Title: A no-go theorem for observer-independent facts

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Abstract: In his famous thought experiment, Wigner assigns an entangled state to the composite quantum system made up of Wigner's friend and her observed system. While the two of them have different accounts of the process, each Wigner and his friend can in principle verify his/her respective state assignments by performing an appropriate measurement. As manifested through a click in a detector or a specific position of the pointer, the outcomes of these measurements can be regarded as reflecting directly observable "facts". Reviewing arXiv:1507.05255, I will derive a no-go theorem for observer-independent facts, which would be common both for Wigner and the friend. I will then analyze this result in the context of a newly derived theorem in arXiv:1604.07422, where Frauchiger and Renner prove that "single-world interpretations of quantum theory cannot be self-consistent". It is argued that "self-consistency" has the same implications as the assumption that observational statements of different observers can be compared in a single (and hence an observer-independent) theoretical framework. The latter, however, may not be possible, if the statements are to be understood as relational in the sense that their determinacy is relative to an observer.

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# A no-go theorem for observer-independent facts Časlav Brukner

arXiv:1507.05255, 1804.00749

Algorithmic Information, Induction and Observers in Physics, Perimeter Institute, Waterloo, April 2018

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Part 1:

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  - Wigner's friend thought experiment

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- Part 2: Remark on Frauchiger-Renner paper

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  - A way out: observer-dependent "relative facts"?
- Part 2: Remark on Frauchiger-Renner paper
- Part 3: Friend can find out that she is in a superposition state ("failure of the standard quantum formalism")

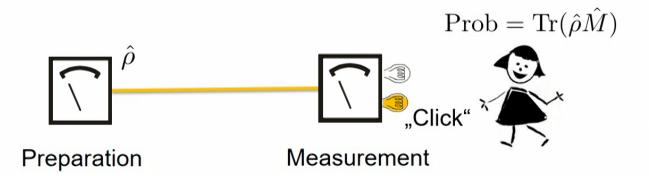
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# Typical experimental situation



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## Typical experimental situation

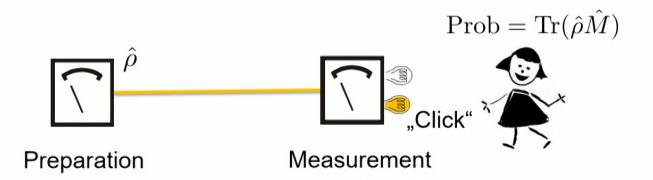


 Bell's theorem excludes the coexistence of predefinited local values for complementary measurements

$$Prob(X = \pm 1, Z = \pm 1)$$

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### Typical experimental situation



 Bell's theorem excludes the coexistence of predefinited local values for complementary measurements

$$Prob(X = \pm 1, Z = \pm 1)$$

 Nonetheless, measurement results, e.g. clicks of the detectors, are considered to be "real". They are "facts".

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## "Minimal" interpretation



"... the state vector is only a shorthand expression of that part of our information concerning the past of the system which is relevant for predicting (as far as possible) the future behaviour thereof."

E. Wigner, Symmetries and Reflections (Indiana University Press, 1967), p. 164

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Operational requirement: Observer is able to verify her/his state assignment by performing a suitable measurement on the system.

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"... cut can be shifted arbitrarily far in the direction of the observer."

W. Heisenberg in an unpublished response to the EPR paper in 1935.

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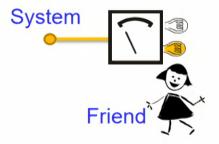


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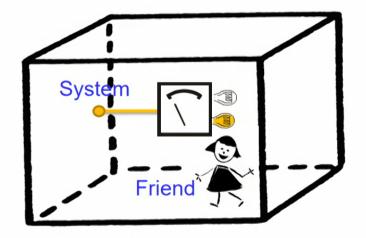
W. Heisenberg in an unpublished response to the EPR paper in 1935.

The "cut" as a functional distinction between object and subject, not a physical one.

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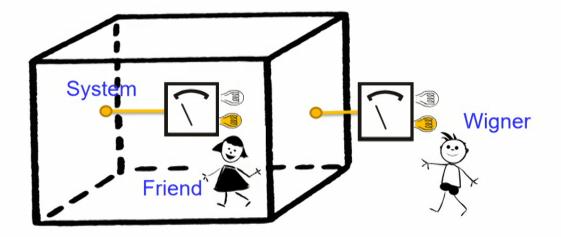


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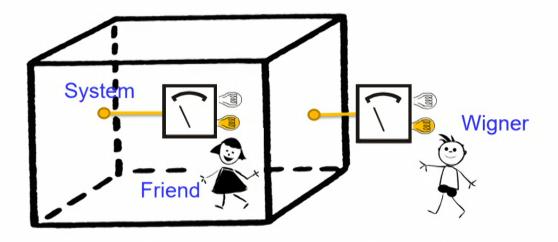




