

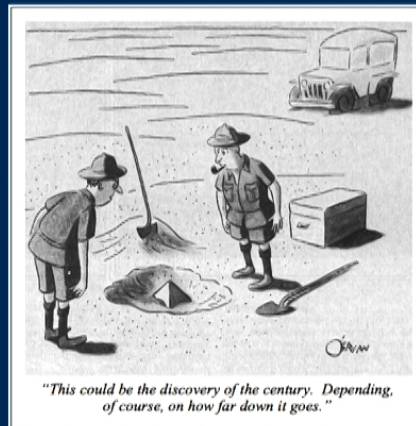
Title: Oscillation/Rare Decay Experiments 1

Date: Jul 16, 2018 02:30 PM

URL: <http://pirsa.org/18070025>

Abstract:

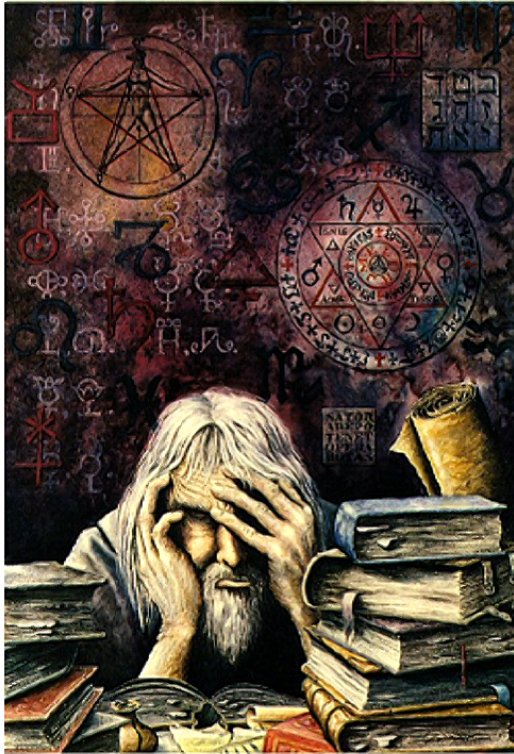
Experimental neutrino physics and rare event searches



Kai Zuber
TU Dresden, Atomki Debrecen
16-20. 7. 2018, Perimeter Institute



Contents

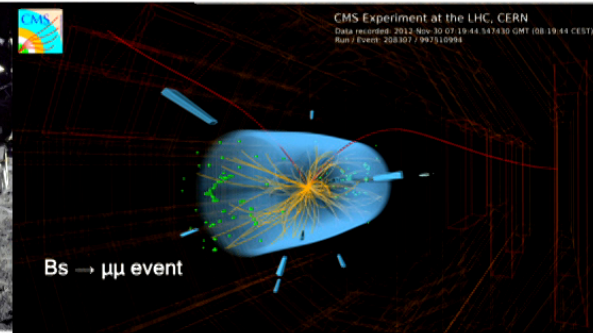
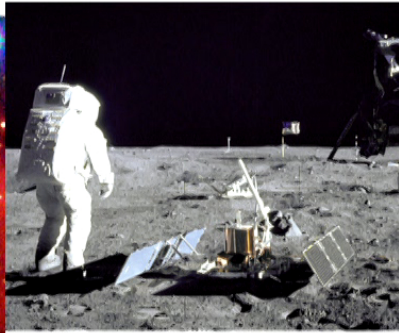
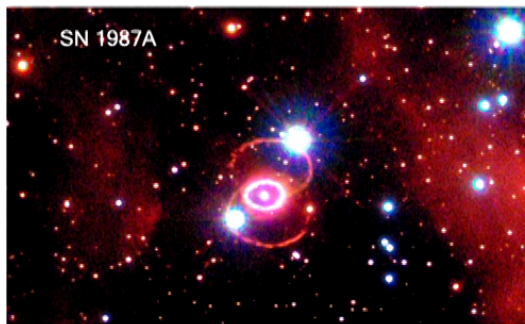


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- ❖ Lecture 1
Introduction, beta decay
- ❖ Lecture 2
Double beta decay , mass bounds from cosmology, Neutrino oscillation expts.
- ❖ Lecture 3
Neutrino oscillation experiments (ctd), Status and future
- ❖ Lecture 4
General rare event searches (biased)

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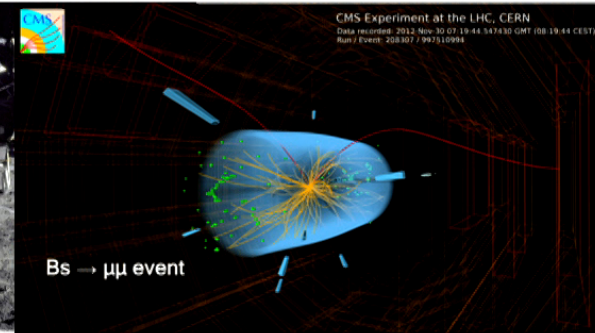
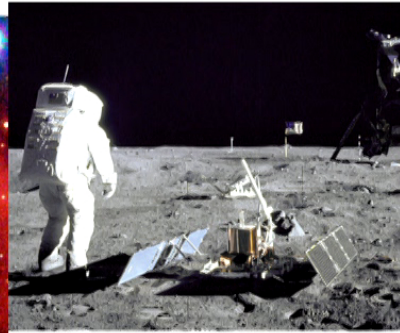
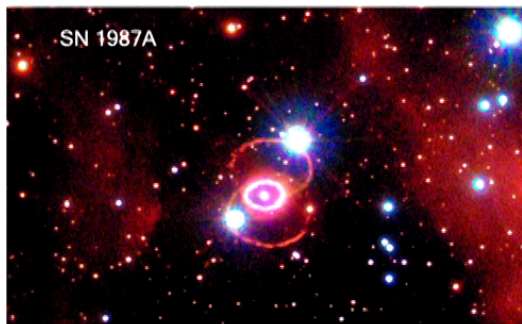
What are rare events? The needle in a haystack approach



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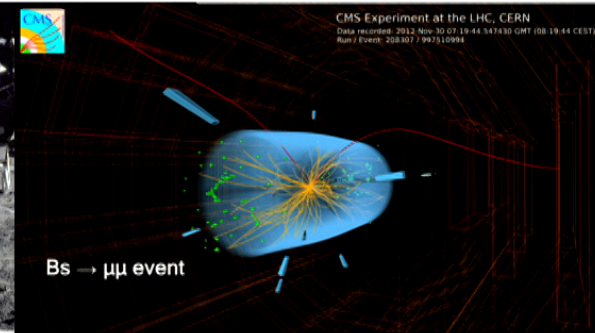
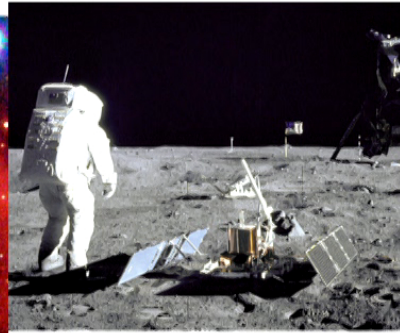
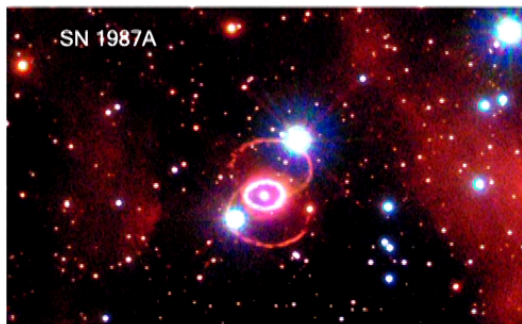
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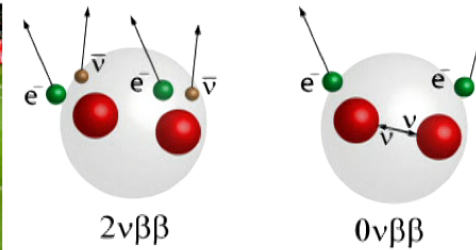
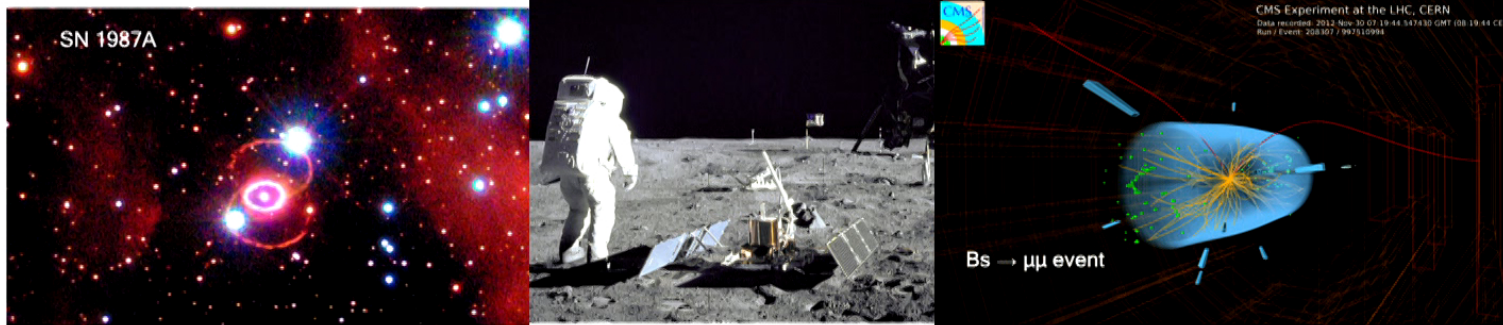
What are rare events? The needle in a haystack approach



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What are rare events? The needle in a haystack approach



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History - Radioactivity

Three kinds of radioactivity

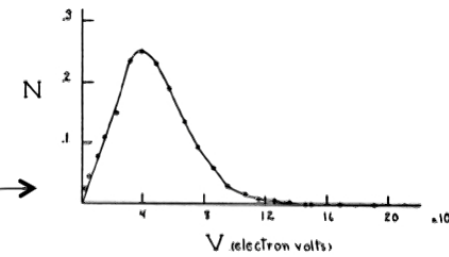
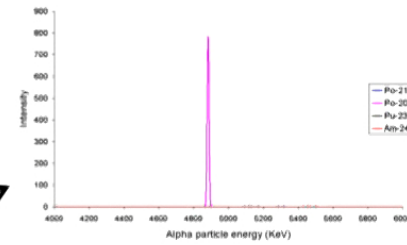
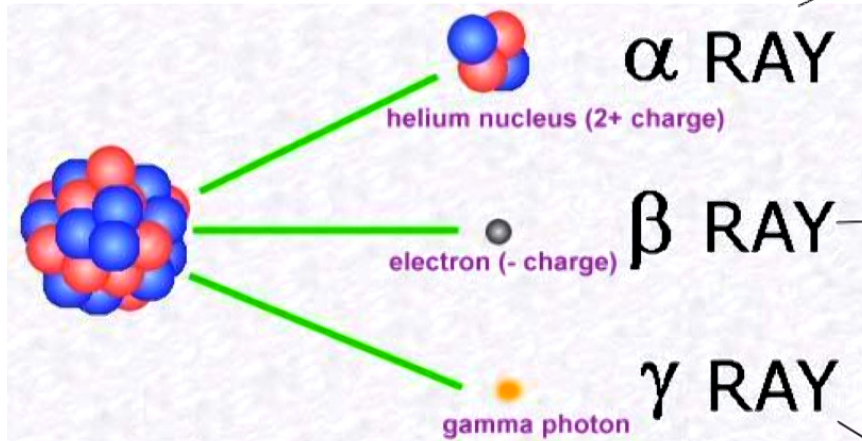
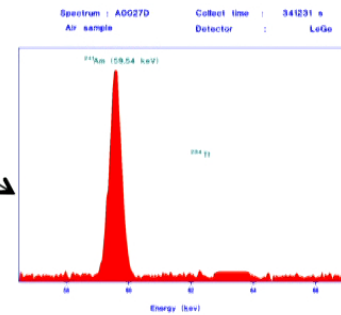


FIG. 5. Energy distribution curve of the beta-rays.



