

Title: Quantum Field Theory for Cosmology (AMATH872/PHYS785) - Lecture 24

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Abstract:

The Hawking effect

In 1915, Schwarzschild found this black hole solution to the Einstein equation:

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = \left(1 - \frac{2M}{r}\right) dt^2 - \left(1 - \frac{2M}{r}\right)^{-1} dr^2 - r^2(d\theta^2 + d\varphi^2 \sin^2\theta)$$

Singularity: $r = 0$

Horizon: $r = 2M$

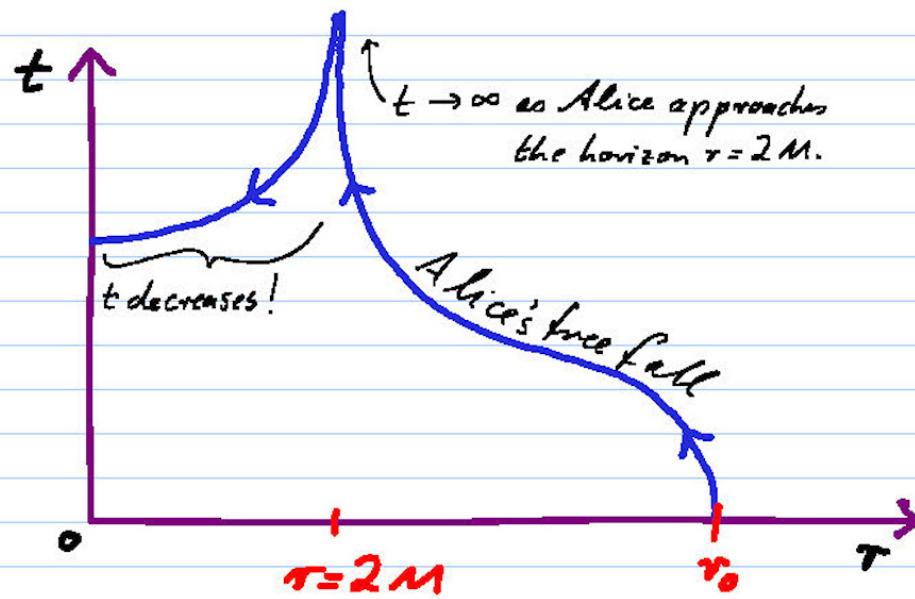
Here, $X = (t, r, \varphi, \theta)$ are called the Schwarzschild coordinates.

Schwarzschild coordinates long misled intuition:

- The singularity at $r = 2M$ is not real: it disappears in other coordinate systems. The curvature is smooth across $r = 2M$.

□ Due to the sign changes across $r = 2M$, for $r < 2M$
 dt is spacelike and dr is timelike for $r < 2M$.

□ Consider, for example, a traveler, Alice, who is freely falling from $r = r_0$ to $r = 0$:



$$r(\lambda) = \frac{r_0}{2} (1 + \cos(\lambda))$$

$$t(\lambda) = \left(\frac{r_0}{2} + 2M \right) w\lambda + \frac{r_0}{2} w \sin(\lambda)$$

$$+ 2M \log \left| \frac{w + \tan(\lambda/2)}{w - \tan(\lambda/2)} \right|$$

$$v(\lambda) = \frac{r_0}{2} \left(\frac{r_0}{2M} \right)^{1/2} (\lambda + 5 \sin(\lambda))$$

$$\text{Here: } 0 < \lambda < \pi \text{ and } w = \left(\frac{r_0}{2M} - 1 \right)^{1/2}$$

□ For quantization, need better choices of coordinate systems!

Simplification: For now, we drop the φ and θ coordinates.

First design of a new cds (T, R) - Alice's choice (for $r_0 = 2M$):

- Require $g_{\mu\nu}(T, R)$ to be regular across $r = 2M$.
- Require $g_{\mu\nu}(0, 0) = \eta_{\mu\nu}$ at $r = 2M$. If there's really no singularity at $r = 2M$ this must be possible.
- Extend this cds so that $g_{\mu\nu}(T, R) = f(T, R) \eta_{\mu\nu}$ because then we know:
 - the action
 - the Klein Gordon equation
 - the solution space of the K.G. equation.
 - which is the mode function of the vacuum in this cds.

