

Title: Microcanonical thermodynamics in general physical theories

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Abstract: Microcanonical thermodynamics studies the operations that can be performed on systems with well-defined energy. So far, this approach has been applied to classical and quantum systems. Here we extend it to arbitrary physical theories, proposing two requirements for the development of a general microcanonical framework. We then formulate three resource theories, corresponding to three different choices of basic operations. We focus on a class of physical theories, called sharp theories with purification, where these three sets of operations exhibit remarkable properties. In these theories, a necessary condition for thermodynamic transitions is given by a suitable majorisation criterion. This becomes a sufficient condition in all three resource theories if and only if the dynamics allowed by the theory satisfy a condition that we call "unrestricted reversibility". Under this condition, we derive a duality between the resource theory of microcanonical thermodynamics and the resource theory of pure bipartite entanglement.

Microcanonical thermodynamics in general physical theories

Carlo Maria Scandolo

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Workshop “Observers in quantum and foil theories”



Introduction

- Work in collaboration with Giulio Chiribella
[Chiribella & CMS '17]

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- Part of a project on the informational foundations of
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This allows us to capture the **informational** and **operational** aspects of thermodynamics.

Resource theories: an agent-based approach

- We have a set of **resources**, and transformations between them.

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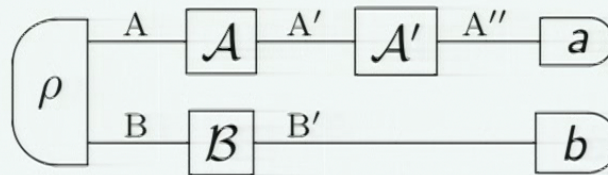
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This preorder yields the allowed thermodynamic transitions.

This is very useful for describing microscopic thermodynamics.

How to address general physical theories

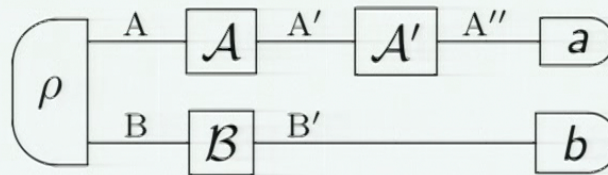
- We use **OPTs**, a variant of GPTs based on circuits [Chiribella et al., Hardy].



- ρ is a state
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A circuit with no external wires represents a (joint) probability.

Linear structure & purity

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- We define **real** vector spaces spanned by states and effects. We assume they're finite-dimensional.
- We can define coarse-graining and **purity** through sums.

Purity

A transformation \mathcal{T} is **pure** if $\mathcal{T} = \sum_i \mathcal{T}_i$ implies $\mathcal{T}_i = p_i \mathcal{T}$, where $\{p_i\}$ is a probability distribution.

Outline

- 1 Microcanonical thermodynamics
 - General setting
 - Resource theories
- 2 Sharp theories with purification

Section 1

Microcanonical thermodynamics

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So far studied only in classical and quantum theory, we want to extend it to arbitrary physical theories.

Fixing the energy: guiding principle

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In quantum theory the states in the eigenspace with energy E are those that $P_E \rho P_E = \rho$, with P_E projector on E .

It's just a linear constraint on the state space.

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Composition of constrained systems

The constraints must be applied to each system *individually* even after they're composed.

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The energy of the two individual systems stays fixed.



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Microcanonical state

Ideally

$$\chi_E = \int_E \psi \, d\psi,$$

ψ deterministic **pure**.

Some technical aspects

We want χ to be invariant under reversible dynamics
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It must exist and it must be unique!

It exists in finite dimension, it's inherited from the **Haar measure** of the group of reversible channels [Chiribella & CMS '17].



Uniqueness of the invariant probability measure [Chiribella & CMS '17]

Theorem

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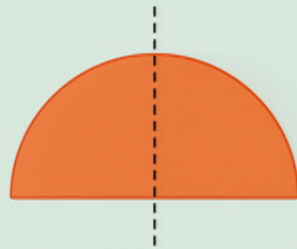
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Examples



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But this is exactly the transitivity condition!

Approaching the equilibrium

It's always possible to reach the equilibrium through random fluctuations.

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Random reversible (RaRe) evolution.



Composing microcanonical systems

Since microcanonical states are provided “for free”, it’s natural to impose the following requirement:

The product of two microcanonical states is the microcanonical states of the composite system

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True in the [thermodynamic limit](#) (cf. textbook statistical mechanics).

