

Title: The Uses of Lattice Topological Defects

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Abstract: 

I give an overview of work with Aasen and Mong on topologically invariant defects in two-dimensional classical lattice models, quantum spin chains and tensor networks. We show how to find defects that satisfy commutation relations guaranteeing the partition function depends only on their topological properties. These relations and their solutions can be extended to allow defect lines to branch and fuse, again with properties depending only on topology. These lattice topological defects have a variety of useful applications. In the Ising model, the fusion of duality defects allows Kramers-Wannier duality to be enacted on the torus and higher genus surfaces easily, implementing modular invariance directly on the lattice. These results can be extended to a very wide class of models, giving generalised dualities previously unknown in the statistical-mechanical literature. A consequence is an explicit definition of twisted boundary conditions that yield the precise shift in momentum quantization and thus the spin of the associated conformal field. Other universal quantities we compute exactly on the lattice are the ratios of  $g$ -factors for conformal boundary conditions.

# The Uses of Lattice Topological Defects

Paul Fendley  
Oxford

Work with David Aasen (KITP/Q) and  
Roger Mong (Pitt)

part I is arXiv:[1601.07185](https://arxiv.org/abs/1601.07185), part II well under way, part III eventually



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- **Anyonic** particles obey the same rules.
- Last (in this list), least (as judged by number of citations), but first (as in time) are the deep connections to **lattice models of statistical mechanics**.

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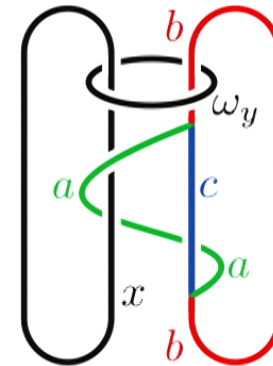
**Which type of categories** depends precisely on which structure you want, get beasts such as **fusion categories** (no braiding required), **modular tensor category** (works on the torus)...

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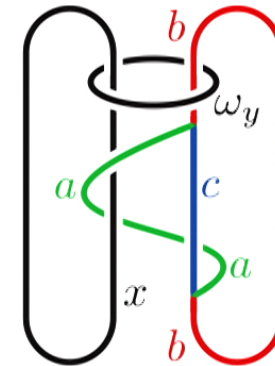
$$M_{xy}^*[Z_{ac}^b] \equiv \frac{\theta_a}{d_y}$$



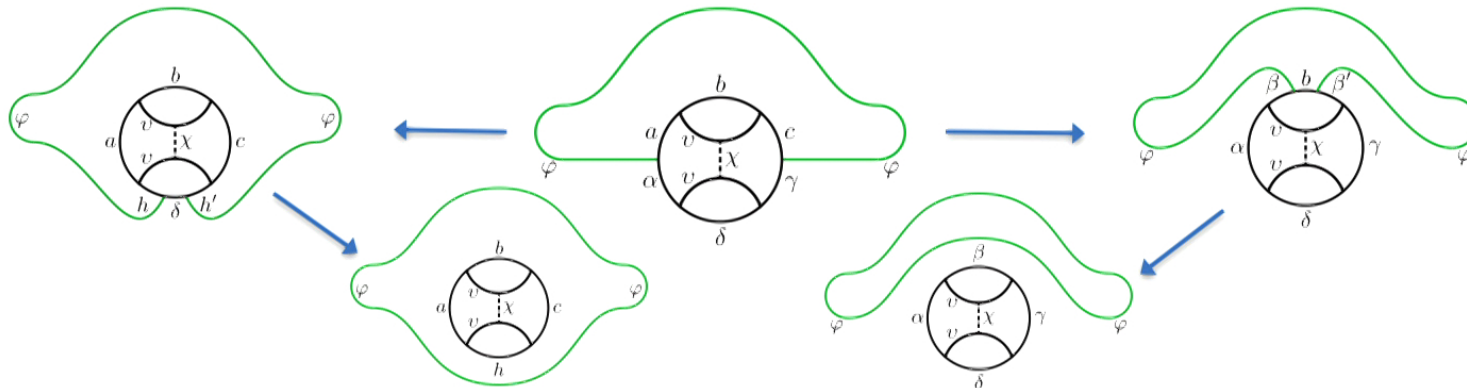
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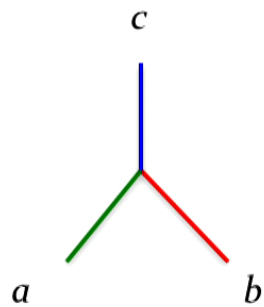
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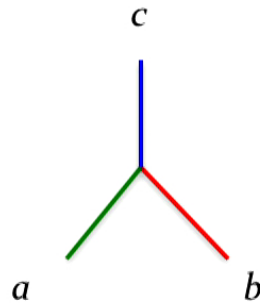
To compute the numbers, the rules allow various manipulations of the graphs to relate the topological invariants, e.g.



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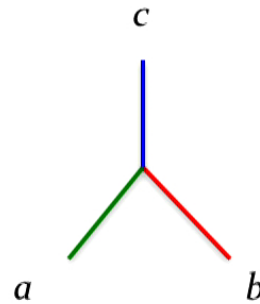
A convenient way of doing this is via a fusion algebra:  $a \otimes b = \sum_c N_{ab}^c c$

Non-negative integers



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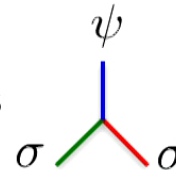
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For the Ising fusion category, there are three objects, with labels  $1, \sigma, \psi$

$$\sigma \otimes \sigma = 1 + \psi$$

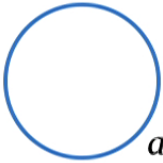
identity

Only vertex not involving identity is

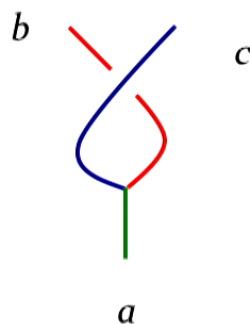


and its rotations.