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Why neutrinos?

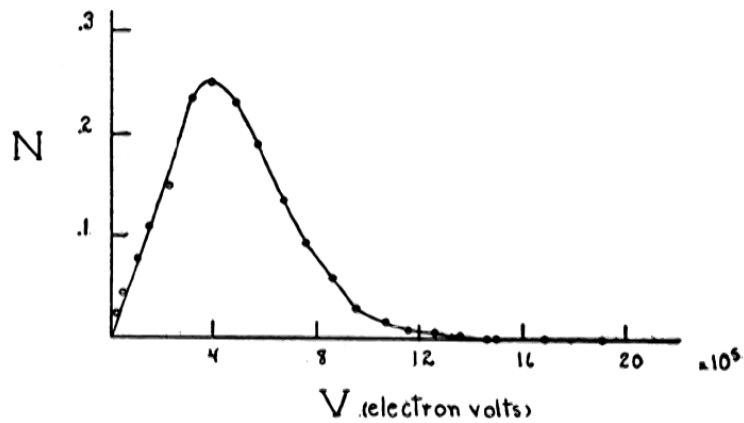


FIG. 5. Energy distribution curve of the beta-rays.

**Why do beta decays show
a continuous energy
spectrum
of electrons?**

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Why neutrinos?

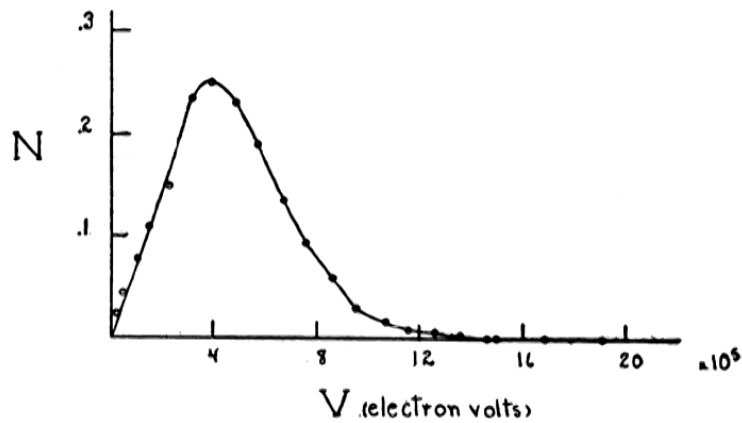


FIG. 5. Energy distribution curve of the beta-rays.

**Why do beta decays show
a continuous energy
spectrum
of electrons?**

Bohr: *At the present stage of atomic theory, however, we may say that we have no argument, either empirical or theoretical, for upholding the energy principle in the case of β -ray disintegrations*

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The solution?

4th December 1930



Dear Radioactive Ladies and Gentlemen,

As the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, how because of the "wrong" statistics of the N and Li^6 nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call neutrons, which have spin 1/2 and obey the exclusion principle and which further differ from light quanta in that they do not travel with the velocity of light. The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses. The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant...

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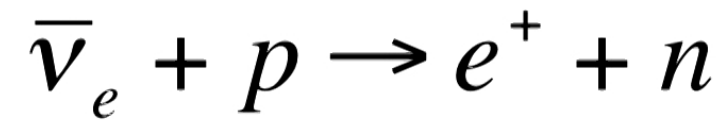


DRESDEN
concept
Exzellenz aus
Wissenschaft
und Kultur

6

The discovery

C. Cowan, F. Reines 1953,1956



Project Poltergeist



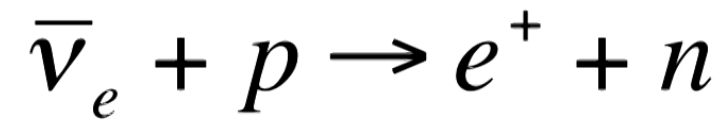
Herr Auge



Kai Zuber

The discovery

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Project Poltergeist

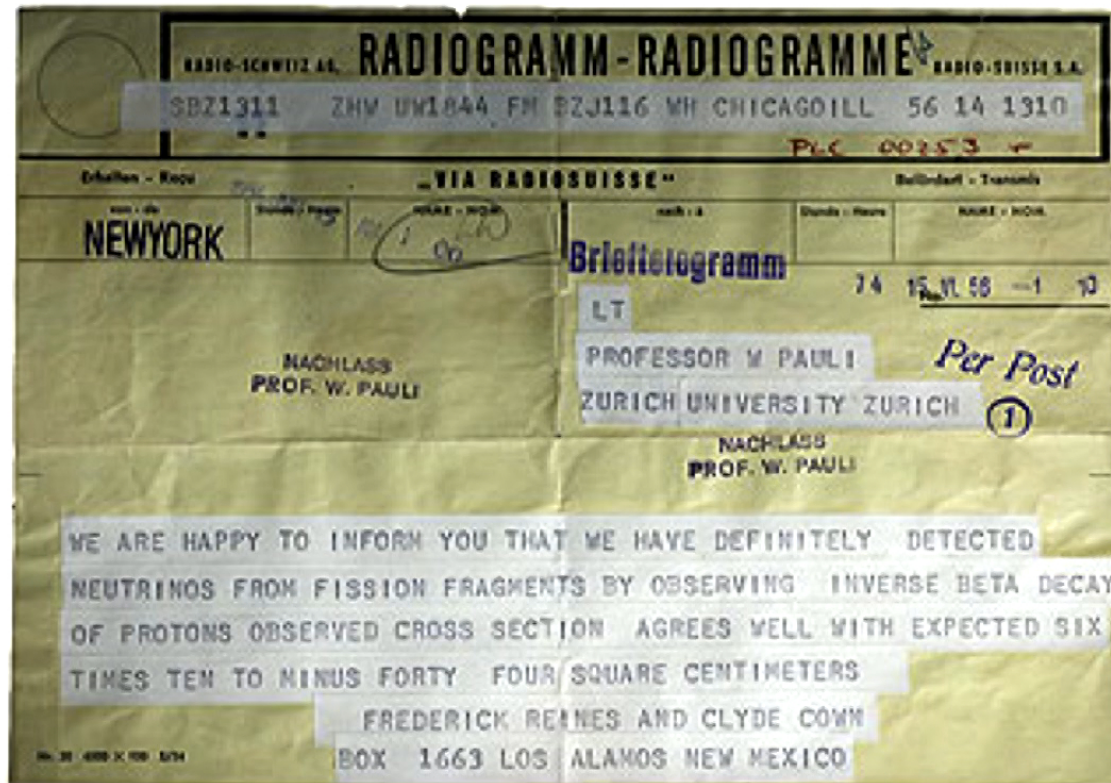


Herr Auge



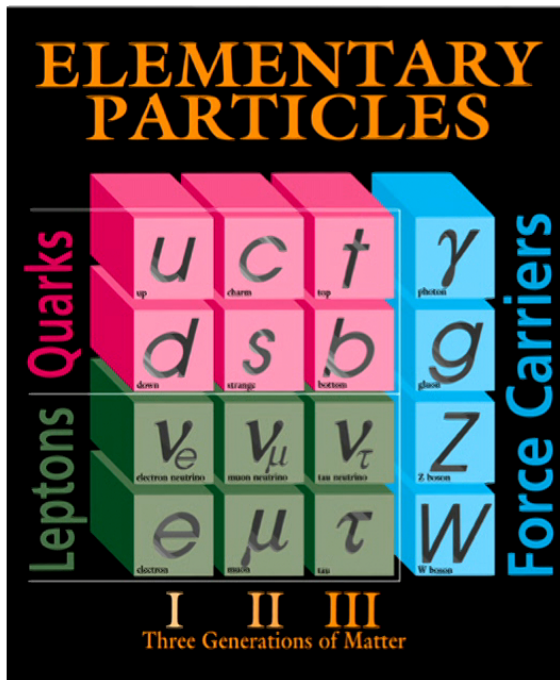
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„Texting“ Pauli...

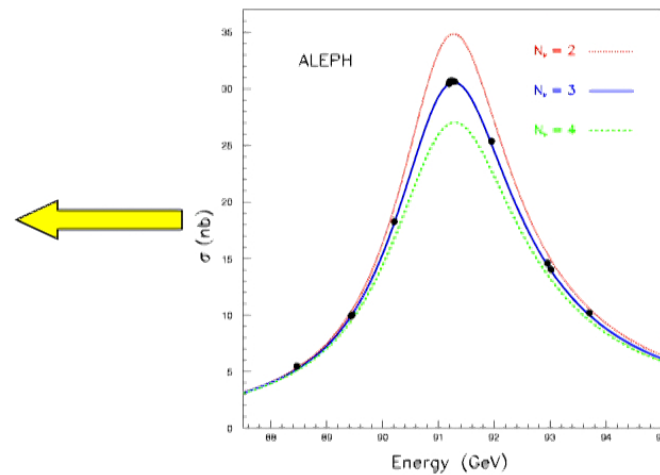


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Neutrinos – The prototype for rare events (very low cross section)



