

Title: Quantum Field Theory for Cosmology (AMATH872/PHYS785) - Lecture 21

Date: Mar 23, 2018 04:00 PM

URL: <http://pirsa.org/18030055>

Abstract:

## QFT for Cosmology, Achim Kempf, Lecture 21

Note Title

### Perturbative quantization of inflaton field and the metric.

Recall:

□ We decompose the inflaton field  $\phi(x, \eta)$ :

$$\phi(x, \eta) = \phi_0(\eta) + \ell(x, \eta)$$

where:

\*  $\phi_0(\eta)$  is assumed large and is treated classically.

\*  $\ell(x, \eta) =: \delta\phi(x, \eta)$  describes a field of small inhomogeneities and is to be quantized:  $\hat{\ell}(x, \eta)$

□ We decompose the metric  $g_{\mu\nu}(x, \eta)$ :

$$g_{\mu\nu}(x, \eta) = a^2(\eta) \gamma_{\mu\nu} + \gamma_{\mu\nu}(x, \eta)$$

$\uparrow$  treated classically       $\uparrow$  assumed small, to be quantized

□ Here,  $\gamma_{\mu\nu}(x, \eta)$  can be decomposed into scalar, vector and tensor-type inhomogeneities, using functions  $E, B, \Psi, \Phi, V_i, W_i, h_{ij}$ .

namely:  $ds^2 = g_{\mu\nu}(x, \eta) dx^\mu dx^\nu$

$$ds^2 = \overbrace{a^2(\eta) (d\eta^2 - \sum_{i=1}^3 (dx^i)^2)}^{\text{zero-mode, i.e., homogeneous and isotropic part}} + \underbrace{ds_s^2}_{\text{scalar}} + \underbrace{ds_v^2}_{\text{vector}} + \underbrace{ds_T^2}_{\text{tensor}}$$

$$ds_s^2 = a^2(\eta) \left[ 2\Phi(x, \eta) d\eta^2 - 2 \sum_{i=1}^3 \frac{\partial}{\partial x^i} B(x, \eta) dx^i d\eta \right. \\ \left. - \sum_{i,j=1}^3 \left( 2\Psi(x, \eta) \delta_{ij} - 2 \frac{\partial}{\partial x^i} \frac{\partial}{\partial x^j} E(x, \eta) \right) dx^i dx^j \right]$$

$$ds_v^2 = a^2(\eta) \left[ 2 \sum_{i=1}^3 V_i(x, \eta) dx^i d\eta \right. \\ \left. - \sum_{i,j=1}^3 \left( \frac{\partial}{\partial x^j} W_i(x, \eta) + \frac{\partial}{\partial x^i} W_j(x, \eta) \right) dx^i dx^j \right]$$

$$ds_T^2 = a^2(\eta) \sum_{i,j=1}^3 h_{ij}(x, \eta) dx^i dx^j$$

We insert the approximation

$$\phi(x, \eta) = \phi_0(\eta) + \mathcal{L}(x, \eta)$$

$$g_{\mu\nu} = a^2(\eta) \gamma_{\mu\nu} + \gamma_{\mu\nu}(x, \eta)$$

with  $\mathcal{L}, \gamma$  assumed small, into the action:

$$\begin{aligned} S' = & \frac{-1}{16\pi G} \int R \sqrt{|g|} d^4x \\ & + \frac{1}{2} \int (\partial_\mu \phi)(\partial^\mu \phi) - V(\phi) \sqrt{|g|} d^4x \\ & + \text{neglected (other fields)} \end{aligned}$$

One obtains many terms with  $\Phi, \bar{\Psi}, B, E, V, W, h$  !

□ These terms can be simplified! Why?

Now that space is curved, there is no longer a preferred foliation of spacetime into spacelike hypersurfaces!

⇒ No preferred choice for the coordinate system.

(e.g., no preferred conformal time & space cds)

□ But the choice of cds will affect the functions above, i.e. they are in part coordinate system dependent.

⇒ We may choose our <sup>and thus our notion of equal time</sup> spacelike hypersurfaces so that these functions  $\Phi, \bar{\Psi}, E, B, \nu, W, h$  vanish or simplify.

It took on the order of 10 years to clarify this "gauge" question!