Some key rules:


$$= d_a$$

quantum dimension


$$= e^{i\pi(h_a - h_b - h_c)}$$

$h_a$  is "spin"

For Ising:

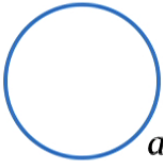
$$d_1 = 1$$

$$d_\psi = 1$$

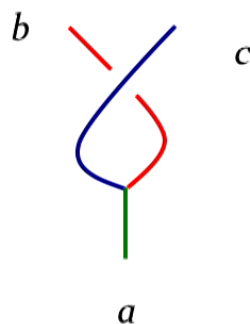
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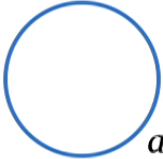
$$d_\sigma = \sqrt{2}$$

$$h_1 = 0$$

$$h_\psi = 1/2$$

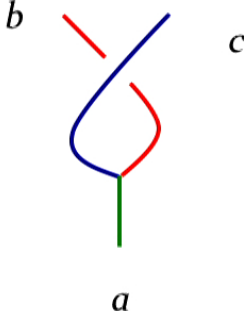
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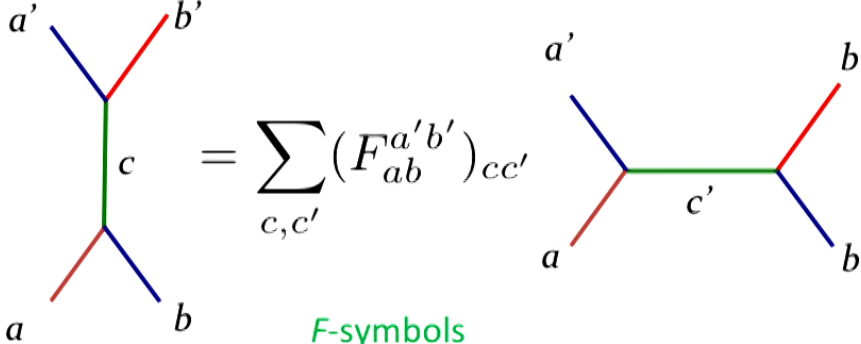
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$$= \sum_{c, c'} (F_{ab}^{a' b'})_{cc'}$$

F-symbols

For Ising:

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$$d_\psi = 1$$

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$$h_1 = 0$$

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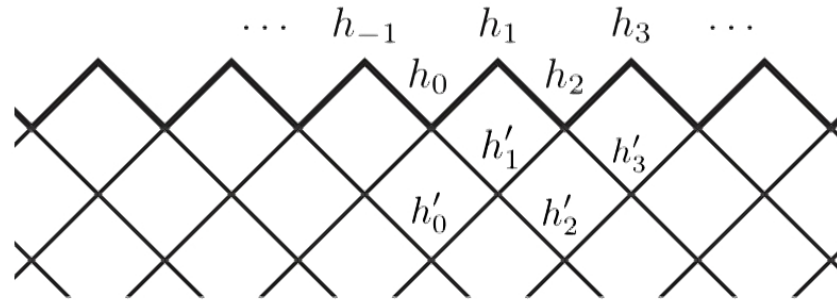
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- A **general and systematic** way of finding them.
- Topologically invariant **junctions** of defect lines
- Many generalisations of Kramers-Wannier **duality**, given explicitly and exactly
- Exact lattice derivation of **g-factors** for **conformal boundary conditions**
- By doing **modular transformations on the lattice**, get momentum quantization conditions that yield **exact dimensions** of operators in the continuum limit

## Defining the models

Degrees of freedom are Integer-valued "heights" living on the sites of a square lattice:



Boltzmann weight depends on interactions among the four heights "round a face"

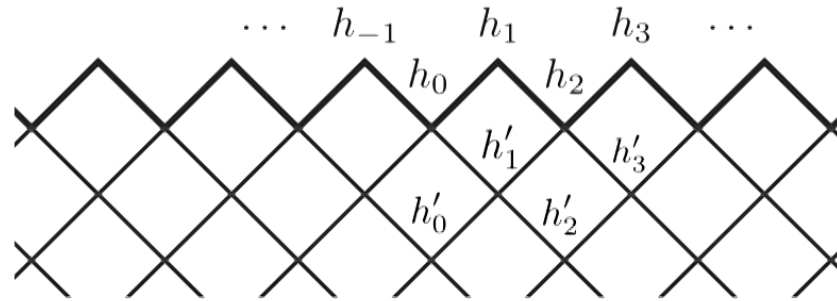
$$Z = \sum_{\text{heights}} \prod_{\text{faces}} \text{weight}(h_0, h_1, h_2, h'_1)$$

The diagram shows a single square face of the lattice. The four sites around the face are labeled with heights:  $h_0$  at the top-left,  $h_1$  at the top-right,  $h_2$  at the bottom-right, and  $h'_1$  at the bottom-left.



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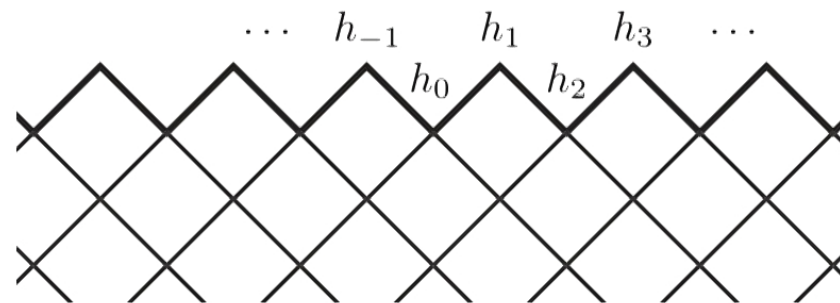
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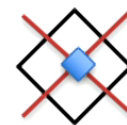
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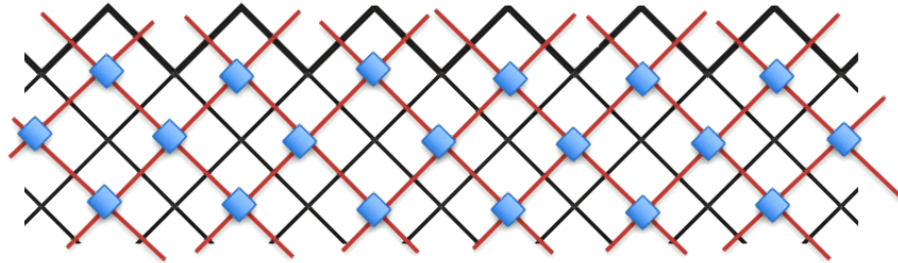
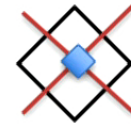
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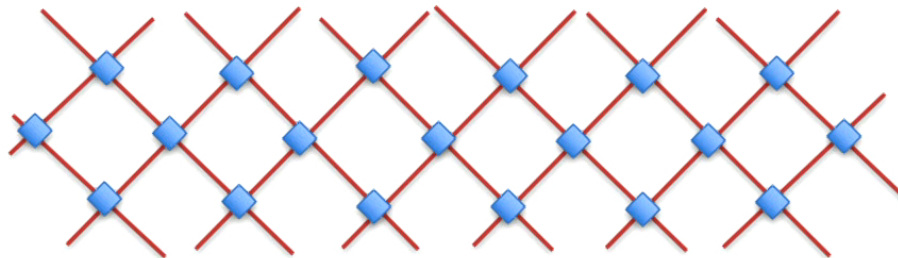
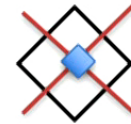