Neutrinos in the Standard Model are massless particles

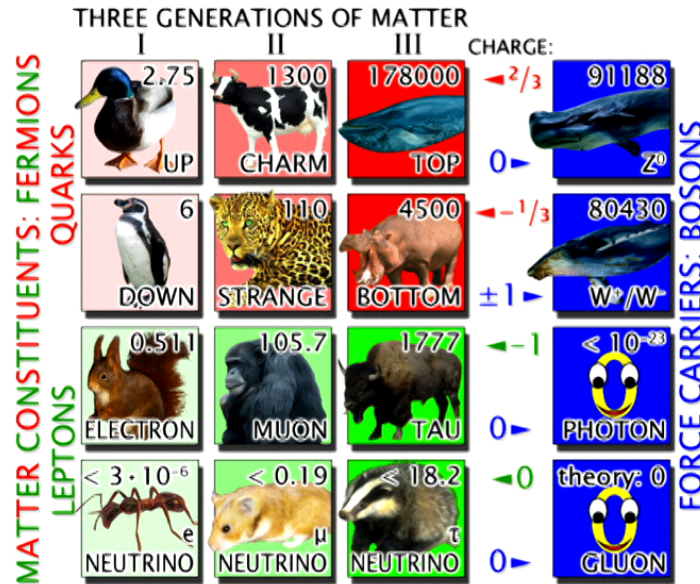


Major progress of last 20 years: Neutrinos have a non-vanishing rest mass

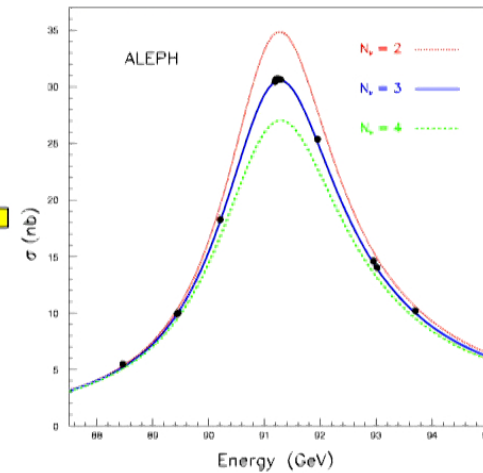
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Neutrinos – The prototype for rare events (very low cross section)



Neutrinos in the Standard Model are massless particles



ALL MASSES IN MEV; ANIMAL MASSES SCALE WITH PARTICLE MASSES

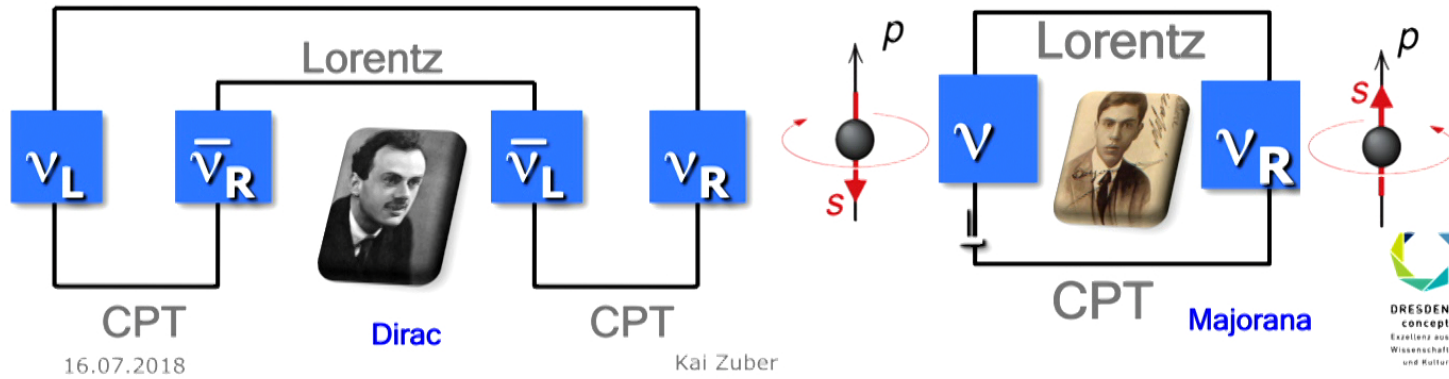
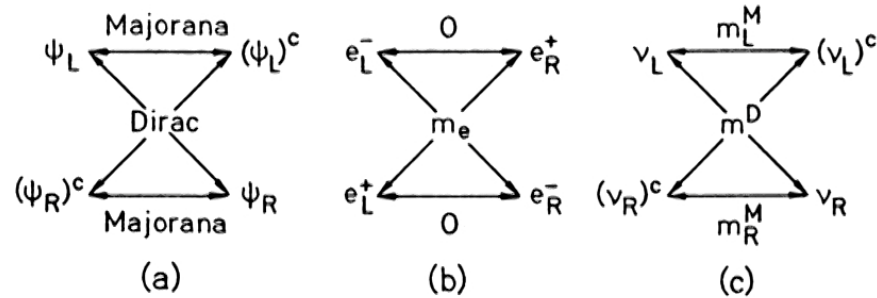
The Standard Model fundamental particle zoo

FamLab 05-750

Major progress of last 20 years: Neutrinos have a non-vanishing rest mass

Another unique feature

Option: Couple neutrino to its charge conjugate, consequence lepton number violation - Majorana neutrinos



Hence there are a bunch of questions

- What is the mass of the neutrinos?
- Why is the mass so much smaller than for all other elementary particles?
- Are neutrinos their own antiparticles?
If so, can they create the baryon-asymmetry in the Universe?
- Can they transform into each other?
- Are there more than 3 neutrinos states?
- What are sources of neutrinos?
- What about decay, magnetic moment...?????



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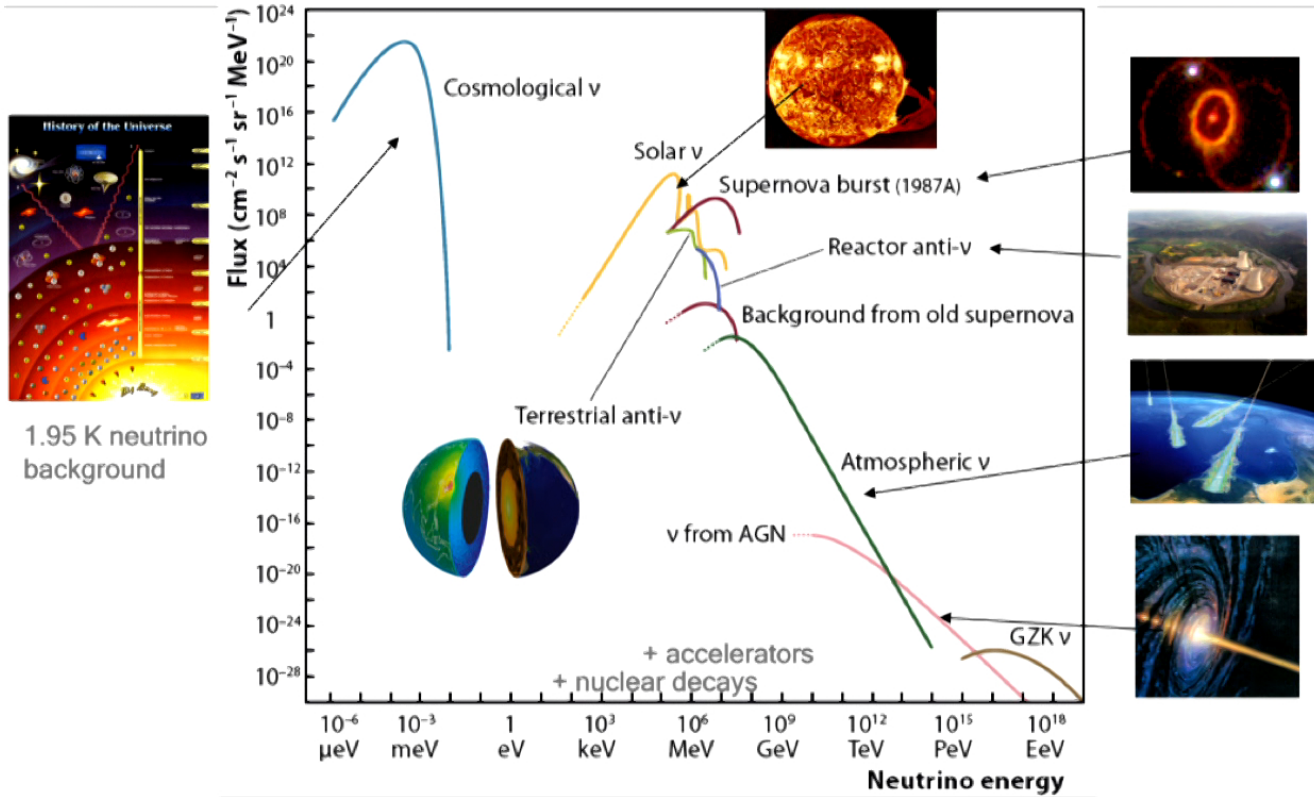
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Nobel prices for neutrinos

- ❖ L. Lederman, M. Schwartz, J. Steinberger 1988
Discovery of two different neutrinos
- ❖ F. Reines 1995
Discovery of the neutrino
- ❖ M. Koshiba, R. Davis 2002
Discovery of cosmic neutrinos
- ❖ T. Kajita, A. McDonald 2015
Discovery of neutrino oscillations



Universal neutrino spectrum



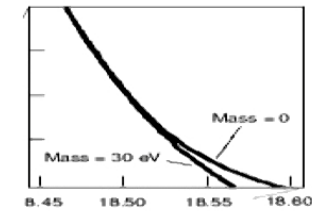
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How can we learn about the neutrino mass?

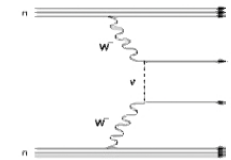
Beta decay:

$$m_\beta = [c_{13}^2 c_{12}^2 m_1^2 + c_{13}^2 s_{12}^2 m_2^2 + s_{13}^2 m_3^2]^{1/2}$$



Double beta decay:

$$m_{\beta\beta} = |c_{13}^2 c_{12}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3}|$$

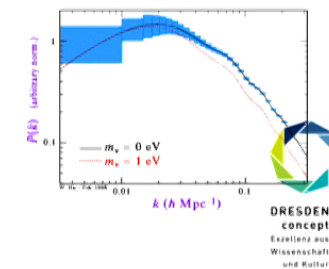


Cosmology:

$$\Sigma = m_1 + m_2 + m_3$$

$$\Omega_\nu h^2$$

+ oscillation parameters



The (anti-)electron neutrino mass



We need nuclear physics

The mass of the neutrino - beta decay

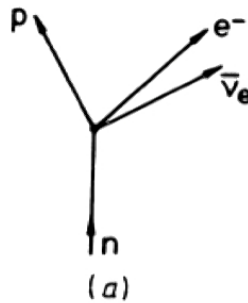
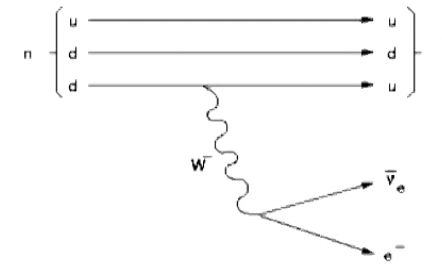


$$(Z, A) \rightarrow (Z + 1, A) + e^- + \bar{\nu}_e \quad (\beta^- \text{-decay})$$