## Result:

- \* For small inhomogeneities (1<sup>st</sup> order perturbation) nearly all inhomogeneities can be eliminated by suitable coordinate choice.
- \* Except, there are two fields, which are coordinate system, i.e., "gauge" independent. Namely:

## I) A spatial tensor field:

This is  $h_{ij}(x, y)$  itself. It represents  $T_{\mu\nu}$ -independent,

## I) A spatial tensor field:

This is  $h_{ij}(x, \eta)$  itself. It represents  $T_{\mu\nu}$ -independent, so-called Weyl curvature, namely gravitational waves.  $h_{ij}(x, \eta)$  measures how much space is locally distorted against itself in different directions.

## II) A spatially scalar field, $\psi$ , made of $\mathcal{L}$ and $\gamma_{\mu\nu}$ 's scalar part:

Due to the Einstein eqn ,

$$\delta\phi(x, \eta) = \mathcal{L}(x, t)$$

combines with the scalar part of the metric inhomogeneities

$$\Psi(x, \eta),$$

to yield one dynamical entity, namely:



recall:  $\phi_0(\tau) = \text{classical homogeneous inflaton field.}$

$$r(x, \eta) := - \frac{a_i'}{a_0} (\phi_0(\eta)')^{-1} \varrho(x, \eta) - \Psi(x, \eta)$$

↑  
from inflaton

↑  
from "scalar" part of the metric

Physically, what is  $r(x, \eta)$ ?

\* First term:  $\Psi(x, \eta)$  is the (scalar) metric's fluctuation.

\* Second term: In  $\frac{a_i'}{a_0} \frac{1}{\phi_0'} \varrho$ , the  $\varrho(x, \eta)$  is the scalar field's fluctuation.

Consider now: 2 Useful choices for foliations of spacetime into spacelike hypersurfaces of equal time:

a.) Foliate so that on surfaces of equal time,  $\eta$ , one has:  $\mathcal{L} \equiv 0$ .

→ Equal time hypersurfaces chosen so that all points of equal value of  $\phi$  have equal values of time.

Note: Only possible if  $\phi$  decays over time (e.g. slow roll inflation, but not de Sitter).

→ We see that  $\tau(x, \eta)$  expresses non-purely metric fluctuations

→ Technically, these are fluctuations in the "intrinsic curvature". (Local bloating)

b.) Foliate so that on surfaces of equal time,  $\eta$ , one has:  $\Psi \equiv 0$

In this case, along each equal time surface there

is no local bloating - but instead the inflaton field fluctuates.

Recall:

$$r(x, \eta) := - \frac{a'_0}{a_0} (\phi'_0(\eta))^{-1} \mathcal{L}(x, \eta) - \underline{\Psi}(x, \eta)$$

Question:

Why does the contribution of the inflaton  
in  $r(x, \eta)$  take this particular form:

$$\frac{a'_0(\eta)}{a_0(\eta)} \frac{\mathcal{L}(x, \eta)}{\phi'_0(\eta)} \quad ?$$

$$\frac{a'(\gamma)}{a(\gamma)} \frac{\varphi(x, \gamma)}{\phi'_0(\gamma)} \quad ?$$

Answer:

\* The inflaton's inhomogeneities imply locally-varying expansion rates.

⇒ some regions are ahead, others lag behind in their expansion.

\* Changing the spacetime slicing from a) to b) has to turn pure intrinsic curvature, namely local bloating

$$\frac{\delta a(x, \gamma)}{a(\gamma)}$$

into pure inflaton fluctuations  $\varphi(x, \gamma)$ .

$$\frac{\delta a(x, \eta)}{a(\eta)}$$

into pure inflaton fluctuations  $\mathcal{L}(x, \eta)$ .

\* Indeed:

$\delta \eta(x)$  is the time "lag" between slicings a) and b.)

$$\begin{aligned} \frac{\delta a}{a} &= \frac{1}{a} \frac{\delta a}{\delta \phi} \delta \phi = \frac{1}{a} \frac{\delta a}{\delta \eta} \frac{\delta \eta}{\delta \phi} \delta \phi = \frac{a'}{a} \frac{1}{\phi'} \delta \phi \\ &= \frac{a'}{a} \frac{1}{\phi'} \mathcal{L} \quad \checkmark \end{aligned}$$