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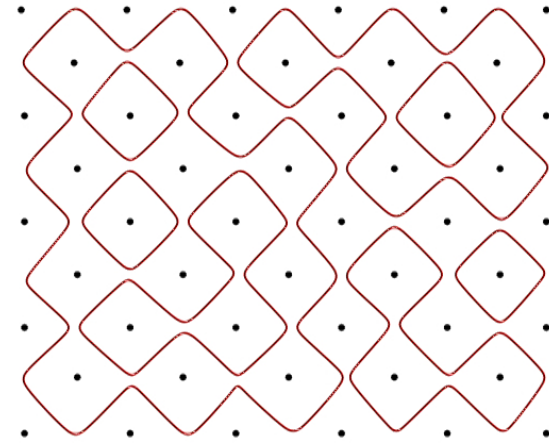
Many important models can be written in this way, including critical lattice models yielding (probably) all rational CFT in the continuum limit

e.g. lattice height models corresponding to the minimal CFTs can be rewritten as loop models:

Temperley-Lieb; Fortuin-Kasteleyn; Baxter; Andrews-Baxter-Forrester; Pasquier

In math literature, called shadow world (Turaev)

$$\begin{array}{c} \diagup \diagdown \\ \times \\ \diagdown \diagup \end{array} = w_v \begin{array}{c} \diagup \\ \diagdown \end{array} \begin{array}{c} \diagdown \\ \diagup \end{array} + w_h \begin{array}{c} \diagdown \\ \diagup \end{array} \begin{array}{c} \diagup \\ \diagdown \end{array}$$



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In general, the category allows local weights to be defined so that:

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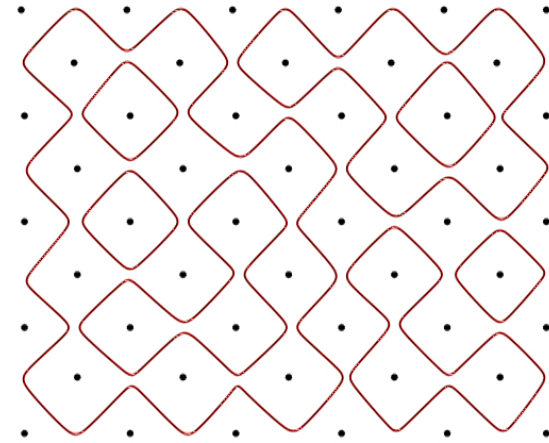
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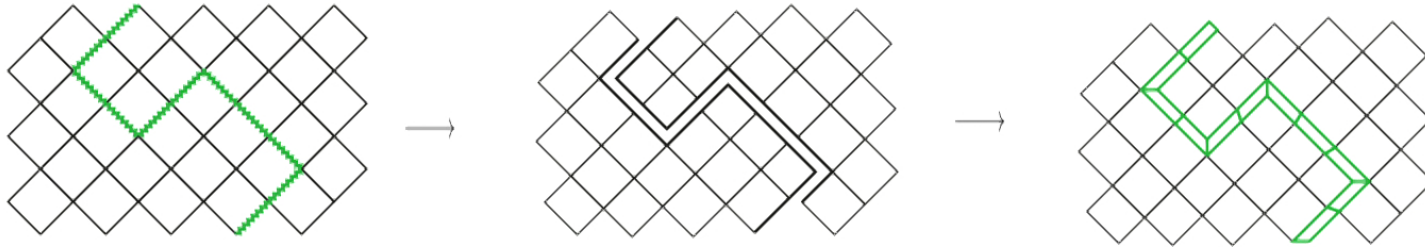
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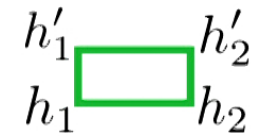
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**This setup allows topological defects to be defined, and interesting and exact properties to be derived for them.**

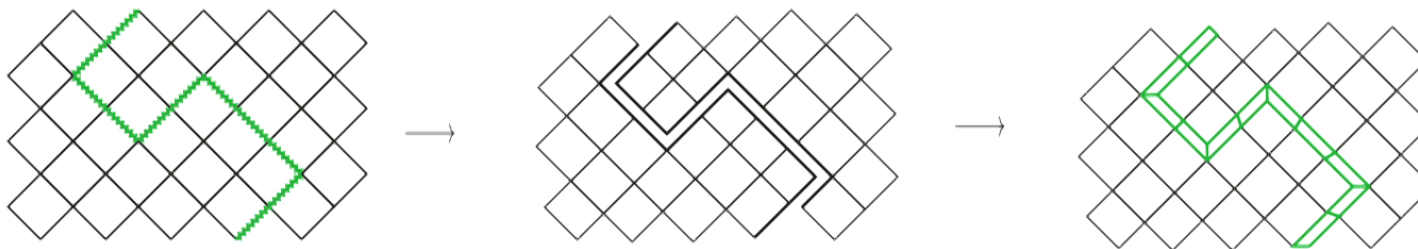
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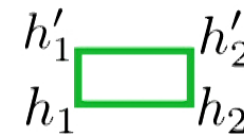
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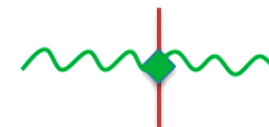
## Inserting a defect