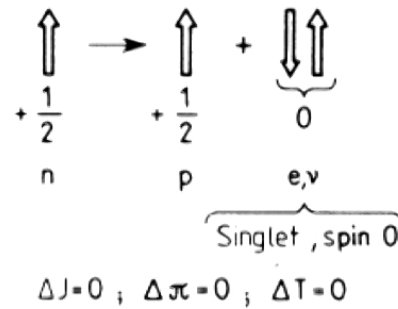
$$(Z, A) \rightarrow (Z - 1, A) + e^+ + \nu_e \quad (\beta^+ \text{-decay})$$



$$e^- + (Z, A) \rightarrow (Z - 1, A) + \nu_e \quad (\text{Electron capture})$$

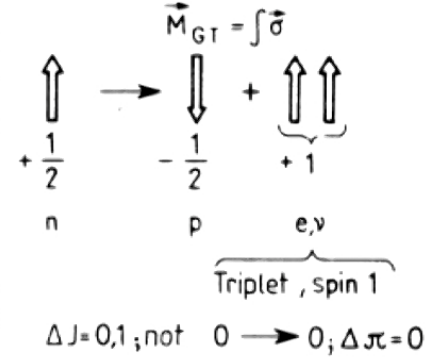


Fermi transitions $M_F = \int 1$

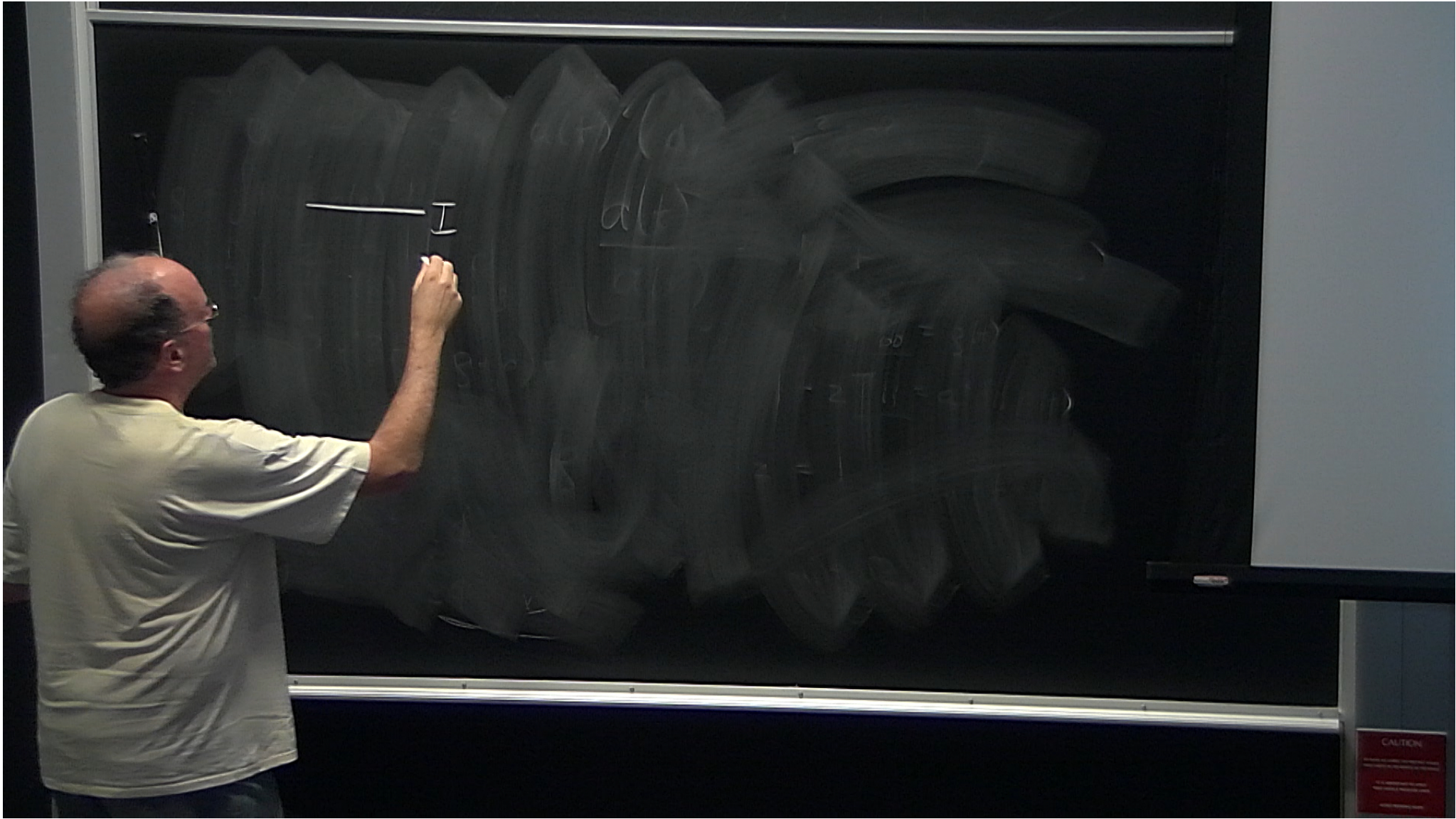


(b)

Gamow-Teller transitions $\vec{M}_{GT} = \int \vec{\sigma}$



Allowed transitions (no angular momentum involved), energy spectrum can be reasonably well calculated



$$\frac{I^\pi}{(A, z)}$$

~~alt~~

$$\frac{I' \pi'}{(A, z+1)}$$

$$I^{\pi} \\ \text{---} \\ (A, z)$$

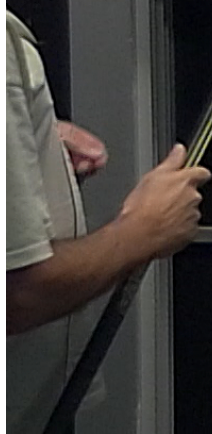
$$\text{---} \\ (A, z+1) \quad I' \pi'$$

Allowed: $\Delta I = 0, 1$
 $\Delta \pi = 0$

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#E_n

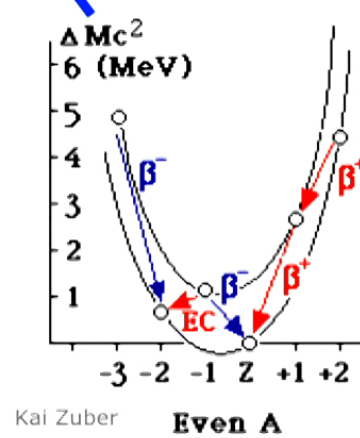
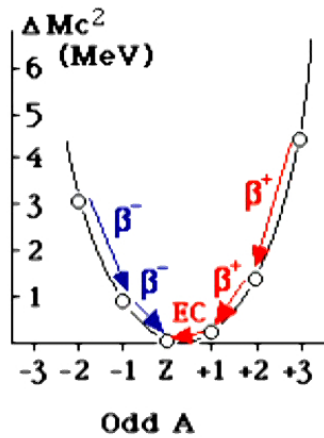
Q-value



Isotopes and mass parabola

Beta+/EC region

Beta- region



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The mass of the neutrino - beta decay

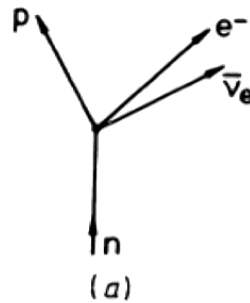
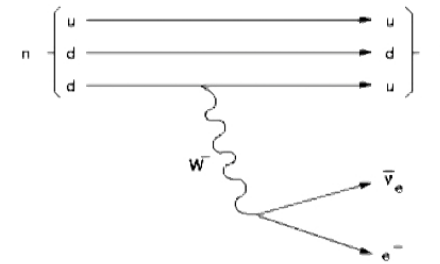


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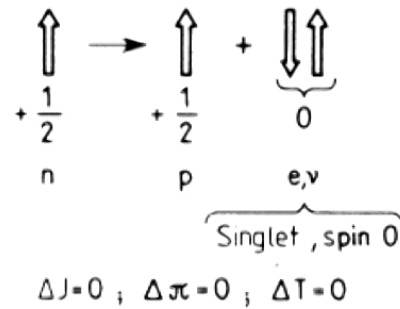
$$(Z, A) \rightarrow (Z - 1, A) + e^+ + \nu_e \quad (\beta^+ \text{-decay})$$



$$e^- + (Z, A) \rightarrow (Z - 1, A) + \nu_e \quad (\text{Electron capture})$$

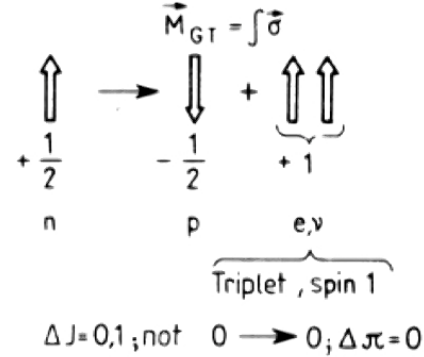


Fermi transitions $M_F = \int 1$



(b)

Gamow-Teller transitions $\vec{M}_{GT} = \int \vec{\sigma}$



Allowed transitions (no angular momentum involved), energy spectrum can be reasonably well calculated

Beta decay and neutrino mass measurement

Basic idea: Study the endpoint region of the electron spectrum (Fermi 1934)

How can you determine a mass in the range of eV from a several GeV object?

Starting point: Fermi's golden rule (simplified derivation)

Transition rate of beta decay producing an electron with energy in interval E to $E+dE$

$$\frac{d^2N}{dt dE} = \frac{2\pi}{\hbar} |\langle f | H_{if} | i \rangle|^2 \rho(E)$$

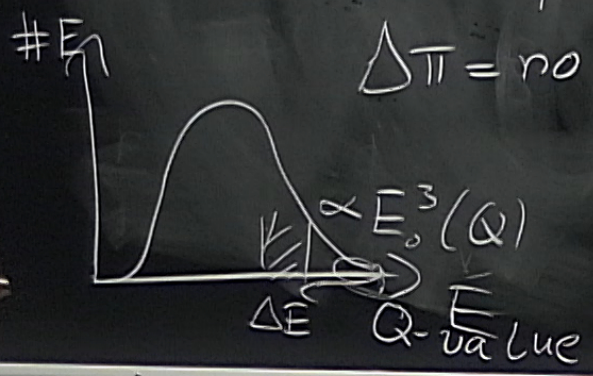
For allowed transitions this is independent of E

Neutrino mass comes in here

Endpoint energy: $E_0 = E_\nu + E_e$

Find isotope(s) with small endpoint

Allowed: $\Delta I = 0, 1$
 $\Delta \pi = 0$



Phase space

Otten, Weinheimer, Rep. Prog. Phys. 71 (2008)

