

Title: What's not to like? Open science will fail unless it takes the costs seriously

Date: Mar 27, 2018 09:00 AM

URL: <http://pirsa.org/18030106>

Abstract: The Open Science movement focuses on the broad benefits to the scientific enterprise, but its success will depend on the actions of individual scientists. Unless the short-term benefits to the researcher outweigh the costs, only the most altruistic will open up their research efforts to the world. Arguments based on hypothetical future benefits don't carry much weight, and calls for better tools appear to be mainly driven by tool-designers, not potential users. I'll start with two brief case histories (#arseniclife and Apple Academic Press), and then consider what the immediate costs and benefits are and how we might shift the balance between them.

#YAMMM

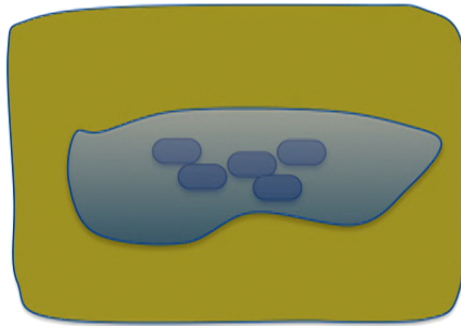
1. **The #arseniclife debacle:** How 'open' mattered
2. **Apple Academic Press:** Unintended consequences of open access publishing
3. **What's in it for me?** Balancing benefits and costs for individual researchers



enlighten us, but make it quick

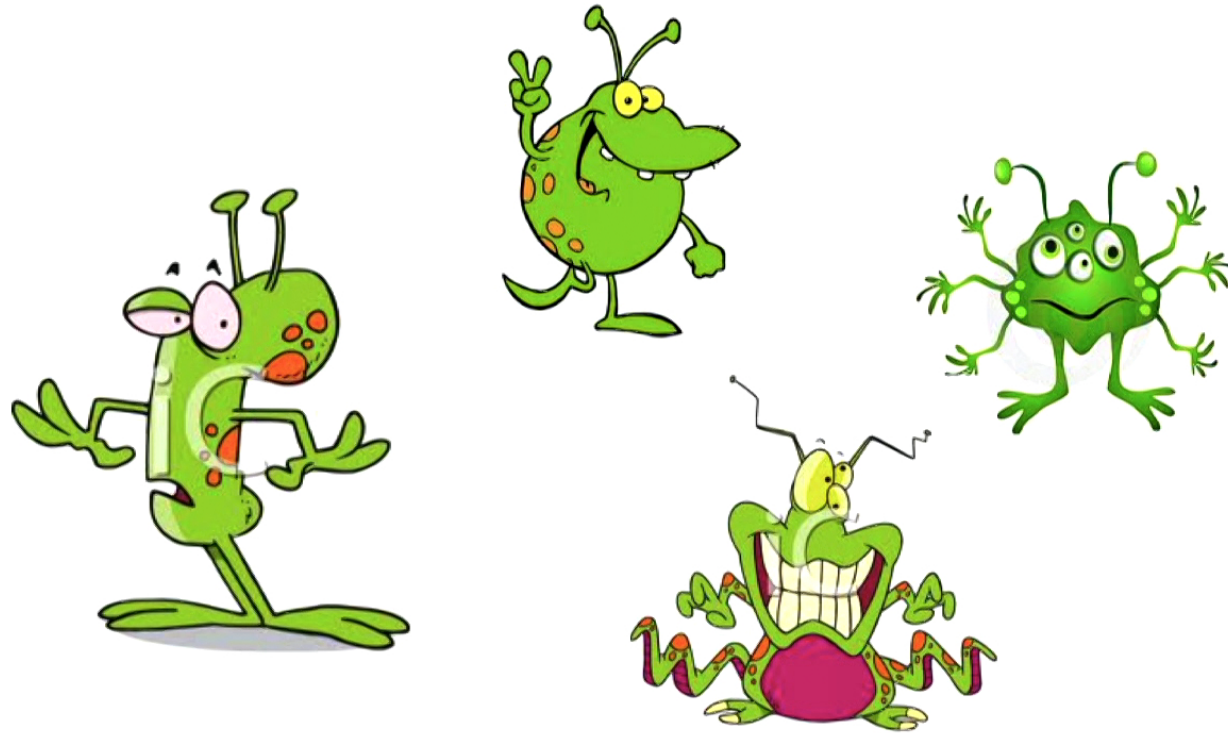
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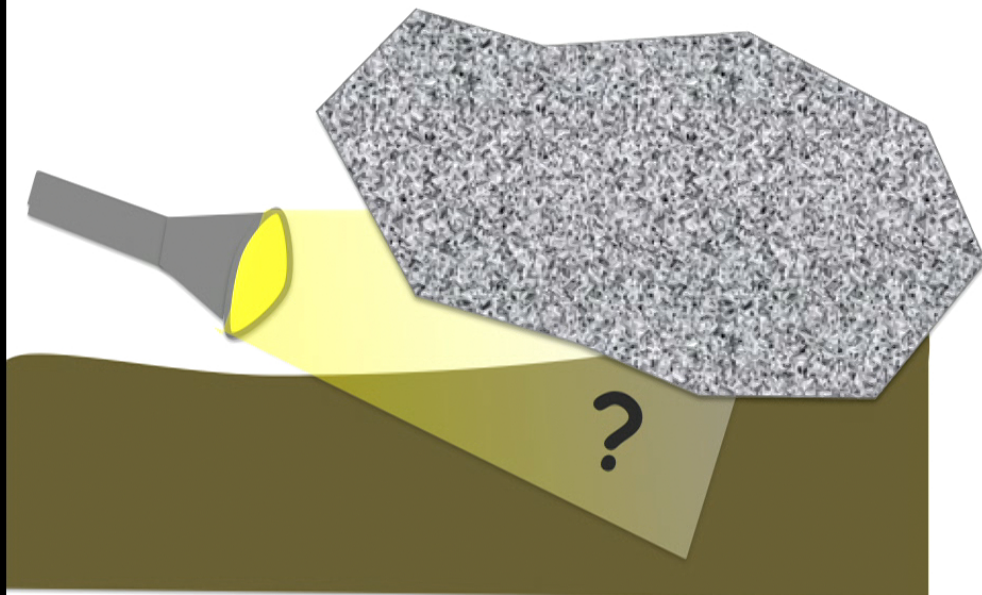
From the shadows to the spotlight to the dustbin