Resource theories for microcanonical thermodynamics

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Three choices of free operations:

- 1 RaRe
- 2 noisy
- 3 unital

RaRe channels [Uhlmann, Chiribella & CMS '15b]

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nor can we derive them from the structure of free operations.

Noisy operations

[Horodecki et al., Chiribella & CMS '17]

They're generated by

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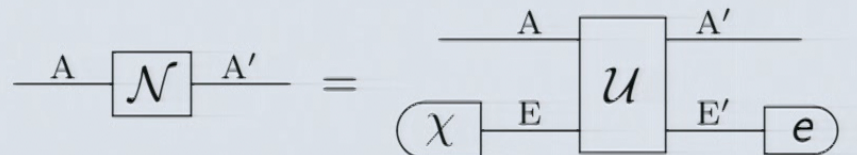
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and their topological closure [Shor].

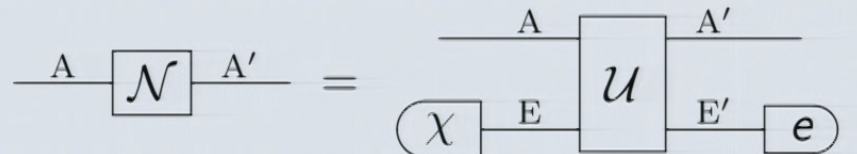
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They respect the principle of cut motility (cf. Rob's talk).

Unital channels

[Landau & Streater, Chiribella & CMS '17]

They're the most general class of free operations preserving the free state χ .