Number of states in a momentum range $p \rightarrow p+dp$

$$dn = \frac{4\pi V p^2 dp}{h^3} = \frac{4\pi V p E dE}{h^3}$$

$$\frac{dn}{dE} = \frac{4\pi V p E}{h^3} = \frac{V p E}{2\pi^2 \hbar^3}$$

Neutrino and electron momenta are not correlated

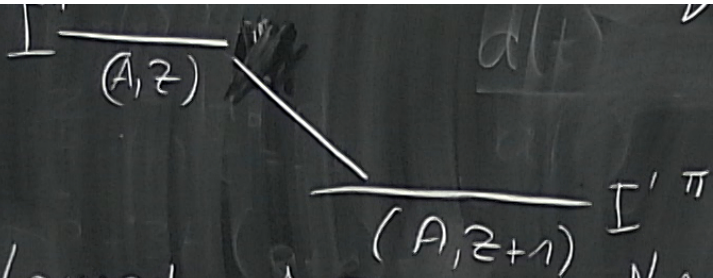
$$\rho(E) = \frac{V^2 p_e E_e p_\nu E_\nu}{4\pi^4 \hbar^6}$$

Equation from previous slide (omitting subscript e)

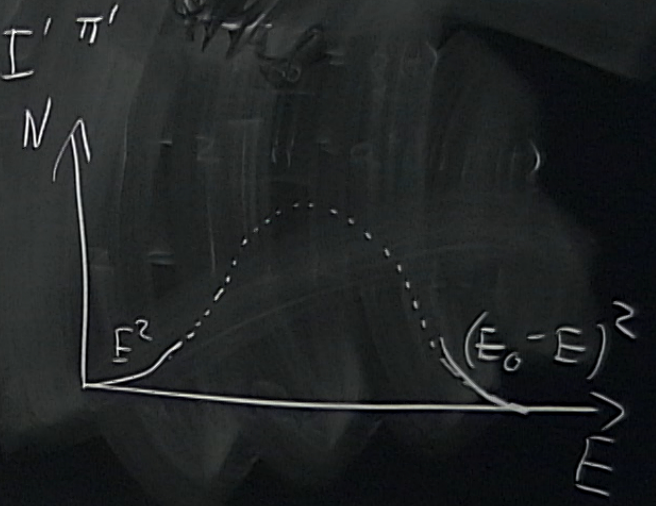
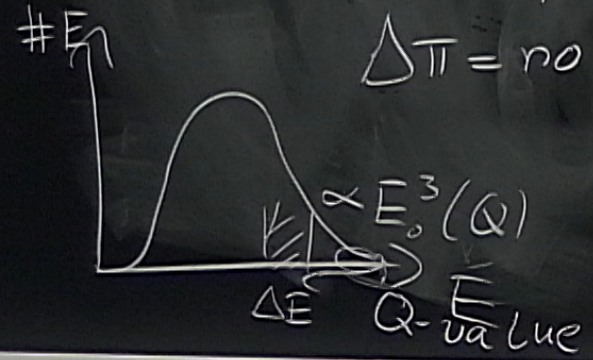
$$\rho(E) = \frac{V^2 p_e E \sqrt{(E_0 - E)^2 - m^2(\nu_e)} (E_0 - E)}{4\pi^4 \hbar^6}$$

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Allowed: $\Delta I = 0, 1$
 $\Delta \pi = no$



Phase space

Otten, Weinheimer, Rep. Prog. Phys. 71 (2008)

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A few things to add

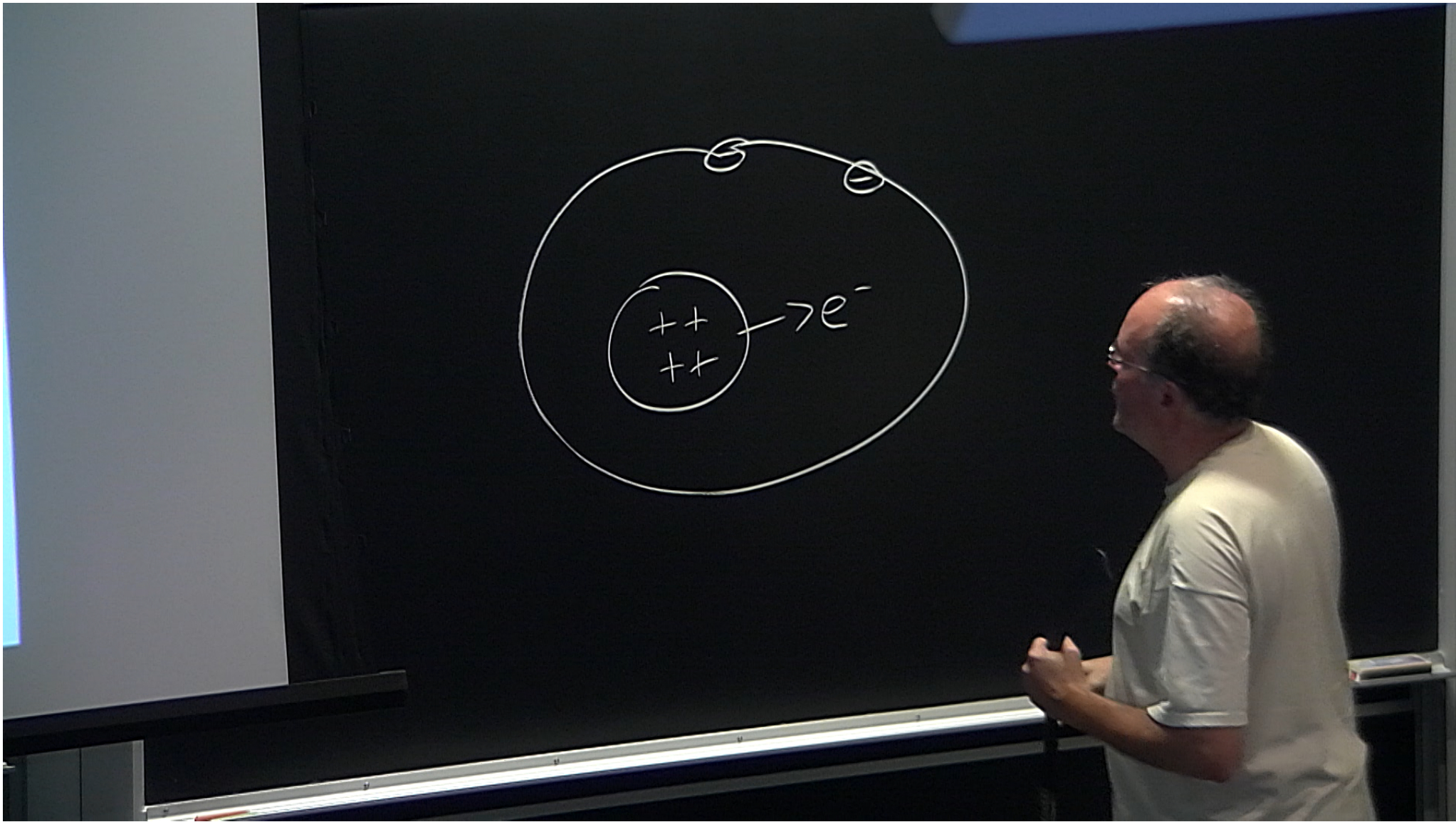
- Modification due to shell electrons: Fermi-function $F(Z + 1, E) = \frac{|\phi_e(0)_{\text{Coul}}|^2}{|\phi_e(0)|^2}$

Nonrelativistic approximation (Primakoff-Rosen)

$$F(Z + 1, E) = \frac{x}{1 - e^{-x}} \quad \text{with} \quad x = \pm \frac{2\pi(Z + 1)\alpha}{\beta} \quad \text{for } \beta^\mp\text{-decay}$$

- Modification due to neutrino oscillations and excited transistions (molecules!)

$$\frac{d^2 N}{dt dE} = AF(E, Z + 1)p_e E \sum_j P_j \epsilon_j \times \left(\sum_i |U_{ei}|^2 \sqrt{\epsilon_j^2 - m^2(\nu_i)} \theta(\epsilon_j - m(\nu_i)) \right)$$



A few things to add

- Modification due to shell electrons: Fermi-function $F(Z + 1, E) = \frac{|\phi_e(0)_{\text{Coul}}|^2}{|\phi_e(0)|^2}$

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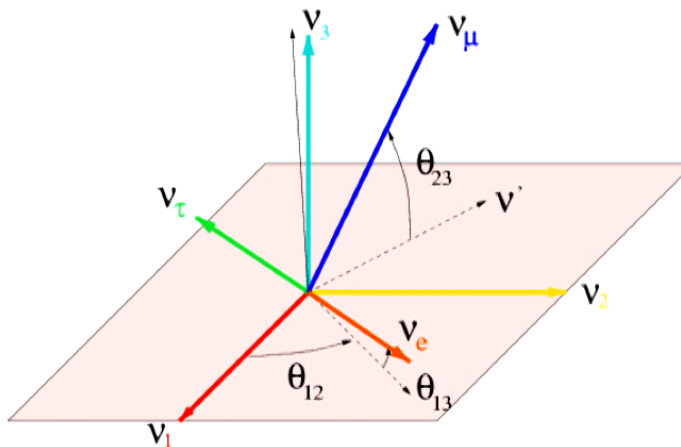
$$\frac{d^2 N}{dt dE} = AF(E, Z + 1)p_e E \sum_j P_j \epsilon_j \times \left(\sum_i |U_{ei}|^2 \sqrt{\epsilon_j^2 - m^2(\nu_i)} \theta(\epsilon_j - m(\nu_i)) \right)$$

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Neutrino mixing

Known in the quark - sector for more than 40 years (Fermi constant)



$$|v_i\rangle = \sum U_{ai} |v_\alpha\rangle$$

2 Flavour Scenario

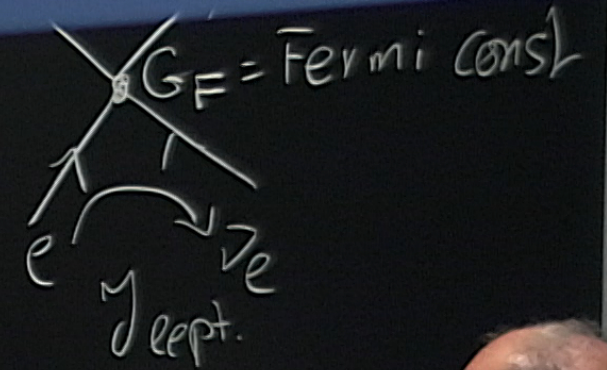
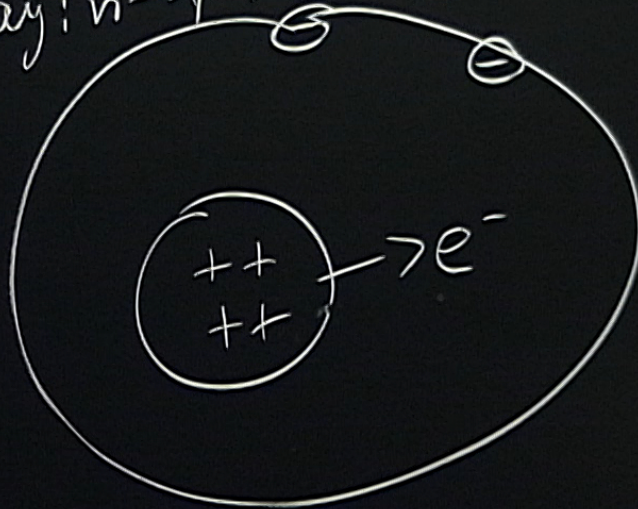
$$U = \begin{pmatrix} \cos \Theta & \sin \Theta \\ -\sin \Theta & \cos \Theta \end{pmatrix}$$