A Tragedy in 3 Acts

Prologue. The Shadow Biosphere



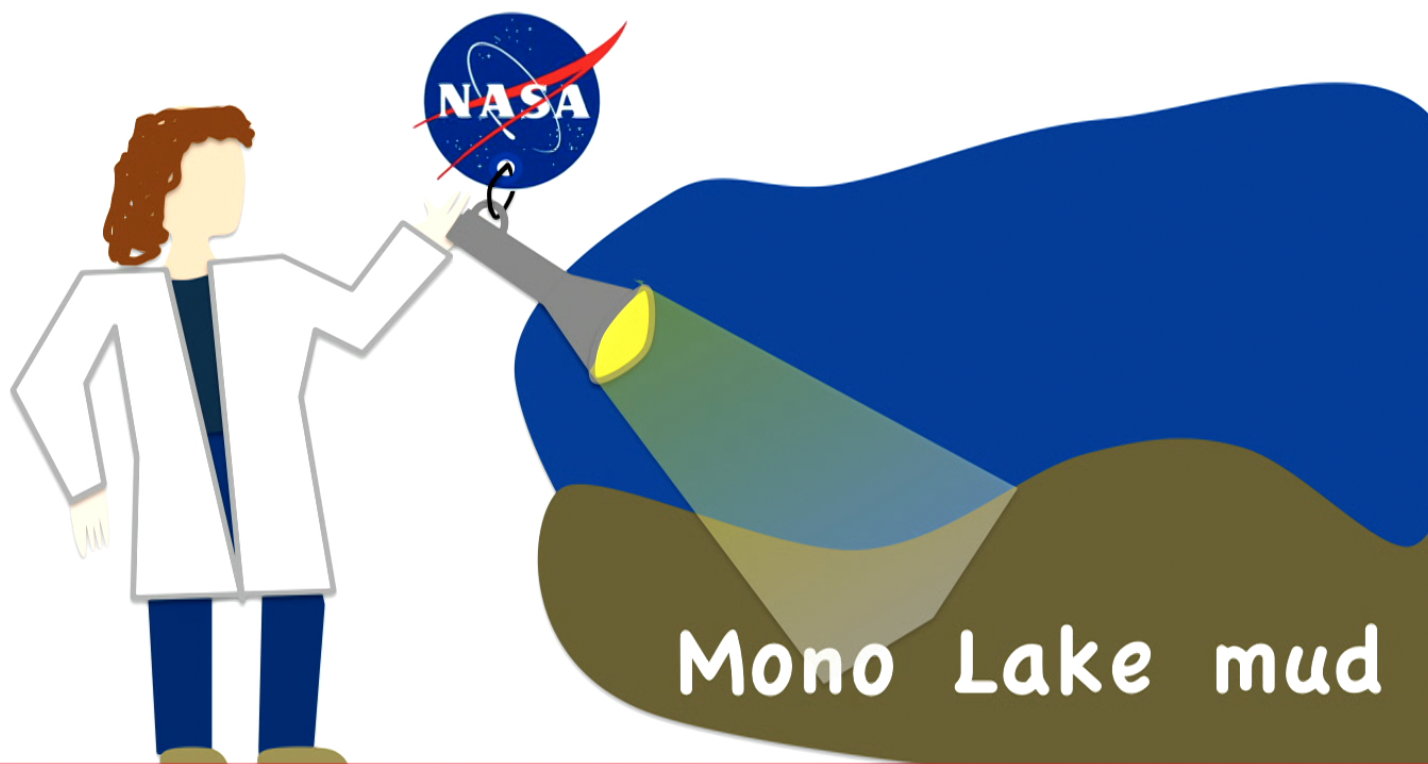


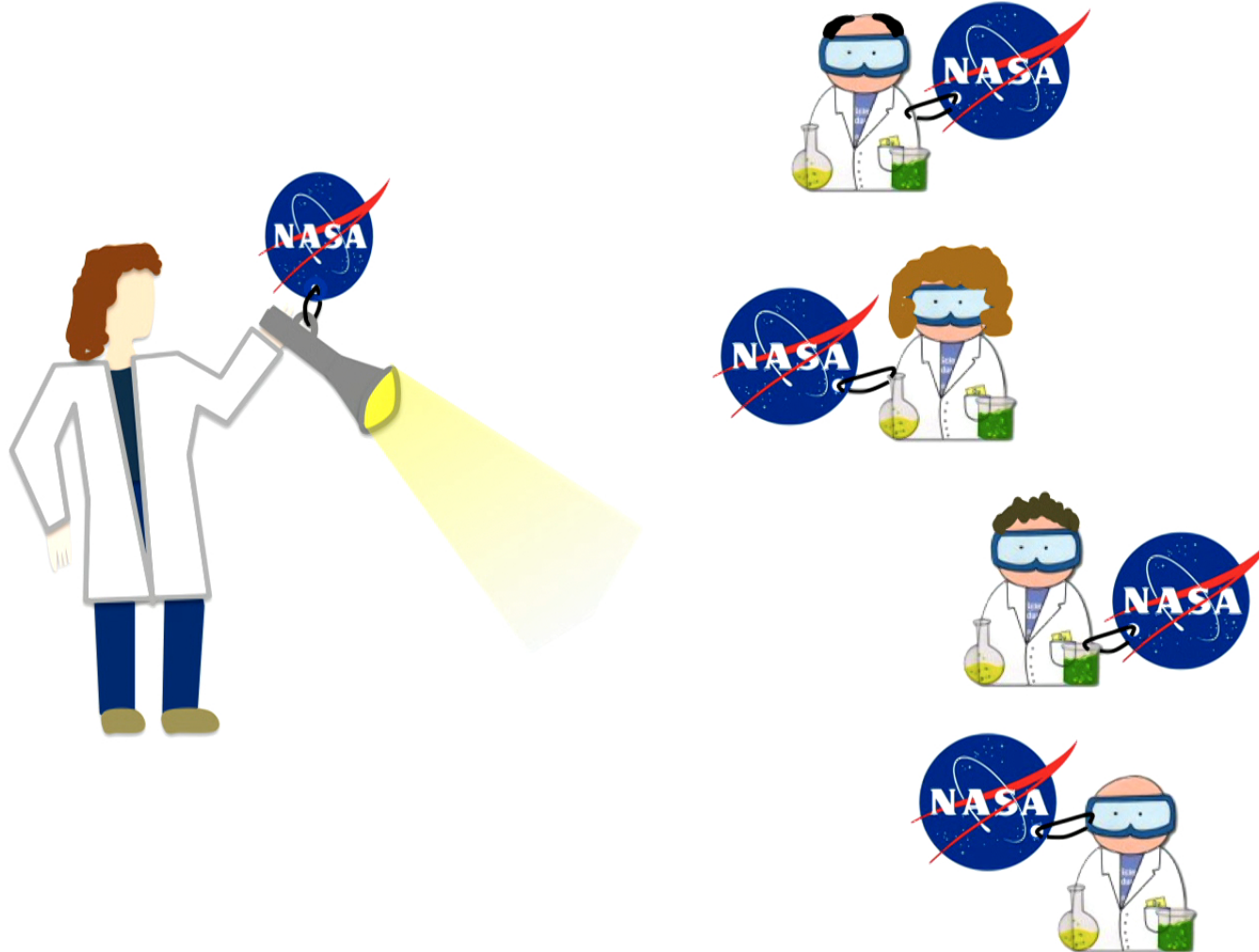
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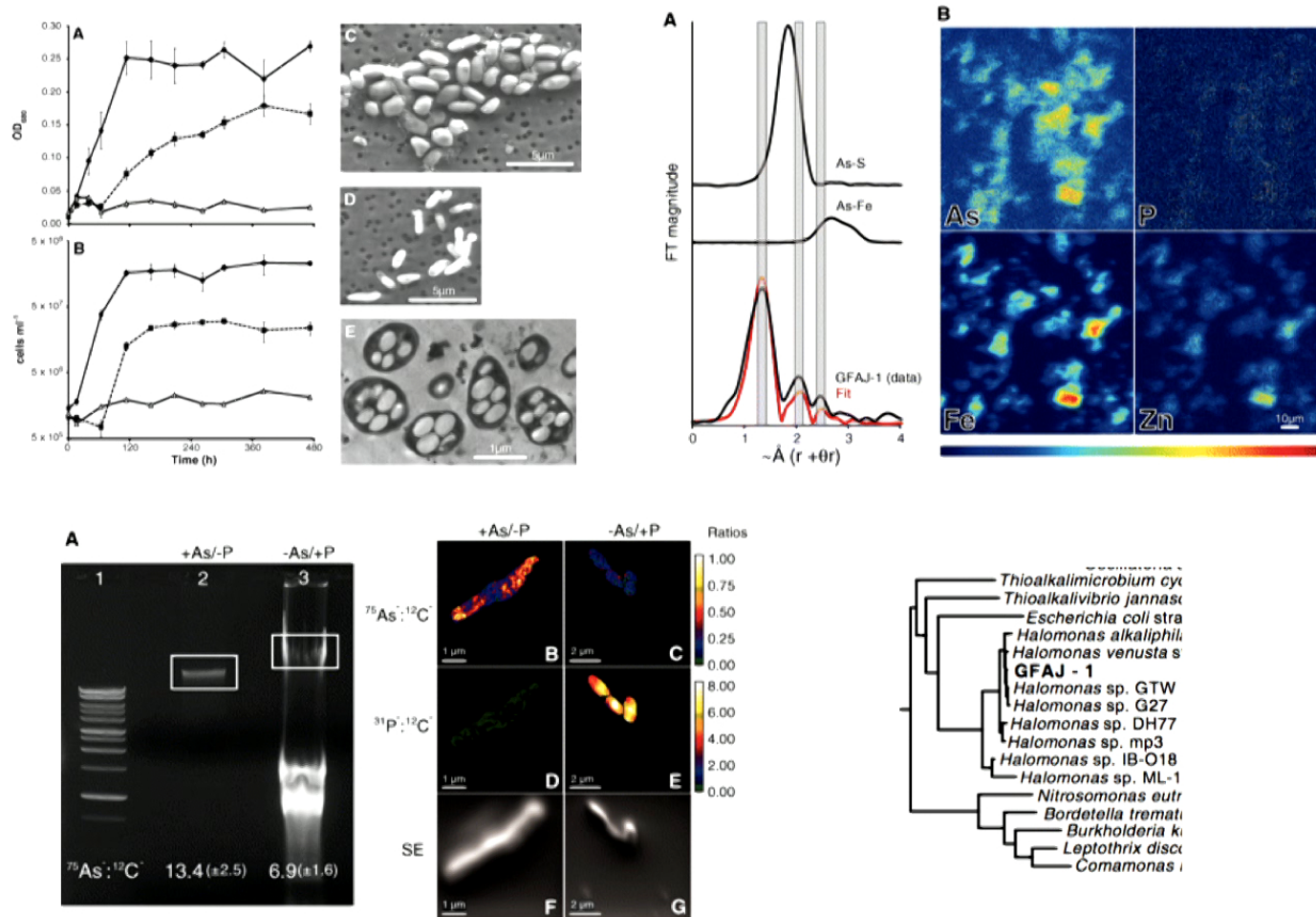
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Act I. Out of the Shadows