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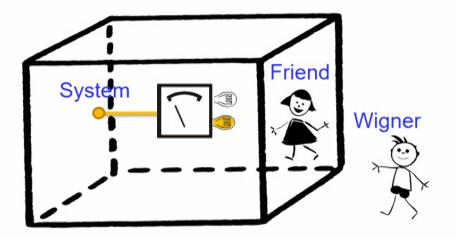


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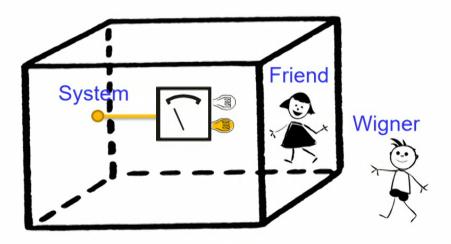


In moving the "cut", the F's measurement instrument loses its function and becomes itself a quantum system – an object that can be observed by a further set of W's measurement instruments.

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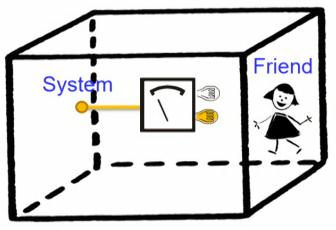


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Wigner: 
$$|\psi_0\rangle^W = \frac{1}{\sqrt{2}}(|z+\rangle_S + |z-\rangle_S)|0\rangle_F$$

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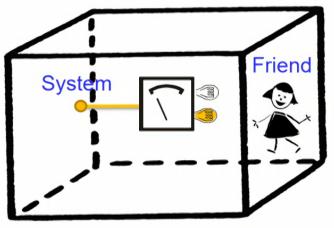
Wigner

W can verify his state assignment by performing a measurement with outcomes:

$$|\psi_t\rangle^W\langle\psi_t|, \mathbb{1}-|\psi_t\rangle^W\langle\psi_t|$$

Wigner: 
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 $|\psi_t\rangle^W = \frac{1}{\sqrt{2}}(|z+\rangle_S|see "up"\rangle_F + |z-\rangle_S|see "down"\rangle_F)$ 

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Wigner



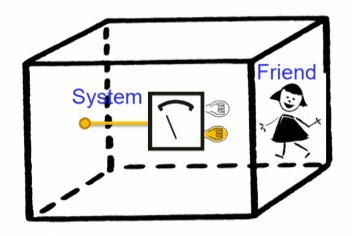
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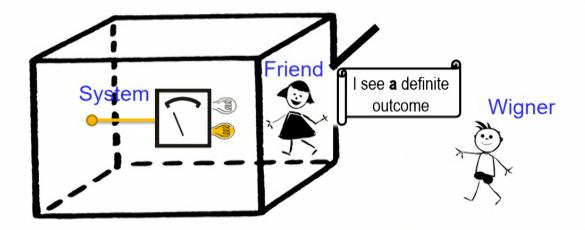
Friend: Either  $|z+\rangle_S|see "up"\rangle_F$  or  $|z-\rangle_S|see "down"\rangle_F$ 

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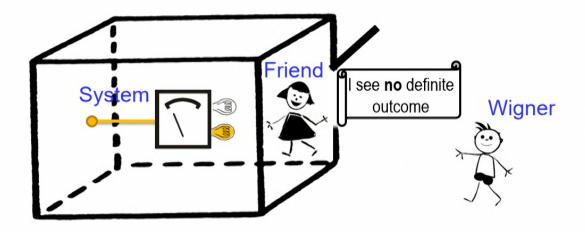




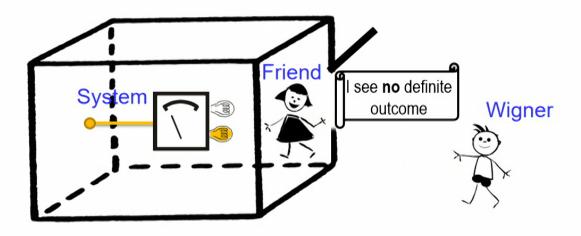
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 $|\psi_t\rangle_W = \frac{1}{\sqrt{2}}(|z+\rangle_S|see "up")_F + |z-\rangle_1|see "down")_F) \otimes |I see ...\rangle$ 

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# What would be the outcome of W's measurement?

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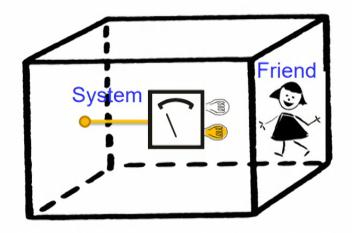
1. The state collapses due to a breakdown of the quantum-mechanical laws. F observes a definite outcome. W cannot confirm the state.

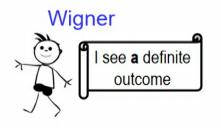
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# What would be the outcome of W's measurement?

- 1. The state collapses due to a breakdown of the quantum-mechanical laws. F observes a definite outcome. W cannot confirm the state.
- 2. W confirms the state, and yet F observes a definite outcome.