$$U = \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

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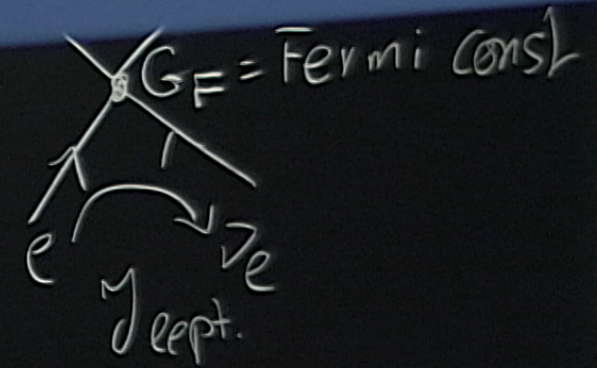
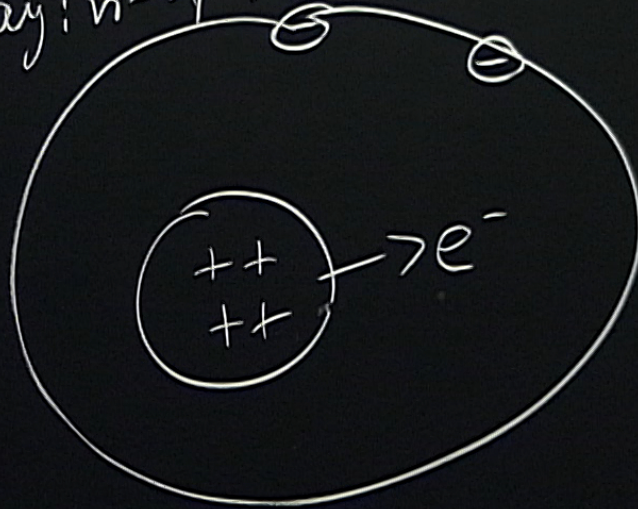
β -decay: $n \rightarrow p + e^- + \bar{\nu}_e$



$\mathcal{L} =$

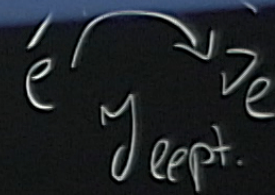
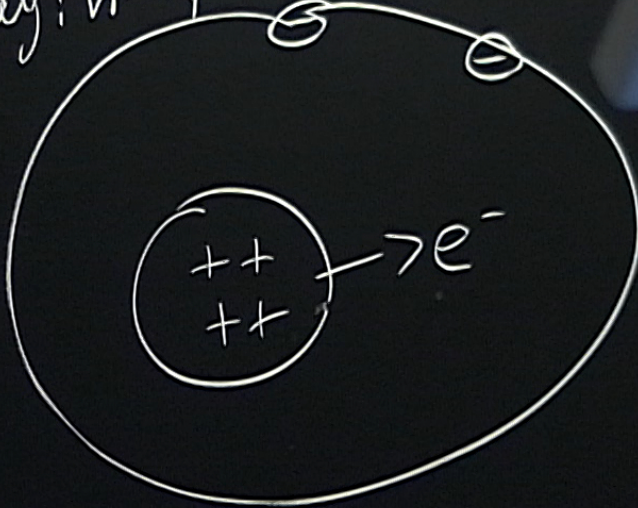
Fermi

β -decay: $n \rightarrow p + e^- + \bar{\nu}_e$

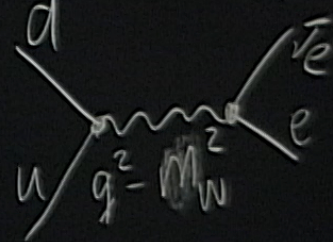


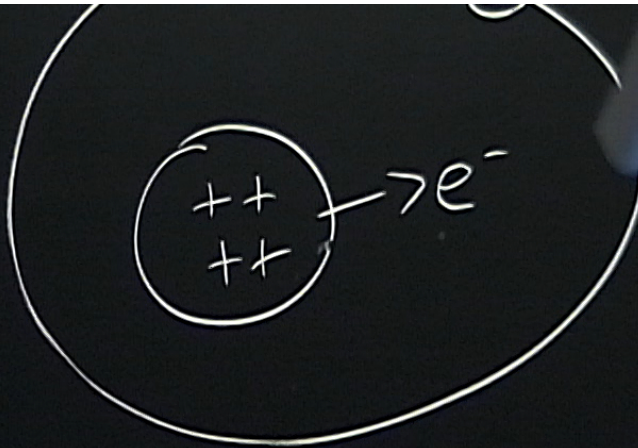
$$\mathcal{L} = G_F J_{lept} \cdot J_q$$

β -decay: $n \rightarrow p + e^- + \bar{\nu}_e$



$$\mathcal{L} = GF \gamma_{lept.} \gamma_q$$





$\mathcal{L} = GF \bar{\psi}_{lept} \gamma_5 \psi_l$

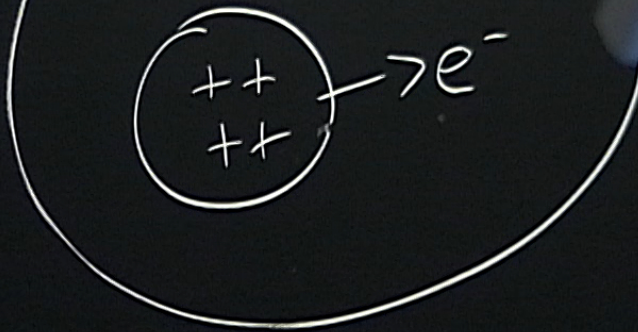
d $\bar{\nu}_e$

u e

$q^2 - m_W^2$

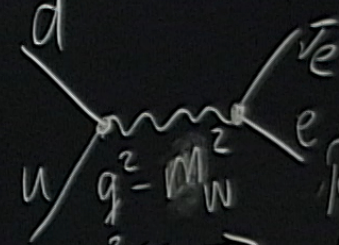
$q^2 \ll \Rightarrow$

A hand-drawn Feynman diagram on a chalkboard. It shows a central vertex where four lines meet. A wavy line connects the top and bottom vertices. The top vertex has an incoming line labeled 'd' and an outgoing line labeled ' $\bar{\nu}_e$ '. The bottom vertex has an incoming line labeled 'u' and an outgoing line labeled 'e'. Below the wavy line, the text $q^2 - m_W^2$ is written. Below that, the text $q^2 \ll \Rightarrow$ is written. A hand is visible on the right side of the chalkboard, pointing towards the diagram.



ν_{left}

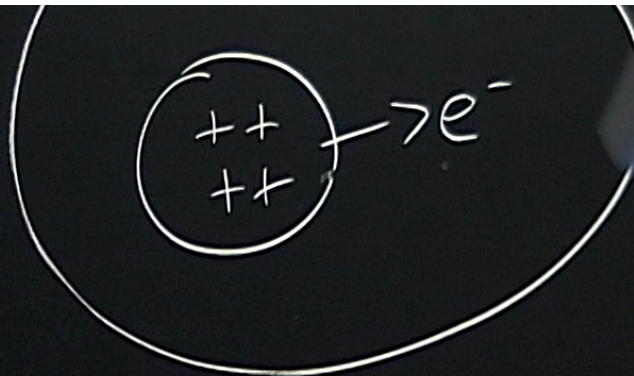
$$\mathcal{L} = G_F \bar{\psi}_{\text{left}} \gamma_5 \psi$$



$$\frac{g^2}{q^2 - m_W^2}$$

$q^2 \ll \Rightarrow$

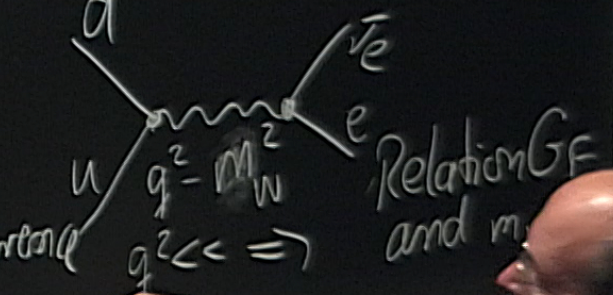
Relation G_F and m_W

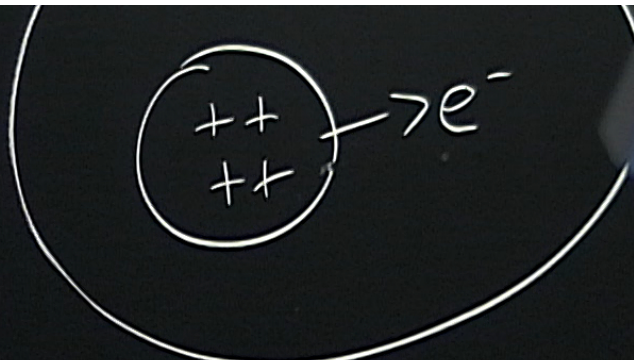


G_F μ on η -decay
 G_F ~~muon~~-decay

} 2%
 Difference

$$\mathcal{L} = G_F \int d^4x \psi^\dagger \gamma \psi$$

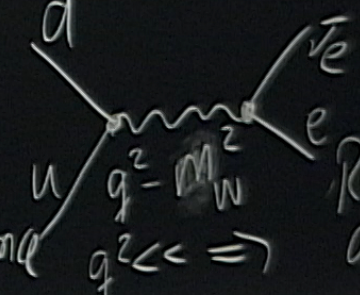




G_F from η -decay
 G_F muon-decay

} 2%
 Difference

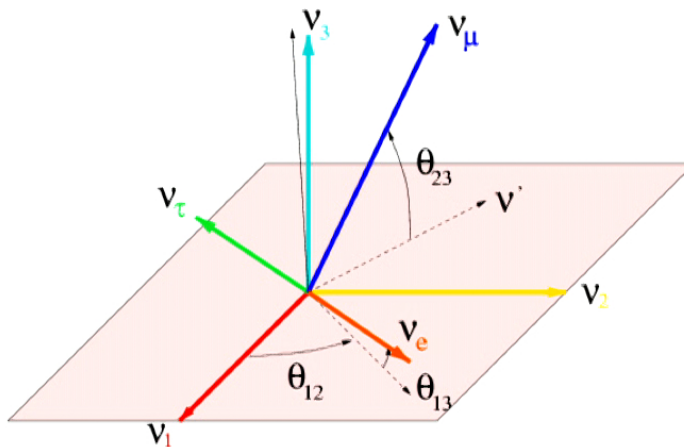
$$\mathcal{L} = G_F \bar{\psi} \gamma_{\mu} \psi \gamma^{\mu} \psi$$