NASA Discovers New Life! Arsenic Bacteria With DNA Completely Alien To What We Know



NASA Life Discovery: New Bacteria Makes DNA With Arsenic

[EXCLUSIVE]

It's life,
but not as
we know it

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2008

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Toxic ... but there's life in California lake

DISCOVERY OF "ARSENIC-BUG" EXPANDS DEFINITION OF LIFE

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Dec. 2, 2010: NASA-supported researchers have discovered the first known microorganism on Earth and reproduce using the toxic chemical arsenic. The microorganism, which lives in California's Mono Lake, substitutes arsenic for phosphorus in the backbone of its DNA and other cellular components.

"The definition of life has just expanded," said Ed Weiler, NASA's associate administrator for the Science Mission Directorate at the

NASA Discovers New Life! Arsenic Bacteria With DNA Completely Alien To What We Know

By FIONA MACRAE
Last updated at 8:33 AM on 3rd December 2010



Thursday, 23 December 2010



NEWS

EXCLUSIVE

It's life, but not as we know it

By PAUL SUTHERLAND, Sun Spaceman

Published: 01 Dec 2010

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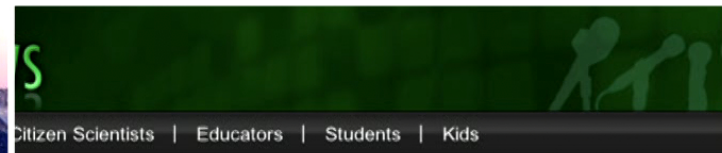
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NASA Life Discovery: New Bacteria Makes DNA With Arsenic



Toxic ... but there's life in California lake



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2010 → Discovery of "Arsenic-bug" Expands ... finition of Life

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"The definition of life has just expanded," said Ed Weiler, NASA's associate administrator for the Science Mission Directorate at the

Act III. Downfall and Disgrace Slate

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HOME / SCIENCE : THE STATE OF THE UNIVERSE.

"This Paper Should Not Have Been Published"

Scientists see fatal flaws in the NASA study of arse

By Carl Zimmer

Posted Tuesday, Dec. 7, 2010, at 10:53 AM ET



On Thursday, Dec
a new paper calle
[Using Arsenic Ins](#)

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NASA's arsenic microbe science slammed

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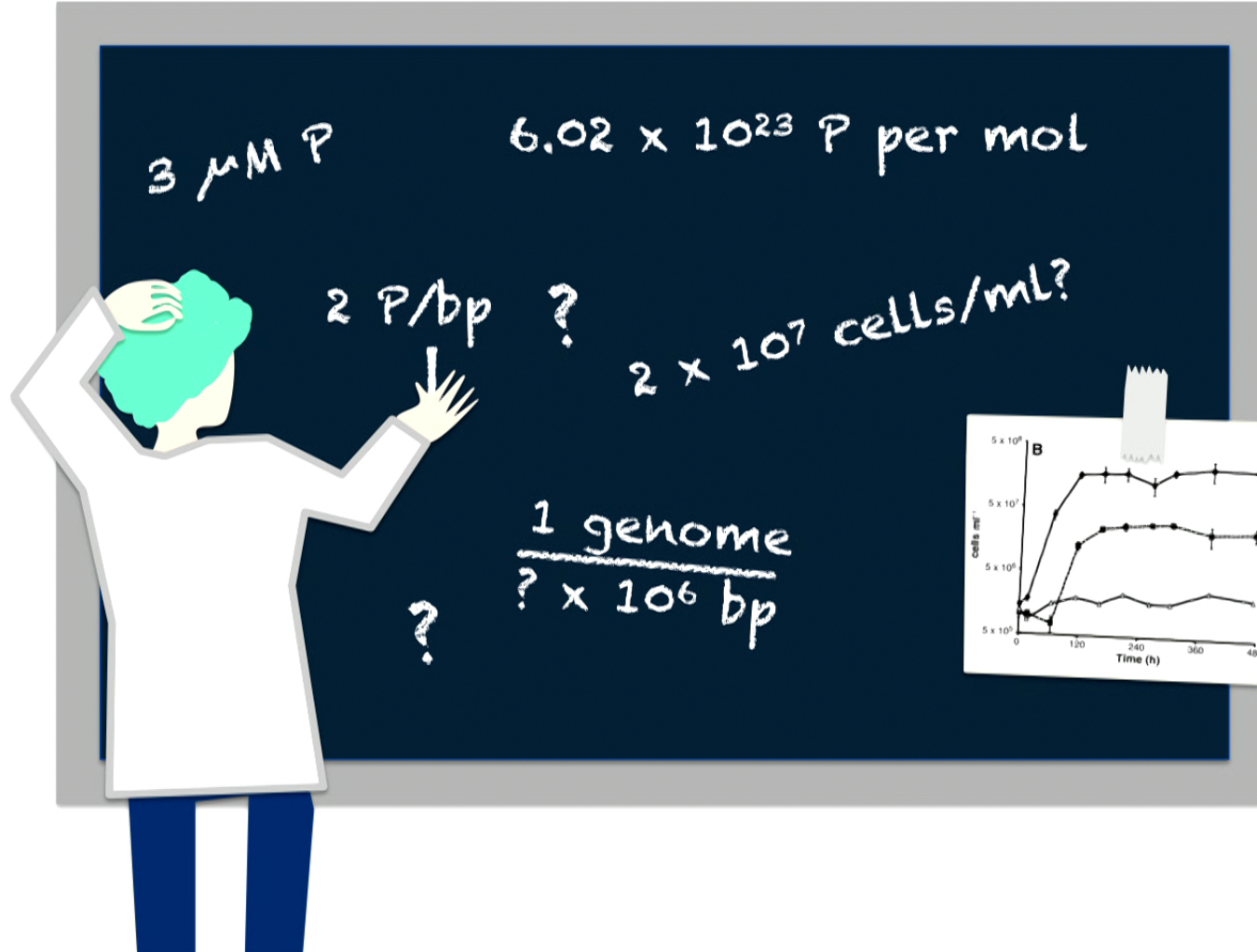
ENVIRONMENT S