\Rightarrow Alice's choice are the Kruskal-Szekeres coordinates (T, R) :

$$T(t, r) := 4M \left| \frac{t}{2M} - 1 \right|^{1/2} e^{\frac{r-2M}{4M}} \left(\sinh\left(\frac{t}{4M}\right) \Theta(r-2M) + \cosh\left(\frac{t}{4M}\right) \Theta(2M-r) \right)$$

$$R(t, r) := 4M \left| \frac{t}{2M} - 1 \right|^{1/2} e^{\frac{r-2M}{4M}} \left(\cosh\left(\frac{t}{4M}\right) \Theta(r-2M) + \sinh\left(\frac{t}{4M}\right) \Theta(2M-r) \right)$$

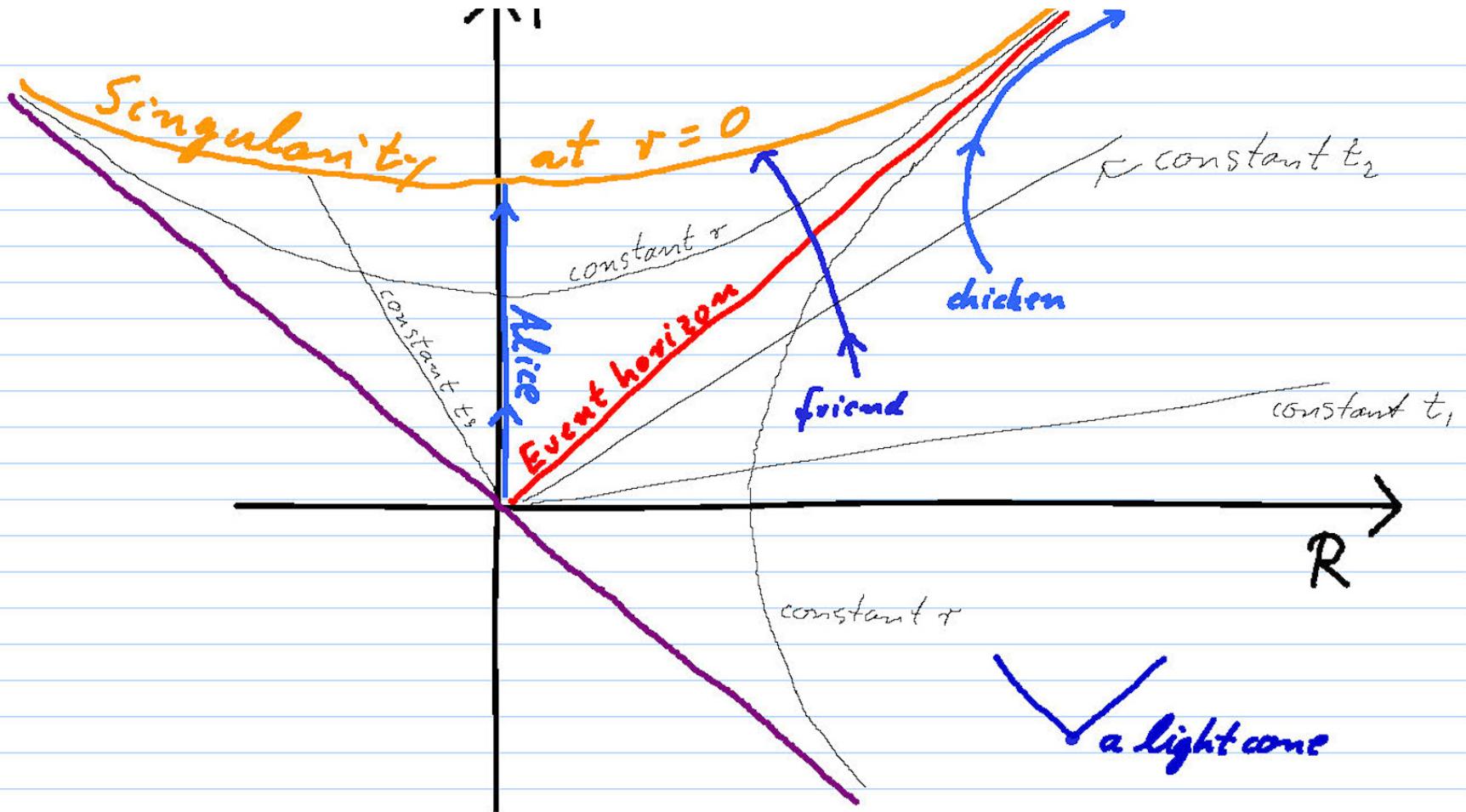
This map is, in principle, invertible, to obtain $t(T, R)$, $r(T, R)$.

