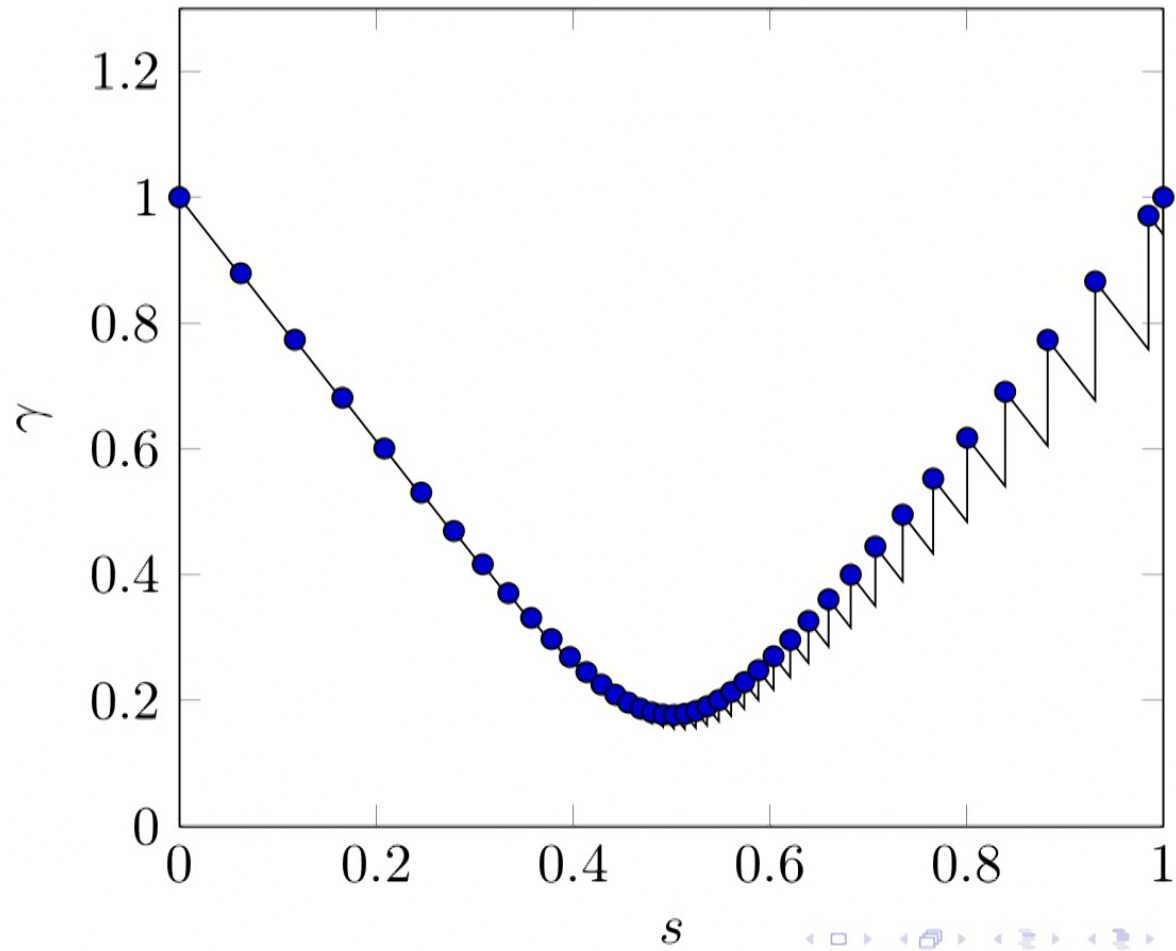
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