Poisoned Debate Encircles a Microbe Study's Result

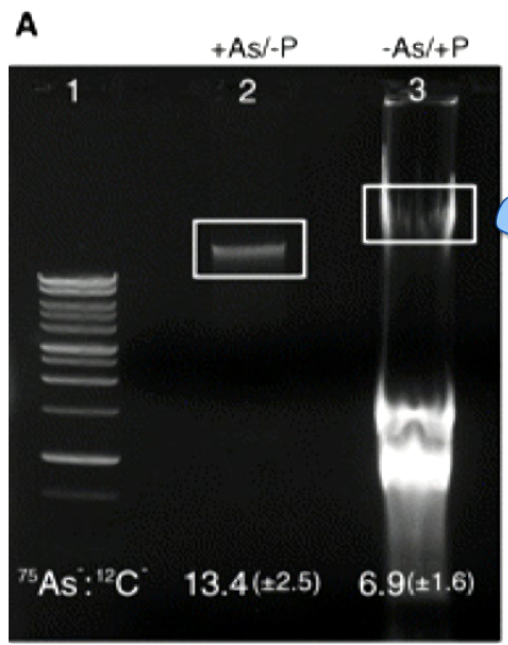
By DENNIS OVERBYE

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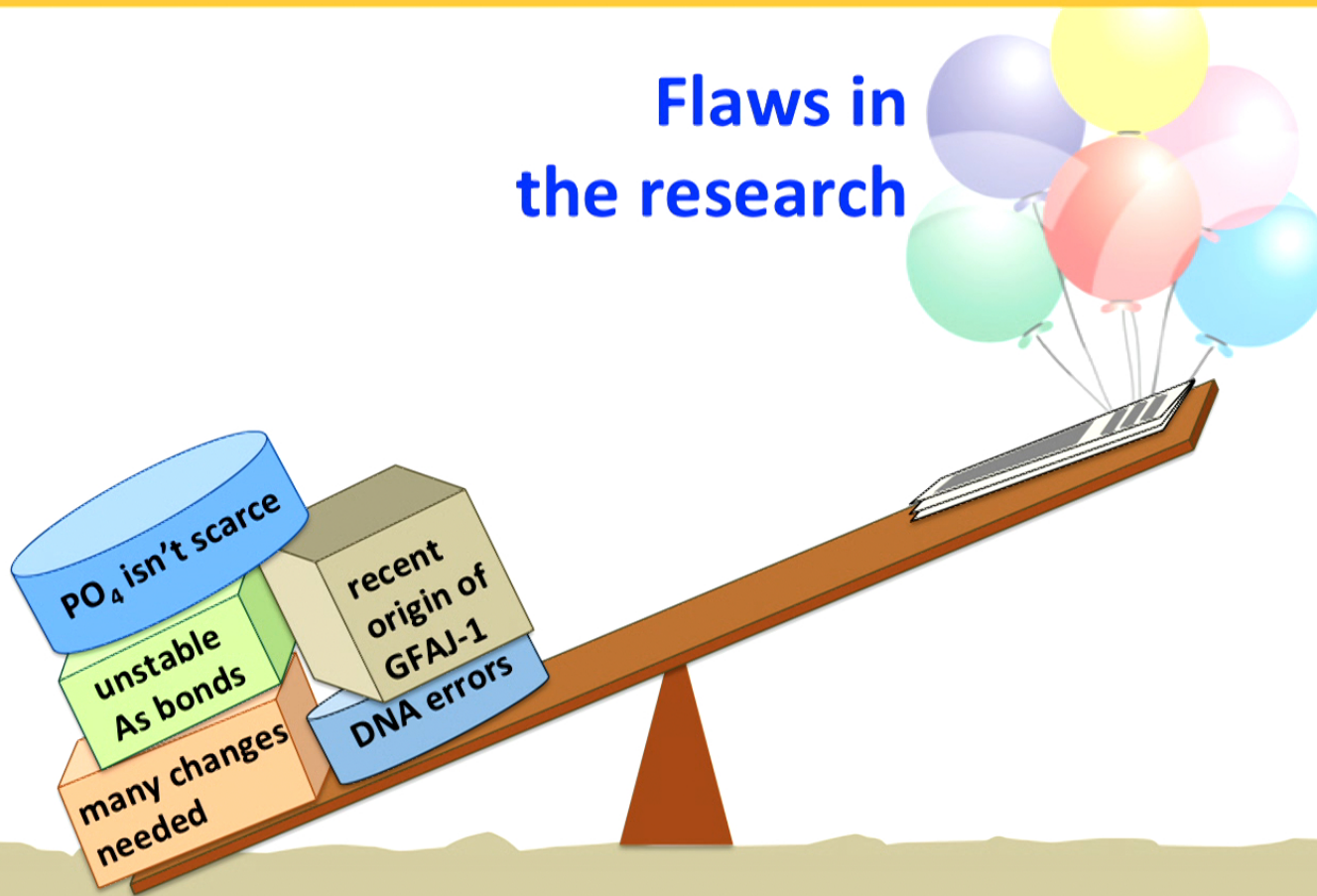
that [NASA](#) experimenters had found [a bacterium that seems on arsenic](#) in place of phosphorus — an element until now
life — set off a cascading storm of criticism on the Internet,
errors and sloppiness in [the paper published in Science](#) by
and her colleagues, and then about their and NASA's refusal

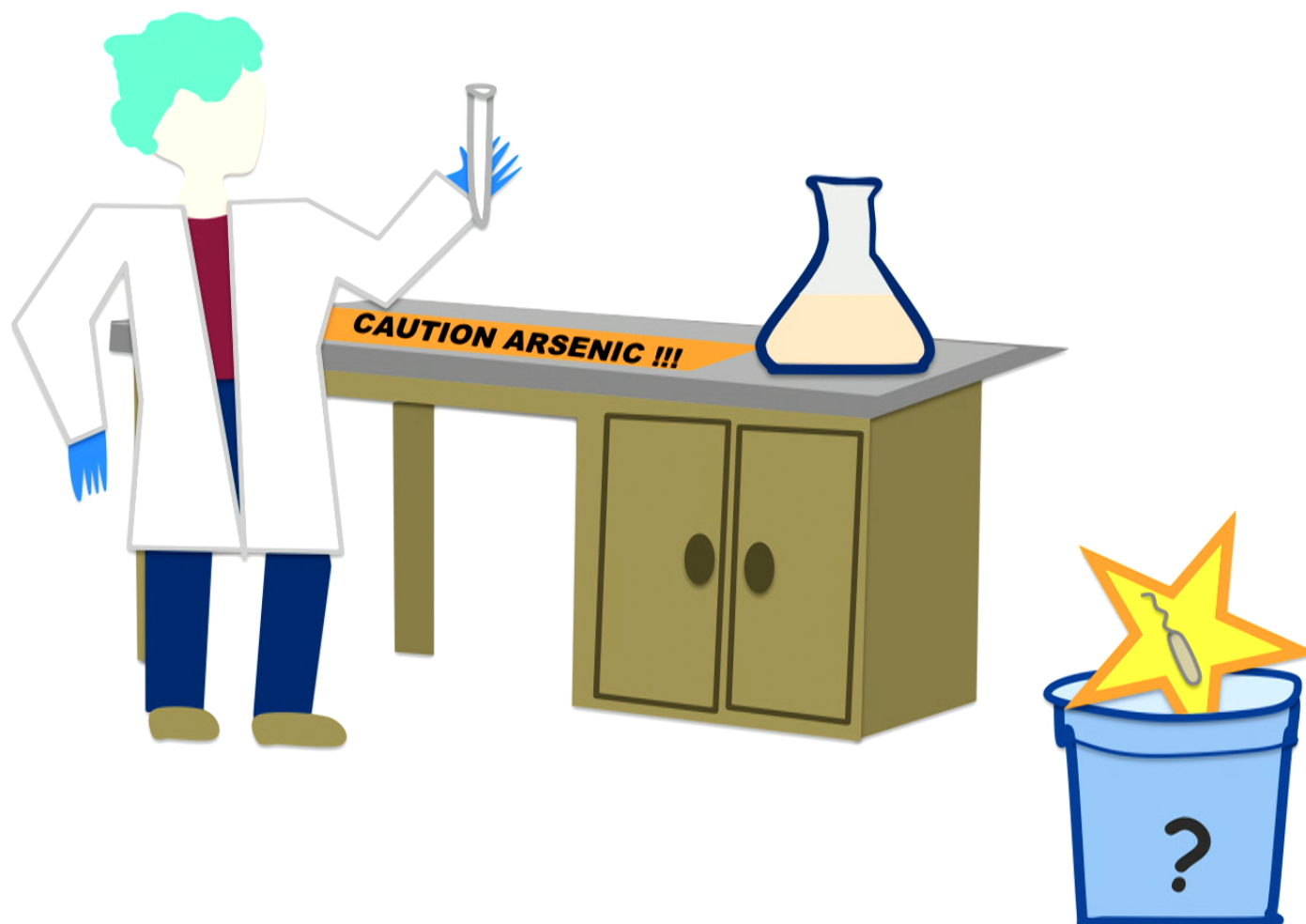


Arsenic in the gel,
not in the DNA?



Flaws in the research





RRResearch

Not your typical science blog, but an 'open science' research blog. understanding how and why bacteria take up DNA, and getting dis!

GFAJ-1 (no real progress to report)

By Rosie Redfield on Wednesday, July 06, 2011

Yes, that last experiment was grasping at straws...