The Schwarzschild metric now takes this form:

$$ds^2 = \frac{2M}{r(T, R)} e^{1 - \frac{r(T, R)}{2M}} \left(dT^2 - dR^2 \right) \quad \text{Obey all conditions!}$$

Conformal prefactor = 1 as $r=2M$

$\eta_{\mu\nu}$



- ◻ Alice was at rest at the event horizon.
- ◻ The singularity is at $T(R) = \left(R^2 + \frac{16M^2}{c} \right)^{1/2}$ and is spacelike.

Alice's light cone coordinates:

$$u := T - R, \quad v := T + R$$

Metric: $ds^2 = \frac{2M}{\tau(u,v)} e^{1 - \frac{r(u,v)}{2M}} du dv$

*conformal factor
(which is 1 at horizon)*

light cone Minkowski

\Rightarrow The action $S[\phi] = \frac{1}{2} \int g^{\alpha\beta} \phi_{,\alpha} \phi_{,\beta} \sqrt{g} d^2x$ becomes:

$$= \frac{1}{2} \int_{T>R} (\partial_T \phi(T,R))^2 - (\partial_R \phi(T,R))^2 dT dR$$

$$= 2 \int_{-\infty}^{\infty} \int_0^{\infty} (\partial_u \phi(u,v)) (\partial_v \phi(u,v)) dv du$$

\hookrightarrow b/c region $T > R$ means $T+R > 0$, i.e. $v > 0$.

\Rightarrow Eqn of motion: $\partial_u \partial_v \phi(u,v) = 0$

→ solution for $\psi_{\text{in}}(u, v)$ is similar as before:

$$\hat{\phi}(u, v) = \int_0^\infty \frac{dw}{(2\pi)^{1/2}} \frac{1}{(2w)^{1/2}} \left(e^{-ivw} \hat{a}_w + e^{ivw} \hat{a}_w^* + \text{left moves} \right)$$

obeys the 3 conditions: EoM, CCRs and hermiticity.

Alice's notion of vacuum

B For Alice, as she crosses the horizon, $g_{\nu\nu} = g_{\mu\nu}$.

C If her detectors are not clicking, the state of the field is $|0_{\text{Alice}}\rangle$, obeying $a_w |0_{\text{Alice}}\rangle = 0 \forall w$.

One problem though: In this case, far away, i.e., as $r \rightarrow \infty$, the metric doesn't become the Minkowski $g_{\mu\nu}$.

Bob is far from the black hole.

He wants a cds in which :

◻ $g_{\mu\nu}(x) \rightarrow \eta_{\mu\nu}$ as $r \rightarrow \infty$.

◻ $g_{\mu\nu}(x) = f(x) \eta_{\mu\nu}$ everywhere.

This is so that in his cds too

◻ photons travel at 45°

◻ we know action, K.G. equation
and mode functions.

\rightsquigarrow Bob's choice is the Tortoise coordinate system.

• the range of the coordinate t^*

$$t^* := t$$

must require $r > 2M$!