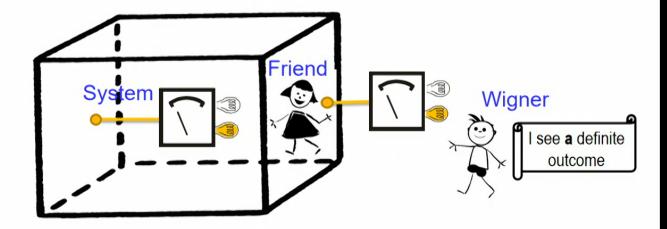
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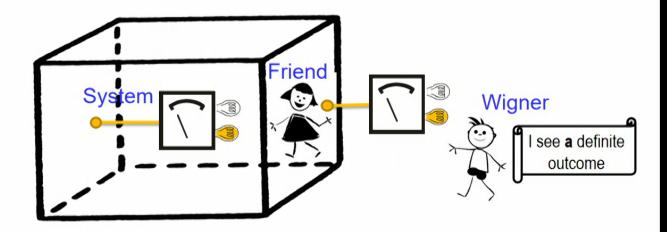
W has a direct evidence that F has observed a definite outcome,

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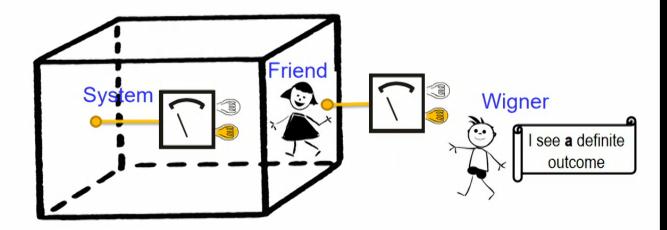
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- W has a direct evidence that F has observed a definite outcome, and can observe a definite outcome in his measurement.
- Do W's facts and F's facts coexist? (Do truth values of the observational statements build a Boolean algebra?)

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- W has a direct evidence that F has observed a definite outcome, and can observe a definite outcome in his measurement.
- Do W's facts and F's facts coexist? (Do truth values of the observational statements build a Boolean algebra?)

$$Prob(W = \pm 1, F = \pm 1)$$

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## The no-go theorem

1. Universal validity of quantum theory: The quantum predictions hold even if the measured system is large enough to contain itself an experimenter.

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- **1. Universal validity of quantum theory**: The quantum predictions hold even if the measured system is large enough to contain itself an experimenter.
- 2. "Observer-independent facts": One can jointly assign truth values to the statements about observed outcomes of different observers (i.e. they build a single Boolean algebra).

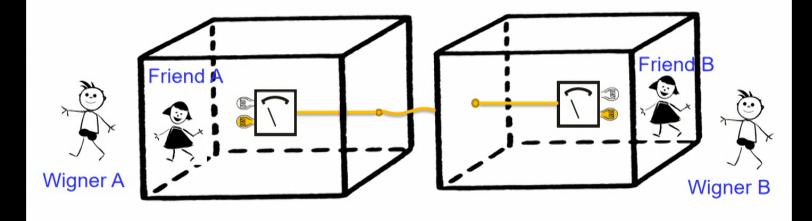
Pirsa: 18040103 Page 37/101

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- "Locality": The choice of measurement setting of one observer does not alter the measurement outcome of the other observer.

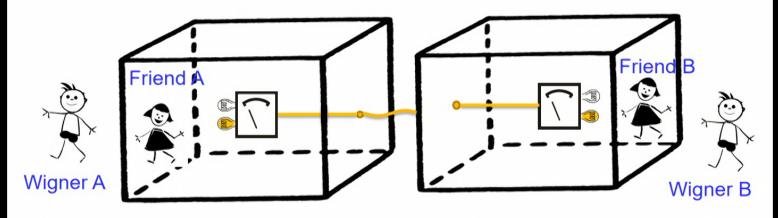
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- **4. "Freedom of Choice"**: The choices of measurements are statistically independent of the rest of the experiment.

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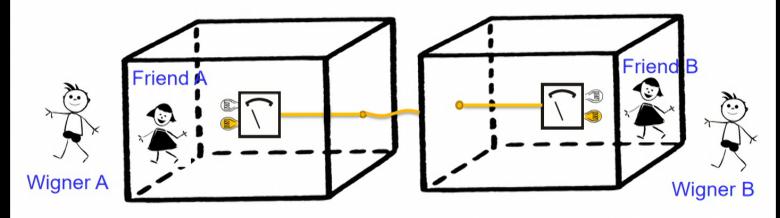


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$$|\psi\rangle^{W_A W_B} = [(\mathbb{1} \otimes e^{-\frac{i}{2}\theta\hat{\sigma}_y})|\psi^-\rangle_{S_A S_B}]|0\rangle_{F_A}|0\rangle_{F_B}$$
$$= (-\sin\frac{\theta}{2}|\phi^+\rangle_{S_A S_B} + \cos\frac{\theta}{2}|\psi^-\rangle_{S_A S_B})|0\rangle_{F_A}|0\rangle_{F_B}$$