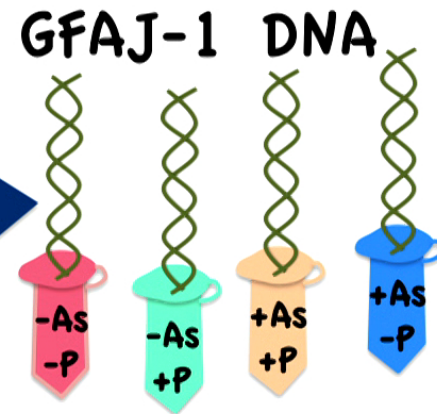
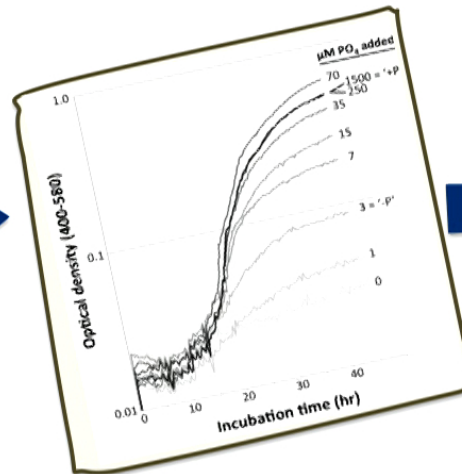
By Rosie Redfield on Tuesday, October 11, 2011

DNA! Lots and lots of lovely GFAJ-1 DNA!

By Rosie Redfield on Friday, November 18, 2011

Precipice of
irreproducible
results ➡

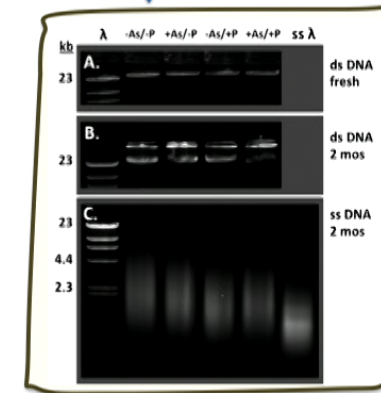
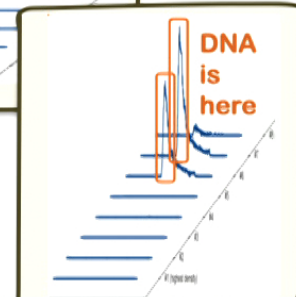


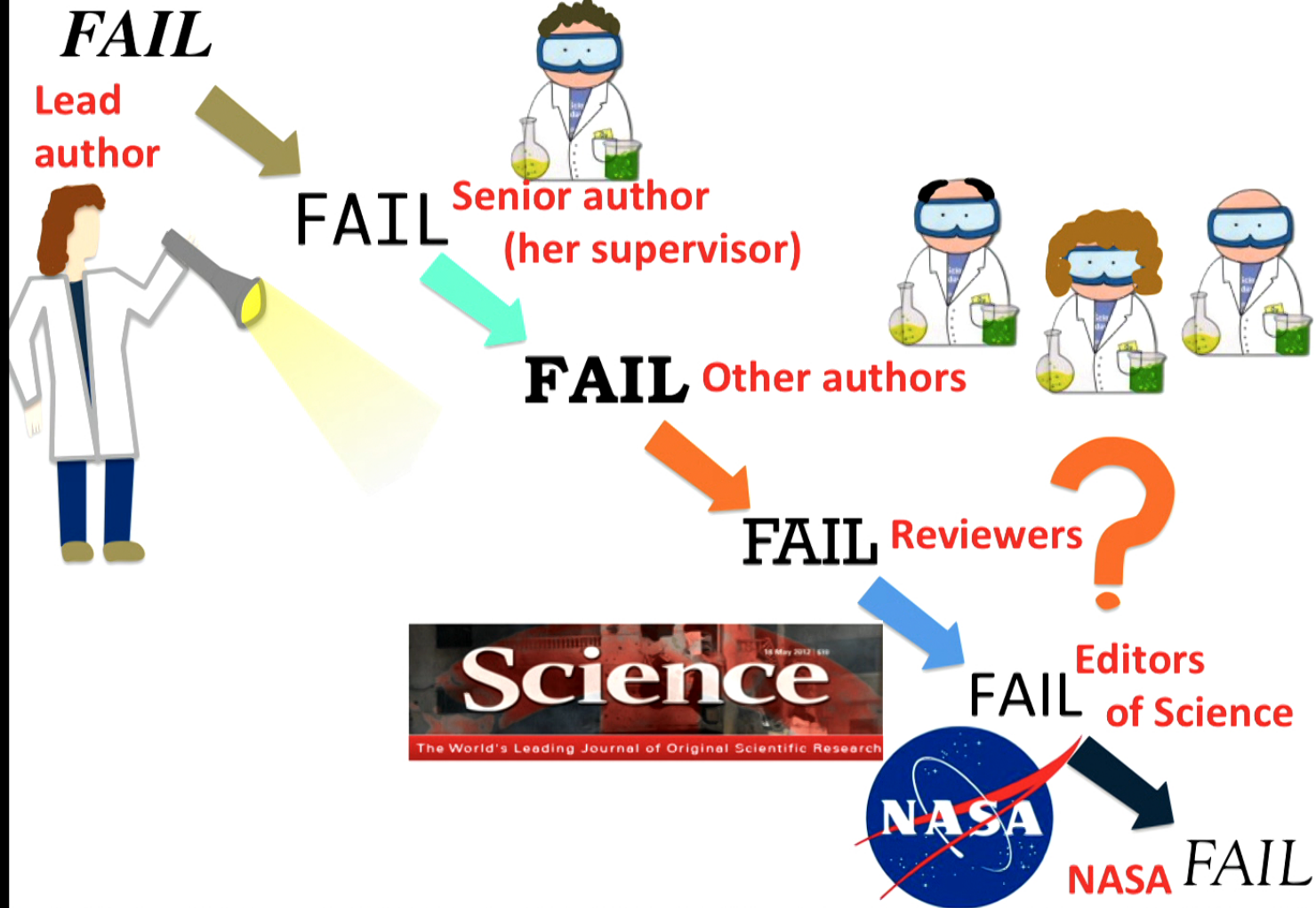


Absence of arsenate in DNA from arsenate-grown GFAJ-1 cells.

Marshall Louis Reaves, Sunita Sinha, Joshua D. Rabinowitz, Leonid Kruglyak, and Rosemary J. Redfield.

Science





OPEN

OPEN

OPEN

open

open

closed

closed

OPEN

OPEN

OPEN

closed

open

CLOSED

Some people changed their minds about openness...

Press Release by NASA (Nov. 29):

Dwayne Brown, NASA Headquarters, Washington

WASHINGTON -- NASA will hold a news conference at 2 p.m. EST on Thursday, Dec. 2, to discuss an astrobiology finding that will impact the search for evidence of extraterrestrial life. Astrobiology is the study of the origin, evolution, distribution and future of life in the universe.

NASA spokesman Dwayne Brown (Dec. 6, quoted by CBC News):

Wolfe-Simon will not be responding to individual criticisms, as the agency doesn't feel it is appropriate to debate the science using the media and bloggers. Instead, it believes that should be done in scientific publications.

Felisa Wolfe-Simon (Dec. 7, quoted by Carl Zimmer):

Any discourse will have to be peer-reviewed in the same manner as our paper was, and go through a vetting process so that all discussion is properly moderated.

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 Felisa **Wolfe-Simon**, Jodi Switzer Blum, Thomas R. Kulp, Gwyneth W. Gordon, Shelley E. Hoefft,
 Jennifer Pett-Ridge, John F. Stolz, Samuel M. Webb, Peter K. Weber, Paul C. W. Davies,
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Science 3 June 2011: 1163–1166. Published online 2 December 2010
 [DOI:10.1126/science.1197258]

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A Bacterium That Can Grow by Using Arsenic Instead of Phosphorus

Felisa Wolfe-Simon,^{1,2*} Jodi Switzer Blum,² Thomas R. Kulp,² Gwyneth W. Gordon,³ Shelley E. Hoefft,² Jennifer Pett-Ridge,⁴ John F. Stolz,⁵ Samuel M. Webb,⁶ Peter S. Weber,⁴ Paul C. W. Davies,^{1,7} Ariel D. Anbar,^{1,3,8} Ronald S. Oremland²

¹NASA Astrobiology Institute, USA. ²U.S. Geological Survey, Arizona State University, Tempe, AZ, USA. ³Lawrence Livermore National Laboratory, Livermore, CA, USA. ⁴Department of Biological Sciences, Duquesne University, Pittsburgh, PA, USA. ⁵BEYOND: Center for Fundamental Studies of Chemistry and Biochemistry, Arizona State University, Tempe, AZ, USA.