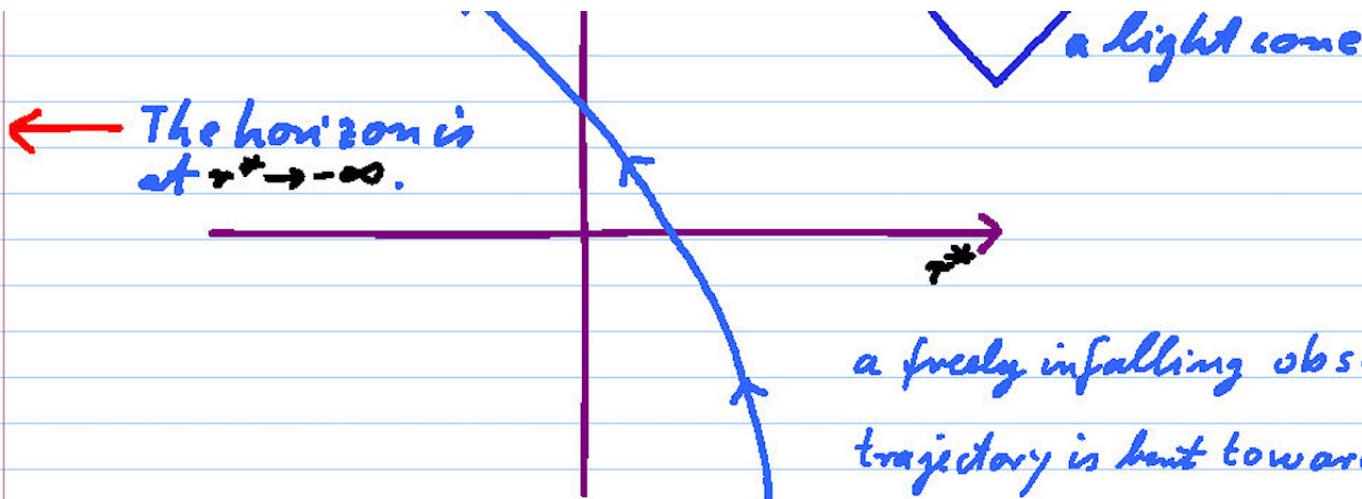
$$r^* := r - 2M + 2M \log\left(\frac{r}{2M} - 1\right)$$

\Rightarrow Important: This is in principle invertible, to obtain
 $r = r(r^*)$
but only for $r > 2M$!

\Rightarrow The tortoise cds only cover the BH's outside !

Metric: $ds^2 = \left(1 - \frac{2M}{r(r^*)}\right) (dt^{**2} - dr^{**2})$

Conformal factor $\rightarrow 1$ as $r \rightarrow \infty$, as planned but $\rightarrow 0$ at horizon.



a freely infalling observer's trajectory is bent towards 45° as he speeds up.

Bob's light cone coordinates: $\bar{u} := t^* - r^*$, $\bar{v} := t^* + r^*$

The metric is then: $ds^2 = \left(1 - \frac{2M}{r(\bar{u}, \bar{v})} \right) d\bar{u} d\bar{v}$

$\underbrace{\quad}_{\rightarrow 1 \text{ as } r \rightarrow \infty \text{ and } \rightarrow 0 \text{ as } r \rightarrow 2M}$

Important later: $u = -4Mc^{-\frac{\bar{u}}{4M}}$, $v = 4Mc^{\frac{\bar{v}}{4M}}$

→ the action:

$$S[\phi] = \frac{1}{2} \int g^{\alpha\beta} \phi_{,\alpha} \phi_{,\beta} \sqrt{g} d^2x \text{ becomes:}$$

$$= \frac{1}{2} \int_{R^2} \left(\partial_{t^*} \phi(t^*, r^*) \right)^2 - \left(\partial_{r^*} \phi(t^*, r^*) \right)^2 dt^* dr^*$$

$$= 2 \iint_{-\infty}^{\infty} \left(\partial_{\bar{u}} \phi(\bar{u}, \bar{v}) \right) \left(\partial_{\bar{v}} \phi(\bar{u}, \bar{v}) \right) d\bar{v} d\bar{u}$$

⇒ Eqn of motion: $\partial_{\bar{u}} \partial_{\bar{v}} \phi(\bar{u}, \bar{v}) = 0$

\Rightarrow Solution for the QFT found as before:

$$\hat{\phi}(\bar{u}, \bar{v}) = \int_0^\infty \frac{dw}{(2\pi)^{1/2}} \frac{1}{(2w)^{1/2}} \left(e^{-iw\bar{u}} b_w + e^{iw\bar{u}} b_w^\dagger + \text{left movers} \right)$$

obeys the 3 conditions: EoM, CCRs and hermiticity.