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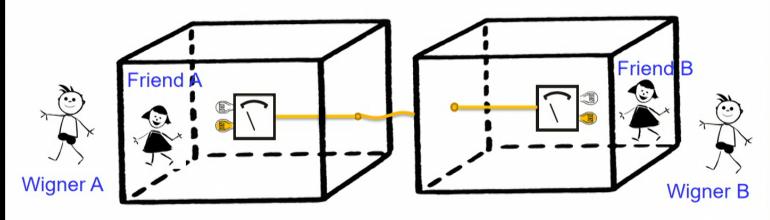


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where

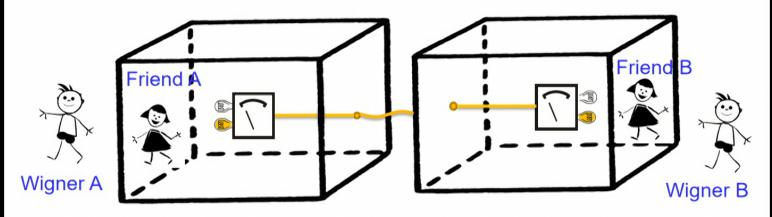
$$|\phi^{+}\rangle_{S_{A}S_{B}} = 1/\sqrt{2}(|z+\rangle_{S_{A}}|z+\rangle_{S_{B}} + |z-\rangle_{S_{A}}|z-\rangle_{S_{B}})$$
$$|\psi^{-}\rangle_{S_{A}S_{B}} = 1/\sqrt{2}(|z+\rangle_{S_{A}}|z-\rangle_{S_{B}} - |z-\rangle_{S_{A}}|z+\rangle_{S_{B}})$$

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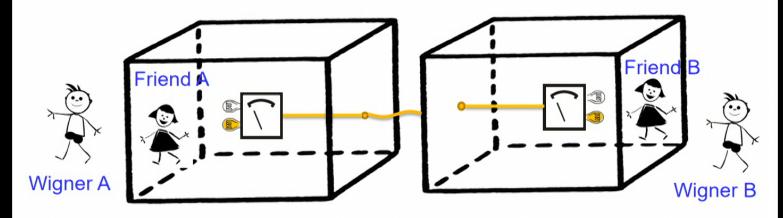
 $F_A$  and  $F_B$  perform measurements of the spins along z.

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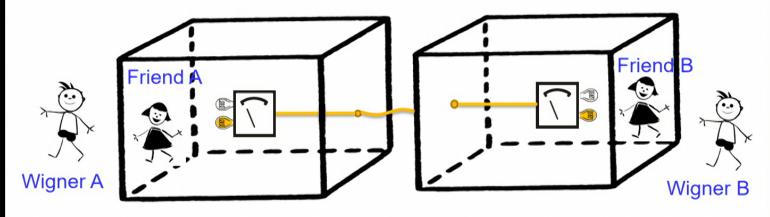


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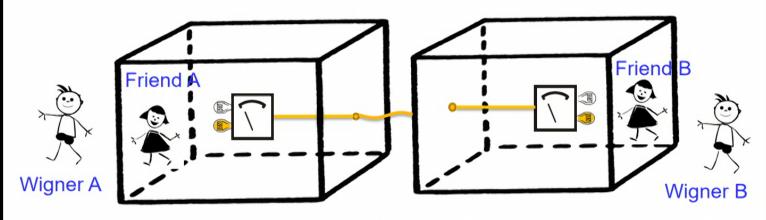
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 $F_A$  and  $F_B$  perform measurements of the spins along z.

$$|\Psi\rangle^{W_A W_B} = -\sin\frac{\theta}{2}|\Phi^+\rangle_{S_A F_A S_B F_B} + \cos\frac{\theta}{2}|\Psi^-\rangle_{S_A F_A S_B F_B}$$

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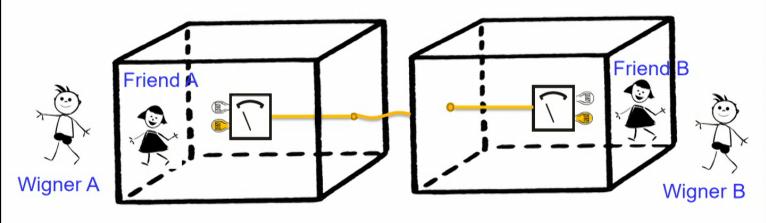
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where

$$|\Phi^{+}\rangle_{S_{A}F_{A}S_{B}F_{B}} = 1/\sqrt{2}(|A"up"\rangle|B"up"\rangle + |A"down"\rangle|B"down"\rangle)$$
  
$$|\Psi^{-}\rangle_{S_{A}F_{A}S_{B}F_{B}} = 1/\sqrt{2}(|A"up"\rangle|B"down"\rangle - |A"down"\rangle|B"up"\rangle)$$

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$$|\Psi\rangle^{W_AW_B} = -\sin\frac{\theta}{2}|\Phi^+\rangle_{S_AF_AS_BF_B} + \cos\frac{\theta}{2}|\Psi^-\rangle_{S_AF_AS_BF_B}$$