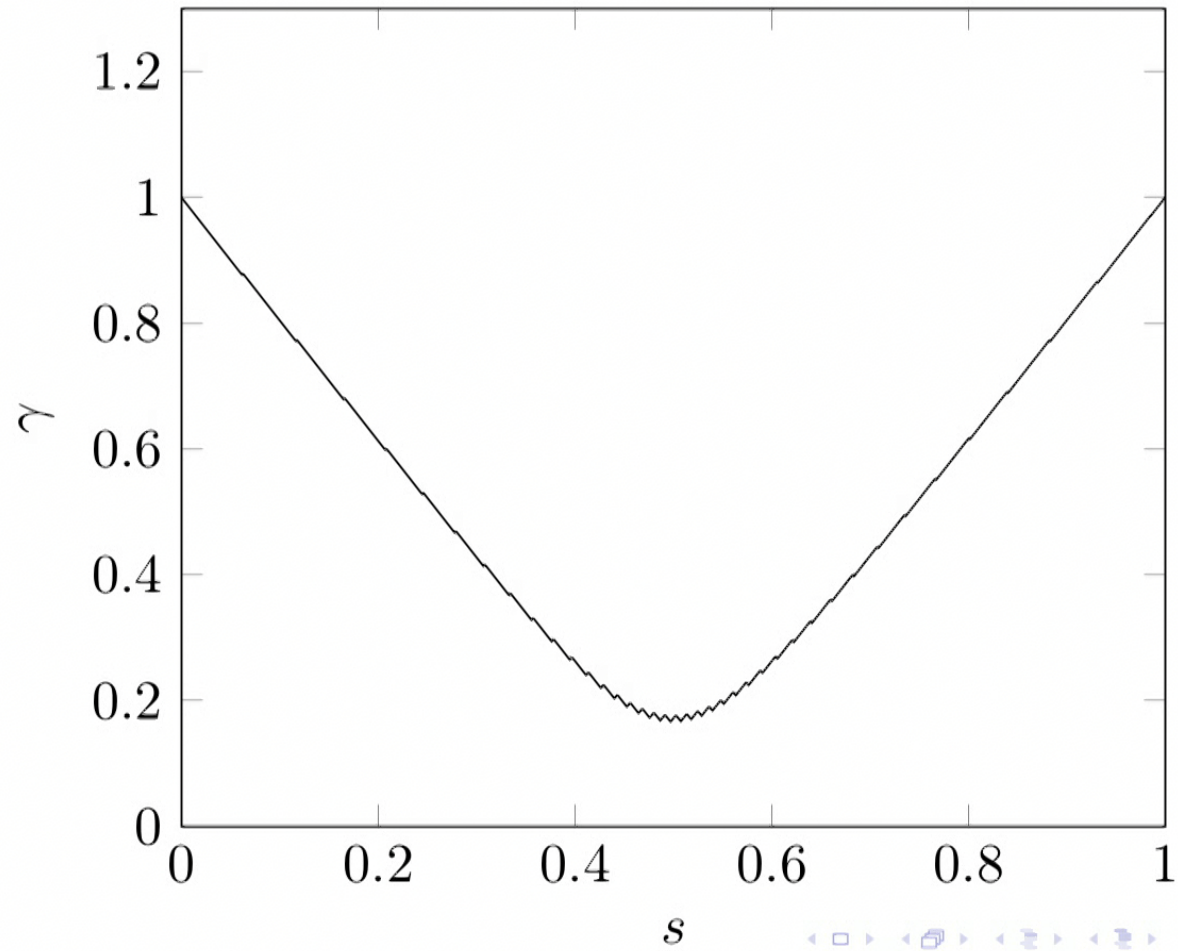
BAA behavior