Bob's notion of vacuum

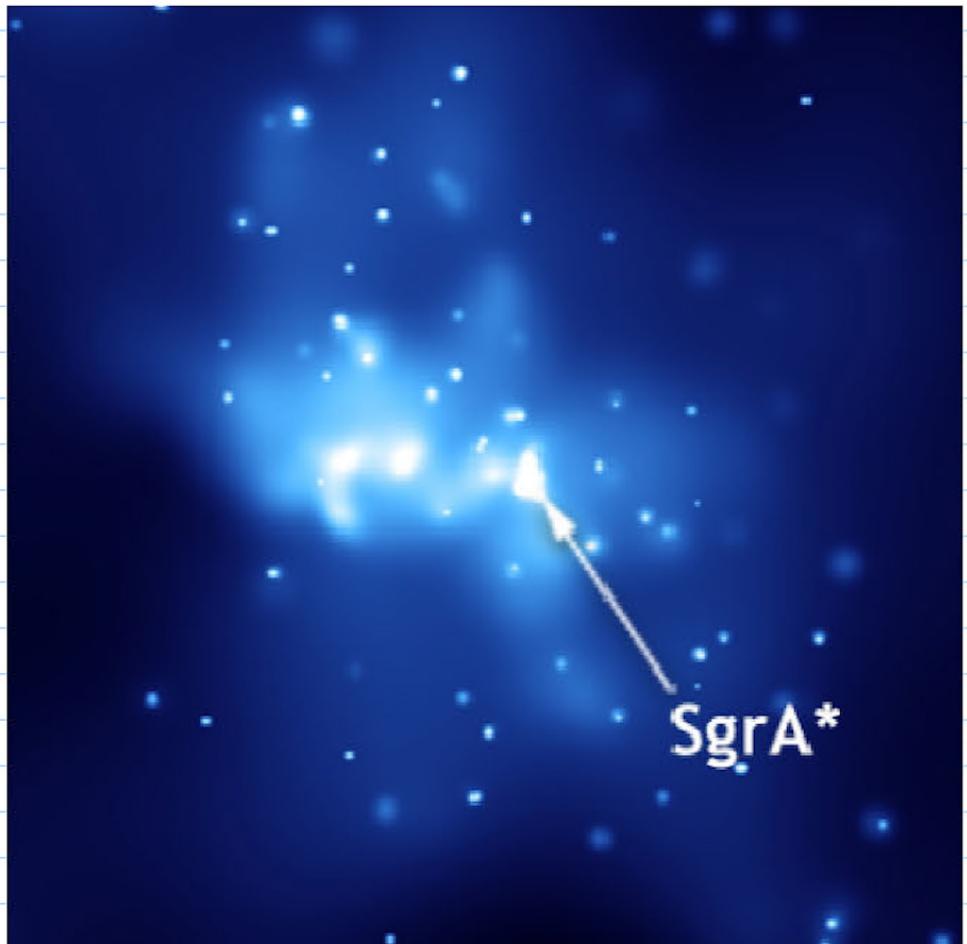
B For Bob, out at $r \rightarrow \infty$, the metric is $g_{\mu\nu} = \eta_{\mu\nu}$.

a If Bob's detectors are not clicking, the state of the field is $|0_{\text{Bob}}\rangle$, obeying $b_w |0_{\text{Alice}}\rangle = 0 \forall w$.

E.g.: Sagittarius A*

- 4 Mio stellar masses
- Diameter 44 Mio km
- 26000 light years away
at centre of Milky Way.

→ Observations coming up 2018 by
Event Horizon Telescope Array
(in mm band) with enough
resolution to see the event horizon?



Real black holes:

- ◻ they have complicating properties, such as
 - ◻ a ring down
 - ◻ peculiar velocity
 - ◻ angular momentum
 - ◻ charges
 - ◻ and even mass changes
(through absorption or emission).

Simple model:

- ◻ Let us neglect all these.
- ◻ Also assume that the rest of the universe is empty.

⇒ In good approximation the spacetime should be

Q: Is $|4\rangle = |0_{Alice}\rangle$ or perhaps $|4\rangle = |0_{Bob}\rangle$?

A: Probably both: $|4\rangle = |0_{Alice,\text{right}}\rangle \oplus |0_{Bob,\text{left}}\rangle$

$$\text{Here: } a_{w,\text{right}} |0_{Alice,\text{right}}\rangle = 0 \forall w$$

$$b_{w,\text{left}} |0_{Bob,\text{left}}\rangle = 0 \forall w$$

Why?