## Ramifications:

□ The intrinsic curvature inhomogeneities

very large when  $\phi'_0$  is very small

$$r - - \bar{\Psi} - \frac{a'}{a} \frac{1}{\phi'} \mathcal{L}$$

## Ramifications:

□ The intrinsic curvature inhomogeneities

$$r = -\bar{\Psi} - \frac{a'}{a} \frac{1}{\phi'_0} \psi$$

↙ very large when  $\phi'_0$  is very small

can become strongly enhanced, namely, as it happens, for close to de Sitter inflation:

i.e., for  $a(t) \approx e^{Ht}$

i.e., for  $H = \frac{\dot{a}}{a} \approx \text{const}$  (recall:  $H \sim \sqrt{V(\phi)}$ )

i.e., for  $\phi \approx \text{const}$

i.e., for:  $\phi' \approx 0$

□ Why? Recall that:

$$\frac{\delta a}{a} = \frac{1}{a} \frac{\delta a}{\delta \gamma} \left( \frac{\delta \gamma}{\delta \phi} \right) \delta \phi = \frac{a'}{a} \frac{1}{\phi'} \ell$$

Thus: Assume  $\phi' = \frac{\delta \phi}{\delta \gamma} \ll 1$

$$\Rightarrow \frac{\delta \gamma}{\delta \phi} \gg 1$$

□ Intuition:

$\frac{\delta \gamma}{\delta \phi} \gg 1$  means that the local time-lag  $\delta \gamma$

between slicings a.) and b.) is large.

This could mean large  $v(x, \gamma)$  against assumption.

Analogous to: A river in a plain meanders the more widely, the flatter the plain is.





## Could it be a problem?

Observations: We know the size of  $|r|$  from the CMB. The curvature fluctuations  $r$  are of order  $10^{-5}$ . Also, there is evidence that the Hubble radius increased during inflation. Namely, the fluctuations of modes that crossed it late are smaller. So inflation was significantly different from de Sitter.

Is there a preferred slicing of spacetime, say a) or b) in nature?

\* Not during inflation, but at its end point!

So it is slicing type a.) } \* Why? At each point in space, inflation ends the moment the value of  $\phi$  drops to its minimum. Then,  $r(x, \eta)$  is intrinsic curvature.

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slicing } of  $\phi$  drops to its minimum. Then,  $r(x, y)$  is intrinsic curvature.  
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### The expanded action

$$\text{The action } S' = \frac{-1}{16\pi G} \int R \sqrt{|g|} d^4x \\ + \frac{1}{2} \int \left( (\partial_\mu \phi)(\partial^\mu \phi) - V(\phi) \right) \sqrt{|g|} d^4x$$

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## □ The expanded action

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must be expanded to second order in the inhomogeneities in order to obtain their equations of motion to first order:

$$S' = S_s + S_T$$

## □ The scalar part:

$$S_s = \frac{1}{2} \int z^2(\eta) \left( \frac{\partial}{\partial x^\mu} r(x, \eta) \right) \left( \frac{\partial}{\partial x^\nu} r(x, \eta) \right) \eta^{\mu\nu} d^4x$$

Here:

$$z(\eta) := \frac{a_0^2(\eta)}{a_0'(\eta)} \phi_0'(\eta) \approx \text{const} \cdot a_0(\eta)$$

because  $a_0'(\eta) \approx \text{const} a_0(\eta)$  and  $\phi_0' \approx \text{const}$  during inflation

▣ Remark:

This action is similar to the scalar action which we considered so far:

$$S_{\phi} = \frac{1}{2} \int a^2(\eta) \left( \frac{\partial}{\partial x^\mu} \phi(x, \eta) \right) \left( \frac{\partial}{\partial x^\nu} \phi(x, \eta) \right) \eta^{\mu\nu} d^4x$$

The only difference is that  $a(\eta)$  is now replaced by the more complicated (but still classical fixed background function)  $z(\eta)$ .

▣ The tensor part: Each  $h_{ij}$  has exactly our well-known action:

$$S_T = \frac{1}{64\pi G} \sum_{i,j=1}^3 \int a^2(\eta) \frac{\partial}{\partial x^\mu} (h^i_j(x, \eta)) \frac{\partial}{\partial x^\nu} (h^i_j(x, \eta)) \eta^{\mu\nu} d^4x \quad !$$

## Quantization of $r$ and $h_{ij}$ :

□ The equations of motion come out to be:

Scalar:

$$r_b''(\eta) + \frac{2z'(\eta)}{z(\eta)} r_b'(\eta) + k^2 r_b(\eta) = 0$$

Tensor:

$$h_{ij,b}''(\eta) + \frac{2a'(\eta)}{a(\eta)} h_{ij,b}'(\eta) + k^2 h_{ij,b}(\eta) = 0$$

□ Exercise: verify

□ Strategy:

Define auxiliary fields, so that there will be no friction term in the equation of motion.