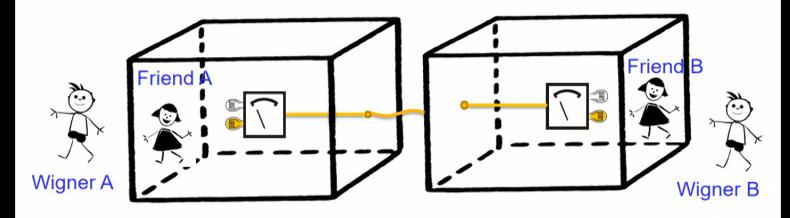
$$|A"up"\rangle = |z+\rangle_{S_A}|sees"up"\rangle_{F_A}$$

$$|A"down"\rangle = |z-\rangle_{S_A}|sees"down"\rangle_{F_A}$$

$$|B"up"\rangle = |z+\rangle_{S_B}|sees"up"\rangle_{F_B}$$

$$|B"down"\rangle = |z-\rangle_{S_B}|sees"down"\rangle_{F_B}$$

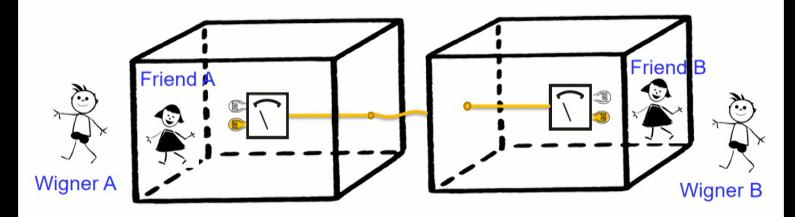
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"Observation of the z-spin": F's fact

$$A_1 = A_z = |A "up" \rangle \langle A "up" | - |A "down" \rangle \langle A "down" |$$

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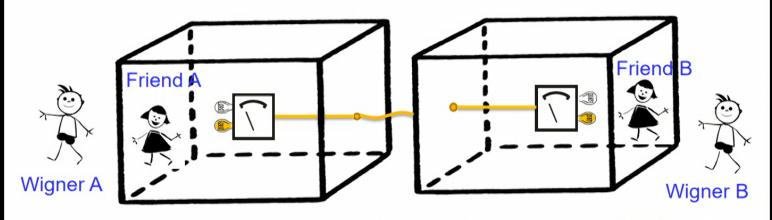
"Observation of the z-spin": F's fact

$$A_1 = A_z = |A "up"\rangle\langle A "up"| - |A "down"\rangle\langle A "down"|$$

"Observation of the x-spin": W's fact

$$A_2 = A_x = |A "up" \rangle \langle A "down" | + |A "down" \rangle \langle A "up" |$$

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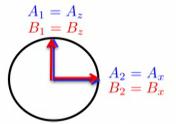


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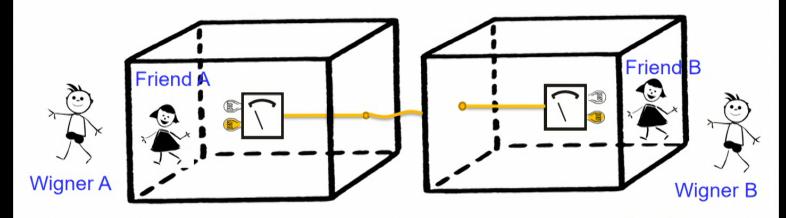
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"Observation of the x-spin": W's fact

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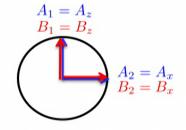


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"Observation of the x-spin": W's fact

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$$\begin{split} |\langle A_1B_1\rangle + \langle A_1B_2\rangle + \langle A_2B_1\rangle - \langle A_2B_2\rangle &\leq 2 \quad \text{LHV} \\ 2\sqrt{2} \quad \text{QM} \end{split}$$

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- **1. Universal validity of quantum theory**: The quantum predictions hold even if the measured system is large enough to contain itself an experimenter.
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- "Locality": The choice of measurement setting of one observer does not alter the measurement outcome of the other observer.
- **4.** "Freedom of Choice": The choices of measurements are statistically independent of the rest of the experiment.