BAA behavior



BAA behavior



Query Complexity

But, how many calls do we need?



Query Complexity

If GETGAP obeys

$$\text{GETGAP}(s) \geq \alpha |s - s_{\min}| + \beta \gamma_{\min}$$

then BAA requires at most

$$2 \left\lceil \frac{\log \left(1 + \frac{\alpha}{\beta \gamma_{\min}} \right)}{\log \left(1 + \frac{c\alpha}{4} \right)} \right\rceil = O(\log(\gamma_{\min}^{-1}))$$

queries to GETGAP(s) to return a schedule such that

$$|\text{GETGAP}(s) - \gamma(s)| \leq c\gamma(s).$$

Runtime

To see this, note that while $s \leq s_{\min}$, BAA uses the recurrence

$$\begin{aligned} s_{i+1} &= s_i + \frac{\text{GETGAP}(s)}{4} \\ &\geq \frac{\alpha}{4} |s_i - s_{\min}| + s_i + \beta\gamma_{\min} \\ &= s_i \left(1 - \frac{\alpha}{4}\right) + \left(\beta\gamma_{\min} + s_{\min} \frac{\alpha}{4}\right) \end{aligned}$$

so the lower bound obeys

$$s_i \geq \left(\frac{4\beta\gamma_{\min}}{\alpha} + s_{\min}\right) (1 - (1 - \alpha/4)^i)$$

$\implies i \sim O(\log(1/\gamma_{\min}))$ queries for $s_i \geq s_{\min}$, similar for $s \in [s_{\min}, 1]$

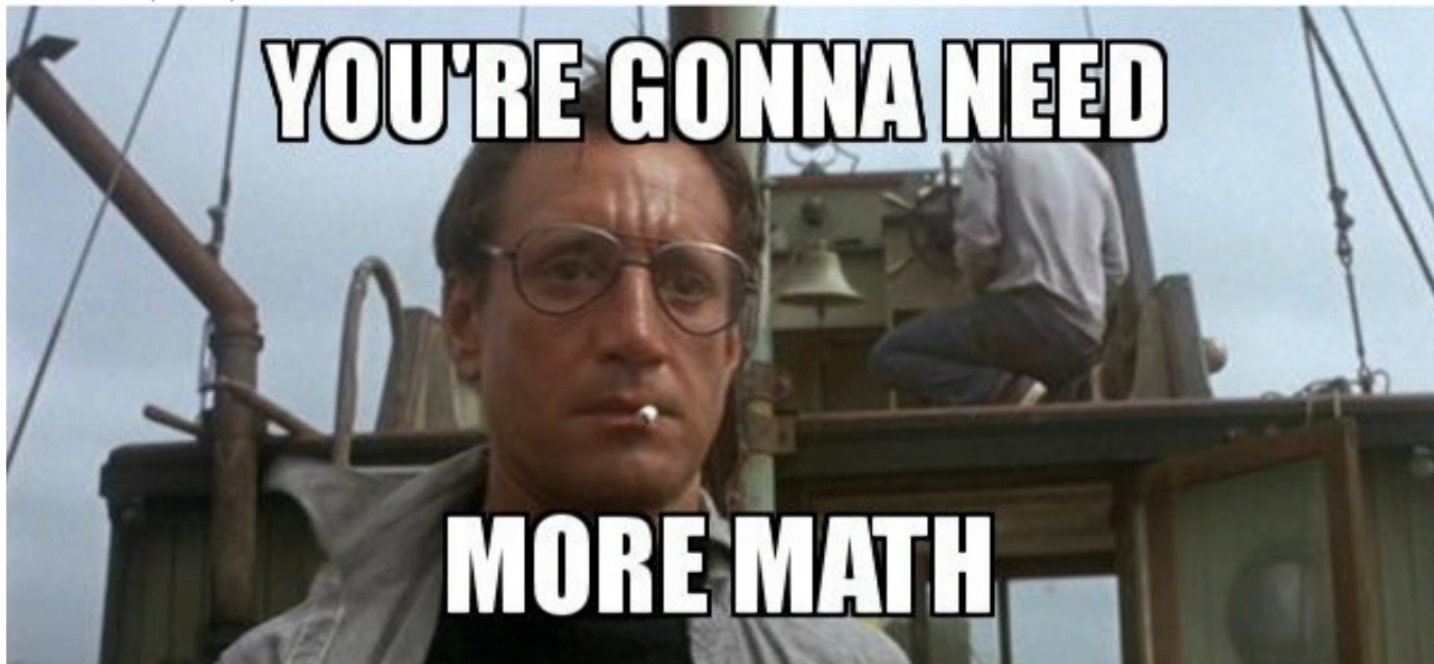
Okay... now what?