$$\mathcal{D}\chi_A = \chi_B$$

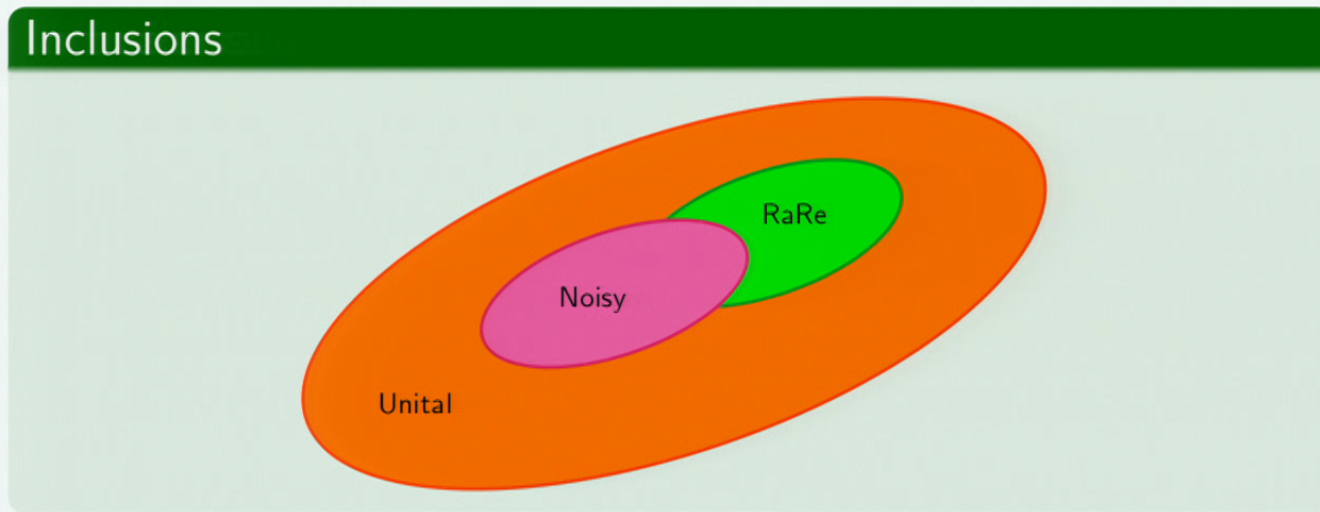
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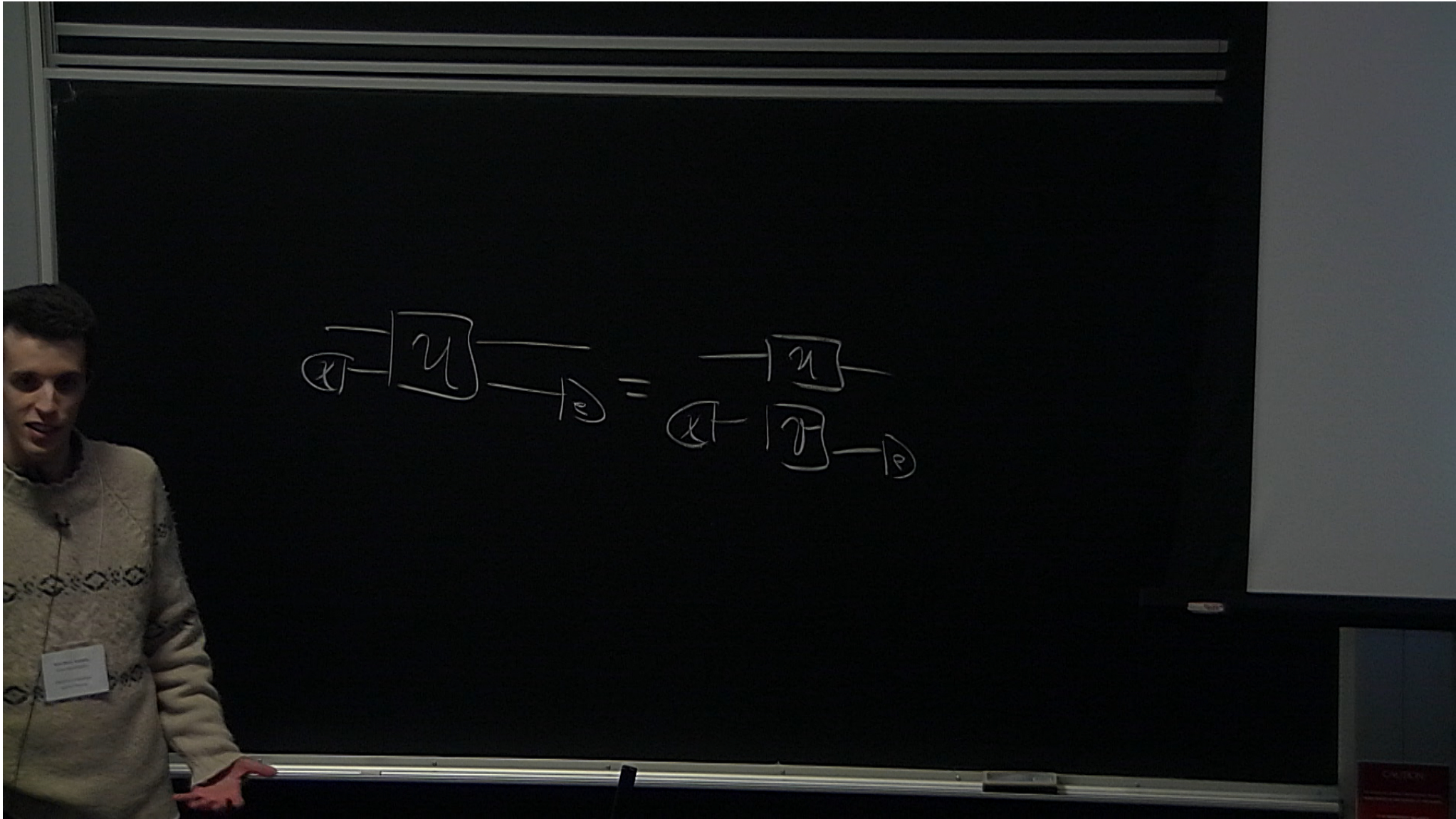
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Inclusions





Section 2

Sharp theories with purification

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A strong version of the cut motility (cf. Rob's talk)!

They include: real and complex quantum theory, Spekkens' toy model, and more exotic theories [Chiribella & CMS '16].

Causality [Chiribella et al.]

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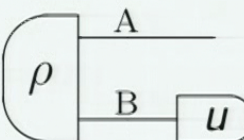
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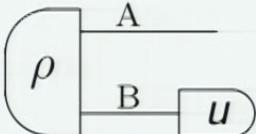
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The diagram shows a large semi-circular shape on the left labeled with the Greek letter rho. Two horizontal lines extend to the right from the semi-circle. The top line is labeled 'A' and the bottom line is labeled 'B'. The line labeled 'B' continues into a small rounded rectangle labeled 'u'.

Thermodynamic meaning: discarding a system.