□ Recall: Previously in this course, this definition

$$\mathcal{X}(x, \eta) := a(\eta) \phi(x, \eta)$$

achieved an eqn of motion without friction term:

$$x_k''(\eta) + \left(k^2 - \frac{a''}{a}\right) x_k(\eta) = 0$$

□ Scalar components:

Since in their action  $a$  is replaced by  $z$ , we need:

$$u(x, \eta) := -z(\eta) \tau(x, \eta)$$

↖ convenient factor

This yields the eqn. of motion without friction:

$$u_k''(\eta) + \left(k^2 - \frac{z''(\eta)}{z(\eta)}\right) u_k(\eta) = 0$$

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## □ The tensor components:

Here, we can define as previously in the course:

$$p_{ij}(x, \eta) := \frac{1}{\sqrt{32\pi G}} a(\eta) h_{ij}(x, \eta)$$

↖ convenient factor

to obtain the eqn of motion:

$$p_{ij,k}''(\eta) + \left( k^2 - \frac{a''(\eta)}{a(\eta)} \right) p_{ij,k}(\eta) = 0$$

Note: \* The components of  $p_{ij}$  are not all independent, because  $h_{ij}$  obeys:

$$h_{ij} = h_{ji} \text{ and } \sum_{i=1}^3 h_{ii} = 0 \text{ and in particular:}$$

$$\sum_{i=1}^3 \frac{\partial}{\partial x^i} h_{ij}(x, \eta) = 0 \text{ i.e. } \sum_{i=1}^3 k_i h_{ij}(k, \eta) = 0$$



\* But  $\vec{k}$  is the vector that points in the direction in which the mode  $\vec{k}$  propagates.

⇒ The equation

$$\sum_{i=1}^3 k_i h_{ij}(k, \eta) = 0$$

(For fixed  $j$ , the vectors  $h_{ij}$  and  $k_i$  are orthogonal) →

means that  $h_{ij}$  has no component in the propagation direction:

⇒  $h_{ij}$  describes transversal waves (like e.g. tectonic shear waves), not longitudinal waves.

⇒  $h_{ij}$  possesses only 2 degrees of freedom:  
 $v_{k,\lambda}(\eta)$  with  $\lambda = 1, 2$  or  $+ \times$

waves.

$\Rightarrow h_{ij}$  possesses only 2 degrees of freedom:  
 $v_{k,\lambda}(\eta)$  with  $\lambda=1,2$  or  $+X$

\* Polarization decomposition:

$$p_{ij}(k,\eta) := \sum_{\lambda=1,2} v_{k,\lambda}(\eta) \epsilon_{ij}(k,\lambda)$$

Here,  $\epsilon_{ij}(k,\lambda)$  are for each  $k$  two arbitrary but fixed matrices, obeying  $\sum_{i,j=1}^3 \epsilon_{ij}(k,1) \epsilon_{ji}(k,2) = 0$  and:

$$\epsilon_{ij} = \epsilon_{ji}, \quad \sum_{i=1}^3 \epsilon_{ii} = 0, \quad \sum_{i=1}^3 k_i \epsilon_{ij} = 0$$

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\* Polarization decomposition:

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$$\varepsilon_{ij} = \varepsilon_{ji}, \quad \sum_{i=1}^3 \varepsilon_{ii} = 0, \quad \sum_{i=1}^3 k_i \varepsilon_{ij} = 0$$

It is convenient to choose

$$\varepsilon_{ij}(-k, \lambda) = \varepsilon_{ij}^{\dagger}(k, \lambda)$$

because then we have (as usual):

$$v_{k,\lambda}(\eta) = v_{-k,\lambda}^{\dagger}(\eta)$$

$$\Rightarrow v_{k,\lambda}''(\eta) + \left(k^2 - \frac{a''}{a}\right) v_{k,\lambda}(\eta) = 0$$

□ The goal:

Quantize  $\hat{a}_r(\eta)$ ,  $\hat{p}_{ij}(\eta)$  and calculate  $\delta\tau_r(\eta)$  and  $\delta h_{ij}(\eta)$

from them at horizon crossing (after which they are constant).

□ Notice: We cannot simply re-use our de Sitter results b/c Mukhanov variable!

□ Expectations: \* Fluctuations of  $\hat{\tau}$  yield local spacetime expansion (and thus eventually cooling) fluctuations  
→ temperature spectrum in CMB

\* Fluctuations of  $\hat{h}$  yield grav. waves background.  
Should appear in polarization spectrum of CMB.

→ BICEP2 experiment almost found it!