- So, uh, can we do this?



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Cheeger inequalities

For a Hamiltonian $H(s) = L + W$ with ground state ϕ , where

$$L = \sum_u d_u |u\rangle\langle u| - \sum_{(u,v) \in E} |u\rangle\langle v|$$

corresponding to the weighted graph $G = (V, E)$ and W is diagonal, then

$$2h \geq \gamma(s) \geq \sqrt{h^2 + d^2} - d$$

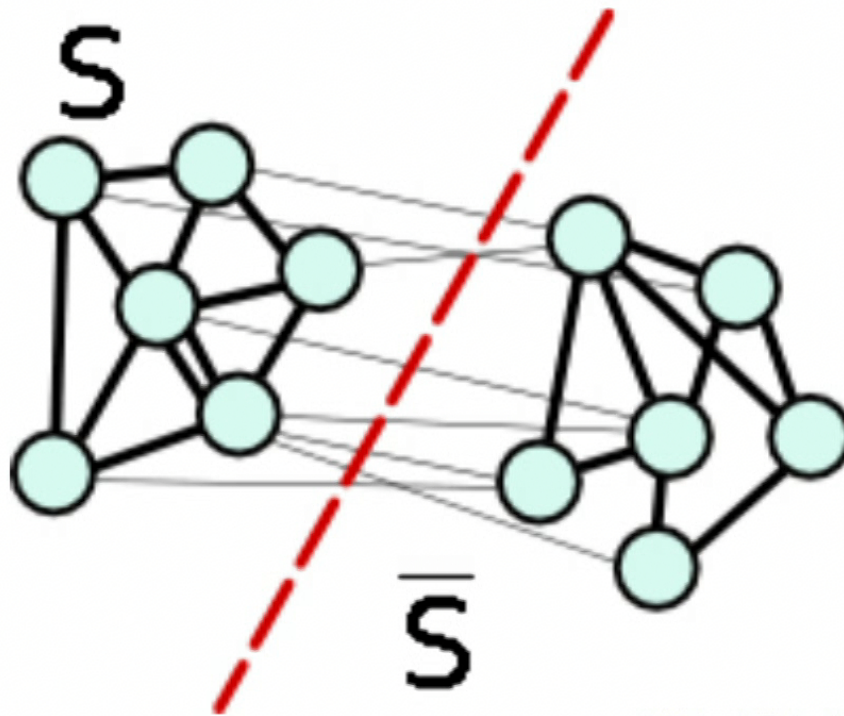
where $d = \max_u d_u$ and

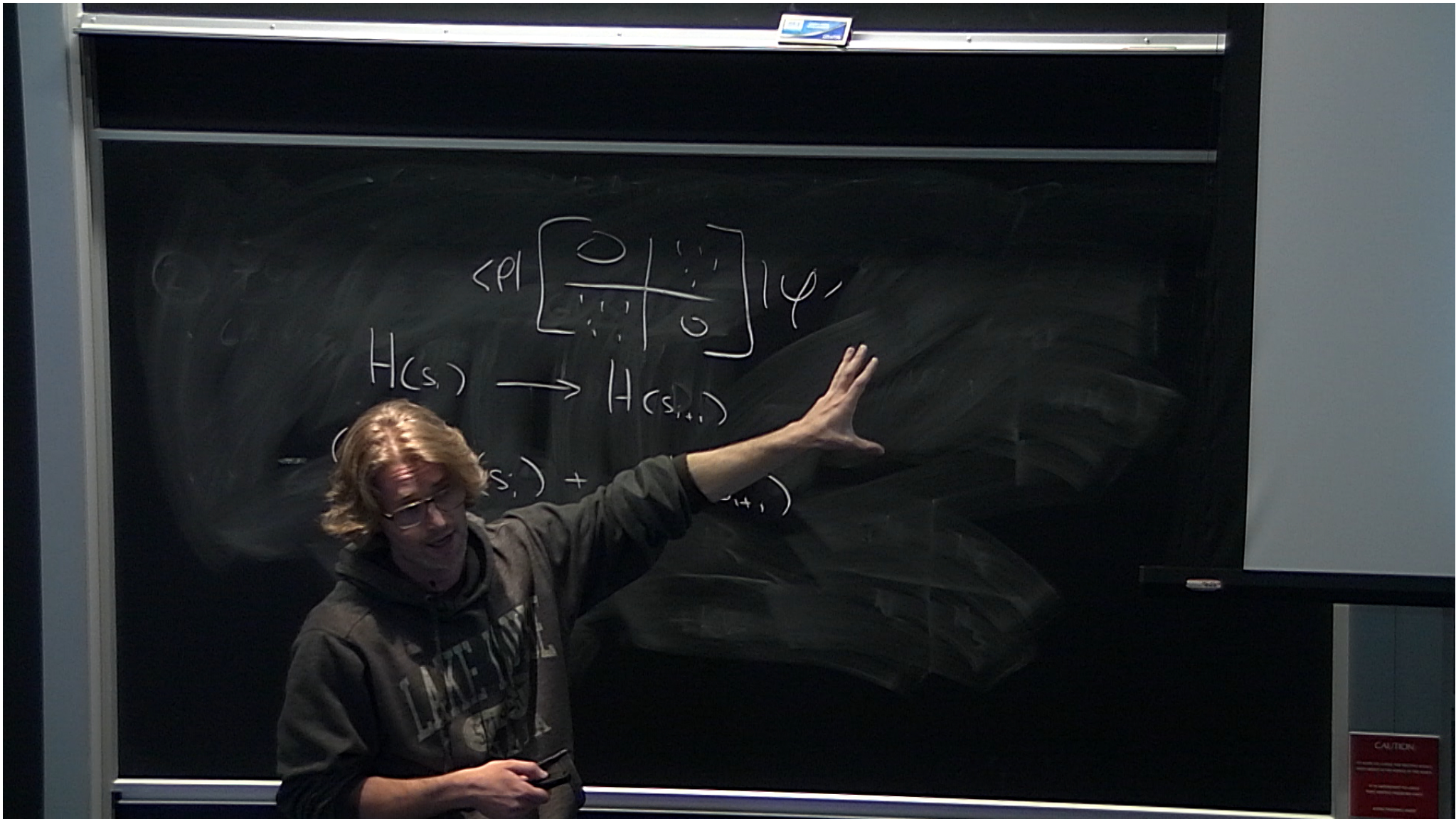
$$h = \min_{S \subset V} \max_{S' \in \{S, \bar{S}\}} \frac{\sum_{(u,v) \in E} \phi(u)\phi(v)}{\sum_{u \in S'} \phi^2(u)}.$$

(Similar inequalities hold for more general Hermitian L, W . [me, 2018])

Cheeger inequalities

$$h = \min_{S \subset V} \max_{S' \in \{S, \bar{S}\}} \frac{\sum_{\{u,v\} \in E} \phi(u)\phi(v)}{\sum_{u \in S'} \phi^2(u)}.$$





Our problem

We only want to impose the following restrictions

- 1 $W(m) = 0$
- 2 $\lambda_{V-1}(W)/\lambda_1(W) \leq \kappa$
- 3 $\gamma(W)$ is finite.

We'll consider the toy problem of the complete graph Laplacian or

$$L = I - \frac{1}{d} \sum_{v,v'} |v\rangle\langle v'|$$

$$d = V - 1.$$

$$w: \mathbb{V} \rightarrow [0,1] \langle P | \left[\begin{array}{c|c} 0 & \dots \\ \hline \dots & 0 \end{array} \right] | \psi \rangle$$

$$H(s_i) \rightarrow H(s_{i+1})$$

$$(1-\sigma)H(s_i) + \sigma H(s_{i+1})$$

Cheeger inequalities for our problem

All information is contained in ϕ_0 ! But... the inequality

$$2h \geq \gamma(s) \geq \sqrt{h^2 + d^2} - d$$

can be quadratically weak when $h \ll d$. (In our case $d = V - 1$ is optimally bad.) So, gotta tighten it up.