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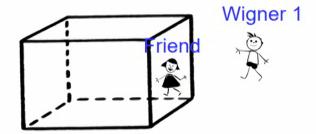
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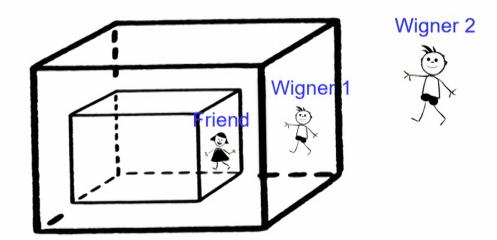
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- **3.** "Locality": The choice of measurement setting of one observer does not alter the measurement outcomes of the other observer.
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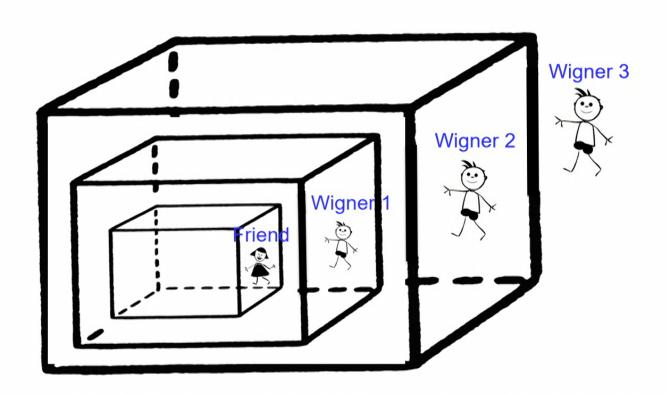
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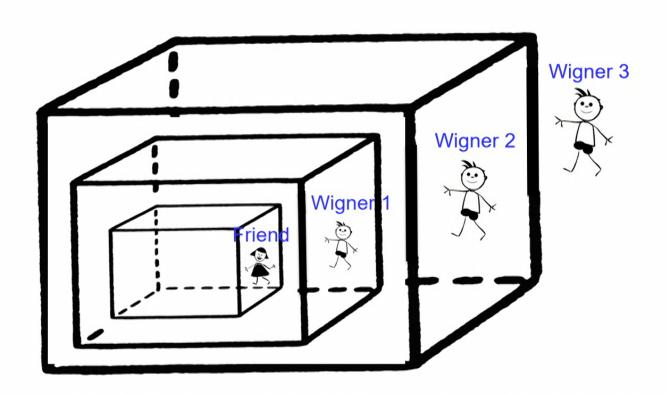
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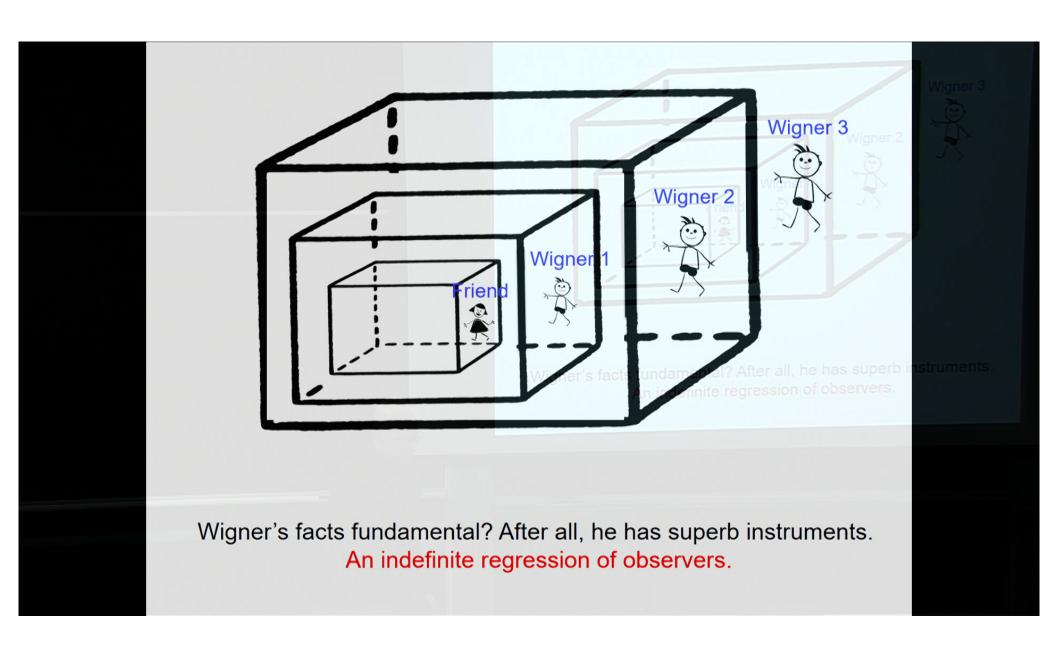
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A way out?: "The wave function of the universe"

Č.B., arXiv:1507.05255, arXiv: 1804.xxxxx

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A way out?: "The wave function of the universe"

Another way out: There are no "facts of the world" per se, but only relative to observers.

Copenhagen (a version of it), QBism, Relational int.

Č.B., arXiv:1507.05255, arXiv: 1804.xxxxx

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arXiv:1604.07422

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• (QT) Compliance with quantum theory: T forbids all measurement results that are forbidden by standard quantum theory (and this condition holds even if the measured system is large enough to contain itself an experimenter).

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arXiv:1604.07422

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- **(SW)** Single-world: T rules out the occurrence of more than one single outcome if an experimenter measures a system once.

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- **(SW) Single-world**: T rules out the occurrence of more than one single outcome if an experimenter measures a system once.
- **(SC) Self-consistency**: T's statements about measurement outcomes are logically consistent (even if they are obtained by considering the perspectives of different experimenters).