*To whom correspondence should be addressed. E-mail: felisa.wolfe-simon@ars.nsl.gov

Life is mostly composed of the elements carbon, nitrogen, oxygen, sulfur and phosphorus. Although these six elements make up nucleic acids, proteins and thus the bulk of living matter, it is theoretically possible that some other elements in the periodic table could serve the same functions. Here we describe a bacterium, strain GFAJ-1 of the Halomonadaceae, isolated from Mono Lake, CA, which substitutes arsenic for phosphorus to sustain its growth. Our data provide evidence for arsenate in macromolecules that is

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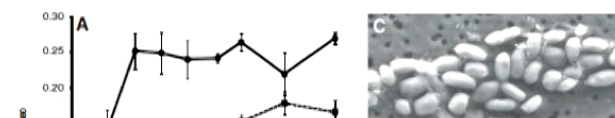
Life is mostly composed of the elements carbon, hydrogen, nitrogen, oxygen, sulfur, and phosphorus. Although these six elements make up nucleic acids, proteins, and lipids and thus the bulk of living matter, it is theoretically possible that some other elements in the periodic table could serve the same functions. Here, we describe a bacterium, strain GFAJ-1 of the Halomonadaceae, isolated from Mono Lake, California, that is able to substitute arsenic for phosphorus to sustain its growth. Our data show evidence for arsenate in macromolecules that normally contain phosphate, most notably nucleic acids and proteins. Exchange of one of the major bio-elements may have profound evolutionary and geochemical importance.

Biological dependence on the six major nutrient elements carbon, hydrogen, nitrogen, oxygen, sulfur, and phosphorus (P) is complemented by a selected array of other elements, usually metals or metalloids present in trace quantities that serve critical cellular functions, such as enzyme co-factors (1). There are many cases of these trace elements substituting for one another. A few examples include the substitution of tungsten for molybdenum and cadmium for zinc in some enzyme families (2, 3) and copper for iron as an oxygen-carrier in some arthropods and mollusks (4). In these examples and others, the trace elements that interchange can

be incorporated into some early steps in the pathways [(6) and references therein]. However, it is thought that downstream metabolic processes are generally not compatible with As-incorporating molecules because of differences in the reactivities of P and As compounds (8). These down-

stream biochemical pathways may require the more chemically stable P-based metabolites; the lifetimes of more easily hydrolyzed As-bearing molecules are thought to be too short. However, given the similarities of As and P—and by analogy with trace element substitutions—we hypothesized that AsO_4^{3-} could specifically substitute for PO_4^{3-} in an organism possessing mechanisms to cope with the inherent instability of AsO_4^{3-} compounds (6). Here, we experimentally tested this hypothesis by using AsO_4^{3-} , combined with no added PO_4^{3-} , to select for and isolate a microbe capable of accomplishing this substitution.

Geomicrobiology of GFAJ-1. Mono Lake, located in eastern California, is a hypersaline and alkaline water body with high dissolved arsenic concentrations [200 μM on average (9)]. We used lake sediments as inocula into an aerobic defined artificial medium at pH 9.8 (10, 11) containing 10 mM glucose, vitamins, and trace metals but no added PO_4^{3-} or any additional complex organic supplements (such as yeast extract or peptone), with a regimen of increasing AsO_4^{3-} additions initially spanning the range from 100 μM to 5 mM. These enrichments were taken through many decimal-dilution transfers, greatly reducing any potential carryover of autochthonous phosphorus



www.sciencemag.org on January 18, 2012

Comment on “A Bacterium That Can Grow by Using Arsenic Instead of Phosphorus”

James B. Cotner^{1*} and Edward K. Hall^{2†}

Wolfe-Simon *et al.* (Research Articles, 3 June 2011, p. 1163; published online 2 December 2010) reported that the bacterial strain GFAJ-1 can grow by using arsenic (As) instead of phosphorus (P), noting that the P content in bacteria grown in +As/-P culture medium was far below the quantity needed to

István Csabai^{1,2*} and Eörs Szathmáry^{3,4}

Wolfe-Simon
reported
in its b

Wolfe-Simon *et al.* (Research Articles, 3 June 2011, p. 1163; published online 2 December 2010) reported that bacterial strain GFAJ-1 can substitute arsenic for phosphorus in its biomolecules, including nucleic acids and proteins. Unfortunately, their study lacks crucial experimental data to support this claim and suffers from inadequate data and poor presentation and analysis.

David V

Wolfe-Simon
reported
of high

Wolfe-Simon *et al.* (Research Articles, 3 June 2011, p. 1163; published online 2 December 2010) reported that bacterium GFAJ-1 can substitute arsenic for phosphorus in its macromolecules, including nucleic acids and proteins. If such arsenic-DNA exists, then much of the past century of work with arsenic, phosphate chemistry, as well as much of what we think we know about metabolism, will have to be re-evaluated. We appreciate the authors' claim that GFAJ-1 can appreciably vary the elemental composition of its fundamental biomolecules by substituting arsenic for phosphorus.

Response to Comments on "A Bacterium That Can Grow Using Arsenic Instead of Phosphorus"

Felisa Wolfe-Simon,^{1,2,*†} Jodi Switzer Blum,² Thomas R. Kulp,² Gwyneth W. Gordon,³ Shelley E. Hoefft,² Jennifer Pett-Ridge,⁴ John F. Stolz,⁵ Samuel M. Webb,⁶ Peter K. Weber,⁴ Paul C. W. Davies,^{1,7} Ariel D. Anbar,^{3,8} Ronald S. Oremland²

Concerns have been raised about our recent study suggesting that arsenic (As) substitutes for phosphorus in major biomolecules of a bacterium that tolerates extreme As concentrations. We

welcome interpretation of our data. **"We maintain that our interpretation of As substitution, based on multiple congruent lines of evidence, is viable."**

appreciably vary the elemental composition of its fundamental biomolecules by substituting arsenic

The manuscript was available on the **arXiv** server.
The paper was 'in press' at Science .

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1. [arXiv:1202.5576 \[pdf\]](#)

Levy Flight of Holes in InP Semiconductor Scintillator

Serge Luryi, Arsen Subashiev

Comments: report at W-ofe-2011

Subjects: [Materials Science \(cond-mat.mtrl-sci\)](#); [Physics \(cond-mat.stat-mech\)](#)

2. [arXiv:1201.6643 \[pdf\]](#)

Absence of arsenate in DNA from arsenate-grown GFAJ-1 cells

M. L. Reaves, S. Sinha, J. D. Rabinowitz, L. Kruglyak, R. J. Redfield

Comments: Submitted to Science January 30 2012

Subjects: [Biomolecules \(q-bio.BM\)](#)

3. [arXiv:1201.5249 \[pdf\]](#)

Experimental and theoretical study of structural properties and p

D. Errandonea, R. Kumar, J. Lopez-Solano, P. Rodriguez-Hernandez, A. Munoz,

Comments: 45 pages, 12 figures, 6 tables, 62 references

Journal-ref: [Physical Review B](#) 83, 134109 (2011)

Subjects: [Materials Science \(cond-mat.mtrl-sci\)](#); [Chemical Physics \(physics.chem-ph\)](#); [Geophysics](#)

4. [arXiv:1201.2403 \[pdf, other\]](#)

Intermediate-energy inverse-kinematics one-proton pickup reaction nuclei

S. McDaniel, A. Gade, J. A. Tostevin, T. Baugher, D. Bazin, B. A. Brown, J. M. Coo
D. Weisshaar

**Absence of arsenate in
DNA from arsenate-
grown GFAJ-1 cells.**

Marshall Louis Reaves, Sunita
Sinha, Joshua D. Rabinowitz,
Leonid Kruglyak, and
Rosemary J. Redfield.