Relation G_F
 and m_W

Neutrino mixing

Known in the quark - sector for more than 40 years (Fermi constant)



$$| \nu_i \rangle = \sum U_{\alpha i} | \nu_\alpha \rangle$$

2 Flavour Scenario

$$U = \begin{pmatrix} \cos \Theta & \sin \Theta \\ -\sin \Theta & \cos \Theta \end{pmatrix}$$

$$U = \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

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Parametrisation of CKM- and PMNS matrix

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}s_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

NB : Later in double beta decay this will be multiplied by a diagonal matrix

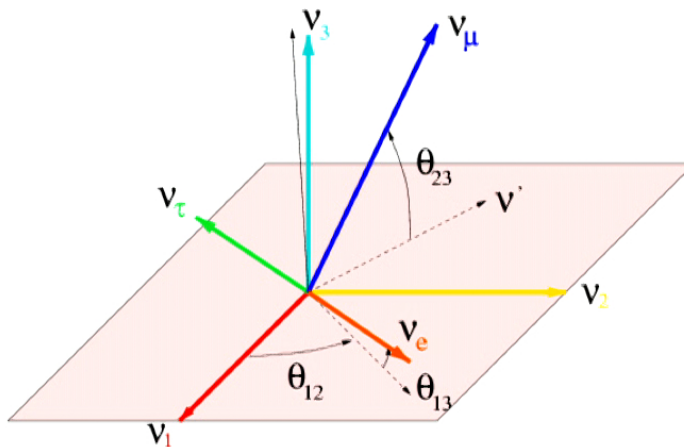
Measured quantity in beta decay:

$$m^2(\nu_e) = \sum_i |U_{ei}|^2 m^2(\nu_i)$$

Experimentally it is a fit parameter to the spectral shape in the endpoint region

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A few things to add

- Modification due to shell electrons: Fermi-function $F(Z + 1, E) = \frac{|\phi_e(0)_{\text{Coul}}|^2}{|\phi_e(0)|^2}$

Nonrelativistic approximation (Primakoff-Rosen)

$$F(Z + 1, E) = \frac{x}{1 - e^{-x}} \quad \text{with} \quad x = \pm \frac{2\pi(Z + 1)\alpha}{\beta} \quad \text{for } \beta^\mp\text{-decay}$$

- Modification due to neutrino oscillations and excited transistions (molecules!)

$$\frac{d^2 N}{dt dE} = AF(E, Z + 1)p_e E \sum_j P_j \epsilon_j \times \left(\sum_i |U_{ei}|^2 \sqrt{\epsilon_j^2 - m^2(\nu_i)} \theta(\epsilon_j - m(\nu_i)) \right)$$

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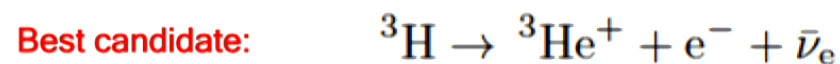
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Kinematic searches

Relativity $m^2 = E^2 - p^2$

Uncertainty $\Delta m_\nu^2 \approx \Delta E_\nu^2 + \Delta p_\nu^2 \approx 2E_\nu \Delta E_\nu + 2p_\nu \Delta p_\nu$

- the statistics of electrons with an energy close to the endpoint region is small (a small Q -value for the isotope under study is advantageous);
- good energy resolution;
- energy loss within the source;
- atomic and nuclear final state effects, excited state transitions; and
- a theoretical description of the involved wavefunctions.



Using molecular tritium, daughter will be ${}^3\text{H} {}^3\text{He}^+$ ion

Parametrisation of CKM- and PMNS matrix

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}s_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

NB : Later in double beta decay this will be multiplied by a diagonal matrix

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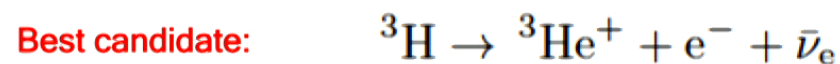
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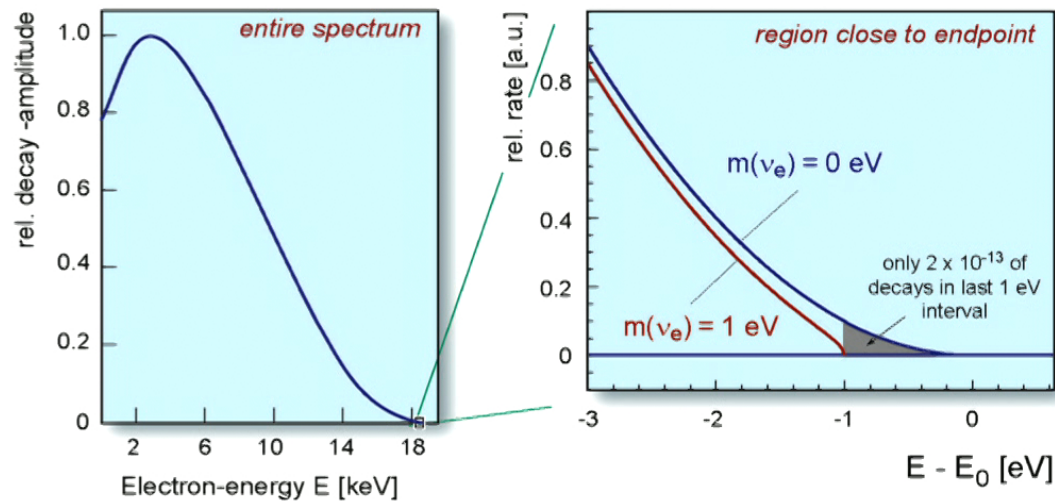
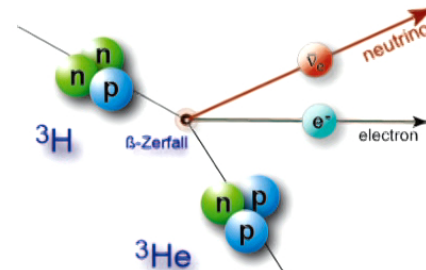
Using molecular tritium, daughter will be ${}^3\text{H} {}^3\text{He}^+$ ion

Tritium beta decay

Half-life :12.3 years

Matrix element 2 : 5.55

Endpoint energy: about 18590 eV (needs Penning trap measurement)

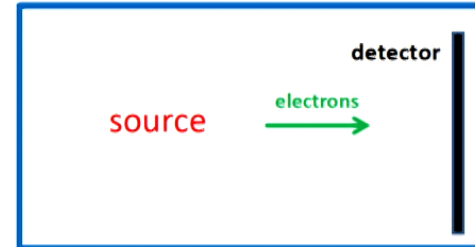


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First measurements

How to measure?



The β -Spectrum of H^3

G. C. HANNA AND B. PONTECORVO

Chalk River Laboratory, National Research Council of Canada,
Chalk River, Ontario, Canada

January 28, 1949



Бруно Понтекорво
Bruno Pontecorvo

$m_\nu < 1 \text{ keV}/c^2$

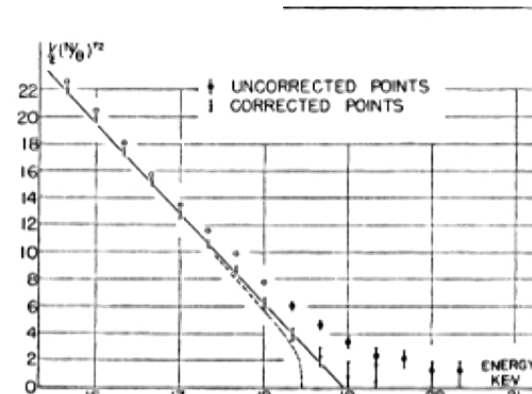


FIG. 2. "Kurie" plot of the end of the H^3 spectrum. The theoretical curve (shown dotted) corresponding to a finite neutrino mass of 500 eV (or 1 keV —see text) has been included for comparison.

Hanna G.C. and Pontecorvo B., Phys. Rev. 75 (1949) 983

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$$I_{\pi}(A, z)$$

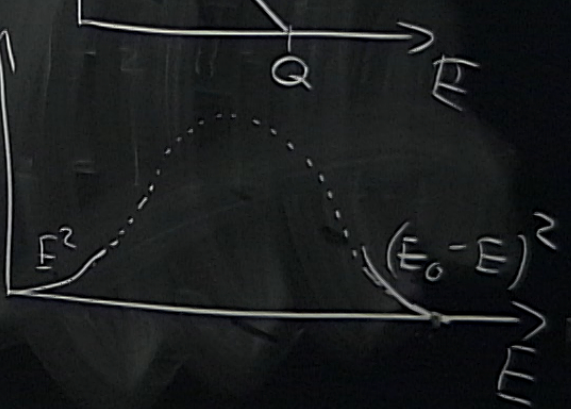
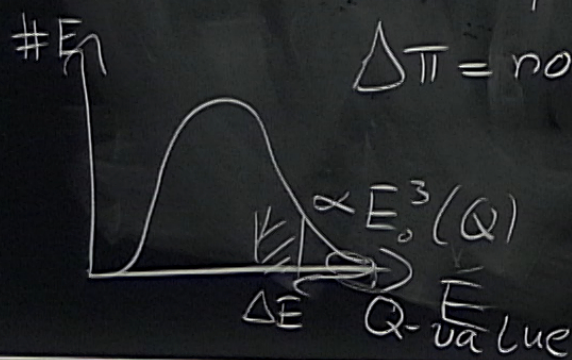
$$m_{\nu} = 0$$

$$\frac{N}{PF(z)}$$

Kurie-plot

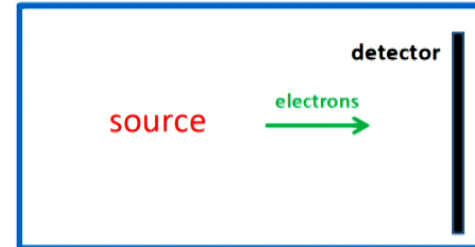
$$I'_{\pi'}(A, z+1)$$

Allowed $\Delta I = 0, 1$
 $\Delta \pi = no$



First measurements

How to measure?



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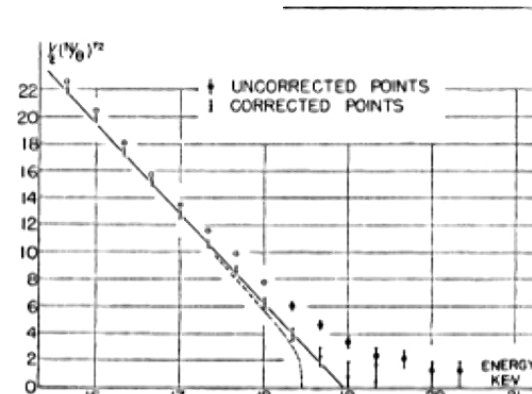


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Electrostatic spectrometer

PHYSICAL REVIEW

VOLUME 92, NUMBER 6

DECEMBER 15, 1953

Upper Limits on the Neutrino Mass from the Tritium Beta Spectrum*

DONALD R. HAMILTON, W. PARKER ALFORD,[†] AND LEONARD GROSS[‡]
Palmer Physical Laboratory, Princeton University, Princeton, New Jersey

(Received August 25, 1953)

The shape of the tritium beta spectrum near the end point has been investigated in a spherical electrostatic integral spectrograph with particular reference to the possible effects of a nonzero neutrino mass. It is shown that the source thickness of 100 micrograms/cm² may be satisfactorily taken into account in the last kilovolt of the spectrum, upon which the results are based. An upper limit to the neutrino mass of 500, 250, and 150 electron volts is found for the Dirac, Majorana, and Fermi forms, respectively, of the beta interaction.