Our problem

When $H = L + W$ for the Laplacian $L = V - \sum_{v,v'} |v\rangle\langle v'|$, and

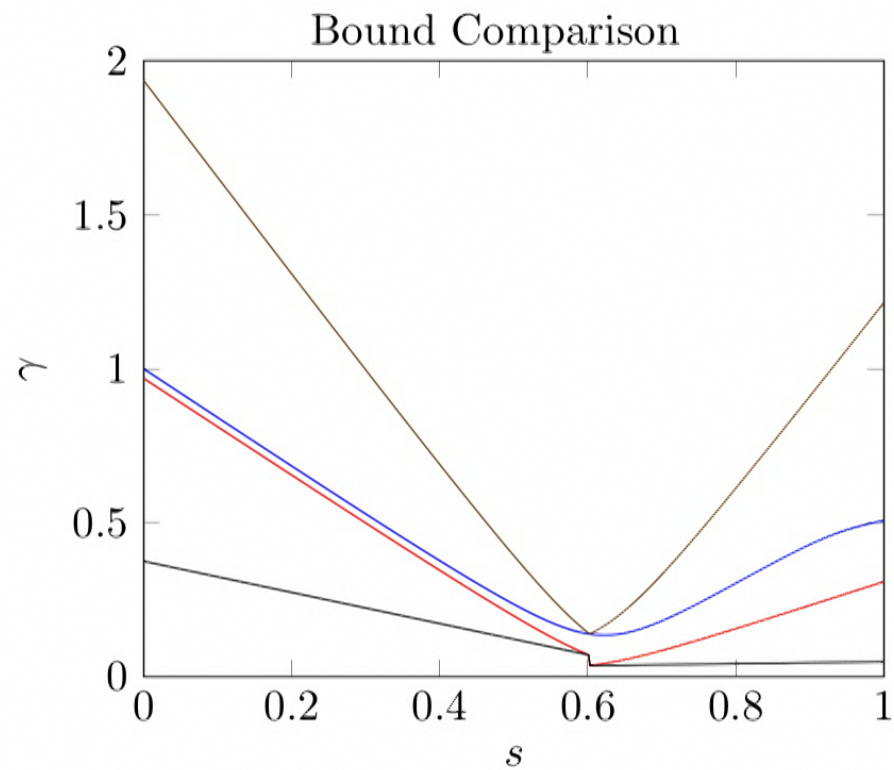
$$\begin{aligned} h_m &= \min_m \max_{S' \in \{\{m\}, V \setminus \{m\}\}} \frac{\sum_{u \neq m} \phi(m)\phi(u)}{\sum_{u \in S'} \phi^2(m)} \\ &= \min_m \frac{\phi(m) (\|\phi\|_1 - \phi(m))}{\min(\phi^2(m), 1 - \phi^2(m))} \\ &= \min_m \left(\frac{\|\phi\|_1}{\phi(m)} - 1 \right) \max \left(1, \frac{\phi^2(m)}{1 - \phi^2(m)} \right) \geq \frac{\|\phi\|_1}{\phi(m)} - 1. \end{aligned}$$

Then,

$$2h_m \geq \gamma(s) \geq \frac{h_m}{\kappa}.$$

So, everything here is within a constant factor.

BAA behavior



Our Problem

Can we learn it?
Eigenvectors satisfy

$$(V + W(u) - \lambda)\phi(u) = \sum_v \phi(v)$$

so

$$(V - \lambda_0)\phi(m) = \|\phi\|_1$$

or

$$V - \lambda_0 = \frac{\|\phi\|_1}{\phi(m)} = h_m + 1$$

whenever $\phi_m \leq 1/\sqrt{2}$.