We cannot reliably calculate through the collapse process, because it involves tracking waves being infinitely blue-shifted at the horizon (\rightarrow see the Transplanckian problem for BHs).

□ If, as we assume, the rest of the universe has no stars etc.,
then there should be no flux of quanta into the black hole.

⇒ The left-moving (i.e. ingoing) modes should
be in the state

$$|0_{\text{Bob},\text{left}}\rangle$$

□ Can the right moving (i.e. outgoing) modes be in
the state $|0_{\text{Bob},\text{right}}\rangle$?

No!

$$u = -4Me^{-\frac{\bar{u}}{4M}}, v = 4Me^{\frac{\bar{v}}{4M}}$$

Alice's

Bob's

Compare with (from the previous lecture):

$$u = -\frac{1}{a} e^{-au}$$

inertial

accelerated

\Rightarrow Alice's and Bob's cds relate in the same way as the inertial and accelerated before,

$$\text{with } a = \frac{1}{4M}$$

$\Rightarrow |0_{\text{Bob,right}}\rangle$ has divergent vacuum energy towards the horizon!

\Rightarrow If the QFT is in the state $|O_{\text{Bob, right}}\rangle$, then:

□ Via the Einstein equation, this would contradict our assumption of spacetime being Schwarzschild, which solves:

$$G_{\mu\nu}(g_{\text{Schwarzschild}}) = T_{\mu\nu} \quad \text{with } T_{\mu\nu} = 0.$$

□ During the collapse, the quantum state will be energetically prevented to evolve into the state

$$|O_{\text{Bob, right}}\rangle$$

- ◻ Alice would see a diverging amount of quantum field fluctuations and particles as she crosses the horizon.
 - ⇒ She would be able to tell the location of the horizon by local measurements in a free-falling lab.
 - ⇒ This would contradict the equivalence principle.

Q: What state do the right-moving (outgoing) modes have to be in, so that

- ◻ Their contribution to $T_{\mu\nu}$ is smooth across the horizon.
- ◻ Alice does not see a burst of particles from the horizon.

~~-/ some would see more or less the evolution~~
of the horizon by local measurements in
a free-falling lab.

\Rightarrow This would contradict the equivalence principle.

Q: What state do the right-moving (outgoing) modes have to be in, so that

- Their contribution to $T_{\mu\nu}$ is smooth across the horizon.
- Alice does not see a burst of particles from the horizon.

A: $|O_{Alice, \text{right}}\rangle$ has these properties, (via previous lecture's results).

$$|4\rangle = |0_{Alice, \text{right}}\rangle \otimes |0_{Bob, \text{left}}\rangle$$

Q: What, therefore, should we see at rest from far?

A: Our natural cds is Bob's then.

\Rightarrow We see no ingoing (left-moving) radiation.

But we can repeat the calculations of the previous lecture for the outgoing modes, using $a = 1/4m$

\Rightarrow We see an outflux of quanta of temperature:

$$T_n = \frac{1}{8\pi M}$$

Recall: $T_n = \frac{a}{2\pi}$

Minkowski space

Accelerated observer's vacuum: "Rindler vacuum"

Inertial observer's vacuum: "Minkowski vacuum"

Schwarzschild spacetime

Bob's vacuum: "Boulware vacuum"

Alice's vacuum: "Kruskal vacuum"

Remarks: \square The state $|0_{\text{Bob,right}}\rangle$ (outgoing) was disqualified due to its contribution to $T_{\mu\nu}$ which would diverge towards the horizon.

Is $|0_{\text{Bob, left}}\rangle$ having the same problem?

No, it would have that problem at the past horizon but a real black hole doesn't have one (unlike an accelerated observer.)

Q We dropped the angles φ, θ . Do they matter?

Yes, it leads to a weakening of Hawking radiation:

The mode decomposition now involves the analogy of Fourier for angles: spherical harmonics.

→ The Klein Gordon equation becomes:

$$\left(\square + \left(1 - \frac{2M}{r} \right) \left(\frac{2M}{r^5} + \frac{\ell(\ell+1)}{r^2} \right) \right) \phi_{\ell,m}(t, r) = 0$$

!!
 $V_\ell(r)$

⇒ This effective potential needs to be overcome by