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The manuscript was available on the **arXiv** server.
The paper was 'in press' at Science

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1. [arXiv:1202.5576 \[pdf\]](#)

Levy Flight of Holes in Inhomogeneous Media
Serge Luryi, Arsen Subashnikov
Comments: report at WOFOM 2012, 12-13 July 2012, St. Petersburg
Subjects: Materials Science (cond-mat.mtrl-sci); Statistical Mechanics (cond-mat.stat-mech); Probability and Statistics (math.PR); Chemical Physics (physics.chem-ph); Geophysics (physics.geo-ph)

2. [arXiv:1201.6660 \[pdf\]](#)

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(...): Chemical Physics (physics.chem-ph); Geophysics (physics.geo-ph)

4. [arXiv:1201.2001 \[pdf\]](#)

Intermed... inverse-kinematics one-proton pickup reac...
nuclei
S. McDaniel, A. Gade, J. A. Tostevin, T. Baugher, D. Bazin, B. A. Brown, J. M. Coo, D. Weisshaar

In early July I was giving a public outreach talk at the big joint Evolution meeting.

...presence of arsenate in
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Marshall Louis Reaves, Sunita
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The manuscript was available on the [arXiv](#) server.
The paper was 'in press' at Science

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1. [arXiv:1202.5576 \[pdf\]](#)

Levy Flight of Holes in Inhomogeneous Media
Serge Luryi, Arsen Subashnikov
Comments: report at Workshop on Quantum Transport in Disordered Systems
Subjects: Materials Science (cond-mat.mtrl-sci); Statistical Mechanics (cond-mat.stat-mech); Probability Theory and Stochastic Processes (math.PR)

2. [arXiv:1201.6660 \[pdf\]](#)
Absorption of Light by a Disordered Medium
M. A. P. Martins, J. A. Tostevin, T. Baugher, D. Bazin, B. A. Brown, J. M. Coe, D. Weisshaar

3. [arXiv:1201.6660 \[pdf\]](#)
Experimental study of the natural properties and properties of a disordered medium
D. Bazin, J. A. Tostevin, T. Baugher, D. Bazin, B. A. Brown, J. M. Coe, D. Weisshaar

4. [arXiv:1201.6660 \[pdf\]](#)
Intermediate-kinematics one-proton pickup reaction
S. McDaniel, A. Tostevin, J. A. Tostevin, T. Baugher, D. Bazin, B. A. Brown, J. M. Coe, D. Weisshaar

In early July I was giving a public outreach talk at the big joint Evolution meeting.

EMBARGOED

presence of a
DNA from
gr
Louis Reaves, Sunita
Joshua D. Rabinowitz,
Leonid Kruglyak, and
Rosemary J. Redfield.

Science

Science *had* embargoed it until July 26...

Some journals use 'press embargos' to control how research is publicized.



Journalists get advance copies of papers, on condition that they don't publish anything until the paper appears in the journal.



Authors are instructed not to speak to the press except under this condition.

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Unintended consequences of 'open'

Of an open internet:

Unintended consequences of 'open'

Of open-access publishing:

- Predatory open-access journals

Unintended consequences of 'open'

Of open-access publishing:

- Predatory open-access journals

Beall's list of 'predatory' online publishers:

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- o Wudpecker Research Journals

Unintended consequences of 'open'

Of open-access publishing:

- Predatory open-access journals
- For-profit republication of open-access articles



FALL 2011 / WINTER 2012

APPLE ACADEMIC PRESS

Academic & Professional Books

Business model:

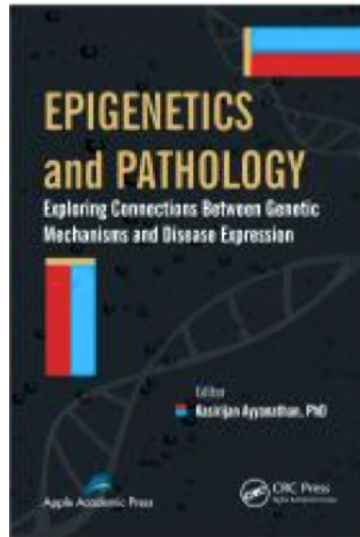
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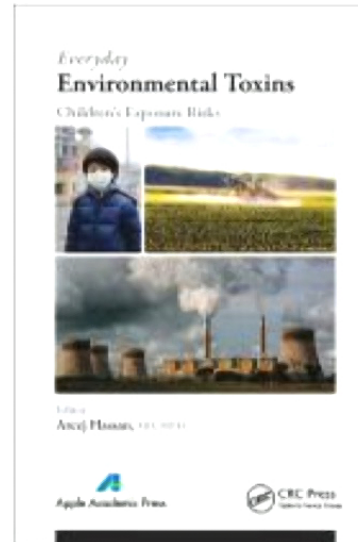
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 - Some articles are mildly edited (e.g. title simplified).
 - Authors ("contributors") are not informed.
 - Editors have little or no expertise in the field.

2013



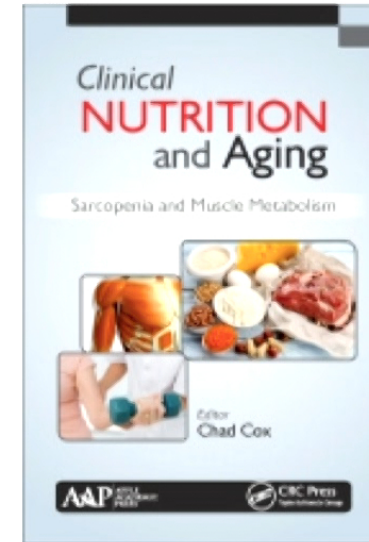
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Hardback Price: \$169.95 US
Hard ISBN: 9781926895789
E-Book ISBN: 9781482224825
Pages: 424pp
Binding Type: hardbound