Our Problem

Also,

$$(V + W(u) - \lambda_0)\phi(u) = \|\phi\|_1$$

$$\phi(u) = \frac{\|\phi\|_1}{V + W(u) - \lambda_0}$$

$$\|\phi\|_1 = \sum_u \frac{\|\phi\|_1}{V + W(u) - \lambda_0}$$

$$1 = \sum_u \frac{1}{V + W(u) - \lambda_0}$$

$$= \sum_u \frac{1}{W(u) + h_m + 1}.$$

so finding the root of this expression will give us a bound on h_m .



GETGAP

With probability $1 - p$, GETGAP requires at most $O(V^{2/3} \log(1/p))$ queries to W to create a function $\text{FINDROOT}(\cdot)$ such that

$$|\text{FINDROOT}(s, \delta s, h, c) - h_m| \leq ch_m$$

where $h_m \geq V^{2/3}$ and

$$\sum_u \frac{1}{W_u + h_m + 1} - 1 = 0$$

for any constants κ, c . (Hoeffding)

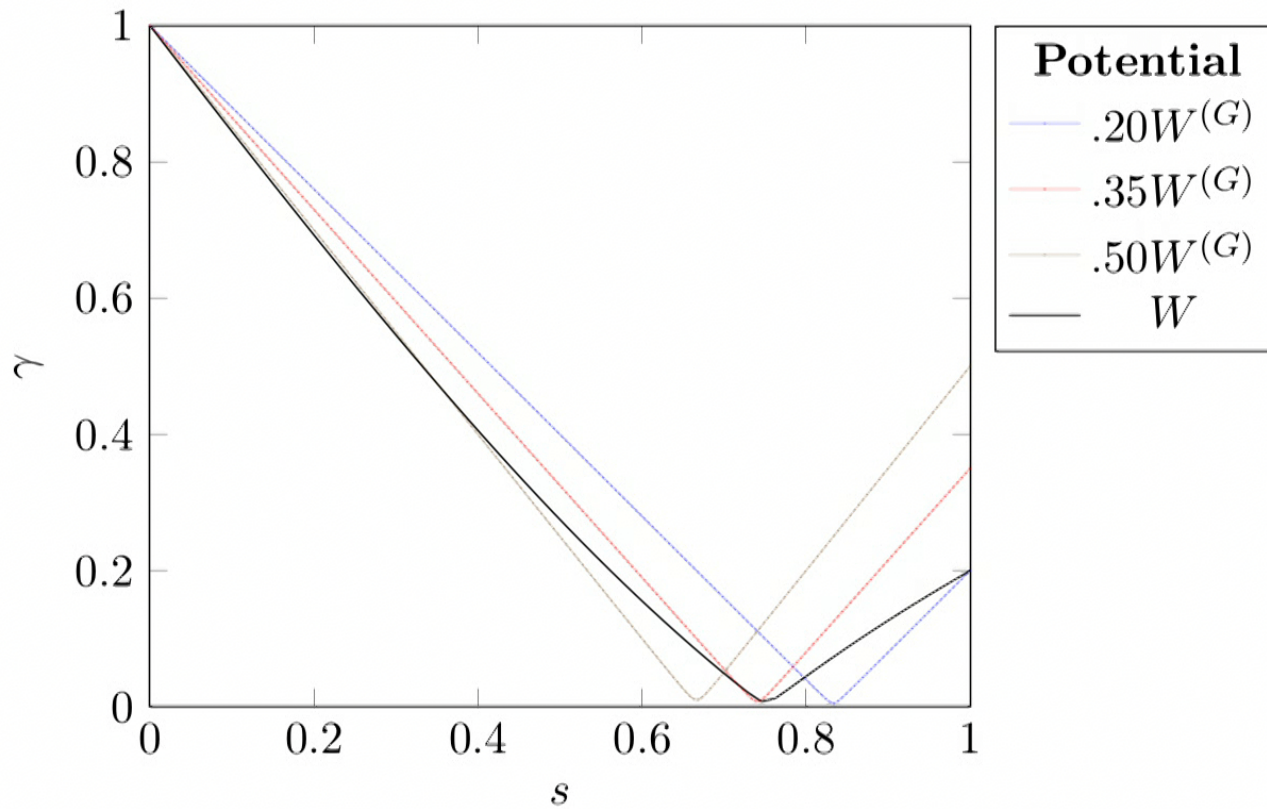
Our oracle

Algorithm 2 Complete graph oracle

Require: A probability of failure p , the number of vertices V , the cost function W