The defects have a weight depending on the adjacent heights:



In the tensor network picture:



In the presence of the defect, the partition function is modified to

$$\mathcal{Z} = \sum_{\text{heights}} \prod_{\text{faces}} \text{diamond} \times \prod_{\text{along defect}} \text{rectangle}$$

# Topological defects

For  $\mathcal{Z}$  to be invariant under deformations of the defect's path:

$$\begin{aligned}
 \sum_{b'} & \begin{array}{c} b \\ a \quad c \\ \alpha \quad \gamma \\ \delta \\ b' \end{array} = \sum_{\beta} \begin{array}{c} b \\ a \quad c \\ \alpha \quad \gamma \\ \delta \\ \beta \end{array} \\
 & \begin{array}{c} b \\ a \quad c \\ \alpha \quad \delta \\ d \\ \delta \end{array} = \sum_{\beta, \gamma} \begin{array}{c} b \\ a \quad c \\ \alpha \quad \gamma \\ \delta \\ d \\ \beta \end{array}
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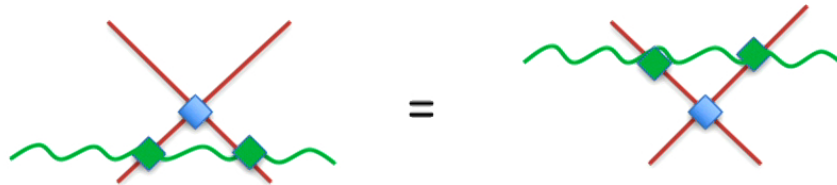
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In tensor networks, these have been dubbed “pulling-through” conditions:



Verstraete et al



# Two types of topological defects in Ising

Recall that for Ising, include degrees of freedom on only half the sites:

$$a \diamond b = e^{K_x \delta_{ab}} \qquad \begin{array}{c} b \\ \diamond \\ a \end{array} = e^{K_y \delta_{ab}}$$

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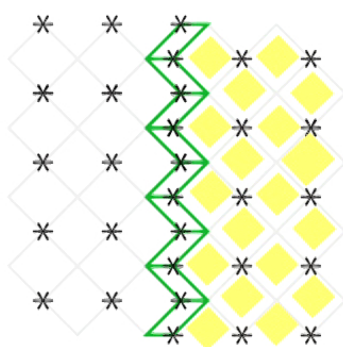
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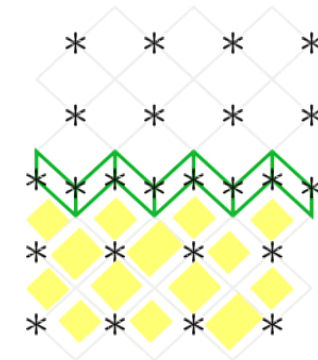
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Couplings on one side of defect are **dual values** of those on the other!



## Useful application 1: generalised duality

For each object in the category, **there are defect weights satisfying the commutation relations**. To find them, use **braiding** if it exists, or better the **Drinfeld centre**.

$$\phi \begin{array}{c} b \quad b' \\ \text{---} \text{---} \\ \text{---} \text{---} \\ \text{---} \text{---} \\ a \quad a' \\ \mu \end{array} = \frac{1}{\sqrt{d_a d_{b'}}} \left( F_{a' \mu}^{\phi a} \right)_{b' b}$$

In Ising, spin-flip defect is labeled by  $\psi$ , while the duality defect by  $\sigma$ .

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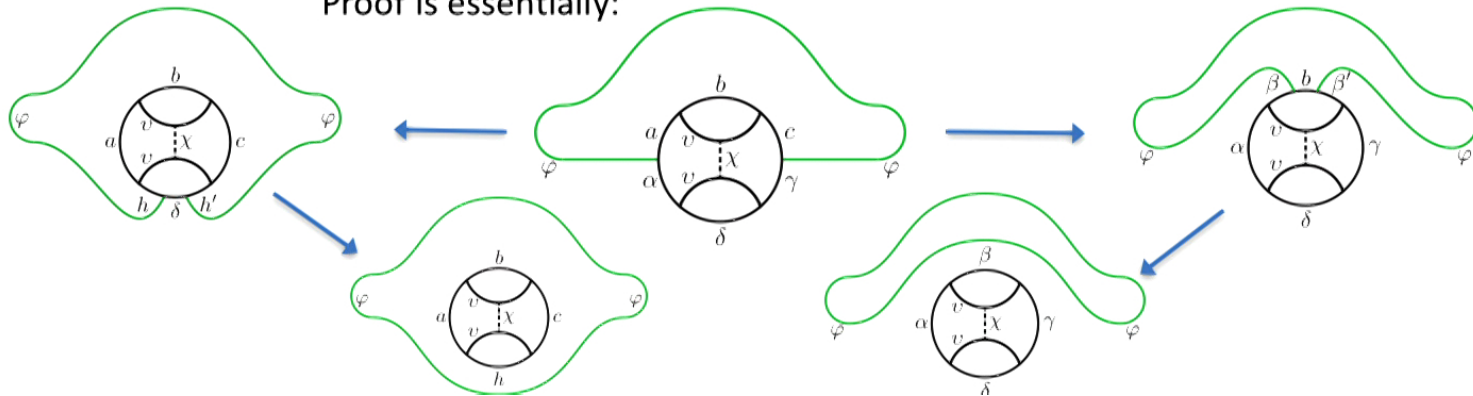
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However, many critical integrable models are special cases of models built on categories.

Proof is essentially:



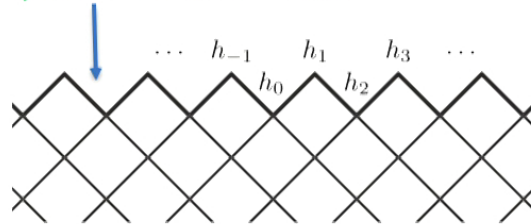
# Topological defects

For  $\mathcal{Z}$  to be invariant under deformations of the defect's path:

$$\begin{aligned}
 \sum_{b'} & \begin{array}{c} b \\ a \quad c \\ \alpha \quad \gamma \\ \delta \\ \bullet \\ b' \end{array} = \sum_{\beta} \begin{array}{c} b \\ a \quad c \\ \alpha \quad \gamma \\ \delta \\ \bullet \\ \beta \end{array} \\
 \sum_{\alpha} & \begin{array}{c} b \\ a \quad c \\ \delta \quad d \\ \bullet \\ \alpha \end{array} = \sum_{\beta, \gamma} \begin{array}{c} b \\ a \quad c \\ \alpha \quad \gamma \\ \delta \quad d \\ \bullet \\ \beta \end{array}
 \end{aligned}$$

The transfer matrix is (the original?) **matrix product operator**.