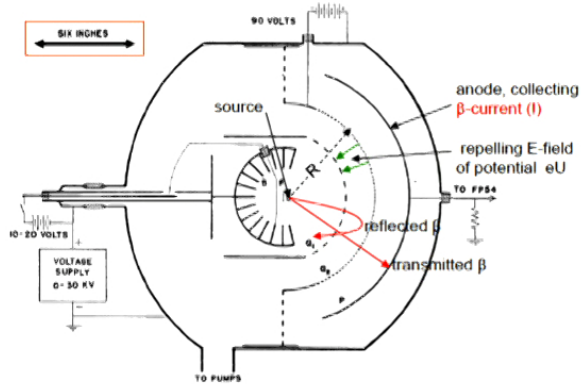


FIG. 1. Schematic diagram of electrostatic beta-spectrograph showing collector P , grids G_1 and G_2 , source S , discharging filament F , and electron backstop B .

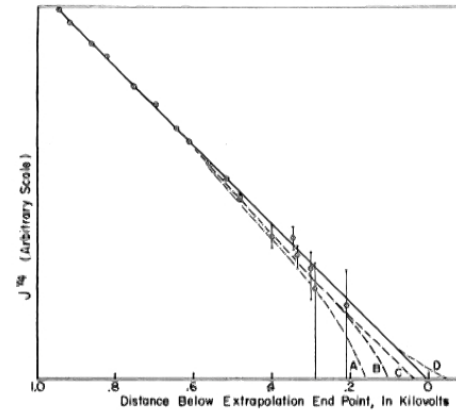


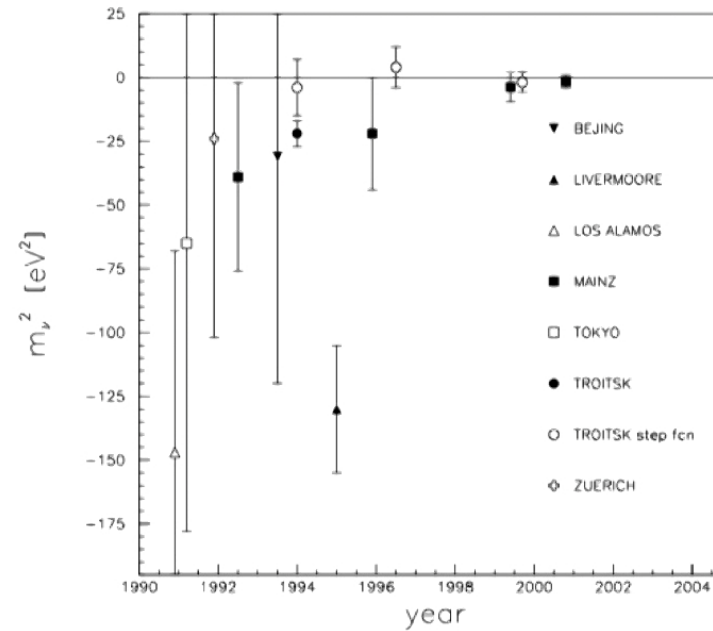
FIG. 3. Fourth root of tritium current plotted against kilovolts below end point. Dotted curves represent curves predicted on the basis of measured resolution and for various neutrino masses and

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More experiments

- ❖ 1972 Bergkvist $m < 55$ eV
- ❖ 1980 Lubimov $m = 35$ eV!!!
- ❖ 1980-1990 Livermore, Los Alamos, Zurich,...
- ❖ 1990-2005 Troitzk, Mainz $m < 2.35$ eV

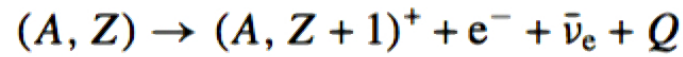


Before about 1995: Always negative m^2 far away from zero , some systematic effects were not understood

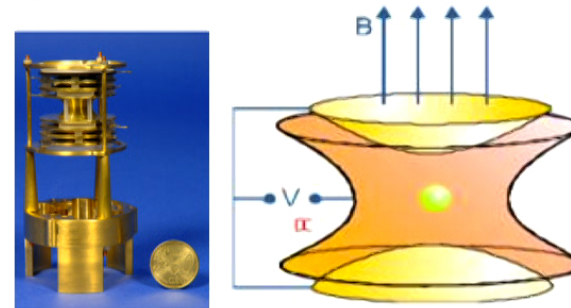
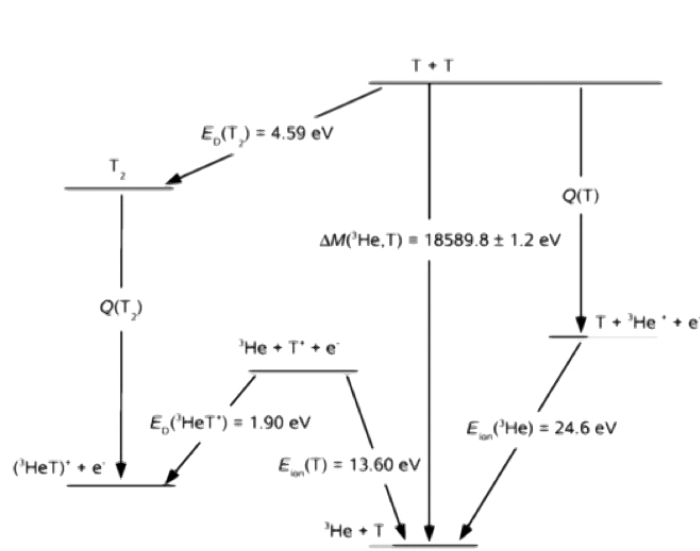
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Tritium beta decay



$$Q = E + E_{\text{tot } \nu} + E_{\text{rec}} + V_j = E_0 + E_{\text{rec}} = \Delta M - \Delta E_B$$



$$f_c = \frac{1}{2\pi} \cdot \frac{q}{m} \cdot B$$

Q-value = 18592.01(7) eV E.G.Myers et al., PRL 114,013003 (2015)

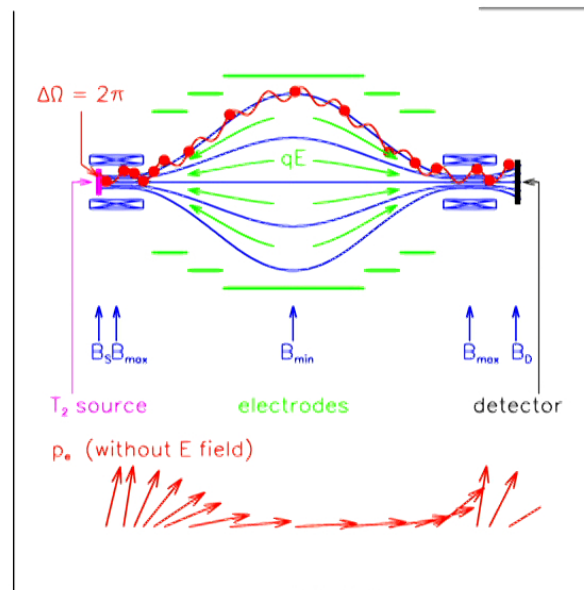
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MAC-E Filter spectrometer (Mainz, Troitzk, KATRIN)

Magnetic Adiabatic Collimation combined with an Electrostatic filter

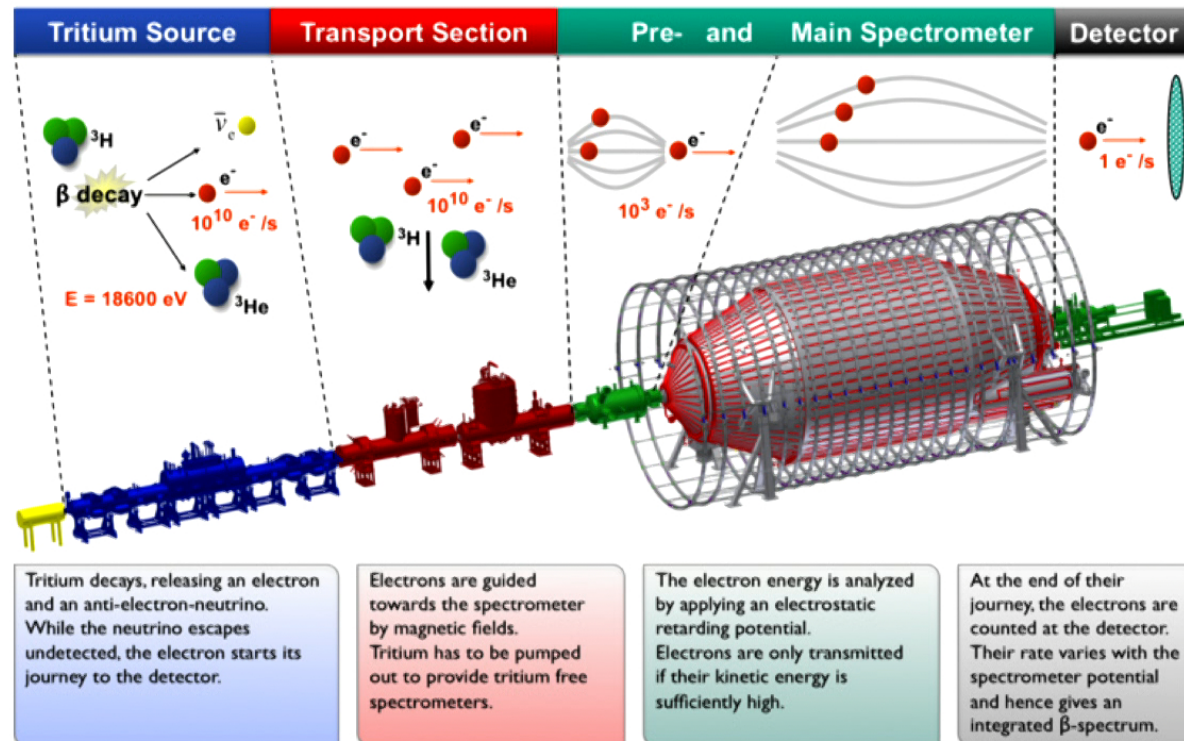
$$T_T = -\mu \cdot \mathbf{B} \quad \text{with } \mu = \frac{e}{2m_e} \mathbf{L} \quad \text{L = constant of motion}$$



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KATRIN

The next generation (ultimate spectrometer?): Aimed sensitivity of 0.2 eV



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The next step



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Take the long way home



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KATRIN from inside



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