Purity Preservation

Purity Preservation [Chiribella & Scandolo '15a]

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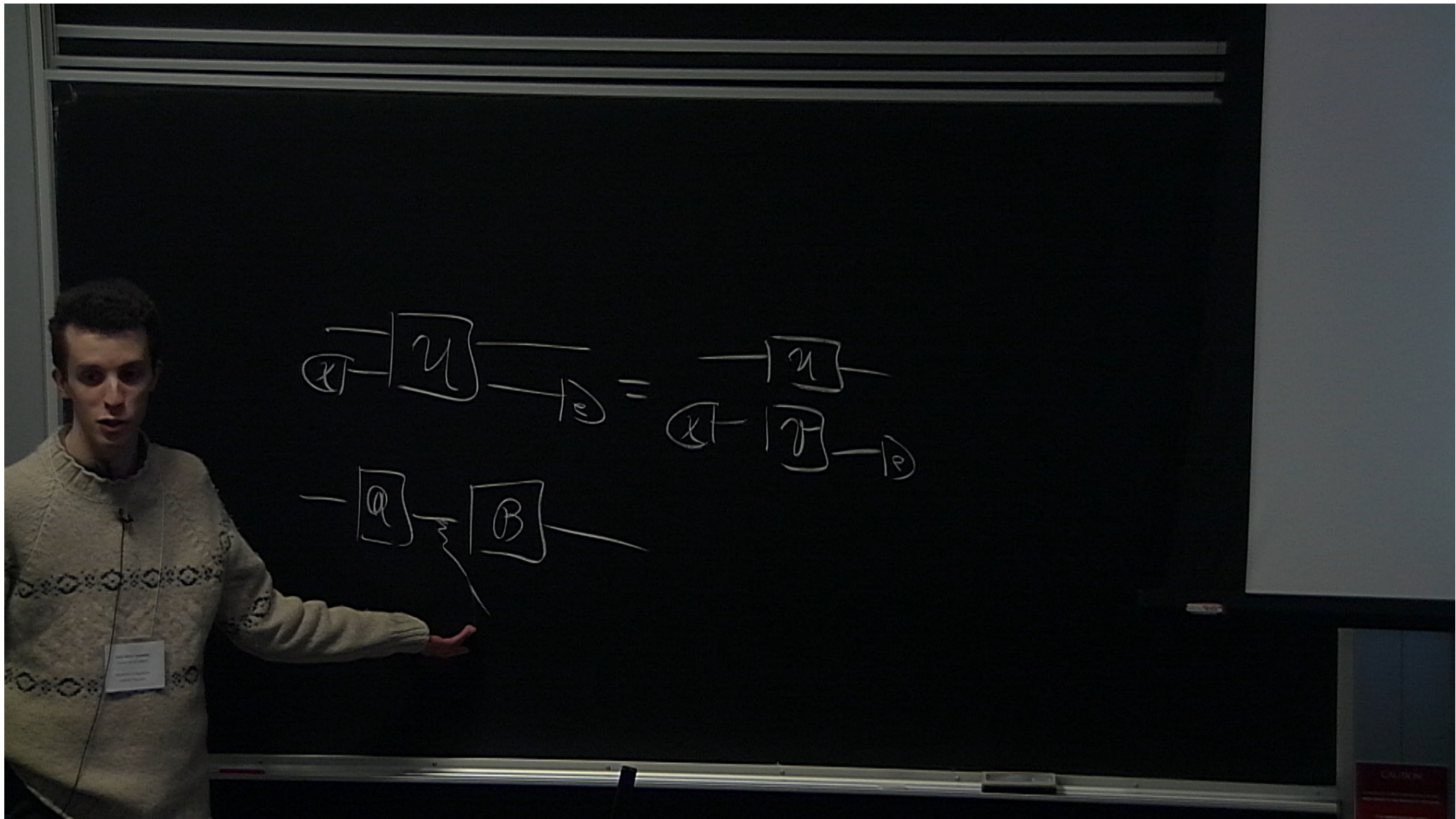
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Pure Sharpness

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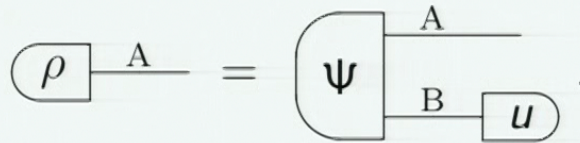
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- We can think of a as part of a yes/no test to check an *elementary property* of the system.
- Pure Sharpness guarantees that every system has at least one elementary property.

Purification [Chiribella et al.]