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- (SC) Self-consistency: T's statements about measurement outcomes are logically consistent (even if they are obtained by considering the perspectives of different experimenters).



"Single-world interpretations of quantum theory cannot be self-consistent."

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arXiv:1604.07422

The argument combines a set of statements  $S_1$ ,  $S_2$ ,  $S_3$  and  $S_4$  that involve different observers  $F_1$ ,  $F_2$ , A and W and can be drawn on the basis of theory T:

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arXiv:1604.07422

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**S**<sub>1</sub>: If F<sub>1</sub> sees r = t, then W sees  $w \neq ok$ .

 $S_2$ : If  $F_2$  sees z = +, then  $F_1$  sees r = t.

 $S_3$ : If A sees x = ok, then  $F_2$  sees z = +.

**S<sub>4</sub>**: W sees w = ok and is told by A that x = ok.

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Not observed by W

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**S<sub>3</sub>**: If A sees x = ok, then  $F_2$  sees z = +.

Observed by W

W sees w = ok and is told by A that x = ok.

SC enables a "collapse others' knowledge into one's own"\*:

"W knows that A knows that  $F_2$  knows that  $F_1$  knows that  $w \neq ok$ "  $\Rightarrow$  "W knows that  $w \neq ok$ "

\*R. Renner, private communication

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**SC** has the same predictive power as considering all observables to build a Boolean algebra:

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arXiv:1604.07422

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$$S_1$$
:  $R \Rightarrow S$ 

$$S_2$$
:  $Q \Rightarrow R$ 

$$S_3$$
:  $P \Rightarrow Q$ 

$$S_4$$
:  $\neg S \wedge P$ 

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$$S_2: Q \Rightarrow R$$

$$S_3$$
:  $P \Rightarrow Q$ 

$$S_4$$
:  $\neg S \wedge P$ 

 $[P \land (P \Rightarrow Q) \land (Q \Rightarrow R) \land (R \Rightarrow S)] \Rightarrow S$ , which is in a contradiction with the directly observed:  $\neg S$ : "W sees w = ok"

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**SC** is also not satisfied in the theory of relativity: Every inertial observer claims that her/his clock ticks slower than that of a moving partner.

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The statements have only meaning with respect to the specific, observer-dependent, measurement procedure defining "simultaneity".

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**SC** is also not satisfied in the theory of relativity: Every inertial observer claims that her/his clock ticks slower than that of a moving partner.

The statements have only meaning with respect to the specific, observer-dependent, measurement procedure defining "simultaneity".

Similarly, the quantum states referring to outcomes of different observers in a Wigner-friend type of experiment have only meaning with respect to observers' specific experimental arrangements, in agreement with Bohr's idea of contextuality.

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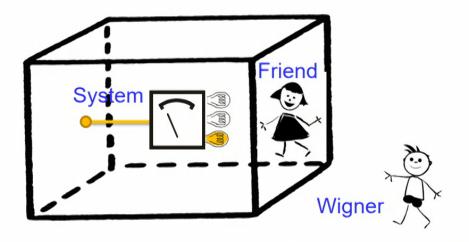
**Conceptual value**: The result points to the necessity to differentiate between ones' knowledge about direct observations and ones' knowledge about others' knowledge that is compatible with physical theories.

Important for **development of Bayesian inference** in situations as in the Wigner-friend experiment.

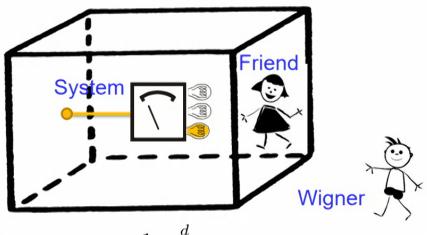
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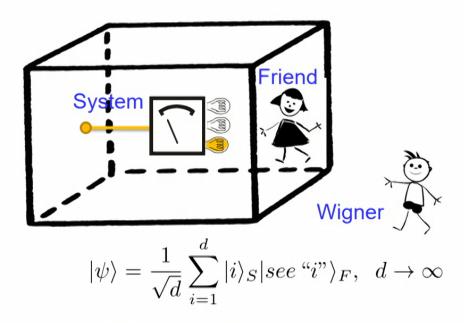
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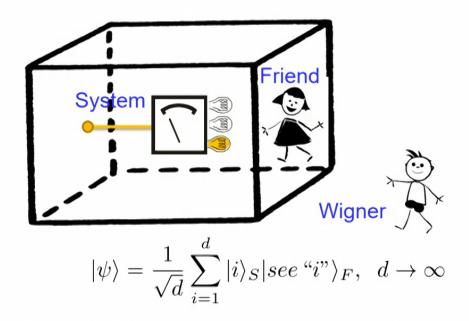


 $|\psi\rangle = \frac{1}{\sqrt{d}} \sum_{i=1}^{d} |i\rangle_{S} |see\ "i"\rangle_{F}, \ d\to\infty$ 