Vector space on which  $T$  acts



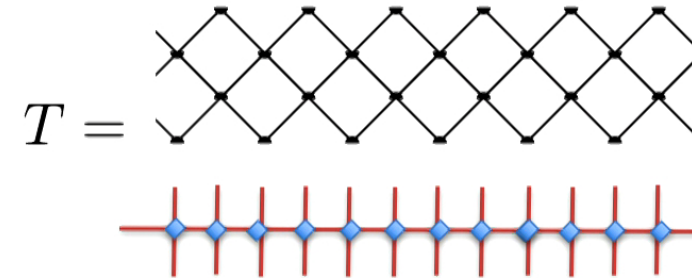
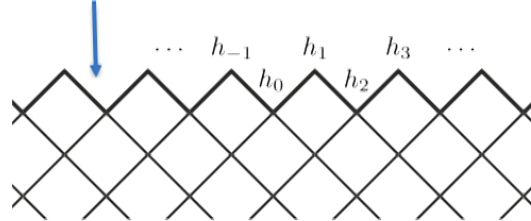
$$T = \begin{array}{c} \text{[Lattice Diagram]} \\ \text{[Red Line with Blue Diamonds]} \end{array}$$

The transfer matrix  $T$  is represented as the product of two diagrams. The top diagram is a lattice structure. The bottom diagram is a red horizontal line with blue diamonds at regular intervals, connected by vertical red lines to the lattice above.



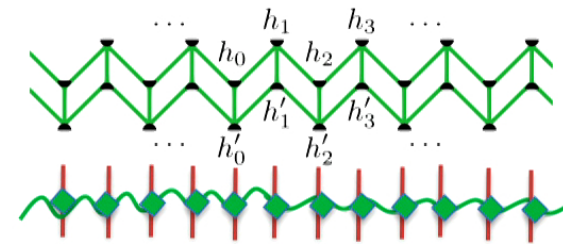
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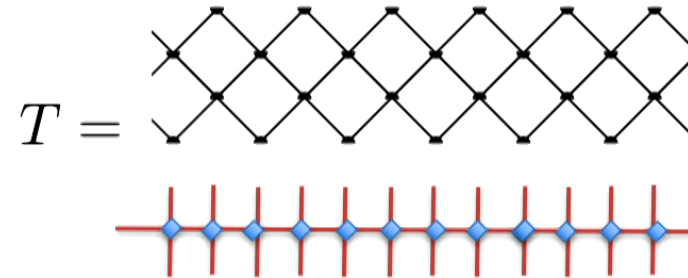
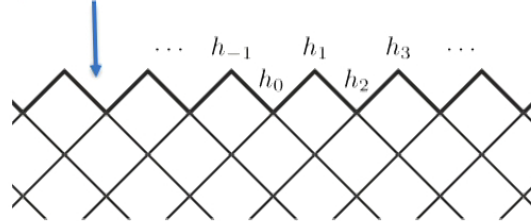
Then defect also is an MPO:

$\mathcal{D}_\phi =$



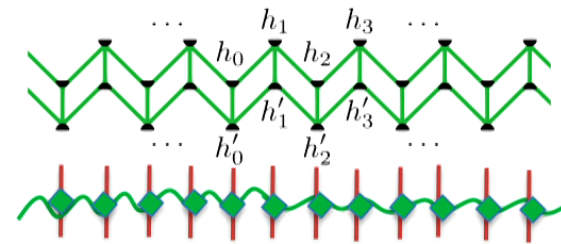
The transfer matrix is (the original?) **matrix product operator**.

Vector space on which  $T$  acts



Then defect also is an MPO:

$$\mathcal{D}_\phi =$$



For **any topological defect**, the defect commutation relations ensure that

$$T\mathcal{D}_\phi = \mathcal{D}_\phi T$$

# Deforming turns microscopic into macroscopic

Recall  $\bigcirc_{\phi} = d_{\phi}$

Can nucleate a defect loop around a single face, find

$$\sum_{a,b} \alpha \begin{array}{c} \text{---} a \text{---} \\ \diagup \quad \diagdown \\ \text{---} b \text{---} \\ \diagdown \quad \diagup \end{array} \beta = d_{\phi} \alpha \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \beta$$

Corresponding partition functions on a disc are related as

$$\mathcal{Z} = d_{\phi} Z$$

$$\bigcirc_{\phi} = d_{\phi} \bigcirc$$

# Duality is not a symmetry

Fusing defects together obeys the same rules as the objects in category.

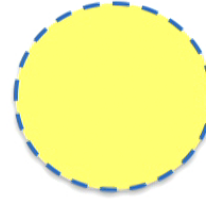
In Ising category (and CFT):

$$\sigma \otimes \sigma = 1 + \psi$$

$$\mathcal{D}_\sigma \mathcal{D}_\sigma = 1 + \mathcal{D}_\psi$$



=



+



Identity defect

spin-flip defect

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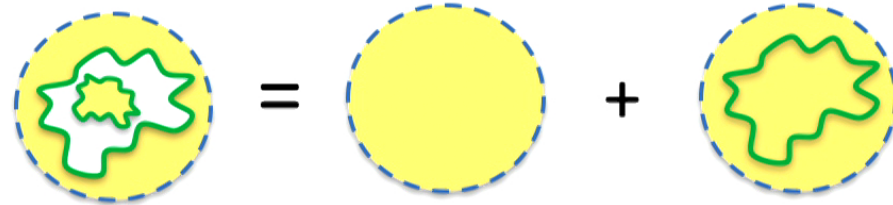
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Lattice models yielding tricritical Ising or 3-state Potts CFTs come from [Fibonacci category](#):

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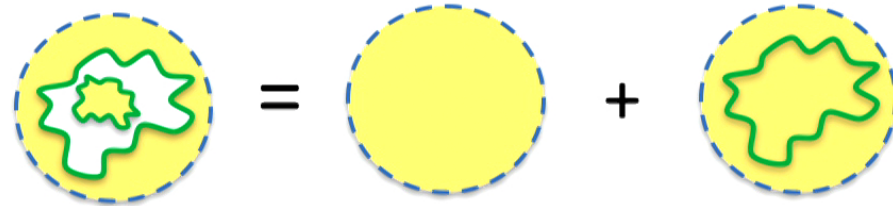
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If  $d_\phi \neq 1$ , then  $\mathcal{D}_\phi$  is **not unitary**.