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$$\rho \text{---} A = \left(\Psi \begin{array}{c} A \\ B \end{array} \right) \text{---} U$$

- 2 Purifications of the same state differ by a reversible transformation \mathcal{U} on the **purifying system**:

$$\begin{aligned} \left(\Psi \begin{array}{c} A \\ B \end{array} \right) \text{---} U &= \left(\Psi' \begin{array}{c} A \\ B \end{array} \right) \text{---} U \Rightarrow \\ \Rightarrow \left(\Psi \begin{array}{c} A \\ B \end{array} \right) &= \left(\Psi' \begin{array}{c} A \\ B \end{array} \right) \text{---} \mathcal{U} \end{aligned}$$

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- Some of the systems look like classical single systems.
- But now composition brings in entanglement.

Coherent composition of two bits

$$\rho_{AB} = p\rho_{\text{even}} \oplus (1 - p)\rho_{\text{odd}},$$

where

$$\text{even} = \text{Span} \{ |0\rangle |0\rangle, |1\rangle |1\rangle \}$$

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Properties of sharp theories with purification

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with α_i 's *pure* and *perfectly distinguishable*, and p_i 's **unique**.

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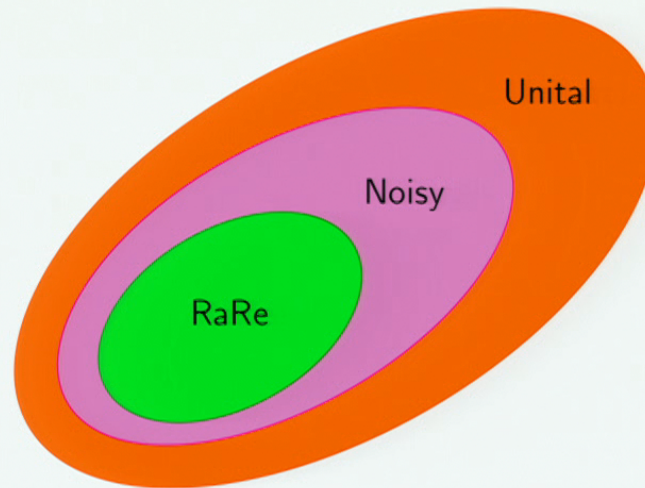
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χ is diagonalized as $\frac{1}{d} \sum_{i=1}^d \alpha_i$, $\{\alpha_i\}_{i=1}^d$ pure maximal set.

New inclusions [Chiribella & CMS '17]



This means that RaRe convertibility is the strongest, i.e. the hardest to satisfy.

The role of majorization

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Proposition ([Chiribella & CMS '17])

ρ can be converted into σ by a *unital* channel if and only if $\mathbf{p} \succeq \mathbf{q}$ (\mathbf{p} and \mathbf{q} vectors of eigenvalues).

The role of majorization

- Do the eigenvalues of states tell us anything about state convertibility?
- In classical and quantum theory **majorization** plays an important role.
- What about sharp theories with purification?

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Majorisation is a **necessary condition** for convertibility under *all* resource theories.

Doubled quantum theory [Chiribella & CMS '17]

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Doubled quantum theory [Chiribella & CMS '17]

- (Non-trivial) systems are pairs of **isomorphic** Hilbert spaces $A = (\mathcal{H}_0, \mathcal{H}_1)$
- States of the form $\rho = p\rho_0 \oplus (1 - p)\rho_1$
- Composition:

$$\mathcal{H}_0^{AB} = (\mathcal{H}_0^A \otimes \mathcal{H}_0^B) \oplus (\mathcal{H}_1^A \otimes \mathcal{H}_1^B)$$

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Overall “parity” superselection rule.

There are states for which $\mathbf{p} \succeq \mathbf{q}$ but there's no RaRe channel connecting them.

Three equivalent axioms in sharp theories with purification

Permutability [Hardy]

Every permutation of a pure maximal set can be implemented by a reversible channel.

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Reversible Controllability [Lee & Selby]

Every control-reversible transformation can be implemented reversibly.

Sufficiency of majorization [Chiribella & CMS '17]

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- 4 $\mathbf{p} \succ \mathbf{q}$

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- We saw how to describe **microcanonical thermodynamics** in arbitrary physical theories...
- and the two necessary requirements for that.
- We set up **three resource theories** to study thermodynamic transitions.
- We studied them in **sharp theories with purification**...
- and discovered some remarkable thermodynamic properties these theories show.
- Finally we saw how a thermodynamic requirement influences the dynamics of the underlying theory.

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