2015



Published. Available now.
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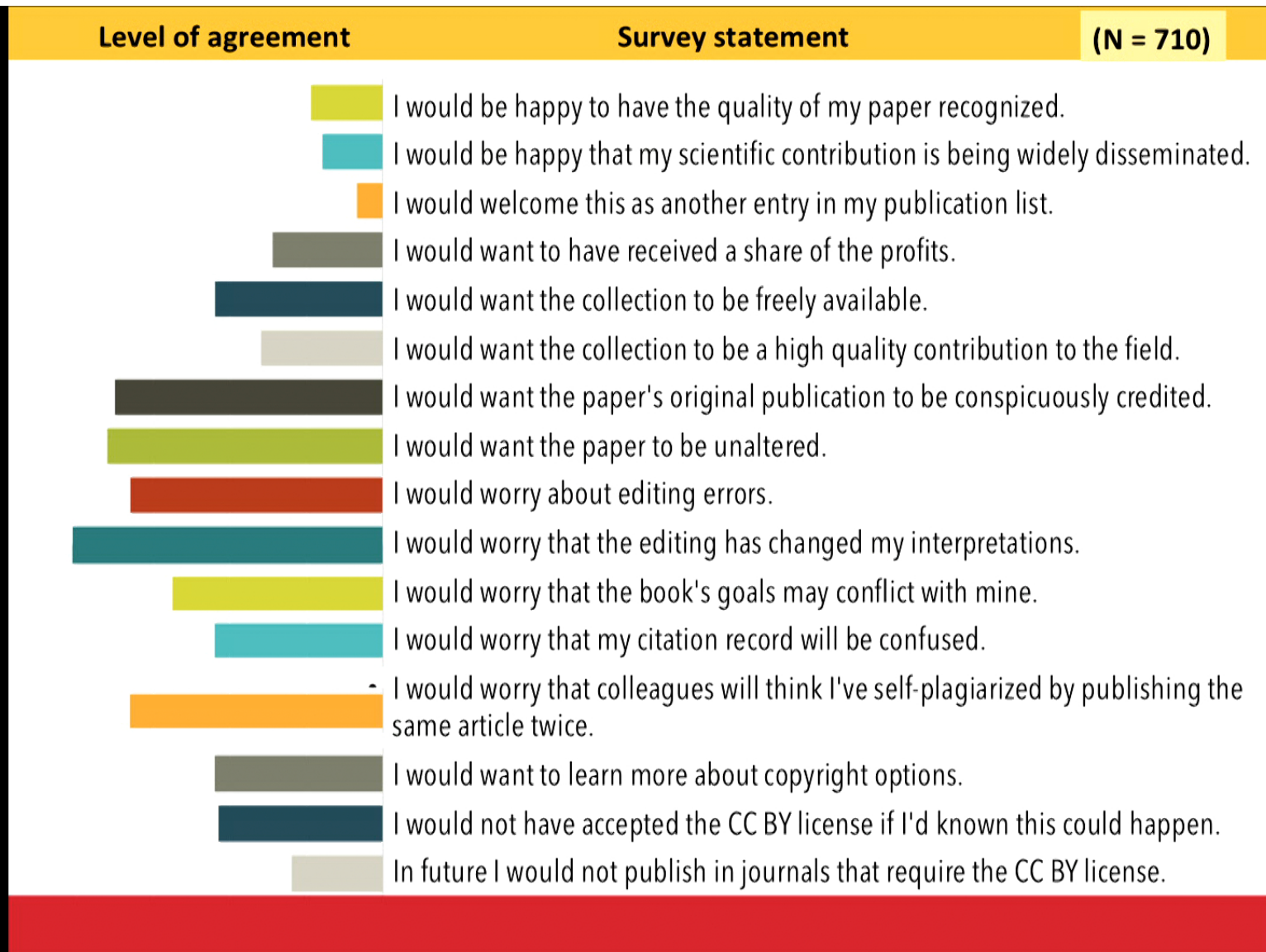
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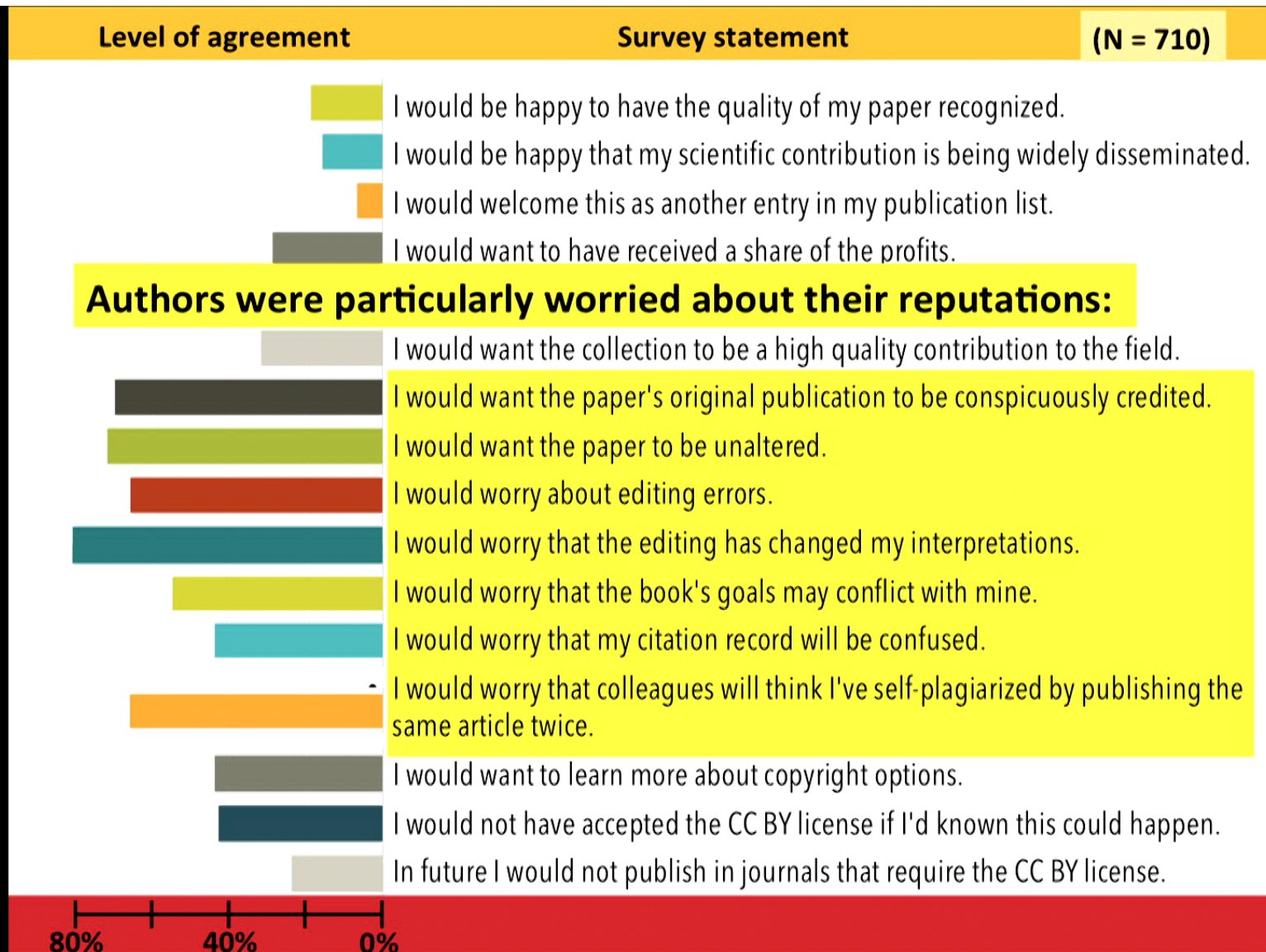
The survey preamble:

Consider this situation (a recent experience of some researchers):

Several years ago you published a paper in an open-access journal published by PLO S or BioMed Central. Now you discover that, without your knowledge, your paper has been included as a chapter in a multi author book. The author list is correct but the paper's title and text have been lightly reedited. You and your co-authors are prominently listed as 'contributors' to the volume, but the original journal citation for the paper is not given or is buried in an 'Authors' Notes appendix. The book looks like a typical multi-author work; the Introduction does not mention that some or all of the 'chapters' have been previously published as journal papers. The book is being sold for about \$150 through Amazon.

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Some of the authors' comments:

I would be surprised and annoyed. I was never told that my work would be re-published somewhere else (I should have the option to decline).

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Editing of published manuscripts should be illegal.

Is there a different license, still making freely available, but restricting this kind of republishing?

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Some of the authors' comments:

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Authors are supposed to read the license agreements they sign, and CC-BY is quite explicit about allowing commercial use.

You are doing massive harm to the OA cause by trying to scare the children.

the authors should be happy their research was selected, not concerned.

a different... still making freely available, but restricting this kind of republishing?

Editing of published manuscripts



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The principle of informed consent in medical research:

Researchers are asking the participants to participate in a clinical trial for the common good.

- The researchers must design the trial to minimize the potential harm to the participants.
- The participants must be told about the possible harm as well as the possible benefit.
- The onus is on the researchers to make sure the participants are aware of the potential for harm as well as for benefit, before they join the trial.
- Ethical behaviour is enforced by Institutional Review Boards

Applying informed consent to open access:

The journal is asking the authors to accept the CC-BY license for the common good.

- The journal's practices should minimize the potential harm to the authors.
- The authors should be told about the possible harm as well as the potential benefits.
- The onus is on the journal to make sure the authors understand the potential for harm as well as for benefit.



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***at the start of the submission
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Evolution teaches us

- Cooperation is not easy to evolve
- Immediate self-interest trumps altruism
- If we want scientists to act cooperatively, we need to build structures that:
 - Make it easy
 - Reward it
 - Reduce its costs and risks

Kinds of problems/obstacles:

- What should I post (this is a big issue)? What's optional and what's required by journals or funding agencies, or just expected of responsible scientists? How has this changed since the last time I did this?
- Where should I post each type of information?
- What format does each type of information need to be useful?
- How much work will gathering and formatting the information be? How many complicated decisions will I need to make?
- Should/must what I post be copyrighted in some way?
- Who might find the posted information useful?
- What's the probability that nobody ever finds it useful?
- Might the information be used in ways that harm my reputation or the field? Who can I trust to tell me this?

Open access publishing succeeded because:

1. **What we wanted was clear:** Research papers free for all to read; copyrights held by authors, not journals.
2. **A way to get this was clear:** Start new journals whose expenses are supported by publication charges, not reader subscriptions.
3. **The cost to authors seemed clear.**

The goals of open science are worthy ...

“... doing science on a public platform that facilitates collaboration, feedback, and the spread of ideas ...”

... but not specific enough to guide action.