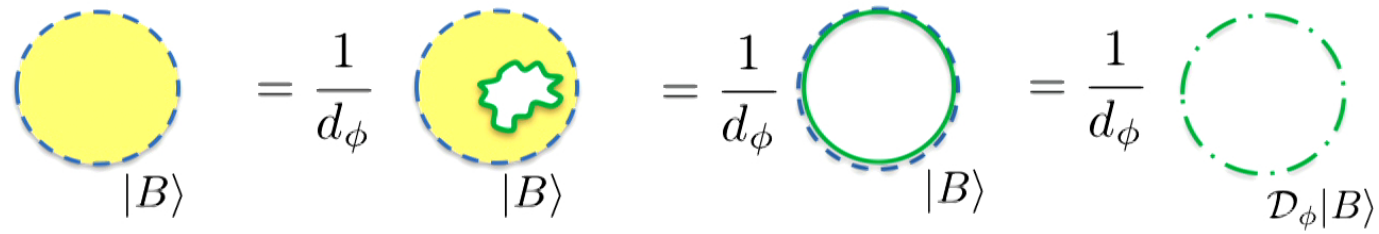
In Ising, duality is **not even invertible!**  $(\mathcal{D}_\psi)^2 = 1 \Rightarrow (\mathcal{D}_\sigma)^4 = 2(\mathcal{D}_\sigma)^2$

## Useful application 2: g-factors for conformal boundary conditions

$$\begin{array}{c} \text{Yellow disk with dashed blue boundary} \\ |B\rangle \end{array} = \frac{1}{d_\phi} \begin{array}{c} \text{Yellow disk with green irregular boundary} \\ |B\rangle \end{array} = \frac{1}{d_\phi} \begin{array}{c} \text{Green boundary with dashed blue interior} \\ |B\rangle \end{array}$$

Consider vector space of all configurations of spins/heights near edge. Each vector  $|B\rangle$  corresponds to a boundary condition, e.g.  $|\text{fixed up}\rangle = |+++++\dots\rangle$

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Consider vector space of all configurations of spins/heights near edge. Each vector  $|B\rangle$  corresponds to a boundary condition, e.g.  $|\text{fixed up}\rangle = |+++++\dots\rangle$

Acting with  $\mathcal{D}_\phi$  absorbs the defect into the edge, changing the boundary condition

$$|B\rangle \rightarrow \mathcal{D}_\phi|B\rangle$$

In Ising the absorbing the duality defect gives e.g.

$$\mathcal{D}_\sigma|\text{fixed up}\rangle = |\text{free}\rangle$$

$$\mathcal{D}_\sigma|\text{free}\rangle = |\text{fixed up}\rangle + |\text{fixed down}\rangle$$



$$|B\rangle = \frac{1}{d_\phi} \mathcal{D}_\phi |B\rangle$$

Thus for  $Z_{|B\rangle}$  the partition function on the disc with boundary condition  $|B\rangle$ , we have **proved directly on the lattice**

$$\frac{Z_{\mathcal{D}_\phi |B\rangle}}{Z_{|B\rangle}} = d_\phi$$

In Ising,  $Z_{\text{free}}(K) = \sqrt{2} Z_{\text{fixed}}(\hat{K})$

where dual coupling is defined by  $\sinh(2K) \sinh(2\hat{K}) = 1$

For conformal boundary conditions, this ratio of partition functions is by definition

$$\frac{Z_{\mathcal{D}_\phi|B\rangle}}{Z_{|B\rangle}} = d_\phi = \frac{g_{\mathcal{D}_\phi|B\rangle}}{g_{|B\rangle}}$$

where  $-T \ln g_{|B\rangle}$  is the subleading term in the free energy, which depends on boundary condition. This Affleck-Ludwig g-factor is universal, and computable in CFT once the Cardy boundary states have been identified.

**Calculation is much more direct here!**

**Moreover, gives precise lattice expressions for boundary states.**

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$$\text{For Ising: } \frac{g_{\text{free}}}{g_{\text{fixed}}} = d_\sigma = \sqrt{2}$$

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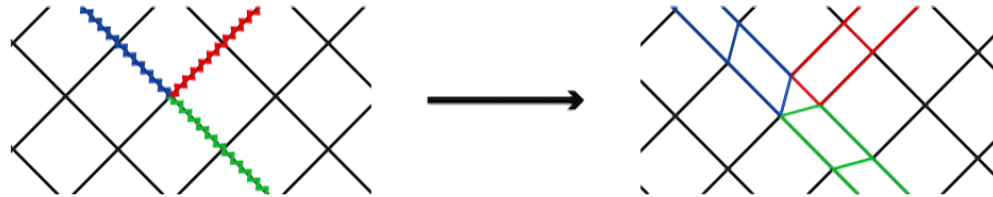
For lattice model in the tricritical Ising model universality class:  $d_\tau = (1 + \sqrt{5})/2$

For another model in the **same** universality class, a different defect:  $d_\epsilon = \sqrt{2}$