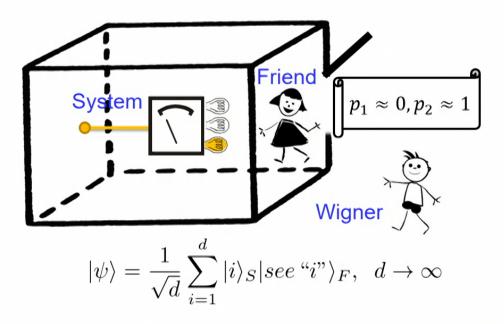
■ F knows that W will perform the measurement:  $|\psi\rangle\langle\psi|, \mathbb{1} - |\psi\rangle\langle\psi|$ 

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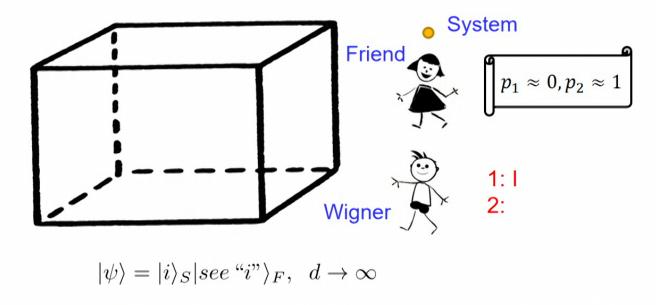
- F knows that W will perform the measurement:  $|\psi\rangle\langle\psi|, \mathbb{1}-|\psi\rangle\langle\psi|$
- F is required to "send out" her prediction to W

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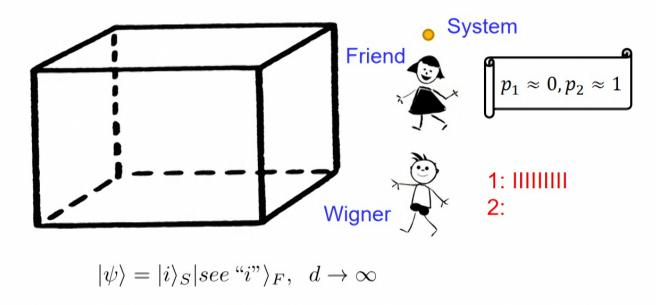
- F knows that W will perform the measurement:  $|\psi\rangle\langle\psi|, \mathbb{1}-|\psi\rangle\langle\psi|$
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- After the measurement, F compares the actual result with her prevous prediction. The result  $|\psi\rangle\langle\psi|$  occurs with certainty.

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Possible ways out:

a) F did not know the full state (Many-Worlds int.).

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- b) The state is a degree of belief, so it's fine that the observer updates it (Quantum Bayesianism).

In both cases there is an element of dissatisfaction as regarding to how an observer should practice QT.

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Possible ways out:

c) The TQT is not suitable for making predictions about measurements involving ones' own memory

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Not always. The predictions are in agreement with TQT in e.g.

Prob. = 
$$|\langle \text{see "up" along } z| \text{see "down" along } x \rangle|^2$$
  
=  $|\langle z + |x - \rangle|^2$ 

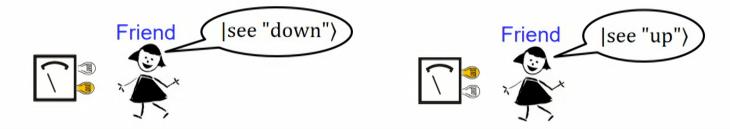
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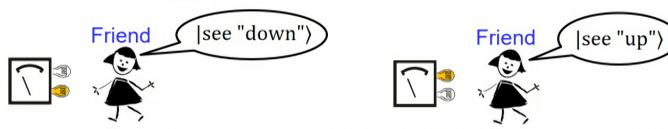
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"Problems" arise if the predictions are about outcomes involving the "Schrödinger-cat states",

$$\frac{1}{\sqrt{2}}(|see "up"\rangle_F + e^{i\Phi}|see "down"\rangle_F)$$



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#### Conclusions

No-go theorem: It is impossible to jontly respect: universal validity of quantum theory, the "observer-independent facts", "locality" and the "freedom of choice" assumptions.

Č.B., arXiv:1507.05255, arXiv:1804.00749

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#### Conclusions

- No-go theorem: It is impossible to jontly respect: universal validity of quantum theory, the "observer-independent facts", "locality" and the "freedom of choice" assumptions.
- "Self-consistency" of Rauchiger-Renner might be too restrictive requirement on a physical theory.

Č.B., arXiv:1507.05255, arXiv:1804.00749

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#### Conclusions

- No-go theorem: It is impossible to jontly respect: universal validity of quantum theory, the "observer-independent facts", "locality" and the "freedom of choice" assumptions.
- "Self-consistency" of Rauchiger-Renner might be too restrictive requirement on a physical theory.
- "Standard" (textbook) quantum theory seems not to be appropriate for making predictions involving observers' memories (see V. Baumann, S. Worf, arXiv:1710.07212)

Č.B., arXiv:1507.05255, arXiv:1804.00749

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