- 1: Global $S_{\min} \leftarrow [1, 1]$
 - 2: **function** GETGAP($s, \delta s, \gamma, c$)
 - 3: **if** $s \geq S_{\min}[0]$ **then return** FINISHSCHEDULE($s, \delta s, \gamma, c$)
 - 4: $h \leftarrow \frac{1}{1-c} \left(\frac{\gamma}{1-s} + 1 \right) - 1$
 - 5: **if** $s = 0$ **then** $h \leftarrow V - 1$
 - 6: $h \leftarrow \text{FINDROOT}(s, \delta s, h, c)$
 - 7: **if** $h = 0$ **then return** FINISHSCHEDULE($s, \delta s, \gamma, c$)
 - 8: **return** $(1 - s - \delta s) ((1 - c)(h + 1) - 1)$
-

$$H = (1 - s)L + sW$$



FINISHSCHEDULE

$$\kappa = \lambda_{V-1}(W)/\lambda_1(W)$$

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- Total queries to GETGAP by BAA is then at most $\tilde{O}(\log(1/\gamma_{\min}) + (\kappa - 1)^{2/3}V^{1/6})$
- Total samples to build oracle is at most $O(1 + (\kappa - 1)^{2/3}V^{2/3} \log(1/p))$
- Total runtime of adiabatic process following this bound is then $\tilde{O}\left(\frac{1}{\epsilon\gamma_{\min}} \log(1/\gamma_{\min}) + (\kappa - 1)^{2/3} \frac{V^{1/6}}{\gamma_{\min}\epsilon}\right) = O\left(\frac{\sqrt{V}}{\epsilon} + \frac{(\kappa-1)^{2/3}}{\epsilon} V^{2/3}\right)$

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- Total runtime of algorithm BAA is therefore $O\left(\left(\sqrt{V} + (\kappa - 1)^{2/3}V^{2/3}\right) \log(\sqrt{V})/\epsilon\right)$ and produces a state $\|\psi\rangle - |\phi\rangle\| \leq \epsilon$ with probability $\Omega\left(1 - 1/\sqrt{V}\right)$.

Optimization

Algorithm 3 Optimize

```
1: function OPTIMIZE( $W$ )
2:    $\delta \leftarrow \frac{3}{2} \log_V\left(\frac{3}{2}\right)$ 
3:    $N \leftarrow \frac{\log(V^{2/3} \log(\sqrt{V}))}{1 + \log(\epsilon^{-1})}$ 
4:   for  $i \in \llbracket \frac{1}{4\delta} \rrbracket$  do
5:      $\kappa \leftarrow 1 + V^{i\delta - \frac{1}{4}}$ . ▷ Guess  $\kappa(W) \leq \kappa$ 
6:      $\Psi \leftarrow [\text{BAA}(\text{GETGAP}_\kappa)]_{i=0}^N$  ▷ Collect the results of BAA
7:      $\Psi \leftarrow \text{MEASURE}(\Psi)$ 
8:     if  $W_b = 0$  for any  $b \in \Psi$  then return  $|b\rangle$ 
9:    $\kappa \leftarrow 1/\gamma(1)$ 
10:  return  $\text{BAA}(\text{GETGAP}_\kappa)$ 
```

Expected runtime matches runtime up to log factors.

Probability of failure $p \leq \frac{1}{V^{2/3}}$



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Lessons we've learned:

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- Not at all likely that heuristic schedules can give reliable results
- BAA can obtain a reliable quantum advantage on general problems
- QAO is a special purpose algorithm...
- Design hardware to match the algorithm, not try to find algorithm that matches hardware.

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- Other ideas, use this for faster MC simulations?
- Classical SAT solving?