Both agree with ratios found from CFT by **Chim, Affleck**

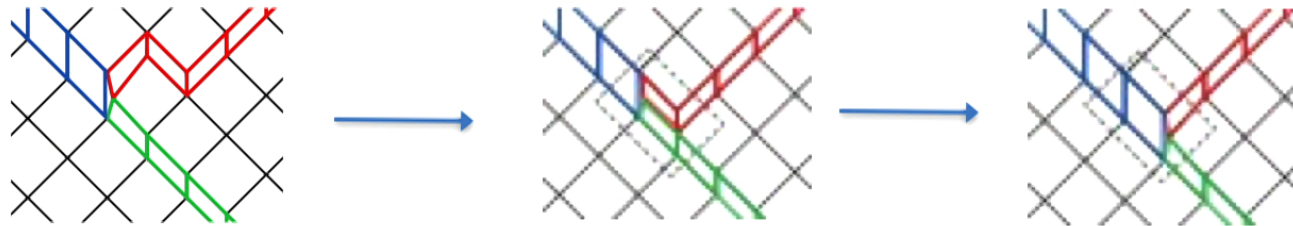
# Branching and fusing

Straightforward to define **junctions** of these topological defects



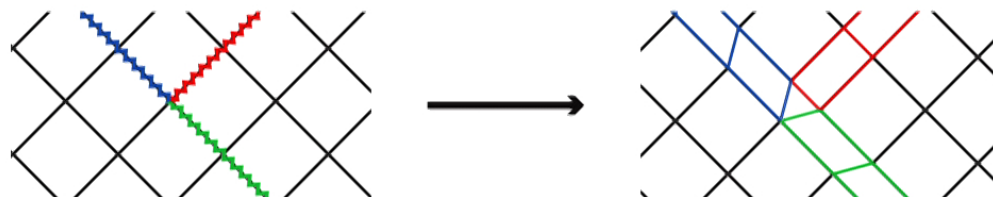
and show that they obey

$$\begin{array}{c} \alpha \\ \square \\ \gamma \end{array} \begin{array}{c} x \\ R \\ y \\ G \\ z \end{array} = \sum_{\beta} \begin{array}{c} \alpha \\ \square \\ \gamma \end{array} \begin{array}{c} x \\ R \\ \beta \\ y \\ G \\ z \end{array}$$



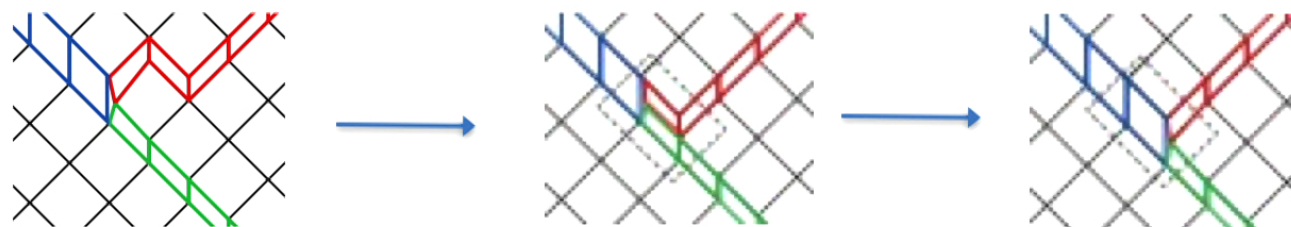
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Another microscopic to macroscopic relation: topological defects obey *F*-moves:

$$\begin{array}{c} R \quad G \quad B \\ \diagdown \quad \diagup \\ \quad X \quad \quad \\ \diagup \quad \diagdown \\ P \end{array} = \sum_Y F_{PB}^{RG} \begin{array}{c} R \quad G \quad B \\ \diagdown \quad \diagup \\ \quad Y \quad \quad \\ \diagup \quad \diagdown \\ P \end{array}$$

## Useful application 3: duality and modular transformations on the torus

Use these F-moves to give an easy graphical proof of the Ising relation:

$$\square_{\text{yellow}} = \frac{1}{2} \left( \square_{\text{white}} + \square_{\text{red horizontal}} + \square_{\text{red vertical}} + \square_{\text{red diagonal}} \right)$$

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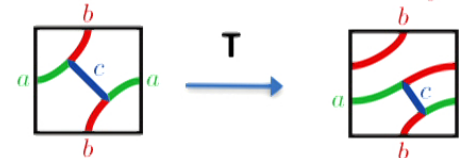
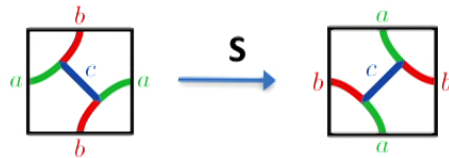
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# Lattice to CFT

RCFT toroidal partition functions are of the form

$$Z_M = \sum_{ij} \bar{\chi}_i(\bar{\tau}) M_{ij} \chi_j(\tau)$$

$\chi_j(\tau)$  are characters of Virasoro or some extended algebra.

The category used to build the lattice model is a subcategory of that describing the chiral operators in the RCFT.

By matching lattice and continuum modular transformations, we conjecture

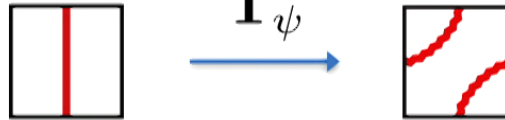
$$M_{xy}^* [Z_{ac}^b] \equiv \frac{e^{2\pi i h_a}}{d_y} \text{ (diagram) }$$

## Useful application 4: scaling dimensions from Dehn twists

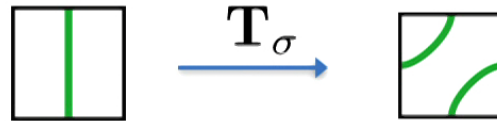
In the presence of **twisted boundary conditions**  $\phi$ , the eigenvalues  $t_\phi$  of the Dehn twist are related to the shift in momentum quantization:

$$e^{\frac{2\pi i \mathcal{P}_\phi}{L}} = t_\phi$$

Dehn twist with twisted  
Ising boundary conditions



## Duality-twisted boundary conditions



Find

$$(\mathbf{T}_\sigma)^4 = \sqrt{2}(\mathbf{T}_\sigma)^2 - 1_\sigma, \quad (\mathbf{T}_\sigma)^8 = -1_\sigma, \quad (\mathbf{T}_\sigma)^{16} = 1_\sigma$$

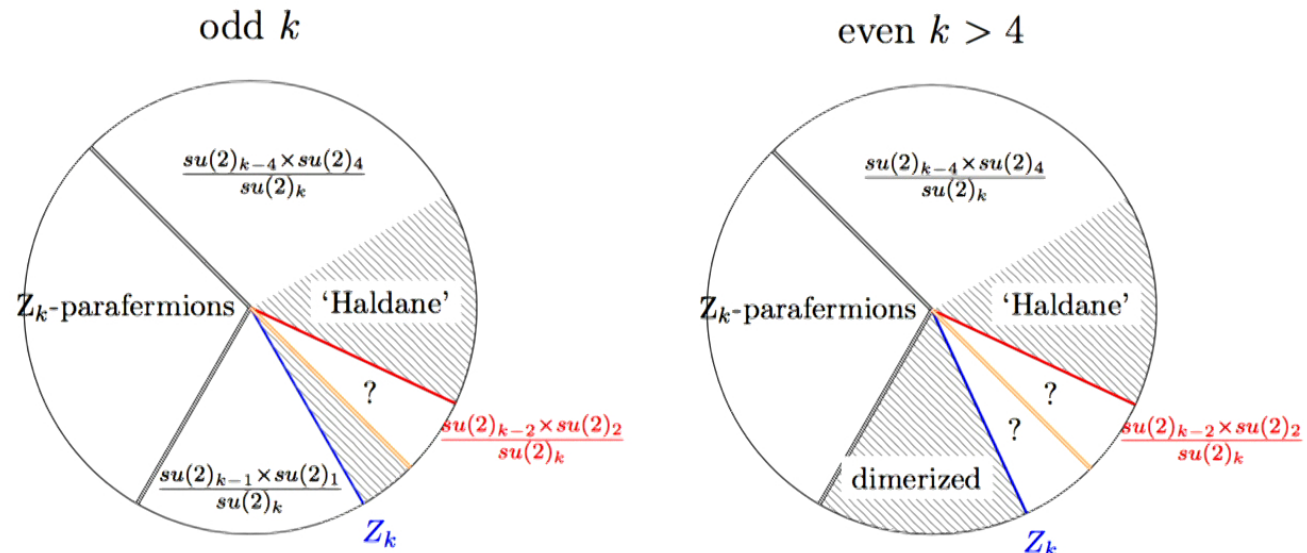
Thus in CFT expect twist field of chiral dimension  $\pm \frac{1}{16} \pm \frac{1}{2}$

**This is a completely rigorous and exact lattice calculation.**  
Only the pictures are schematic.

The CFT identification of course uses the standard assumption that lattice model scales to continuum field theory. Since Ising at criticality has been rigorously proven to be Ising CFT, maybe the proof can be extended to cover these defects and twist operators?

I mentioned different categories and hence different lattice models give same CFT.

Same category can give different CFTs, by choosing different Boltzmann weights; some may be integrable, some not. People have studied various phase diagrams, e.g.



Vernier, Jacobsen and Saleur

The same topological defects occur throughout the phase diagram. Thus the same ratios of  $g$ -factors and same Dehn twist eigenvalues throughout.

Latter predicts that at any critical point or phase, see  $SU(2)_k$  critical exponents.

Another useful application : explains peculiar ground-state and kink degeneracies in perturbed CFTs (integrable in continuum but not on lattice).

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Lots of generalisations: orbifold defects, defects between different theories

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Lots of generalisations: **orbifold defects**, defects **between different theories**

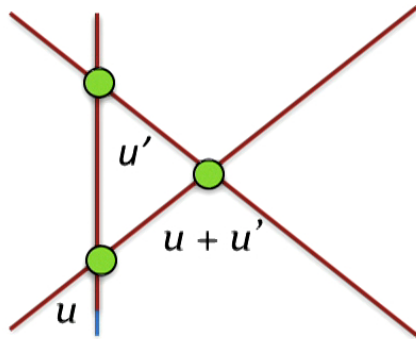
Higher dimensions (**a la p-form symmetries**) ?

Can terminate defects to make **chiral vertex operators**, gives nice way of yielding **conserved currents** (**linear way of finding solution to Yang-Baxter**).

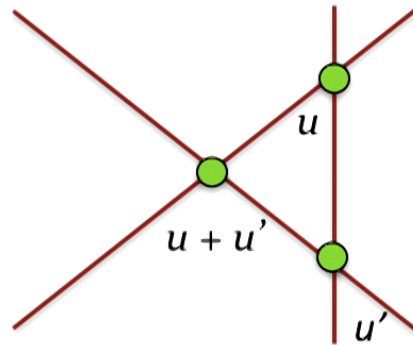


$$\begin{array}{c} \text{---} \end{array} \times_u \delta z_1 + \begin{array}{c} \text{---} \end{array} \times_u \delta z_2 + \begin{array}{c} \text{---} \end{array} \times_u \delta z_3 + \begin{array}{c} \text{---} \end{array} \times_u \delta z_4 = 0$$

Smirnov et al



=



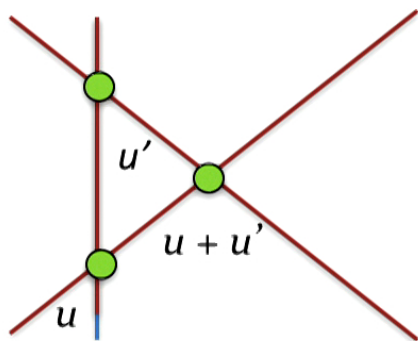
In all the examples studied, the solution of this **linear** equation gives weights **solving the YBE!**

$$\begin{array}{c} \text{---} \\ \text{---} \end{array} \begin{array}{c} \diagup \\ \diagdown \end{array} \begin{array}{c} \text{---} \\ \text{---} \end{array} \delta z_1 + \begin{array}{c} \text{---} \\ \text{---} \end{array} \begin{array}{c} \diagdown \\ \diagup \end{array} \begin{array}{c} \text{---} \\ \text{---} \end{array} \delta z_2 + \begin{array}{c} \text{---} \\ \text{---} \end{array} \begin{array}{c} \diagup \\ \diagdown \end{array} \begin{array}{c} \text{---} \\ \text{---} \end{array} \delta z_3 + \begin{array}{c} \text{---} \\ \text{---} \end{array} \begin{array}{c} \diagdown \\ \diagup \end{array} \begin{array}{c} \text{---} \\ \text{---} \end{array} \delta z_4 = 0$$

Smirnov et al



Cardy et al



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